

Hands-on Mie lab.  
Ocean Optics class, 2021.

**Introduction:**

Mie theory provides the solution for a plane-parallel EM energy interacting with a *homogeneous sphere*. It provides a solution for a single scattering event. It is in the form of a series solution. The code provided (translated from the textbook by Bohren and Huffman, 1983) was designed to sum up the series elements based on a given convergence criteria. We will also use simple approximations based on the anomalous diffraction approximation (developed by van de Hulst) which is applicable to many marine particles.

The inputs to the Mie code:

- 1) Wavelength of light interacting with the particle in the medium ( $\lambda$ ).
- 2) Index of refraction of the medium, assumed to be non-absorbing.
- 3) The diameter of the particle (with the same length units as the wavelength).
- 4) Index of refraction of the particle ( $m=n+in'$ ), both real and imaginary parts relative to the medium in which the particle is immersed. The imaginary part of the index of refraction relates to the absorption of the material the particle is made of *in solution*:  $n' = a_{sol}\lambda/4$ .

The solution of the Mie code is often given in terms of 'cross section' and/or 'efficiency factor' for absorption and attenuation (scattering is obtained as a difference). For example, the attenuation cross-section,  $C_{ext}$ , has units of  $m^2$  and provides the amount of light that is attenuated by a single particle in one  $m^3$ . If we had N such particle in a  $m^3$  of water the beam attenuation we would measure :

$$c = C_{ext} \times [\text{number concentration}] = C_{ext} \times N .$$

The efficiency factor (Q) is the ratio of a given optical cross section divided by the cross sectional area of the particles in question, e.g. for attenuation:

$$Q_{ext} = C_{ext} / \pi r^2 .$$

Where r is the particles' radius.

The efficiency factors are dimensionless. Another output of the code is the phase function. The optical properties of an ensemble of particles are, by the Beer-Lambert law, the sum of the optical properties of each of the individual particle present.

## Mie theory part I: optical properties of a single particle.

Review: analytical limits for Mie's solution (*homogeneous spheres*).  
See appendix.

1. Class example: let's assume a phytoplankton with

$$m=1.05+0.01i, D=2\mu\text{m} \ \& \ \lambda=440\text{nm}$$

a. What analytical regime is applicable for such a cell?

b. What is its attenuation ( $c$ ), scattering ( $b$ ) and absorption ( $a$ ) per cell based on that approximation? Assuming  $5 \cdot 10^4$  phytoplankton per ml compute the absorption, scattering and attenuation coefficients of this ensemble of mono-dispersed particles?

c. Using the Matlab routine **callbh.m** and the Mie solver (**bhmie.m**) get  $Q_a$ ,  $Q_b$ ,  $Q_c$ ,  $Q_{bb}$ ,  $\beta(\theta)$  and  $\tilde{\beta}(\theta)$ . Calculate  $a$ ,  $b$ ,  $c$  and  $b_b$  per cell and for a population of  $5 \cdot 10^4$  phytoplankton per ml. Compare your results with the theoretical approximation you used above.

2. Class example: let's assume we are dealing with non-absorbing rain drops ( $n=1.33$ ) and a wavelength in air of 550nm ( $0.55\mu\text{m}$ ).

a. How does the mass-specific attenuation ( $c^*=Q_{ext} \cdot \text{cross-section}/\text{volume}/\text{density}$ ) vary as function of size for drops varying in size  $D=0.01, 0.1, 1, 10, 100, 1000$  micron. Use the Matlab routine **callbh.m** and the Mie solver (**bhmie.m**) to get  $Q_{ext}=Q_c$ .

b. Given a water fraction of  $1\text{g}/\text{m}^3$  calculate the transmission ( $\text{Tr}=L/L_0=e^{-cR}$ ) through a cloud  $R=1\text{km}$  thick for water distributed uniformly with the above diameters. How do these result it explain visibility differences between fog and rain?

## Part II: Optical properties of a population of particles:

a. Assume a phytoplankton population with  $m=1.05+0.01i$  relative to water. Assume the particles size distribution to be a power law distribution with a 'differential' size distribution function  $f(D)=5 \cdot 10^4 D^{-4}$  particles per ml per

$\mu\text{m}$  for particles ranging from 0.2-100 $\mu\text{m}$ . Subdivide this range logarithmically into 35 size bins. Assume a wavelength of 440nm.

- Using the Mie code (**callbh\_variedsizes\_part2.m**) obtain  $a$ ,  $b$ ,  $c$ ,  $b_b$  the volume scattering function (VSF;  $\beta(\theta)$ ) and the phase function ( $\tilde{\beta}(\theta)$ ) for each size group.
- Add them up to get the IOPs of the population (use **PowerLaw\_population.m**).
- Compare the phase function for all sizes (plot them all on a semi-logarithmic plot).
- How do they compare to the shape of the total VSF?
- How does  $b_b/b$  changes as function of size?
- Which contributes more to the attenuation in each size group,  $a$  or  $b$ ? (plot them as function of  $D$ ).
- How do the optical characteristics change if  $f(D) \propto D^{-3}$ ?
- How does your answer change if the range is 1-100  $\mu\text{m}$  (i.e. what does the range 0.2-1 $\mu\text{m}$  contribute most to)?
- Do the results change significantly when we used the finer spaced size bins (i.e. 100 versus 35 bins)?

### Part III: Inverse calculations

1. Using a phytoplankton absorption curve (obtained with an ac-s) for *T. Pseudonana* we find  $a(676)=0.14\text{m}^{-1}$ . Assuming all cells had the same size (4 $\mu\text{m}$ ) find  $n'(\lambda)$ , for  $\lambda=676\text{nm}$ . Assume  $N=5*10^4\text{cells/ml}$ 
  - Use  $a(\lambda)=Q_a(\lambda)\pi D^2/4*N$  and the **AD.m** code. Notice: in the anomalous diffraction approximation,  $Q_a$  is *not* a function of  $n$ , and thus the assumed  $n$  value will not affect  $Q_a$ .
  - For the same cells it was found that  $c(676)=0.8\text{m}^{-1}$ . Using **AD.m** vary  $n$  between 1.02 and 1.2 and find which is most consistent with this observation. Notice: there may be more than one solution. Choose the one that seems most reasonable.
2. A given population of inorganic particles ( $n(660)=1.15$ ,  $n'(660)=0.001$ ) is distributed according to a power-law with  $\xi=3.5$  between 2 and 30 $\mu\text{m}$ . If  $c(660)=3\text{m}^{-1}$ , what is the amplitude of the PSD (in # per ml per  $\mu\text{m}$ ) at 2  $\mu\text{m}$ ? Use **AD\_population.m**.

Note: similar inverse approach can yield population size exponent or size range for a population with given  $n$  and  $n'$  and with the full Mie code.

**Appendix:** A brief survey of some analytical solutions for Mie theory (based mostly on *Light Scattering by Small Particles* by Van de Hulst):

Definitions:

$\lambda$ -wavelength in medium (=wavelength in vacuum/index of refraction of

D- Diameter (same units as  $\lambda$ )

$x \equiv \pi D / \lambda$ -size parameter medium relative to vacuum)

$m = n + in'$ -index of refraction relative to medium

$\rho \equiv 2x(n-1)$ -phase lag suffered by ray crossing the sphere along its diameter

$\rho' \equiv 4xn'$ -optical thickness corresponding to absorption along the diameter

$\beta \equiv \tan^{-1}(n'/(n-1))$

Rayleigh regime:  $x \ll 1$  and  $|m|x \ll 1$

$$Q_a = 4x \operatorname{Im} \left\{ \frac{(m^2-1)}{(m^2+2)} \right\}$$

note: proportional to  $\lambda^{-1}$

$$Q_b = \frac{8}{3} x^4 \left| \frac{(m^2-1)}{(m^2+2)} \right|^2$$

note: proportional to  $\lambda^{-4}$

$$Q_c = Q_a + Q_b$$

$$Q_{bb} = Q_b/2$$

Phase function:  $\langle \beta \rangle = 0.75(1 + \cos^2 \theta)$

Rayleigh-Gans regime:  $|m-1| \ll 1$  and  $\rho \ll 1$

$$Q_a = \frac{8}{3} x \operatorname{Im} \{ (m-1) \}$$

note: proportional to  $\lambda^{-1}$

$$Q_b = |m^2-1| \left[ \frac{2.5+2x^2-\sin(4x)}{4x} - \frac{7}{16} \frac{(1-\cos(4x))}{x^2} + \frac{1}{(2x^2-2)} \{ \gamma + \log(4x) - \operatorname{Ci}(4x) \} \right], \text{ where } \gamma = 0.577 \text{ and}$$

$$Q_c = Q_a + Q_b$$

$$C_i(x) = - \int_x^\infty \frac{\cos(u)}{u} du$$

$$\text{For } x \ll 1: Q_b = \frac{32}{27} x^4 |m-1|^2, Q_{bb} = Q_b/2$$

$$\text{For } x \gg 1: Q_b = 2 x^2 |m-1|^2, Q_{bb} = 0.31 |m-1|^2$$

Anomalous diffraction (VDH):  $x \gg 1, |m-1| \ll 1$  ( $\rho$  can be  $\gg 1$ )

$$Q_c = 2 - 4 \exp(-\rho \tan \beta) \left[ \frac{\cos(\beta) \sin(\rho - \beta)}{\rho} + \frac{(\cos \beta / \rho)^2 \cos(\rho - 2\beta)}{\rho} \right] + 4 \frac{(\cos \beta / \rho)^2 \cos 2\beta}{\rho}$$

$$Q_a = 1 + 2 \exp(-\rho') / \rho' + 2 (\exp(-\rho') - 1) / \rho'^2$$

$$Q_b = Q_c - Q_a$$

Geometric optic:  $x \gg \gg 1$

$$Q_c = 2$$

Absorbing particle:  $Q_b = 1, Q_a = 1$  (Non-absorbing particle:  $Q_b = 2, Q_a = 0$ )

$$\text{Angular scattering cross section: } \hat{\beta}_{diff}(\theta) = \frac{Gx^2}{16\pi} \left[ \frac{2J_1(x \sin \theta)}{x \sin \theta} \right]^2 (1 + \cos \theta)^2, \text{ where}$$

G is the cross sectional area ( $\pi D^2/4$ ).