

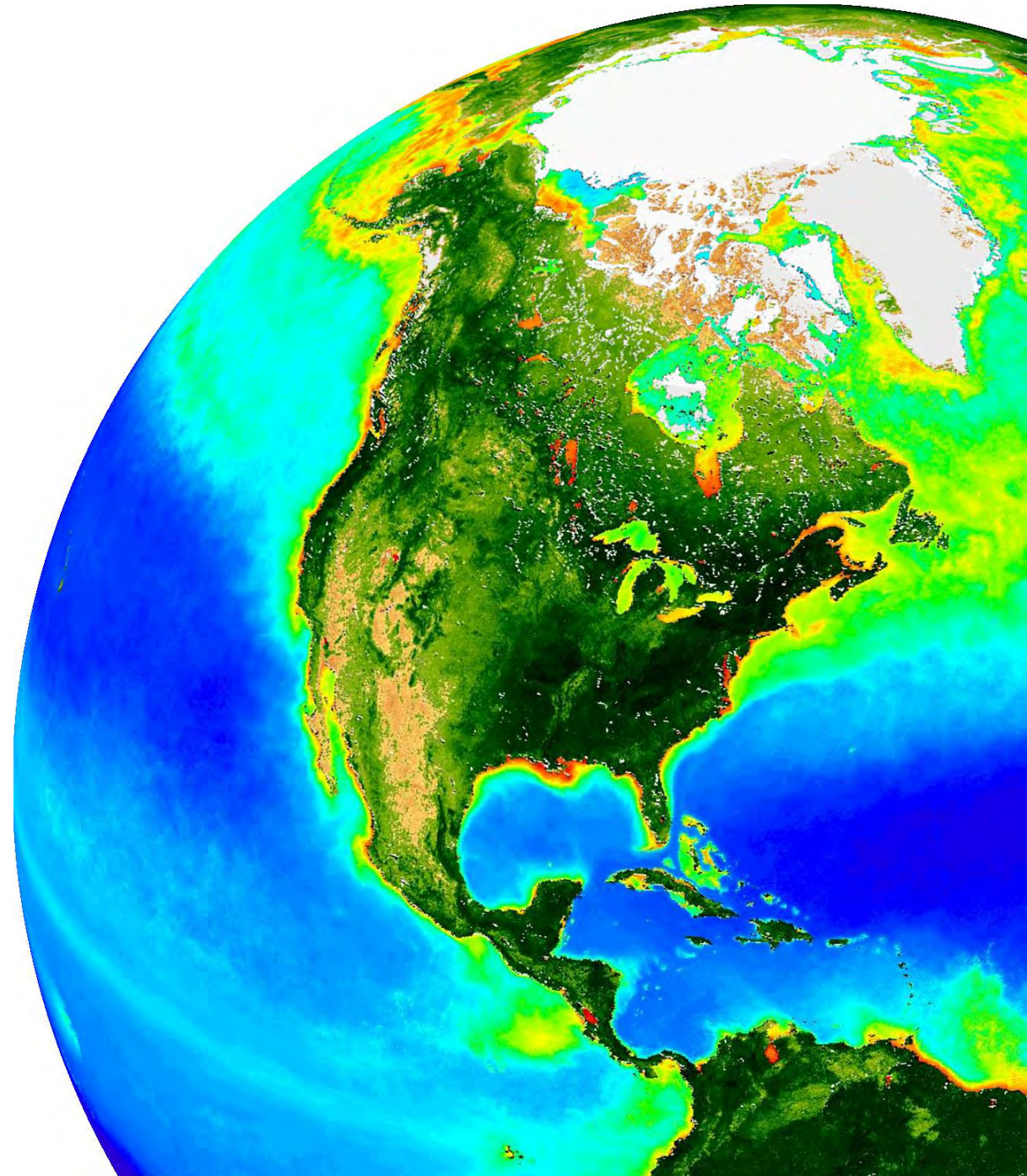
# Ocean color satellite atmospheric correction

**Jeremy Werdell**

NASA Goddard Space Flight Center  
(with some tweaks by Curtis Mobley)

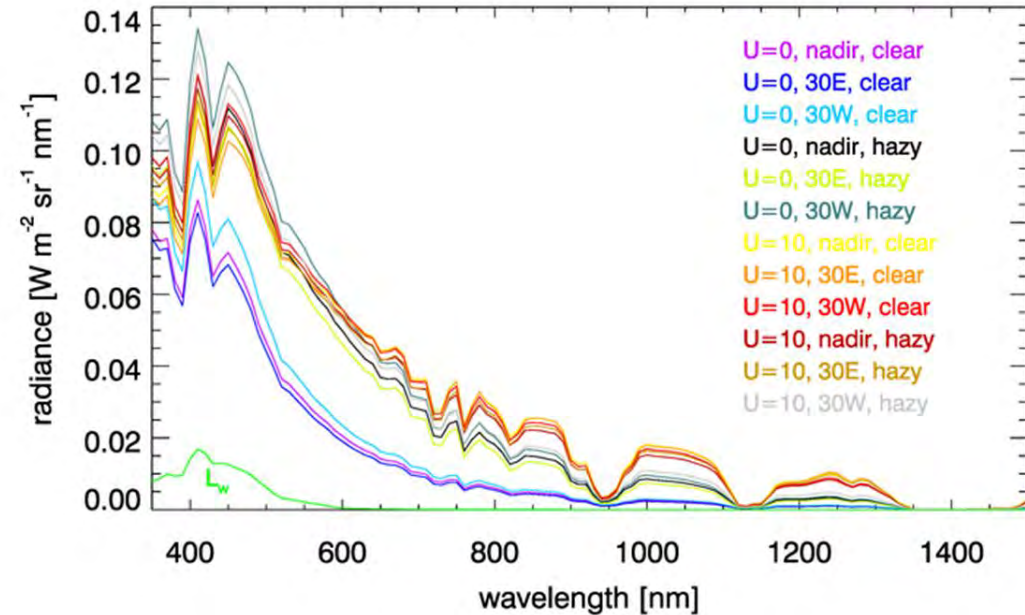
Acknowledgements: Zia Ahmad, Sean Bailey,  
Bryan Franz, Amir Ibrahim, and Fred Patt

2021 Ocean Optics Summer Course



# Today's Lecture

The previous lecture illustrated the atmospheric correction problem



Today's lecture shows one solution

- work through the steps of ocean color atmospheric correction as used by NASA/OBPG
- identify the places where ancillary (external) data are required
- identify the places where in situ data and bio-optical models are used

# For the details see

NASA/TM-2016-217551



## Atmospheric Correction for Satellite Ocean Color Radiometry

*Curtis D. Mobley*  
*Sequoia Scientific, Inc., Bellevue, WA*

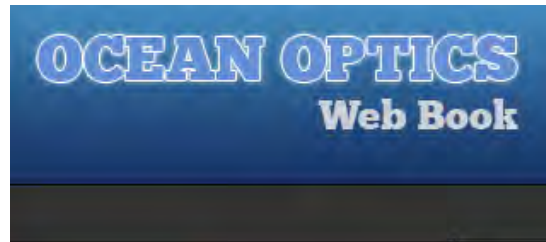
*Jeremy Werdell*  
*NASA's Goddard Space Flight Center, Greenbelt, MD*

*Bryan Franz*  
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*Sean Bailey*  
*NASA's Goddard Space Flight Center, Greenbelt, MD*

[https://oceancolor.gsfc.nasa.gov/docs/technical/  
NASA-TM-2016-217551.pdf](https://oceancolor.gsfc.nasa.gov/docs/technical/NASA-TM-2016-217551.pdf)



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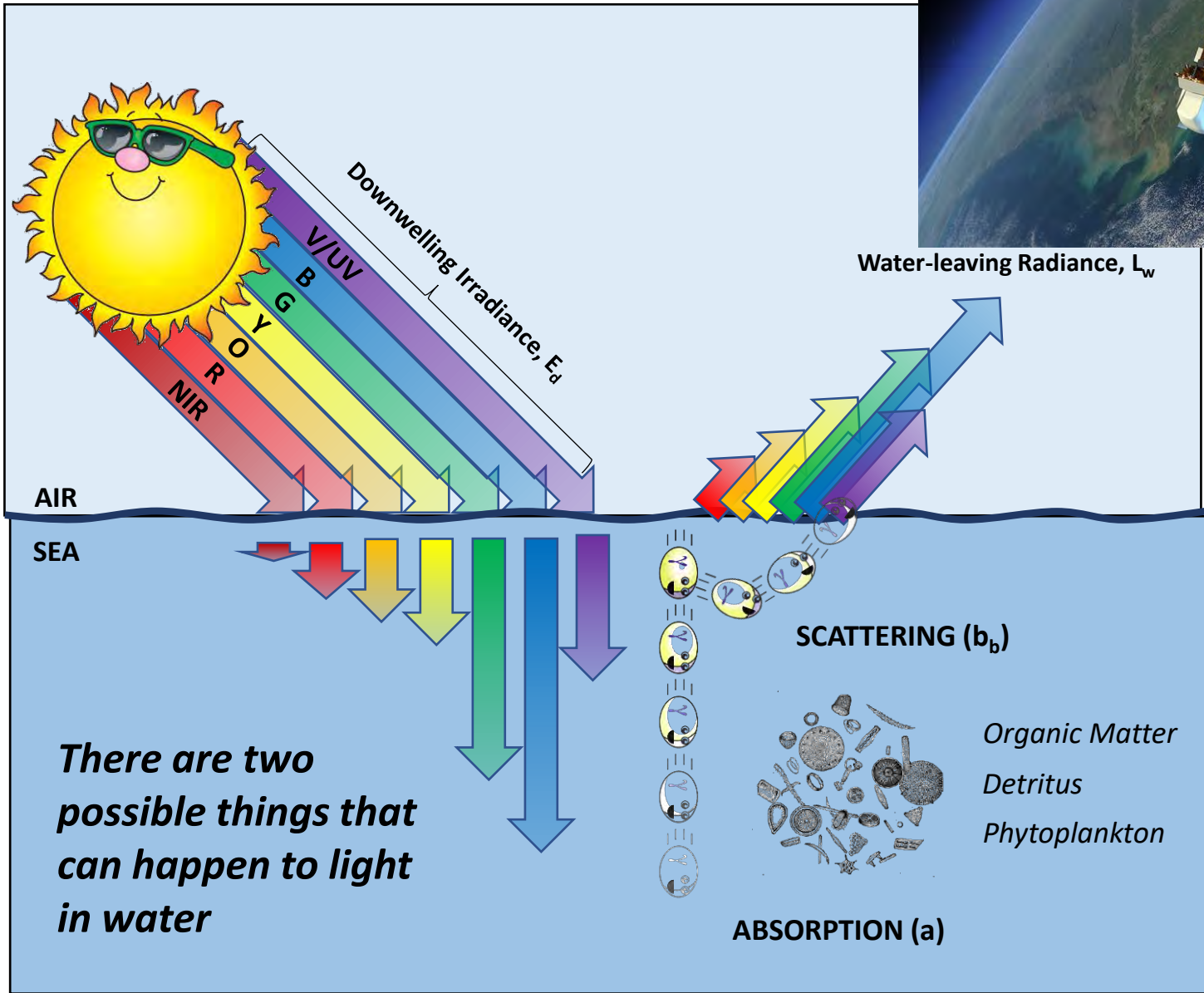
- Introduction
- Light and Radiometry
- Overview of Optical Oceanography
- Absorption
- Scattering
- Optical Constituents of the Ocean
- Radiative Transfer Theory
- Remote Sensing
- Atmospheric Correction
- Monte Carlo Simulation
- Surfaces
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Chapter 10 of *The Ocean Optics Book*

<http://www.oceanopticsbook.info>



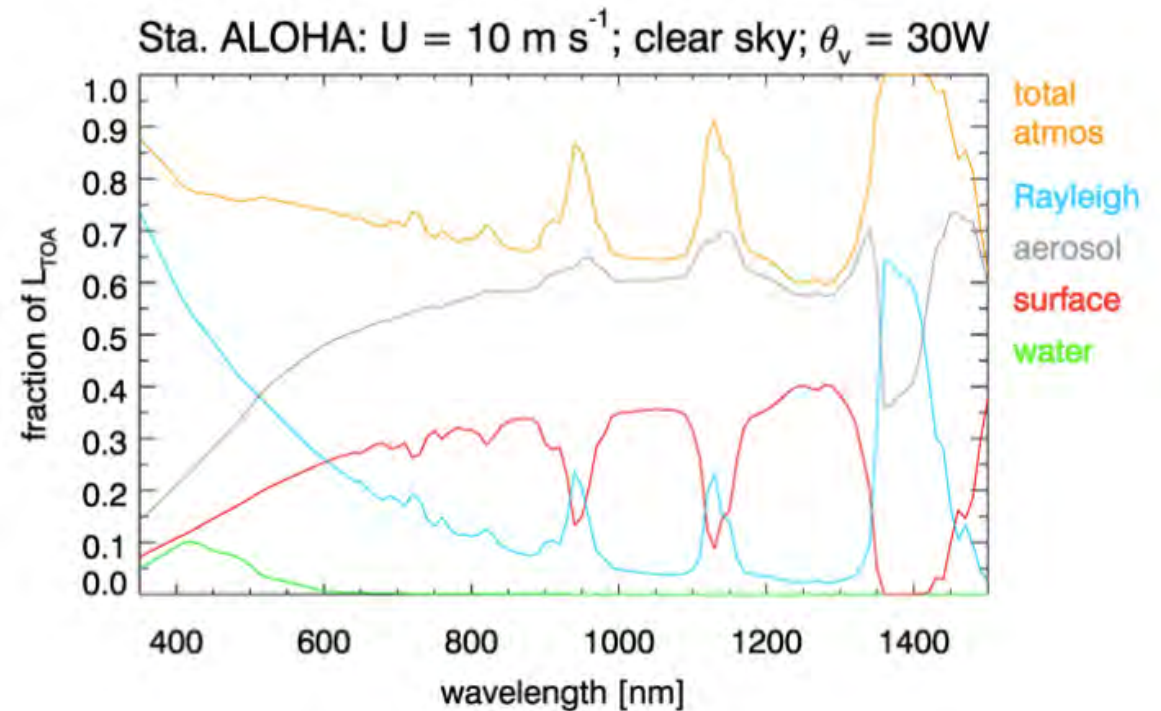
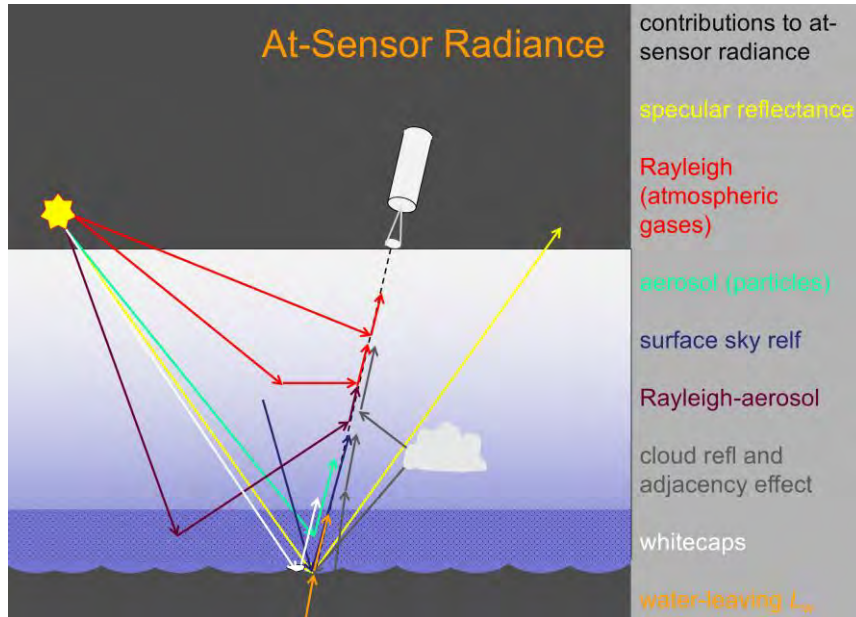
} Focus of this lecture

# satellite ocean color

ocean color satellites measure top-of-atmosphere radiances

$$(1) \quad L_t = L_R + [L_a + L_{aR}] + L_g + L_{sky} + L_f + L_w \leftarrow L_w \text{ is what we want}$$

where all radiances are defined at the top-of-atmosphere (TOA)



# Processing Constraints

MODIS and VIIRS have about 14 orbits/day

The distance between terminators is ~20,000 km. The MODIS +/-50 degrees of scan angle gives ~1220 pixels, or about 24.4 Mpixels/orbit. For VIIRS the useful scan angle range corresponds to ~2480 pixels, and the along-track resolution is 0.75 km, so about 66.1 Mpixels/orbit. If we limit processing to SZA < 75 degrees, then the numbers are 5/6 of the above, which is 20.3 Mpix for MODIS and 55.1 for VIIRS.

Currently have 2 MODIS and 2 VIIRS in orbit (coming soon, PACE and 3 more VIIRS), so 151 Mpixels/orbit, times 14 orbits/day = 2.1 Gigapixels/day to be processed. **Every pixel gets its own atmospheric correction.**

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If atmospheric correction takes 1 second/pixel, this is 67 YEARS to process 1 DAY of collected data!

**Atmospheric correction must be done in near real time. (One day to process one day's data.) This requires processing ~34,000 pixels/second** (or 0.00003 sec/pixel for one computer; if have 100 computers, then 0.003 sec/pixel)

This is a severe constraint on what atmospheric correction algorithms are used. You WANT the AC algorithms to be as accurate as possible, but they MUST BE computationally FAST

# satellite ocean color

Rayleigh
aerosols
aerosols+Ray
Sun glint
surface-reflected background radiance
white caps (foam)
water-leaving

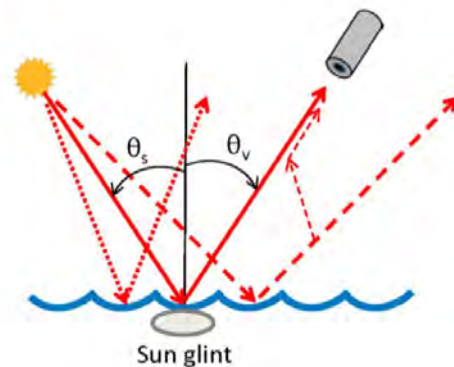
$$(1) \quad L_t = L_R + [L_a + L_{aR}] + L_g + L_{sky} + L_f + L_w$$

where all radiances are defined at the top-of-atmosphere (TOA)

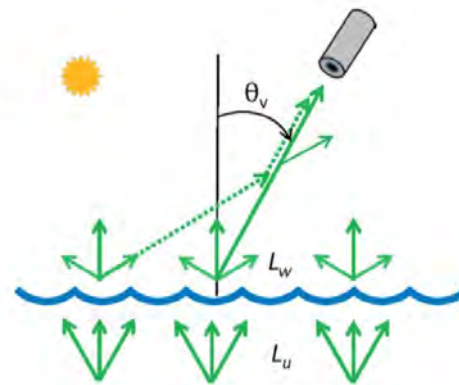
$$(2) \quad L_t = L_R + [L_a + L_{Ra}] + TL_g + tL_f + tL_w$$

where  $L_g$ ,  $L_f$ , and  $L_w$  are now defined at the sea surface, and  $L_{sky}$  is accounted for in Rayleigh correction.  $T$  and  $t$  are the direct and diffuse transmittance.

Direct: one particular path connects the source & observer



Diffuse: radiance from all locations & directions can be scattered into the direction of interest





# satellite ocean color

$$(1) \quad L_t = L_R + [L_a + L_{aR}] + L_g + L_{sky} + L_f + L_w$$

Rayleigh
aerosols
aerosols+Ray
Sun glint
surface-reflected background sky radiance
white caps (foam)
water-leaving

where all radiances are defined at the top-of-atmosphere (TOA)

$$(2) \quad L_t = L_R + [L_a + L_{Ra}] + TL_g + tL_f + tL_w$$

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factor out  
gaseous diffuse  
transmissions:

$$(3) \quad L_t = \left( L_R + [L_a + L_{Ra}] + t_{dv}L_{wc} + t_{dv}L_w \right) t_{gv}t_{gs}f_p + TL_g$$

$t_{gv}$  is the diffuse transmission by atmos. gases in the viewing direction  
 $t_{gs}$  is the diffuse transmission by atmos. gases in the Sun's direction  
 $t_{dv}$  is the diffuse transmission along viewing path of the sensor

This “factoring” is done for reasons of computational efficiency. Theoreticians often use (1) or (2); OBPg works with (3).

# Justification

Consider the Rayleigh term:  $L_R = L_r t_{gv} t_{gs} f_p$

The TOA Rayleigh contribution  $L_R$  depends on Sun and viewing geometry, absorbing and non-absorbing atmospheric gasses, sea-level pressure, and polarization. This is a serious RT calculation, including polarization.

The  $L_r$  term is a “standard” Rayleigh contribution computed using a standard atmosphere and only non-absorbing gases  $N_2$  and  $O_2$ , for various Sun & viewing geometries. This can be computed once and placed in a look-up table.

The gaseous transmittances are computed by use of gas absorption coefficients, computed path lengths, and gas concentrations for the various absorbing gases. Compute once and put in a LUT.

The  $f_p$  term is a polarization correction, which depends on atmosphere and surface polarization states (modeled Rayleigh and glint Stokes vectors) and the sensor-specific polarization sensitivity with viewing direction. Again, compute once for various inputs and make a LUT.

**$L_r$ , the diffuse transmittances, and  $f_p$  are pre-computed and stored in look-up tables** as functions of Sun and viewing geometry, gas concentrations, instrument polarization sensitivity, etc. **Evaluation of  $L_R$  then requires no real-time radiative transfer calculations.**

Ditto for the other terms in the  $L_t$  equation

# Keep in Mind What We Want: $R_{rs}$

ocean color satellites measure top-of-atmosphere radiances

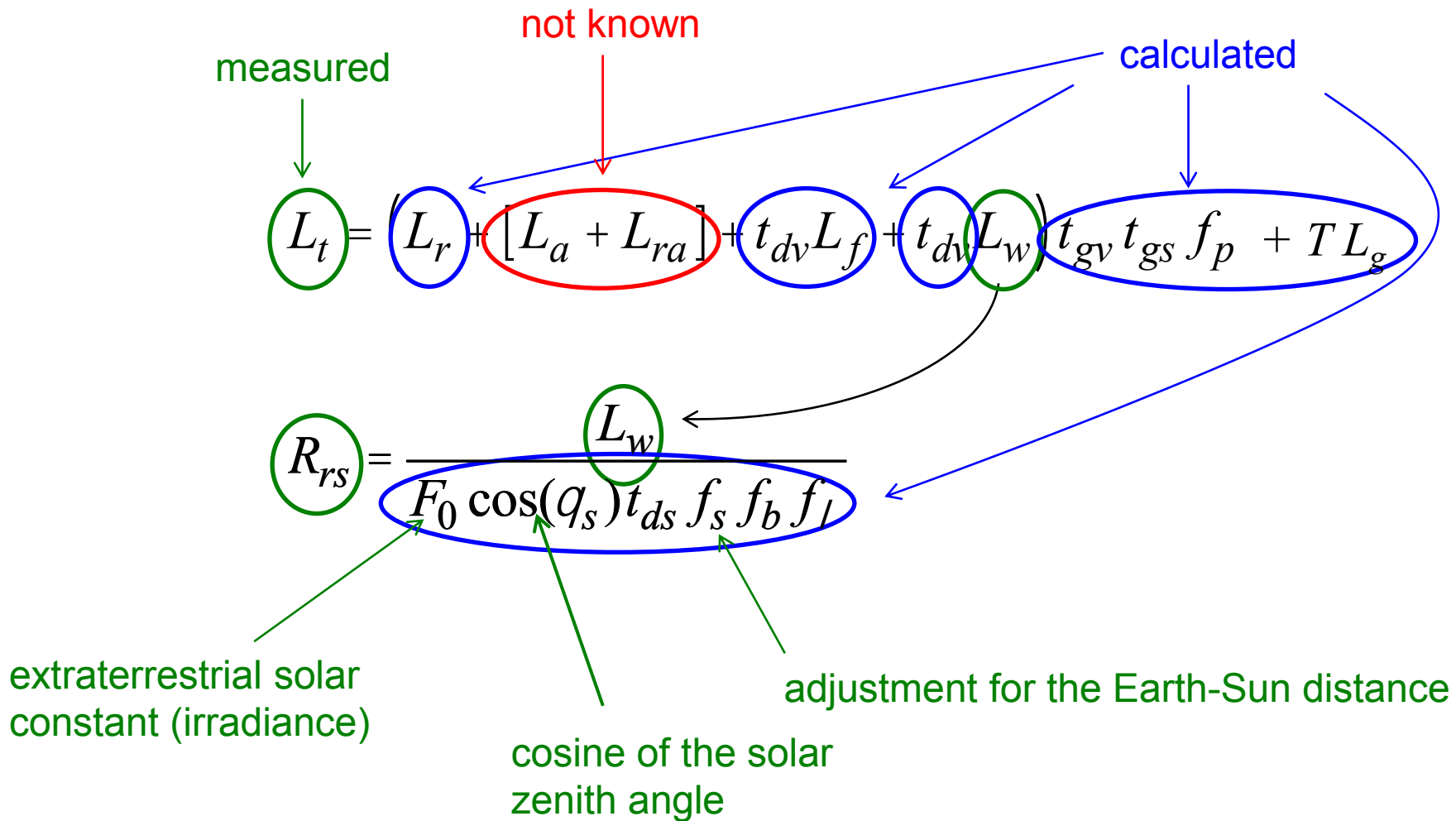
$$L_t = \left( L_r + [L_a + L_{ra}] + t_{dv} L_f + t_{dv} L_w \right) t_{gv} t_{gs} f_p + T L_g$$

$$R_{rs} = \frac{L_w}{F_0 \cos(q_s) t_{ds} f_s f_b f_l}$$

we desire (normalized)  
remote sensing reflectances

desired

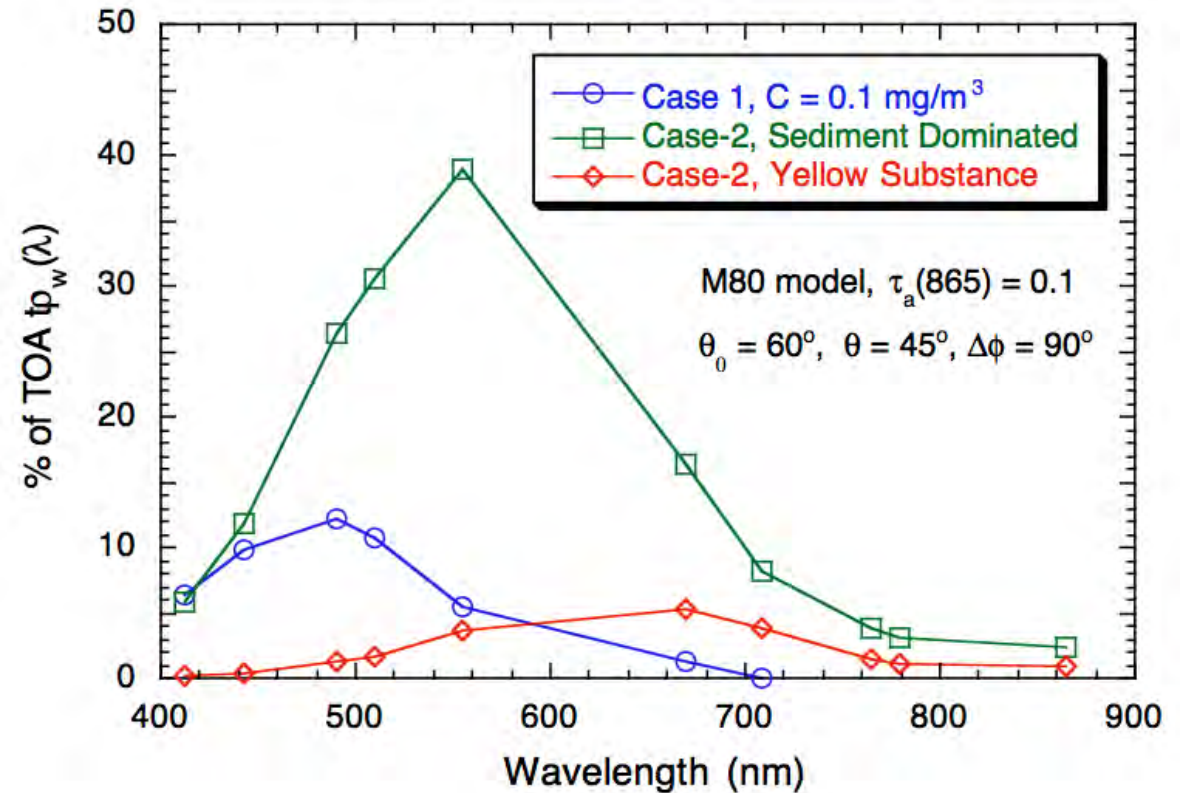
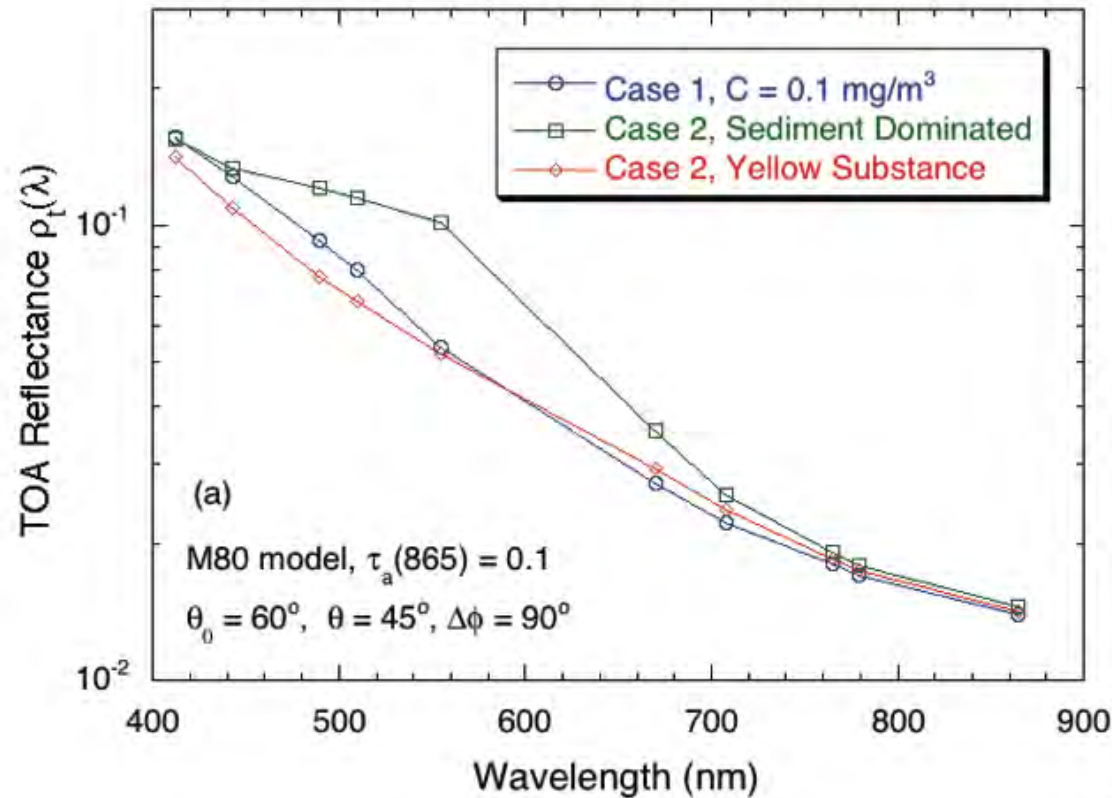
Now sequentially step through the meaning & evaluation of each term in these equations



# top-of-atmosphere radiance

$$L_t = \left( L_r + [L_a + L_{ra}] + t_{dv}L_f + t_{dv}L_w \right) t_{gv} t_{gs} f_p + T L_g$$

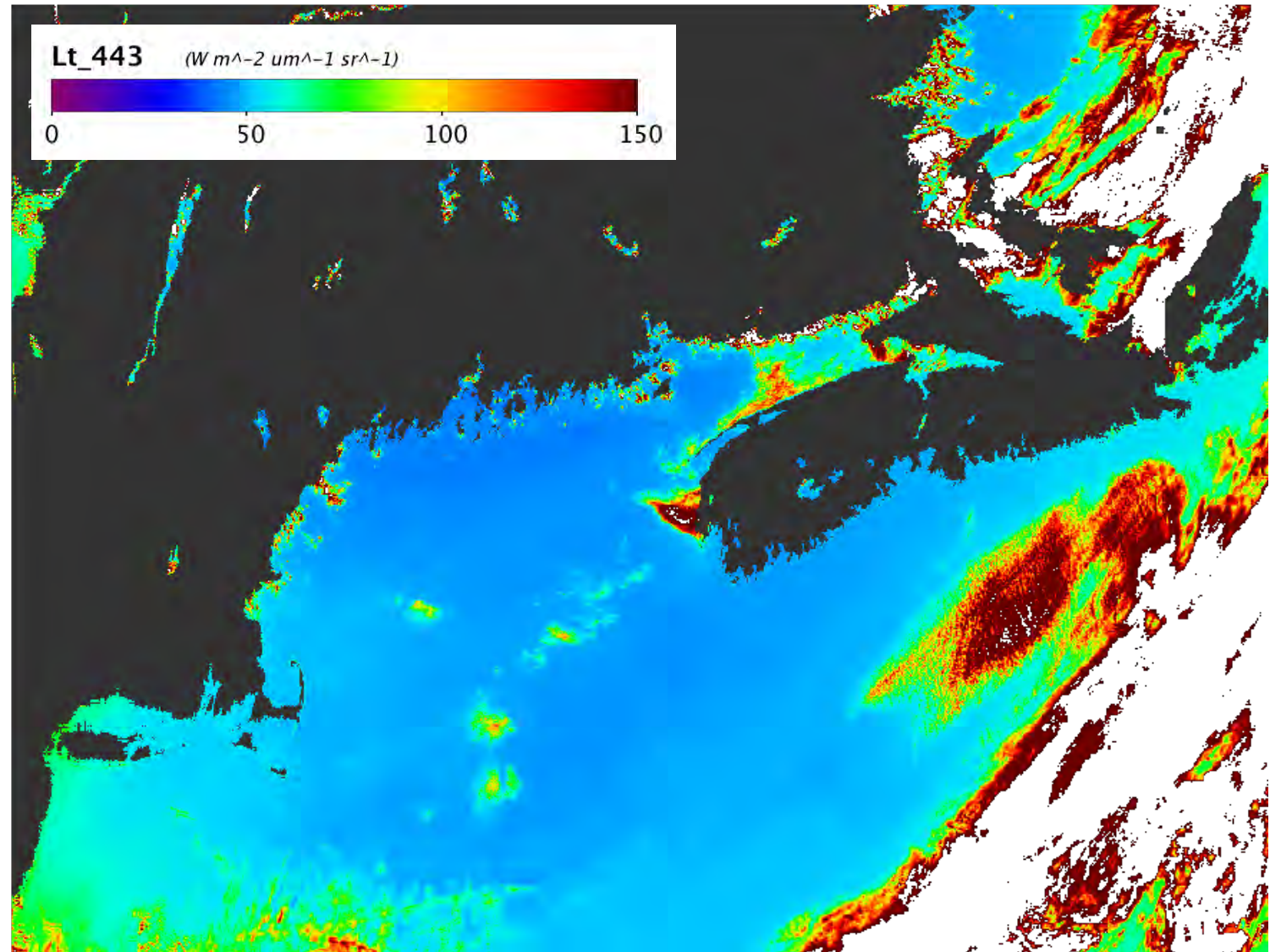
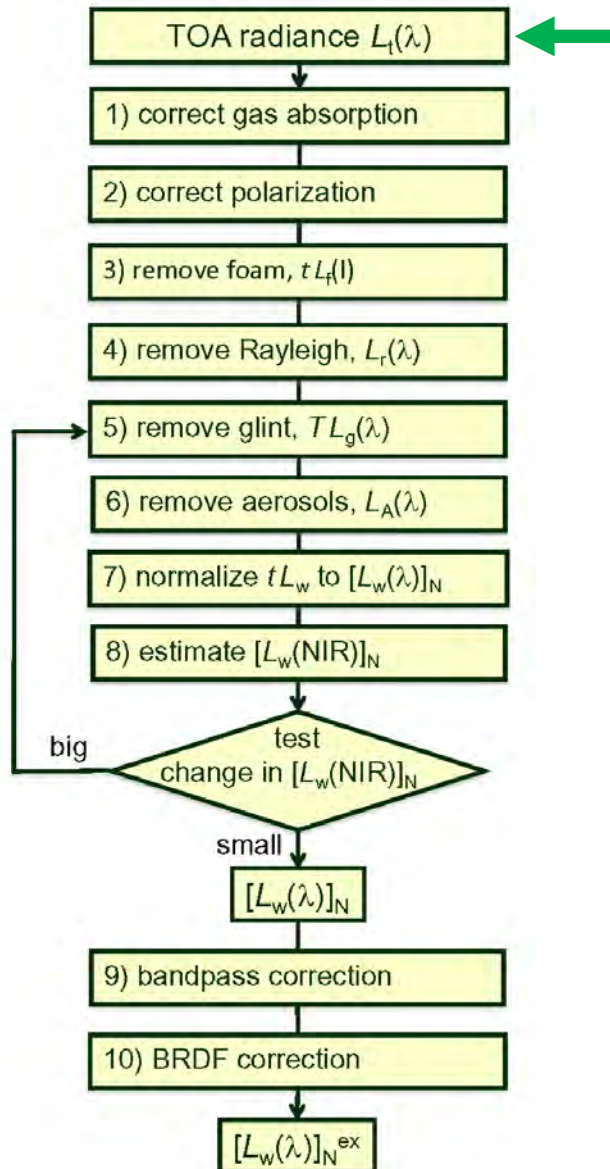
Menghua Wang, IOCCG Report 10



$L_w$  is often <10% of  $L_t$ !

0.5% error in atmospheric correction or calibration  $\rightarrow$  5% error in  $L_w$

# processing cadence: $L_t$



# transmittances

diffuse transmittance of gases (g)  
in direction of Sun (s) or satellite (v)

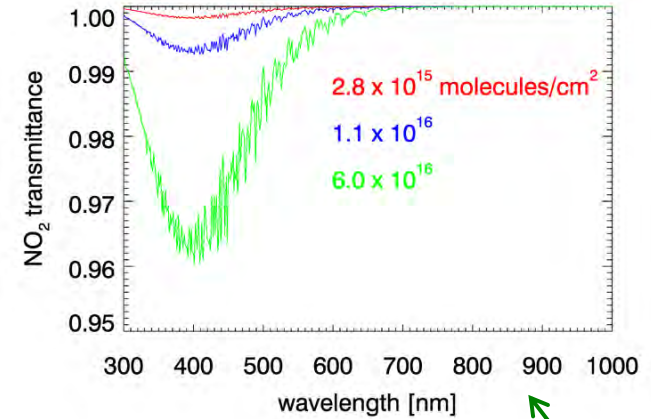
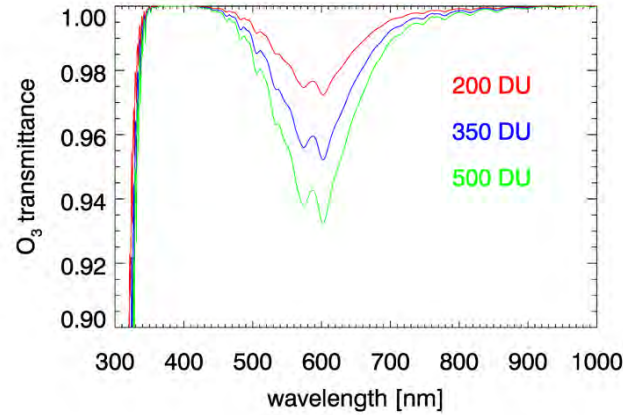
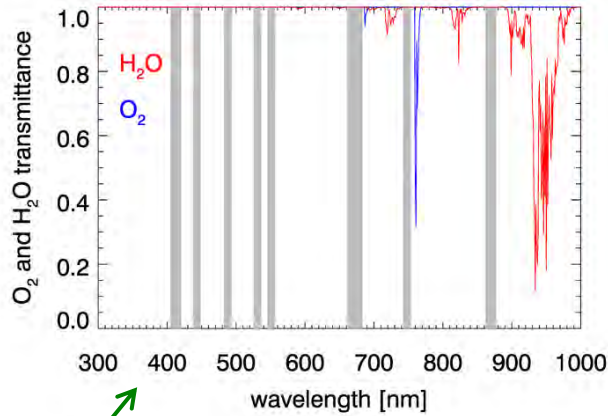
$$L_t = (L_r + [L_a + L_{ra}] + t_{dv} L_f + t_{dv} L_w) t_{gv} t_{gs} f_p + T L_g$$

$$R_{rs} = \frac{L_w}{F_0 \cos(q_s) t_{ds} f_s f_b f_l}$$

Rayleigh / aerosol diffuse  
transmittance (d) in direction  
of Sun (s) or satellite (v)

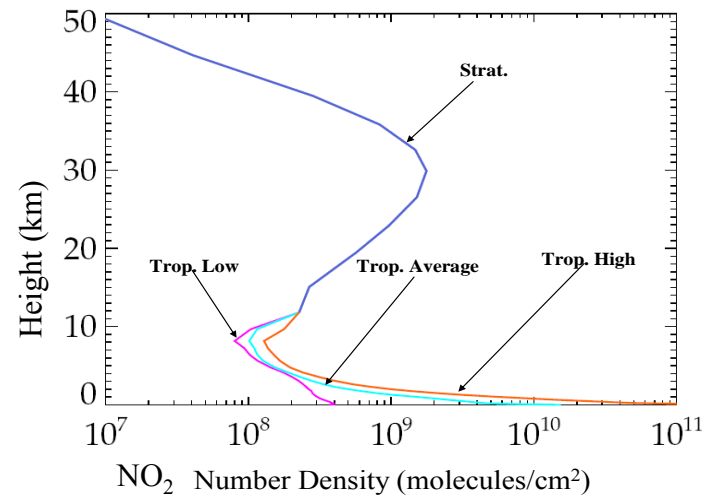
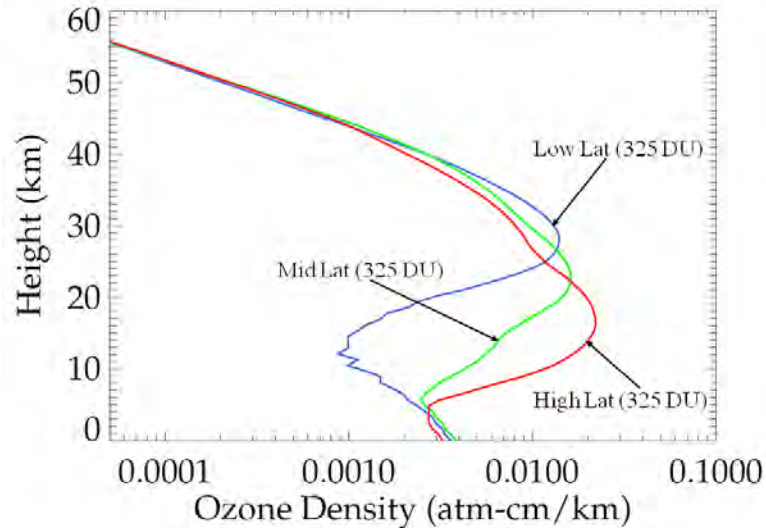
# gaseous transmittances

nitrogen dioxide, ozone, oxygen, & water vapor all attenuate sunlight



$O_3$  optically thin & high in atmosphere, but  $NO_2$  dense & near the surface

can avoid  $H_2O$  and  $O_2$  absorption by choice of band locations

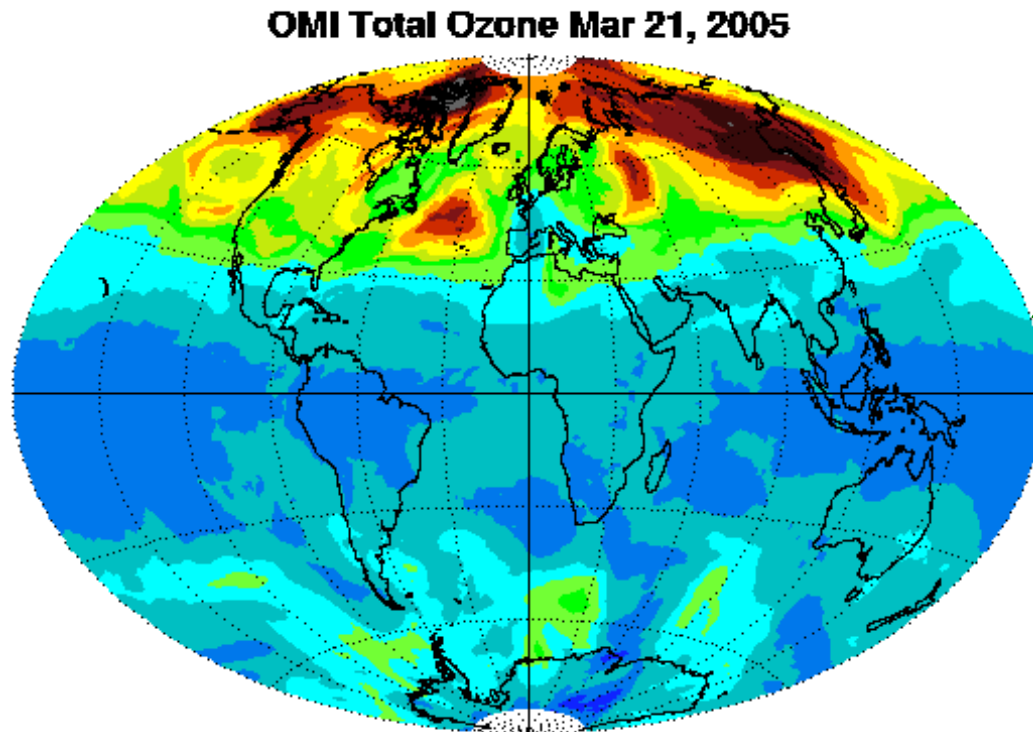


cannot avoid  $O_3$  and  $NO_2$  absorption because they are broadband



# calculating gaseous transmittance requires ancillary data

example using OMI (Ozone Monitoring Instrument on Aura satellite) ozone measurements



NIVR-FMI-NASA-KNMI



Dark Gray < 100 and > 500 DU

1 DU = 0.01 mm of O<sub>3</sub> at STP

GSFC



$$\tau_{O_3}(\lambda) = [O_3] k_{O_3}(\lambda) \text{ for nadir direction}$$

from LUT

$$t_{O_3} = \exp \left[ -\tau_{O_3} \left( \frac{1}{\cos \theta_s} + \frac{1}{\cos \theta_v} \right) \right]$$

most transmittances are calculated in this manner; see the documentation for the details

# all ancillary data are not created equal

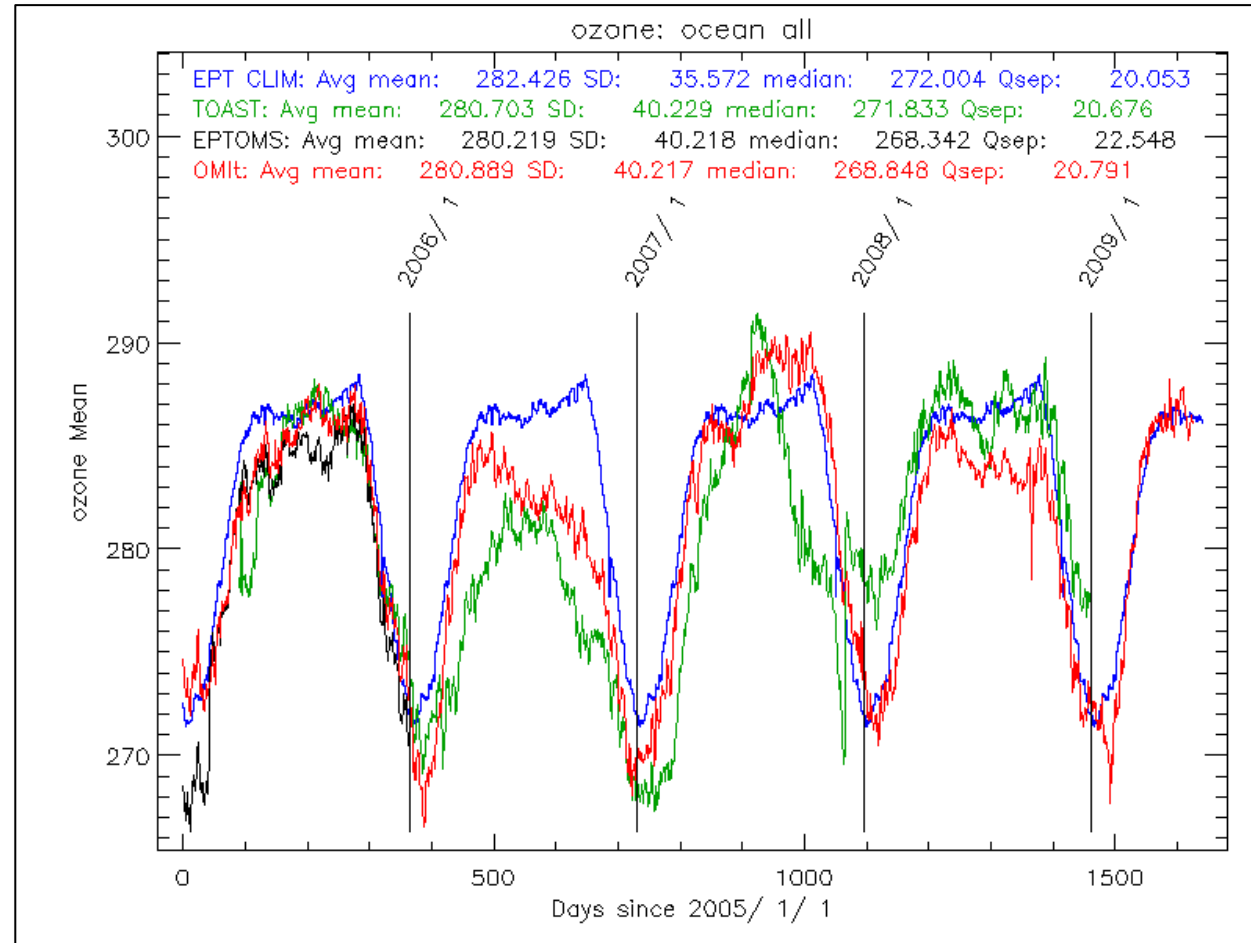
comparison of  
three ancillary  
sources of  $O_3$ :

TOAST

OMI

EPTOMS and  
climatology

small differences  
in ancillary data  
can lead to big  
differences in  
geophysical  
products



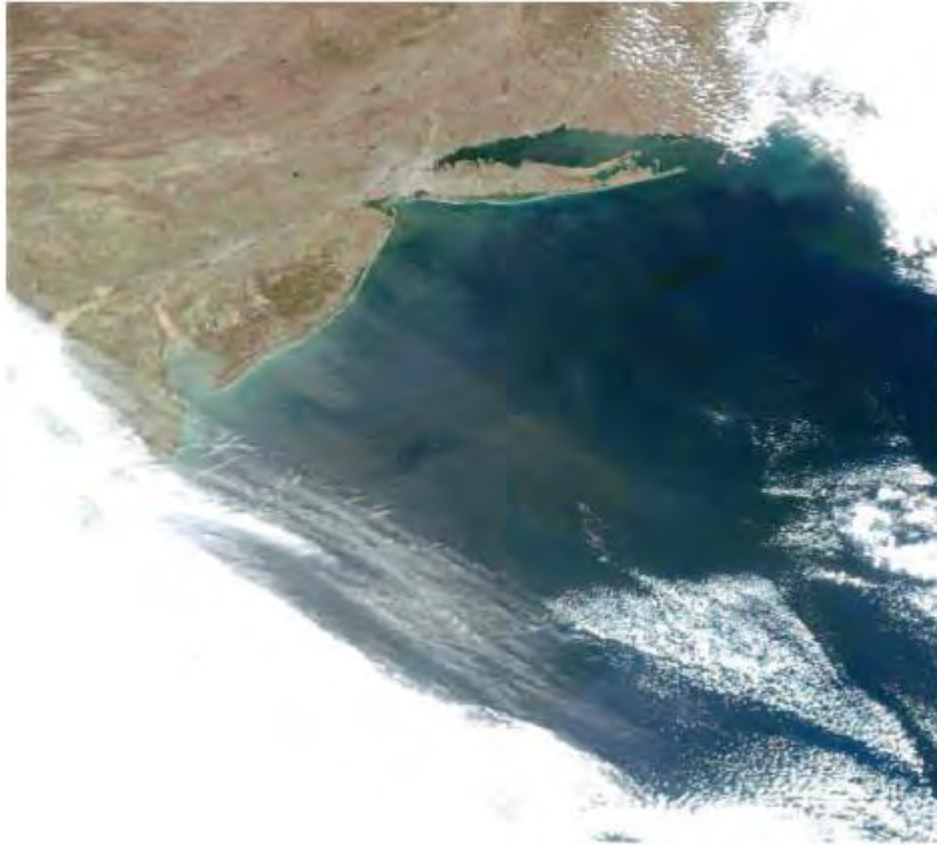
TOAST: Total Ozone from Analysis of Stratospheric and Tropospheric components

OMI: Ozone Mapping Instrument

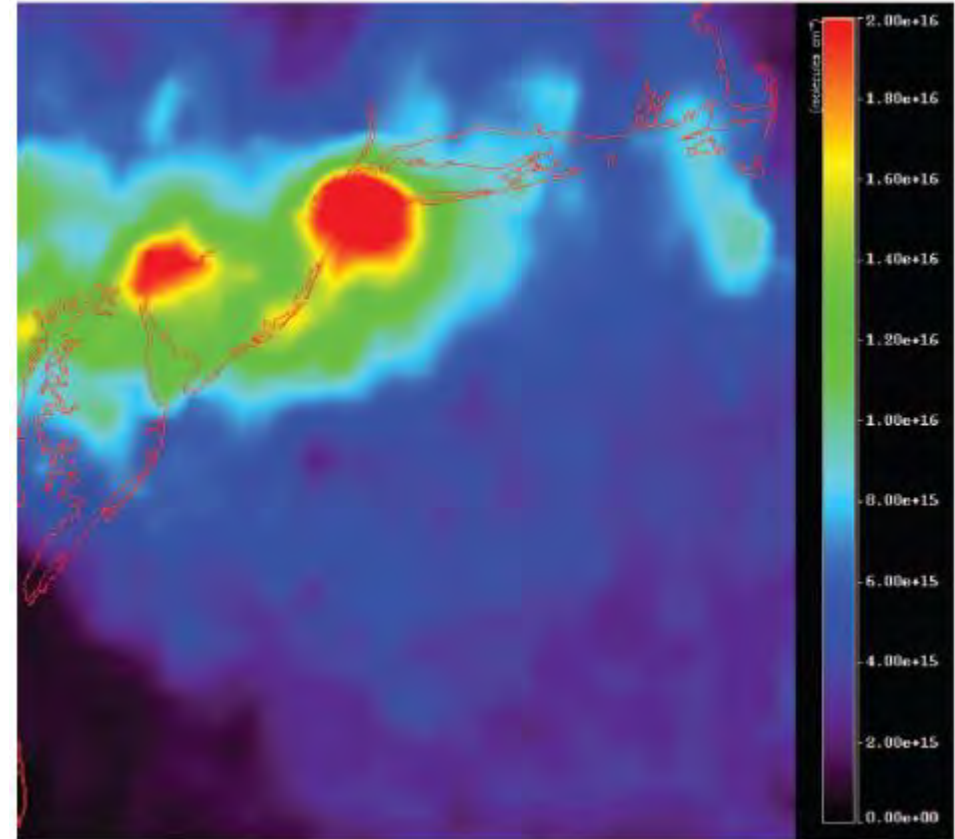
EPTOMS: Earth-Probe Total Ozone Monitoring Spectrometer

...and there are others

# No surprise: Lots of NO<sub>2</sub> near NY City and Philadelphia



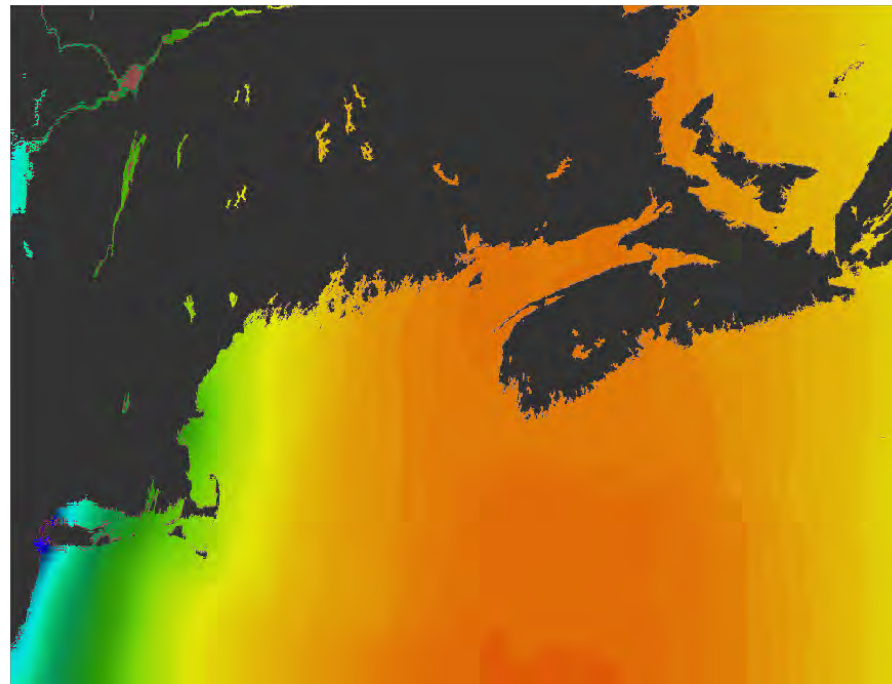
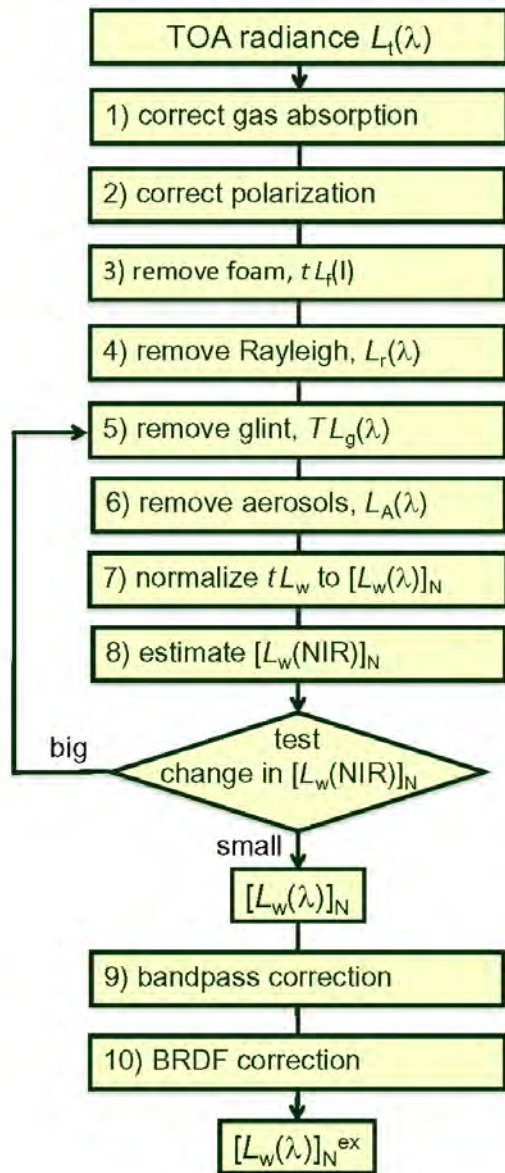
RGB image of a MODIS-Aqua scene from 11 April 2005



OMI tropospheric NO<sub>2</sub> amount on 11 April 2005

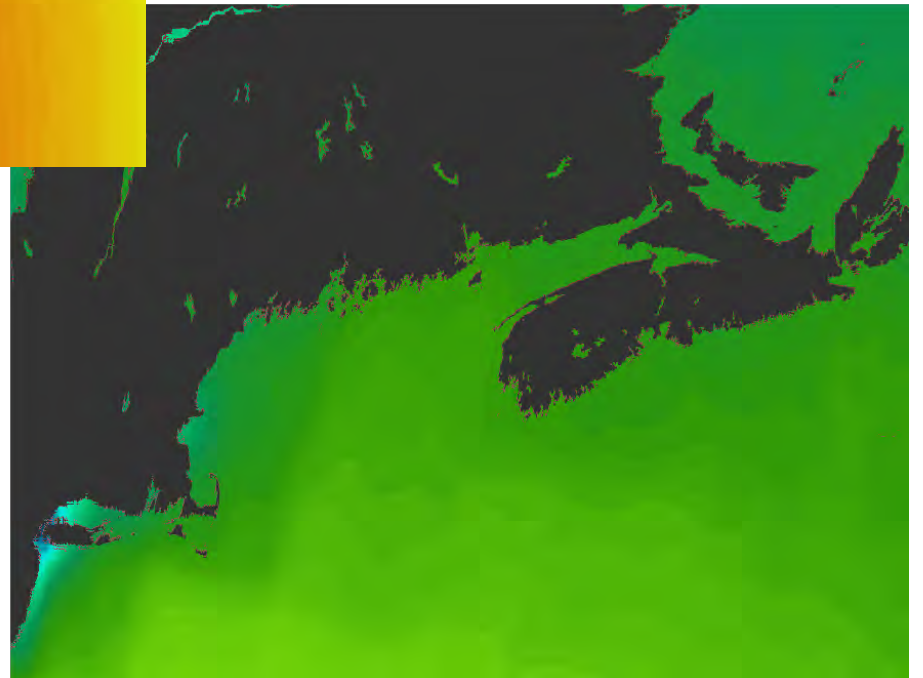
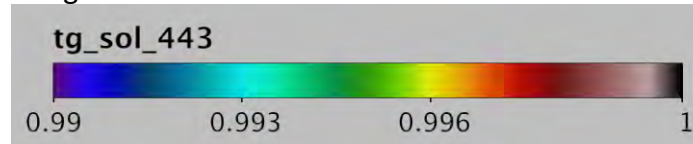
From Ahmad et al. (2007)

# processing cadence: $L_t / t_{gv} / t_{gs}$



$t_{gv}$  (in the sensor viewing direction at 443)

$t_{gs}$  (in the Sun's direction at 443)



# instrument polarization sensitivity

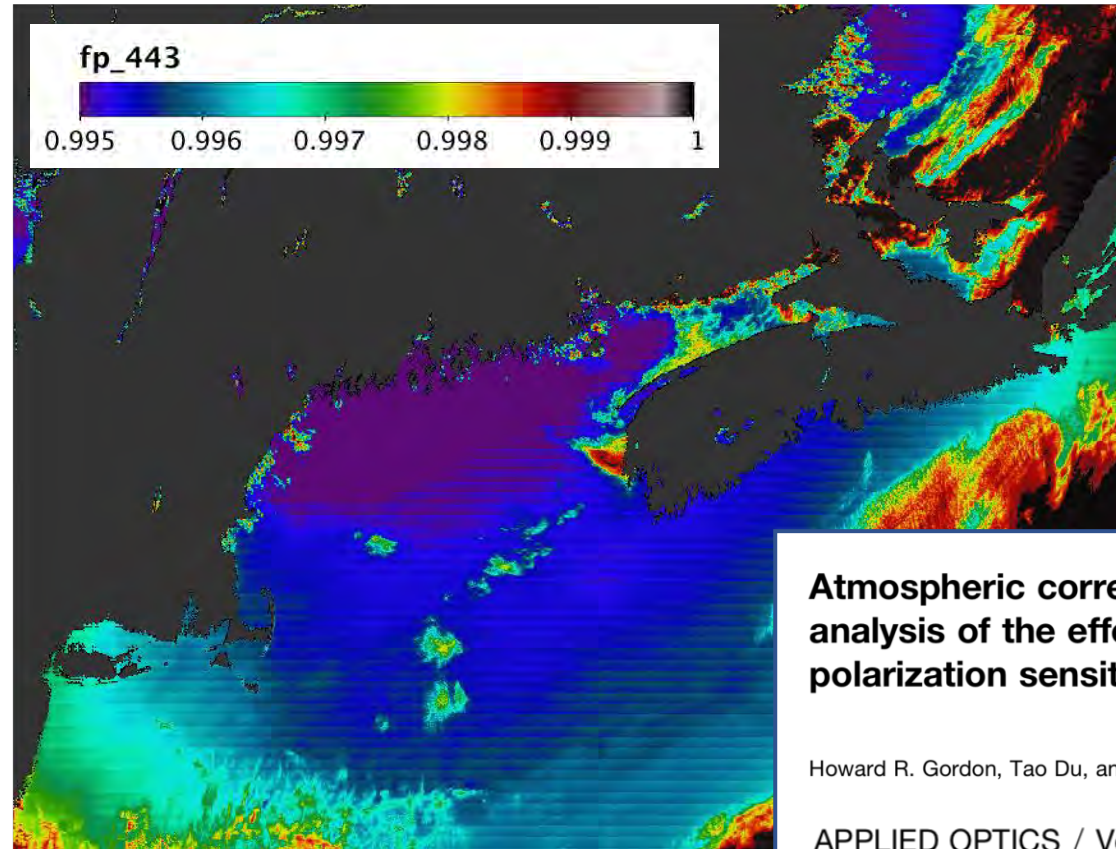
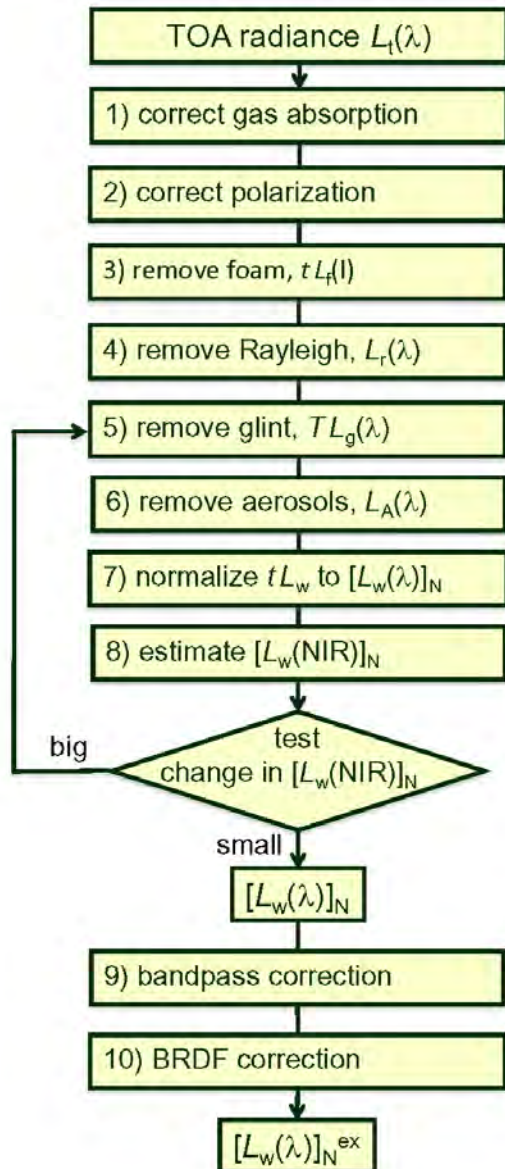
instrument polarization correction factor (pre-launch measurement)

$$L_t = \left( L_r + [L_a + L_{ra}] + t_{dv} L_f + t_{dv} L_w \right) t_{gv} t_{gs} f_p \leftarrow T L_g$$

$$R_{rs} = \frac{L_w}{F_0 \cos(q_s) t_{ds} f_s f_b f_l}$$

MODIS requires an additional correction

processing cadence:  $L_t / t_{gv} / t_{gs} / f_p$



some satellite instruments include depolarizers in their fore optics, which mitigates instrument polarization sensitivity

**Atmospheric correction of ocean color sensors: analysis of the effects of residual instrument polarization sensitivity**

Howard R. Gordon, Tao Du, and Tianming Zhang

APPLIED OPTICS / Vol. 36, No. 27 / 20 September 1997

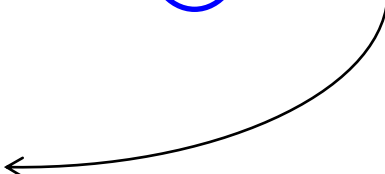
**Moderate-Resolution Imaging Spectroradiometer ocean color polarization correction**

Gerhard Meister, Ewa J. Kwiatkowska, Bryan A. Franz, Frederick S. Patt, Gene C. Feldman, and Charles R. McClain

APPLIED OPTICS / Vol. 44, No. 26 / 10 September 2005

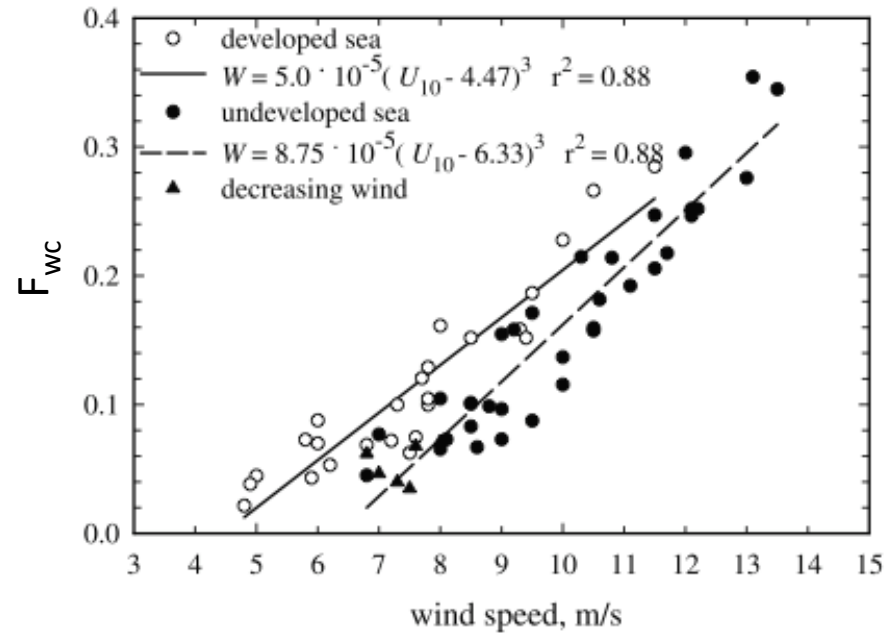
# foam & whitecaps

$$\checkmark L_t = \left( L_r + [L_a + L_{ra}] + \checkmark t_{dv} \checkmark L_f + \checkmark t_{dv} L_w \right) \checkmark t_{gv} \checkmark t_{gs} \checkmark f_p + T L_g$$

$$R_{rs} = \frac{L_w}{\checkmark F_0 \checkmark \cos(q_s) \checkmark t_{ds} \checkmark f_s \checkmark f_b \checkmark f_l}$$


# foam & whitecaps

Stramska & Petelski, JGR, 2003



$$\rho_f = \pi L_f = A F_{wc}$$

$A = 22\%$  (11-33%) from Koepke 1984  
+ a correction for decreasing reflectance in red & NIR

$$\rho_f(412) = \pi L_f(412) = 1.925 \times 10^{-5} (U_{10} - 6.33)^3$$

estimation of contribution of whitecaps & foam  
requires ancillary wind data (NCEP)

**ORIGINAL RESEARCH ARTICLE**

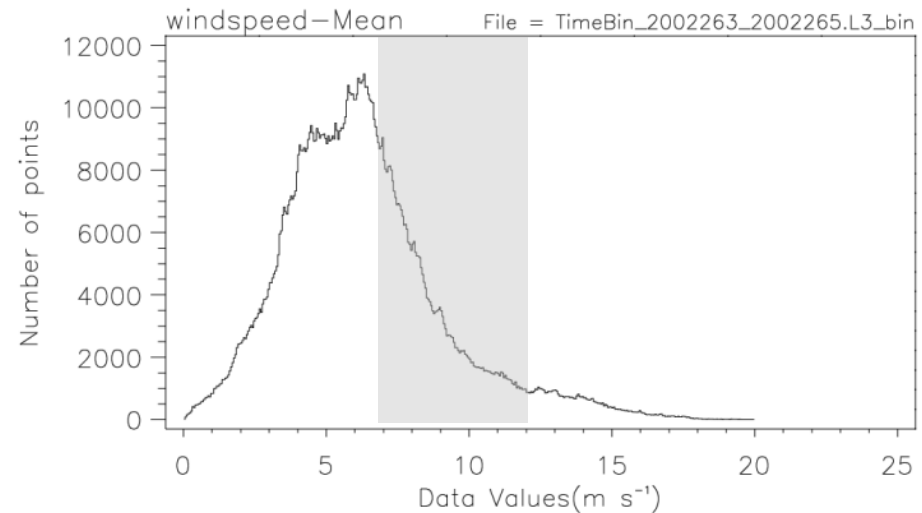
Front. Earth Sci., 26 February 2019 | <https://doi.org/10.3389/feart.2019.00014>



## Hyperspectral Measurements, Parameterizations, and Atmospheric Correction of Whitecaps and Foam From Visible to Shortwave Infrared for Ocean Color Remote Sensing

Heidi M. Dierssen\*

Department of Marine Sciences, University of Connecticut, Groton, CT, United States

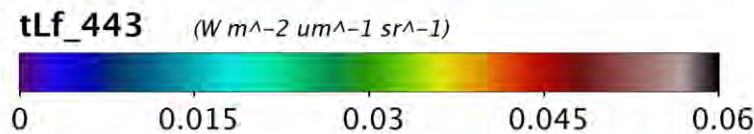
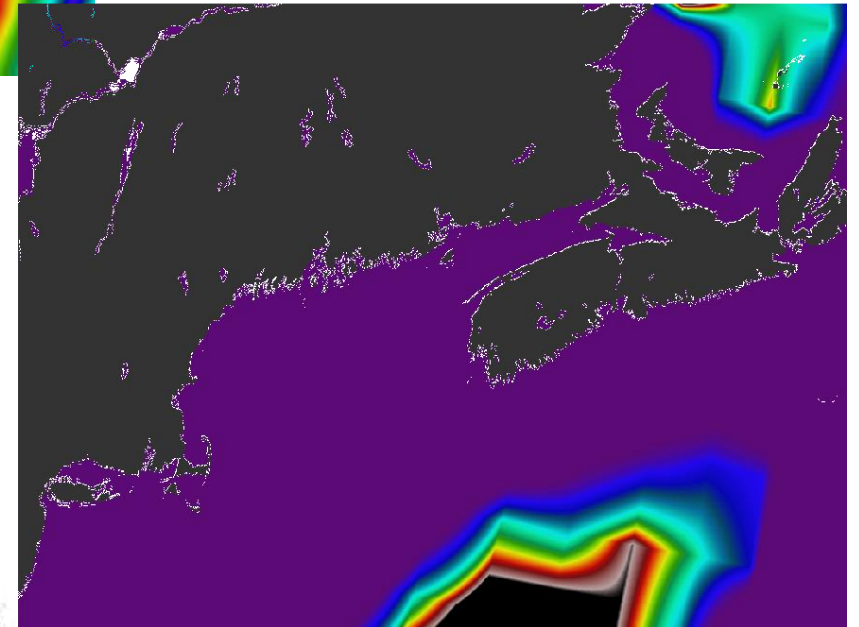
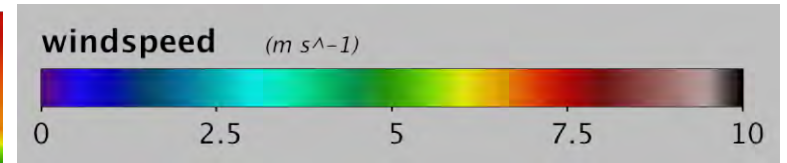
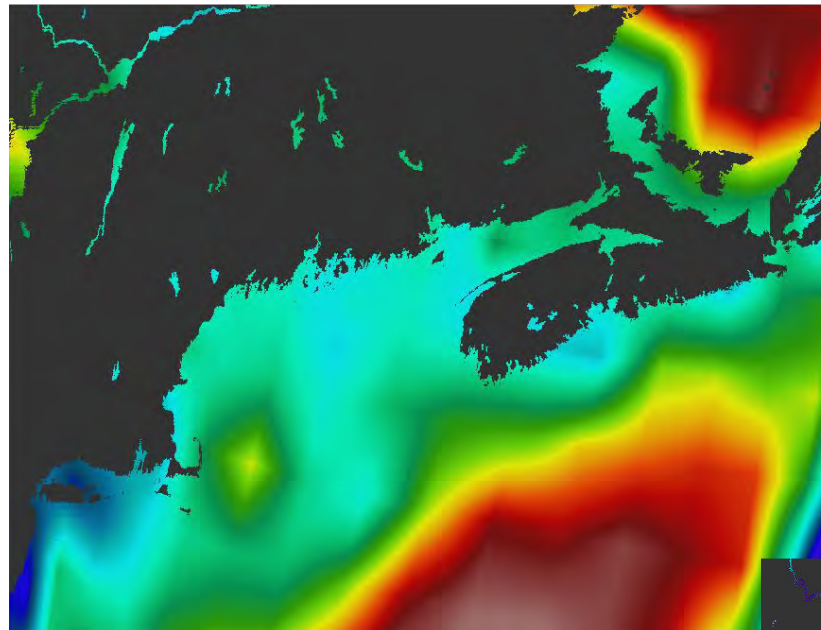
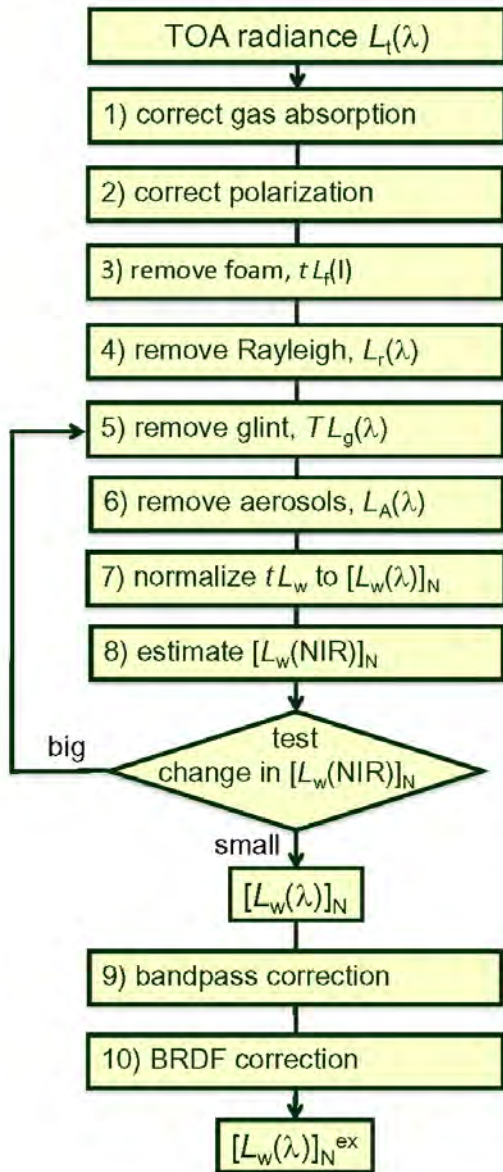


Min :	0.0155	Mean:	6.2878
Max :	19.9611	STD :	2.7837
Median :	5.9863	Mode :	6.3260

Binsize : 0.0500000, No. of bins : 400  
No. pts selected/No. pts in area : 1187244/8388608 (14.1531%)  
Area : Full data



processing cadence:  $L_t / t_{gv} / t_{gs} / f_p - tL_f$



# molecular (Rayleigh) scattering

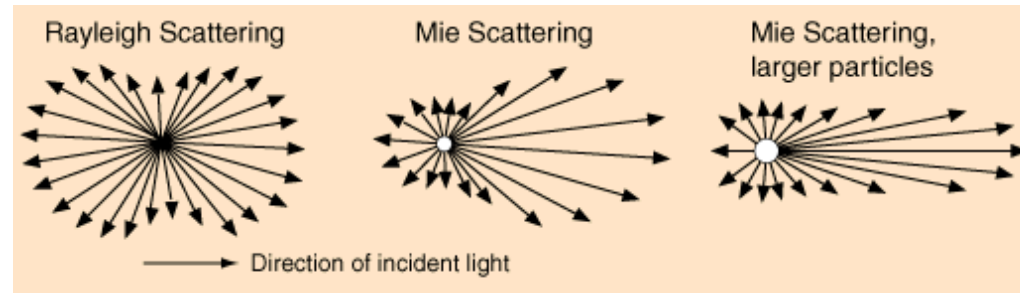
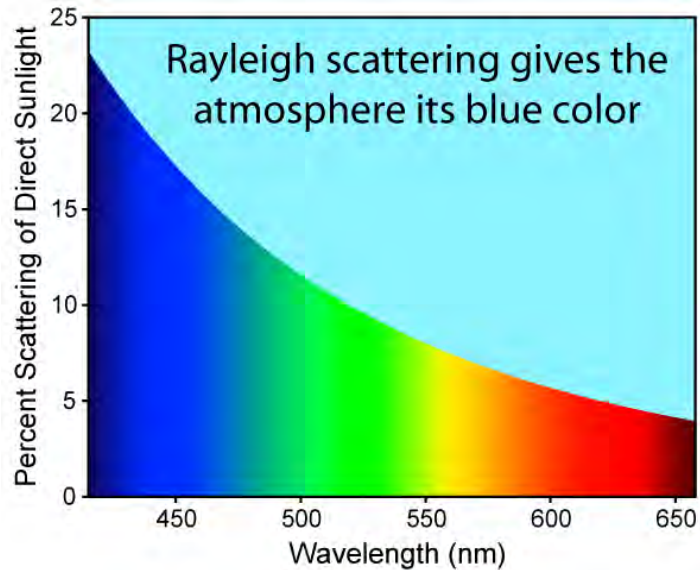
$$\checkmark L_t = (\checkmark L_r) + [L_a + L_{ra}] + \checkmark t_{dv} \checkmark L_f + \checkmark t_{dv} L_w) \checkmark t_{gv} \checkmark t_{gs} \checkmark f_p + T L_g$$

$$R_{rs} = \frac{L_w}{F_0 \cos(q_s) t_{ds} f_s f_b f_l}$$

$\checkmark$ 
 $\checkmark$ 
 $\checkmark$ 
 $\checkmark$

# molecular (Rayleigh) scattering

- elastic scattering of electromagnetic radiation by **particles much smaller than the wavelength of light** (atoms or molecules)
- Rayleigh scattering of sunlight in atmosphere causes diffuse sky radiation – why the sky is blue and the Sun is yellow



<http://hyperphysics.phy-astr.gsu.edu/hbase/atmos/blusky.html>

- scattering phase function is symmetrical – equal forward & backward

# Once more...

$$L_R = L_r t_{gv} t_{gs} f_p$$

- Rayleigh TOA contribution ( $L_R$ ) is factored into the product of a Rayleigh term ( $L_r$ ), diffuse transmittances, & a polarization correction factor
- develop & maintain one **look-up table** (LUT) for  $L_r$ , computed using a standard atmosphere & the non-absorbing gases  $N_2$  and  $O_2$  for various Sun & viewing geometries
- transmittances and  $f_p$  calculated separately
- **computational efficiency**: without doing this, the Rayleigh LUT would become a function of other gases affecting transmission (ozone,  $NO_2$ , & water vapor), making it too large for efficient operational use

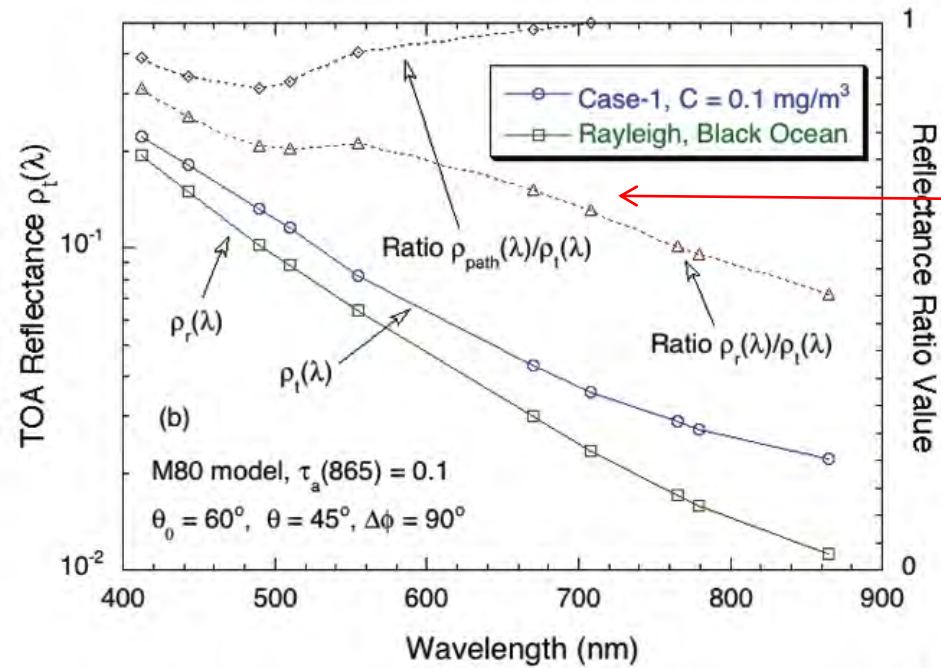
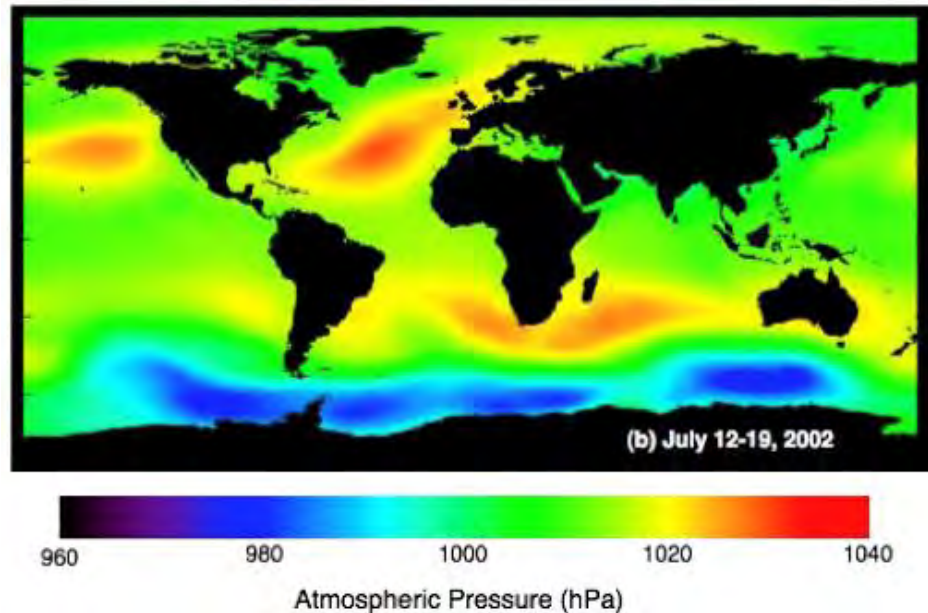
# molecular (Rayleigh) scattering

Rayleigh optical properties are calculable (to ~0.2%) – made challenging by a rough, reflective ocean (versus a flat, black ocean)

Rayleigh radiances (with polarization) are retrieved from look up tables given:

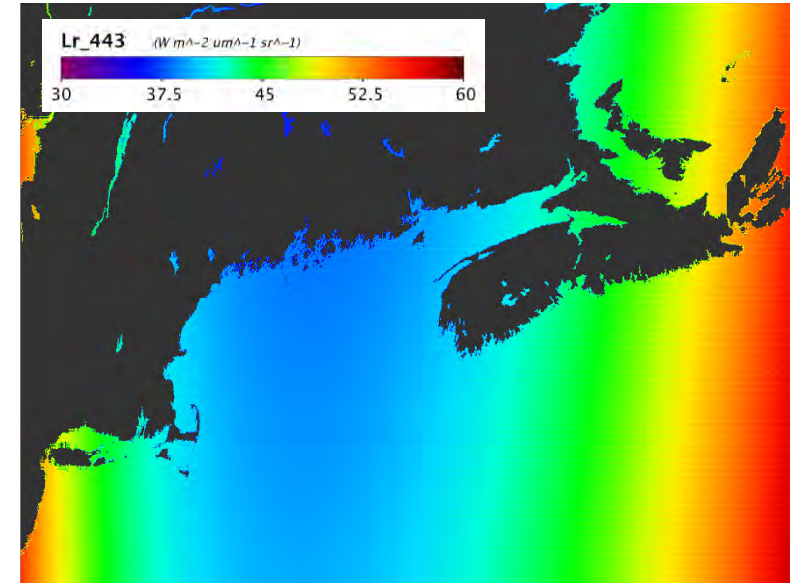
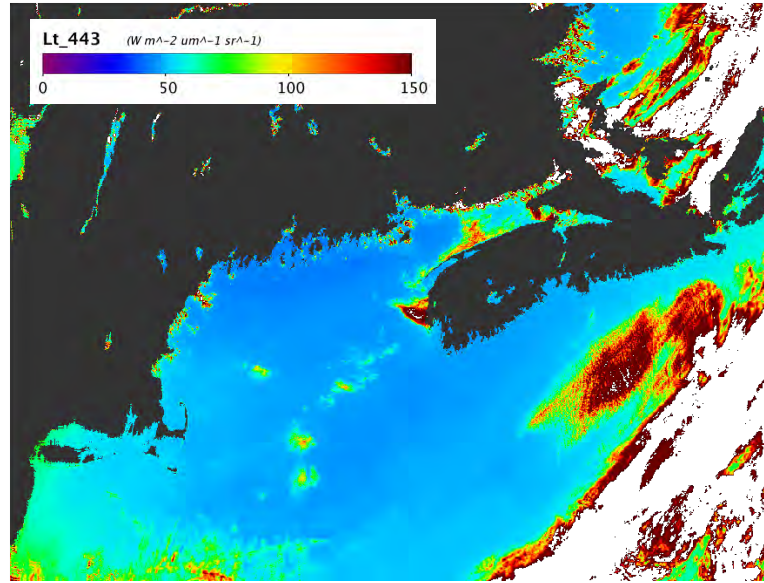
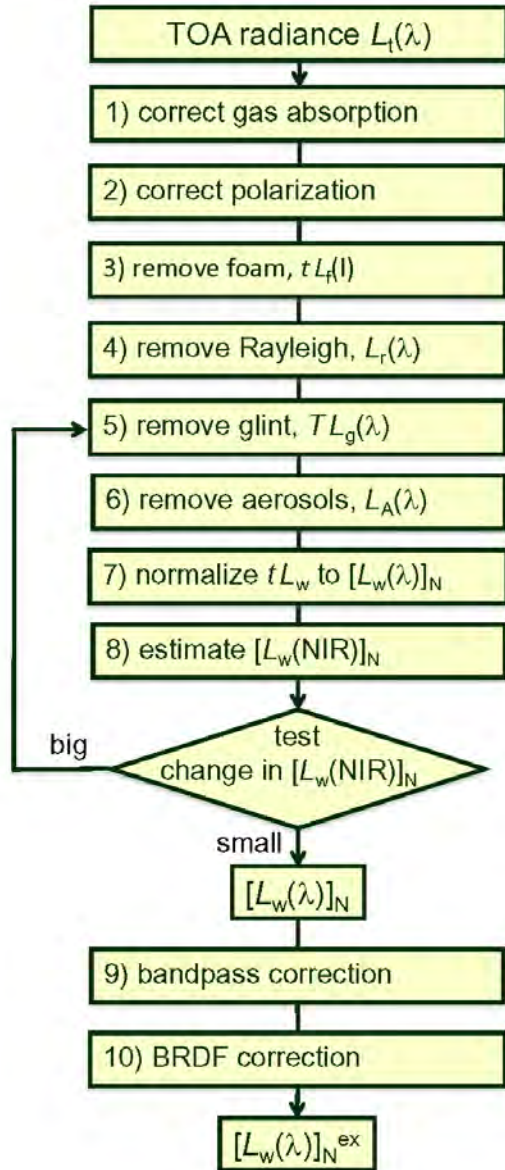
- solar & satellite viewing geometries
- wind speed (a proxy for surface roughness (influences  $L_{\text{sky}}$ ))
- atmospheric pressure ( $\propto$  # gas molecules, adjusts Rayleigh optical thickness:  $\tau_{\text{R}}(P, \lambda) = \frac{P}{P_0} \tau_{\text{Ro}}(P_0, \lambda)$ )

Menghua Wang, IOCCG Report 10

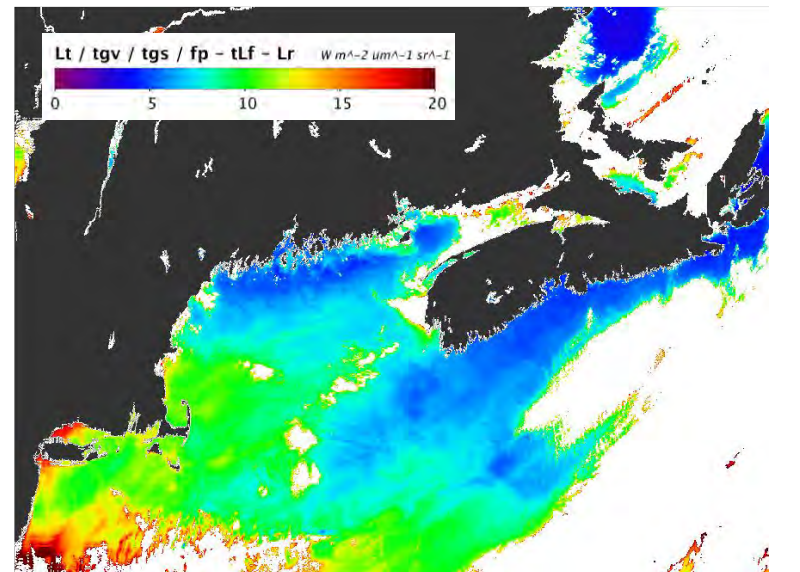
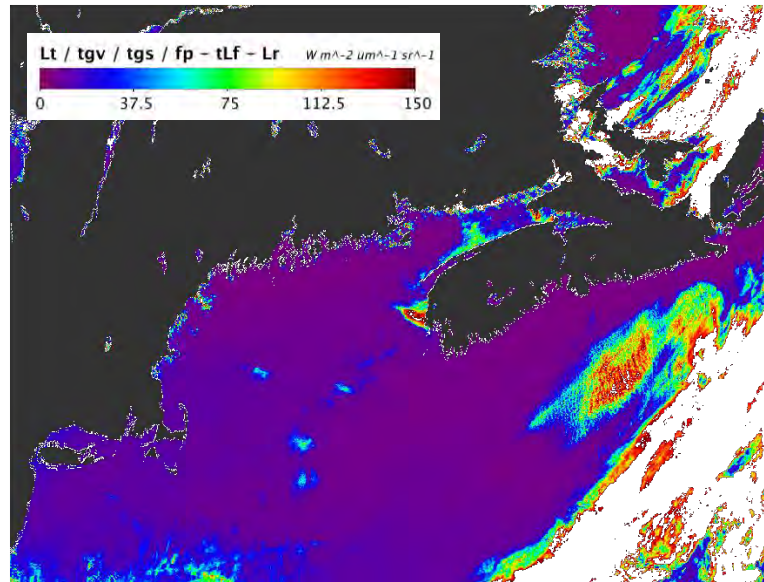


$L_r$  can be  
50-90% of  $L_t$

processing cadence:  $L_t / t_{gv} / t_{gs} / f_p - tL_f - L_r$



-



=

=

# Sun glint

$$\checkmark L_t = \left( \checkmark L_r + [L_a + L_{ra}] + \checkmark t_{dv} \checkmark L_f + \checkmark t_{dv} L_w \right) \checkmark t_{gv} \checkmark t_{gs} \checkmark f_p + \textcircled{TL_g}$$

$$R_{rs} = \frac{L_w}{F_0 \cos(q_s) t_{ds} f_s f_b f_l}$$

✓   ✓   ✓   ✓

we cannot see “ocean color” through Sun glint



Courtesy NASA Earth Observatory  
*Ground to Space: A Glittering Path of San Francisco Sunlint*

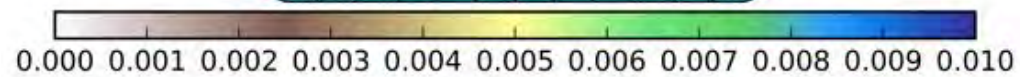
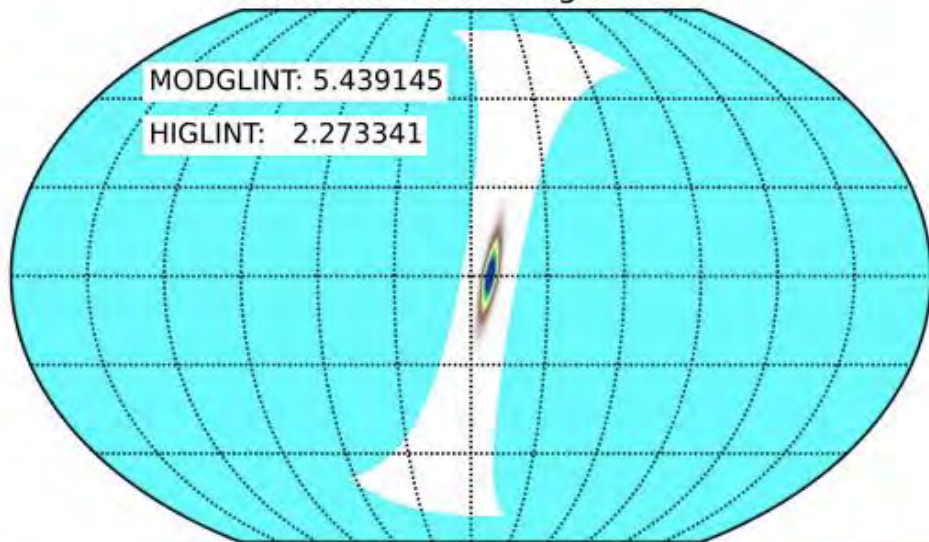
<https://earthobservatory.nasa.gov/blogs/earthmatters/2016/11/09/ground-to-space-a-glittering-path-of-san-francisco-sunglint/>



Glint for a 20.0 degree tilt

MODGLINT: 5.439145

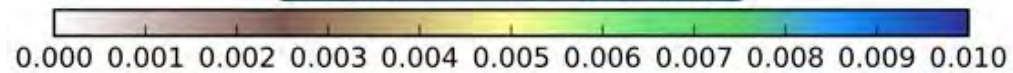
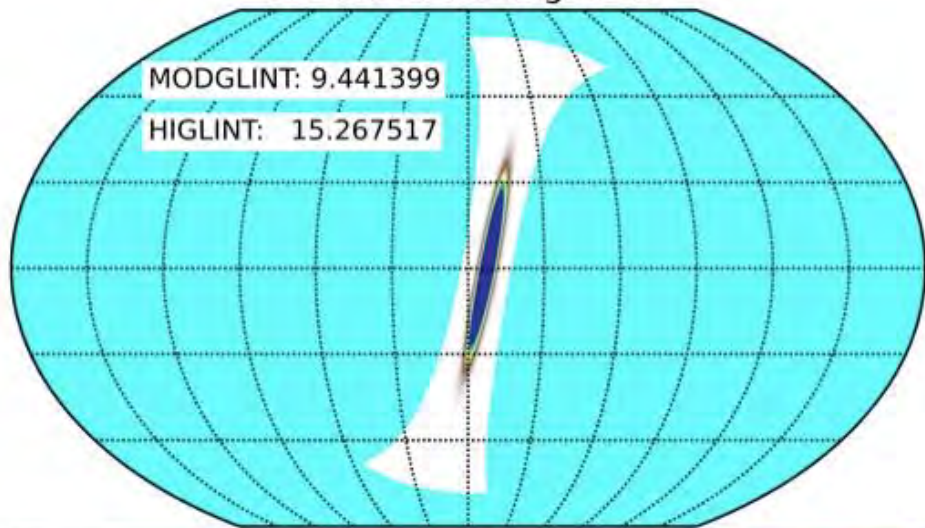
HIGLINT: 2.273341



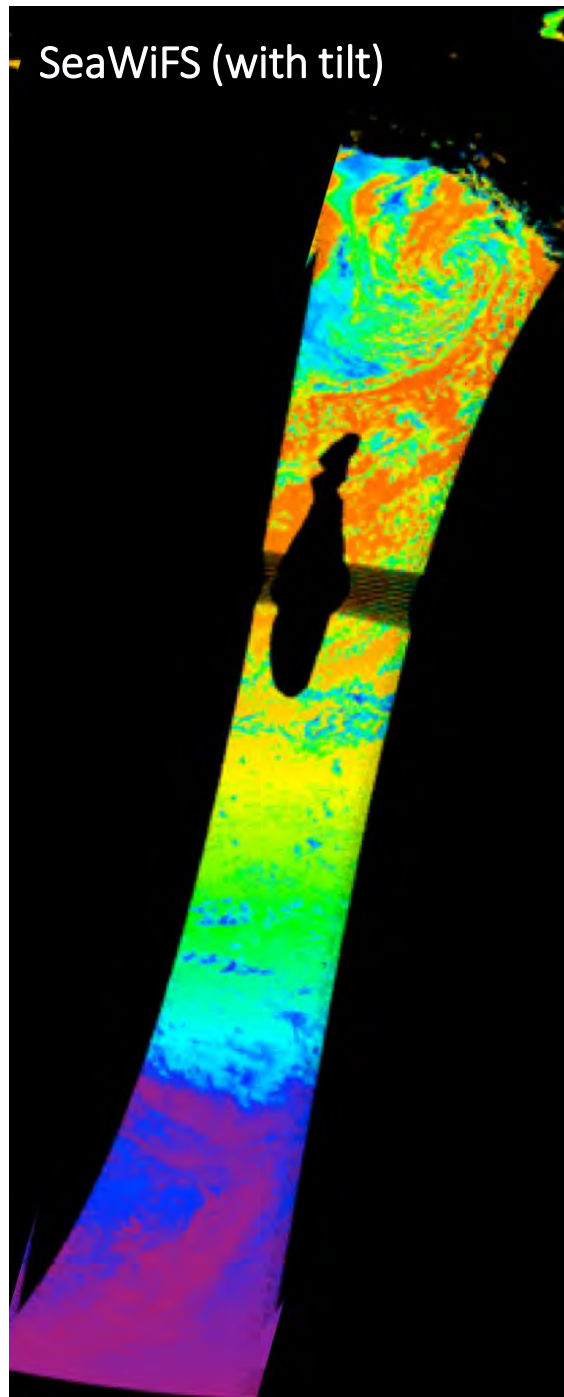
Glint for a 0.0 degree tilt

MODGLINT: 9.441399

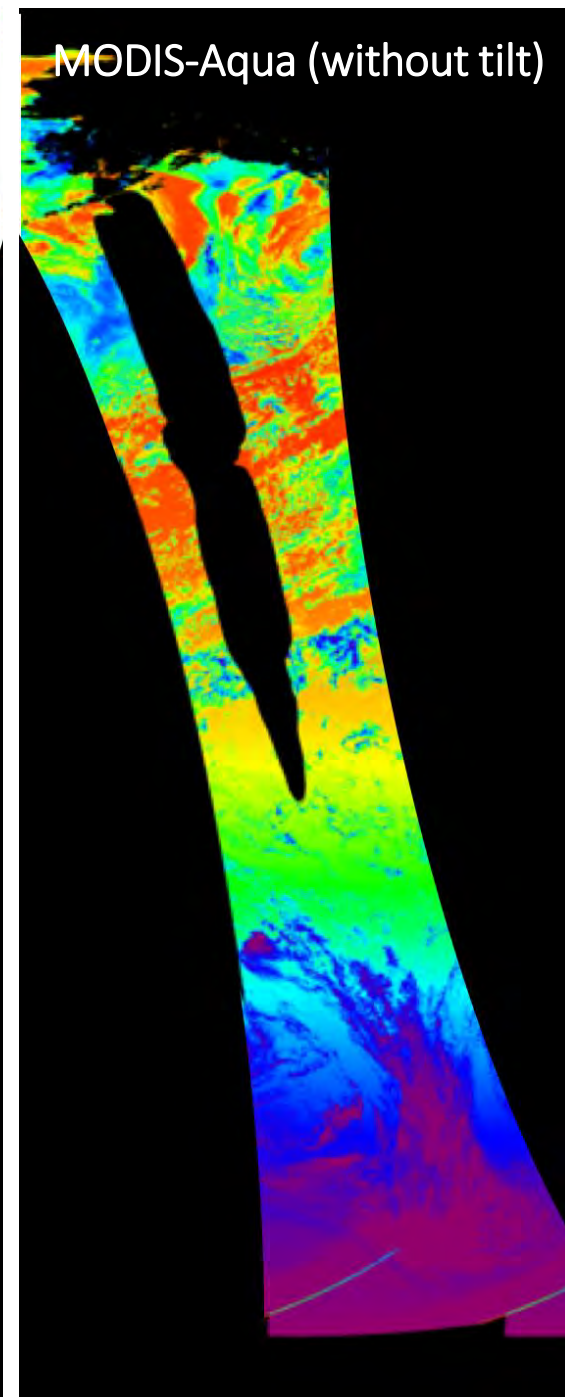
HIGLINT: 15.267517



SeaWiFS (with tilt)



MODIS-Aqua (without tilt)



PAR = Photosynthetically Available Radiation ( $\text{Einstein m}^{-2} \text{d}^{-1}$ )

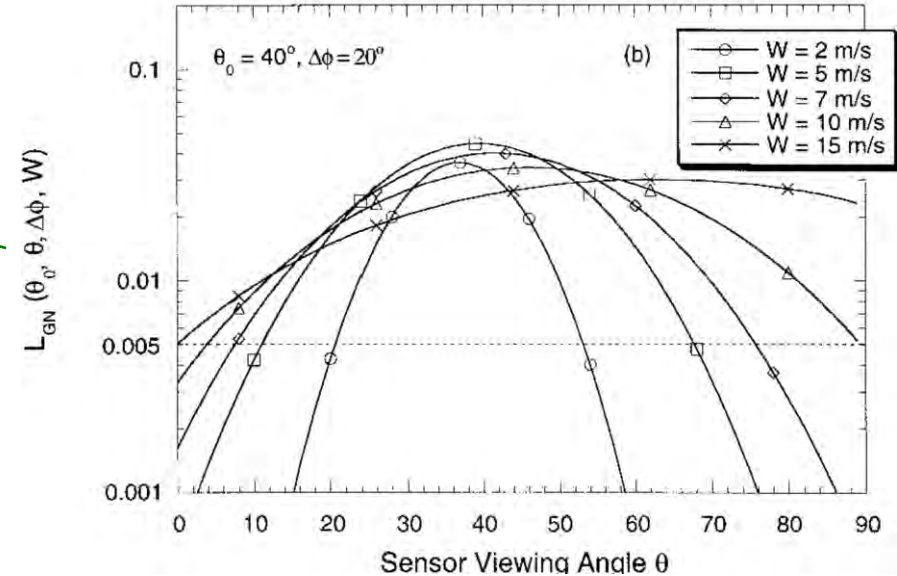
# Sun glint

Sun glint can be further expressed as:

$$T L_g = F_0 T_0 T L_{GN}$$

$L_{GN}$  is glint radiance normalized to no atmosphere &  $F_0 = 1$

$$T_0 T = \exp\left[-(t_r + t_a)\right] \frac{1}{\cos(q_0)} + \frac{1}{\cos(q)}$$



$L_{GN}$  from Cox and Munk (1954) requires ancillary wind speed & geometries of Sun & sensor

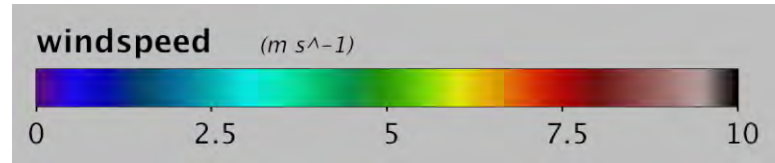
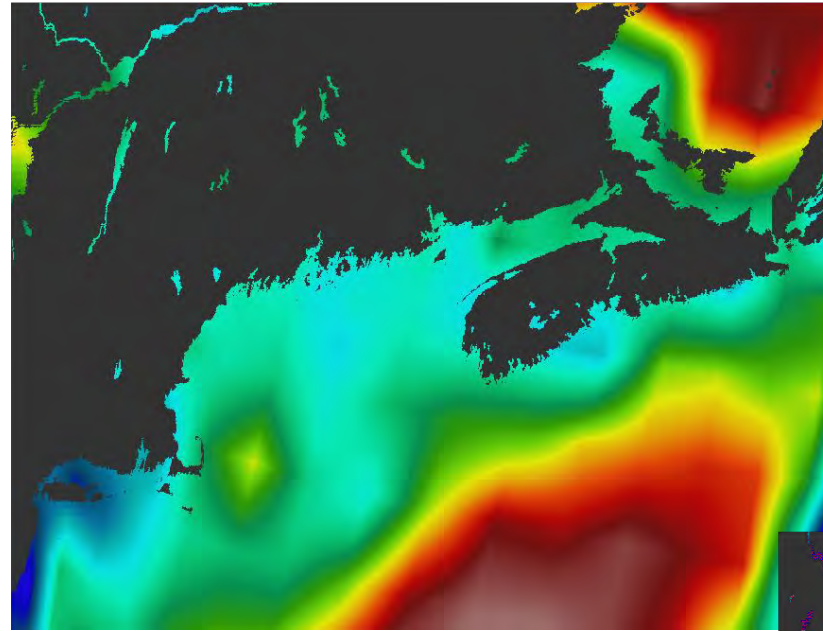
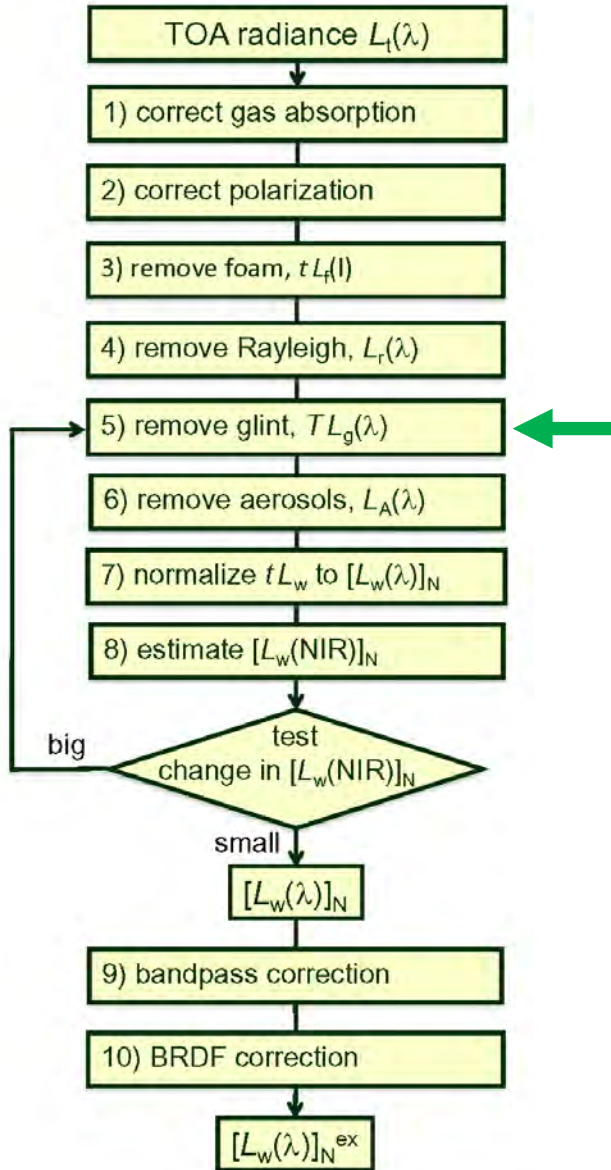
two step iteration since we don't know  $\tau_a$ :

$$(1) [L_t, \tau_a', W] \rightarrow L_t^{(1)} = L_t - L_g \rightarrow \tau_a^{(1)}$$

$$(2) [L_t^{(1)}, \tau_a^{(1)}, W] \rightarrow L_t^{(2)} = L_t^{(1)} - L_g \rightarrow \tau_a^{(2)}$$

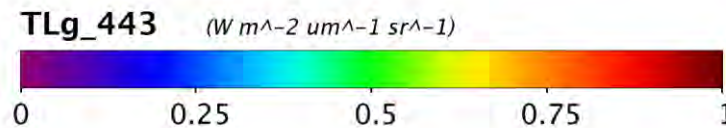
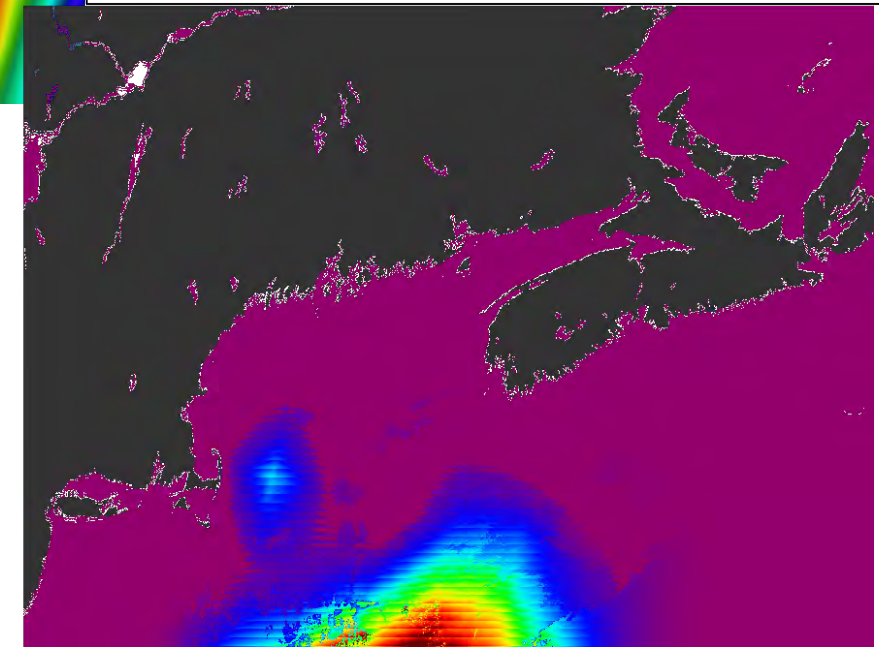
with initial guess of  $\tau_a' \sim 0.1$  (additional logic included to prevent overcorrection)

processing cadence:  $L_t / t_{gv} / t_{gs} / f_p - tL_f - L_r - TL_g$



when  $L_{GN} > 0.005 \text{ sr}^{-1}$   
 mask the pixel as HIGH GLINT

when  $L_{GN} \leq 0.005 \text{ sr}^{-1}$   
 remove  $TL_g$  from  $L_t$



# aerosols: the hard part

$$\checkmark L_t = \left( \checkmark L_r + \checkmark [L_a + L_{ra}] + \checkmark t_{dv} L_f + \checkmark t_{dv} L_w \right) \checkmark t_{gv} \checkmark t_{gs} \checkmark f_p + \checkmark T L_g$$

$$R_{rs} = \frac{L_w}{F_0 \cos(q_s) t_{ds} f_s f_b f_l}$$

✓   ✓   ✓   ✓

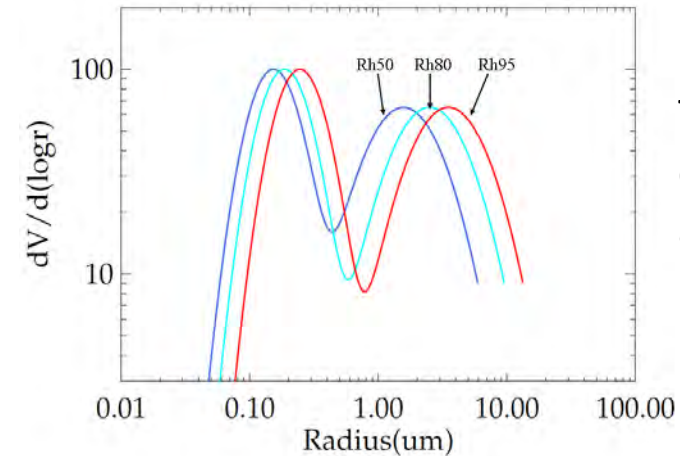
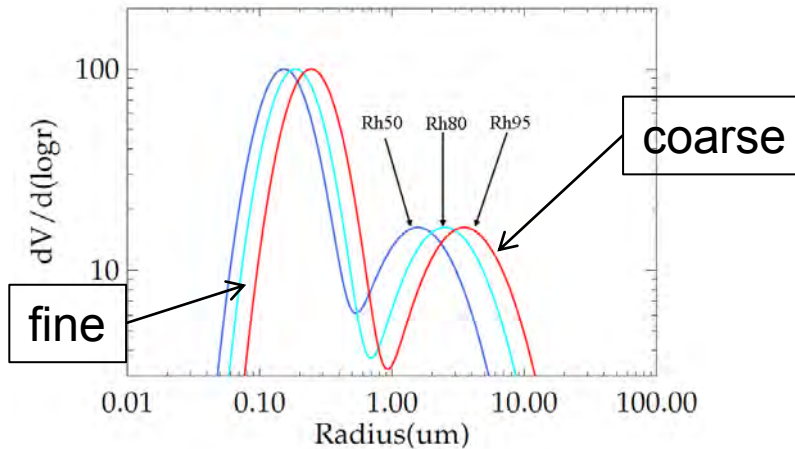
# aerosol tables

- aerosol properties can be characterized by their particle size distribution (PSD) & their complex index of refraction ( $m$ )
- given a PSD &  $m$  (& assuming sphericity), aerosol optical properties can be computed using Mie theory:
  - scattering phase function ( $\tilde{b}$ )
  - single scattering albedo ( $\omega = b / c$ )
  - extinction coefficient ( $c = a + b$ )
- aerosol optical thickness relates to extinction coefficient
  - $\tau_a = \int_0^{TOA} c(z) dz$
- aerosol tables are generated for various PSDs (&  $m$ 's) & are
  - defined by  $\tilde{b}$ ,  $\omega$ ,  $\tau_a$  (& other variables)
  - navigated using solar & satellite viewing geometries

# aerosol tables

- we assume each PSD to be represented by 2 lognormal distributions
  - fine particles (continental & sometimes absorbing)
  - coarse particles (oceanic / sea salt & non-absorbing)

Typical coastal/urban aerosols



Typical open ocean/maritime aerosols

- each PSD modulated by varying relative humidity
  - humidity changes particle size
  - requires ancillary data from NCEP
- 80 aerosol tables total, built from AERONET measurements
  - 10 PSDs
  - 8 relative humidities

see Ahmad et al., Applied Optics, 2010

# aerosol tables

- the Angstrom exponent ( $\alpha$ ) provides an estimator of particle size

- high  $\alpha$  = small particles

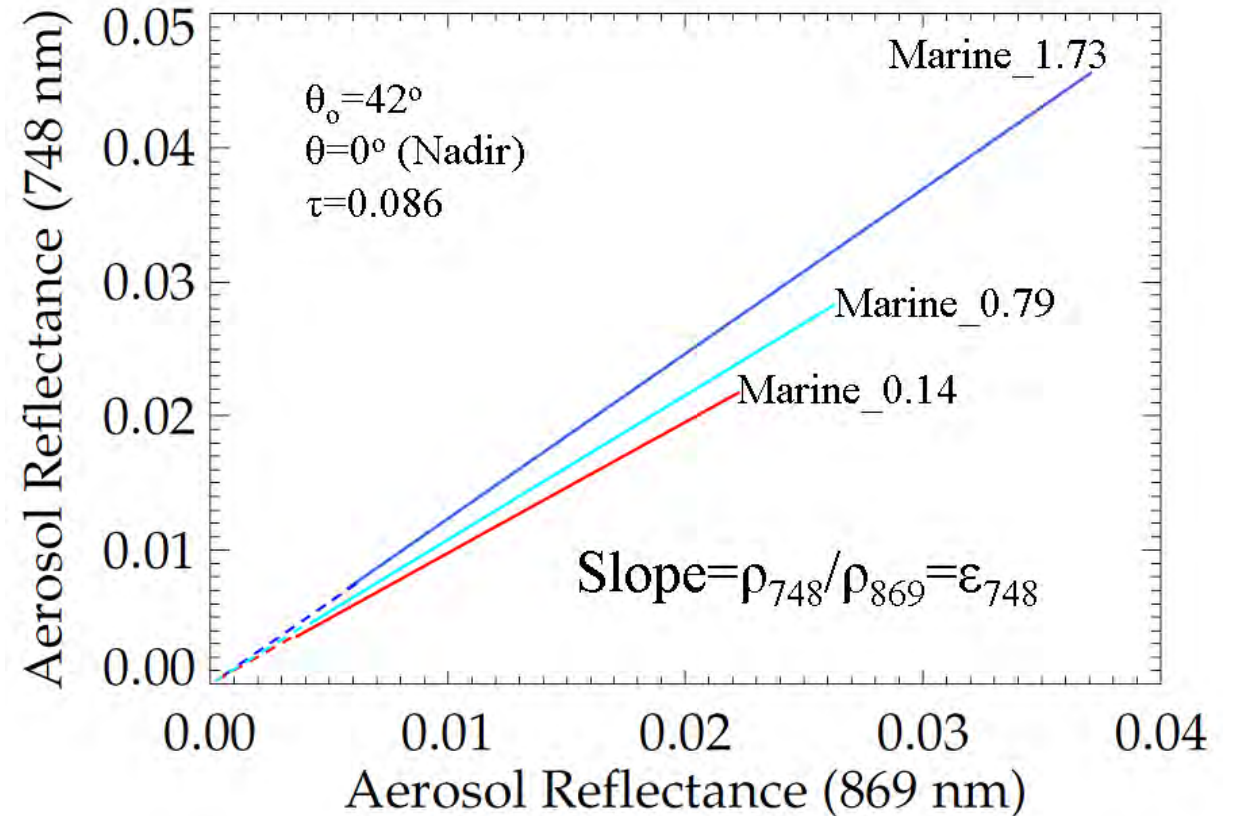
- low  $\alpha$  = large particles

- defined via  $\frac{\tau_a(\lambda)}{\tau_a(\lambda_o)} = \left(\frac{\lambda_o}{\lambda}\right)^\alpha$

- aerosol models often defined by epsilon ( $\epsilon$ )

- $e(748, 869) = \frac{L_a(748)}{L_a(869)}$

do we know these values???



# black pixel assumption

final unknowns in top expression

$$\checkmark L_t = \left( \checkmark L_r + \checkmark [L_a + L_{ra}] + \checkmark t_{dv} \checkmark L_f + \checkmark t_{dv} \checkmark L_w \right) \checkmark t_{gv} \checkmark t_{gs} \checkmark f_p + \checkmark T \checkmark L_g$$

in the open ocean, we can assume (???) that  $L_w$  in the near-infrared (NIR) is = 0 (i.e., the ocean is *black* in the NIR)

thus, in the NIR (e.g., 748 and 869 nm):

$$L_a(\text{NIR}) + L_{ra}(\text{NIR}) = L_t(\text{NIR}) - \text{the terms we computed}$$



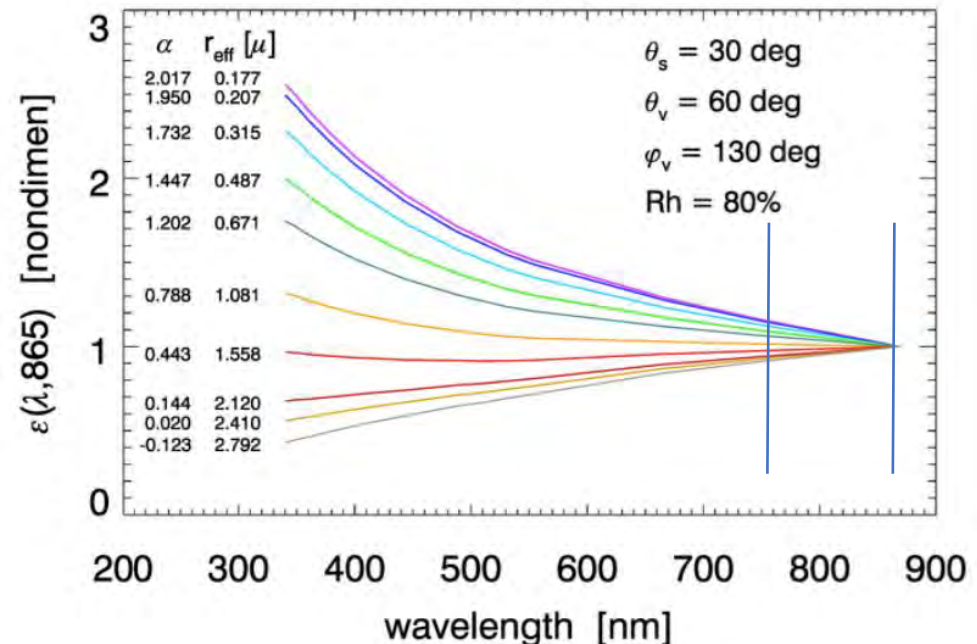
# aerosol selection

$L_w(\text{NIR}) = 0$ , so  $L_a(\text{NIR}) + L_{ra}(\text{NIR}) = L_t(\text{NIR}) -$  (everything previously computed)  
how do we estimate  $L_a(\text{visible}) + L_{ra}(\text{visible})$ ?

- let's refer to  $[L_a + L_{ra}]$  simply as  $L_a$  & ignore single- vs. multi-scattering issues
- select the 10 aerosol tables that match the observed NCEP relative humidity
- compute epsilon values for the 10 tables  $[\epsilon(748,869) = L_a(748) / L_a(869)]$
- perform an iterative determination of the mean  $\epsilon(748,869)$  value & select a final bounding 2 aerosol models
- using 2 bounding models, calculate  $\epsilon(\lambda,869)$  from  $\epsilon(748,869)$
- calculate  $L_a(\lambda) = \epsilon(\lambda,869) L_a(869)$

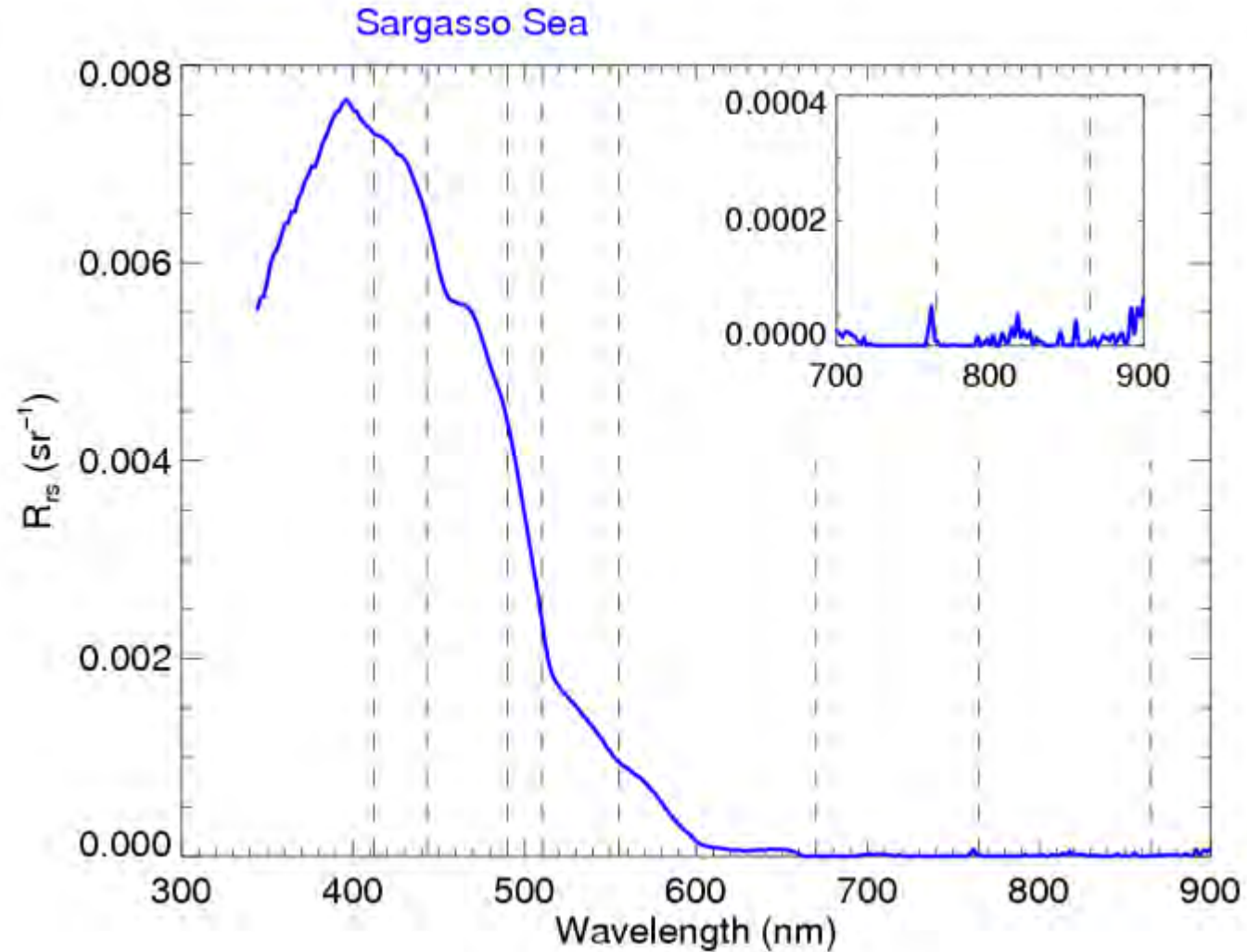
see Gordon & Wang,  
Applied Optics, 1994

final retrieval of  $L_a(\lambda)$  is more accurate than that of  $\tau_a$  and  $\alpha$ ;  
not unlike retrievals of  $a(\lambda)$  being more accurate than  $a_{og}(\lambda)$  &  $a_{ph}(\lambda)$  in inversion models



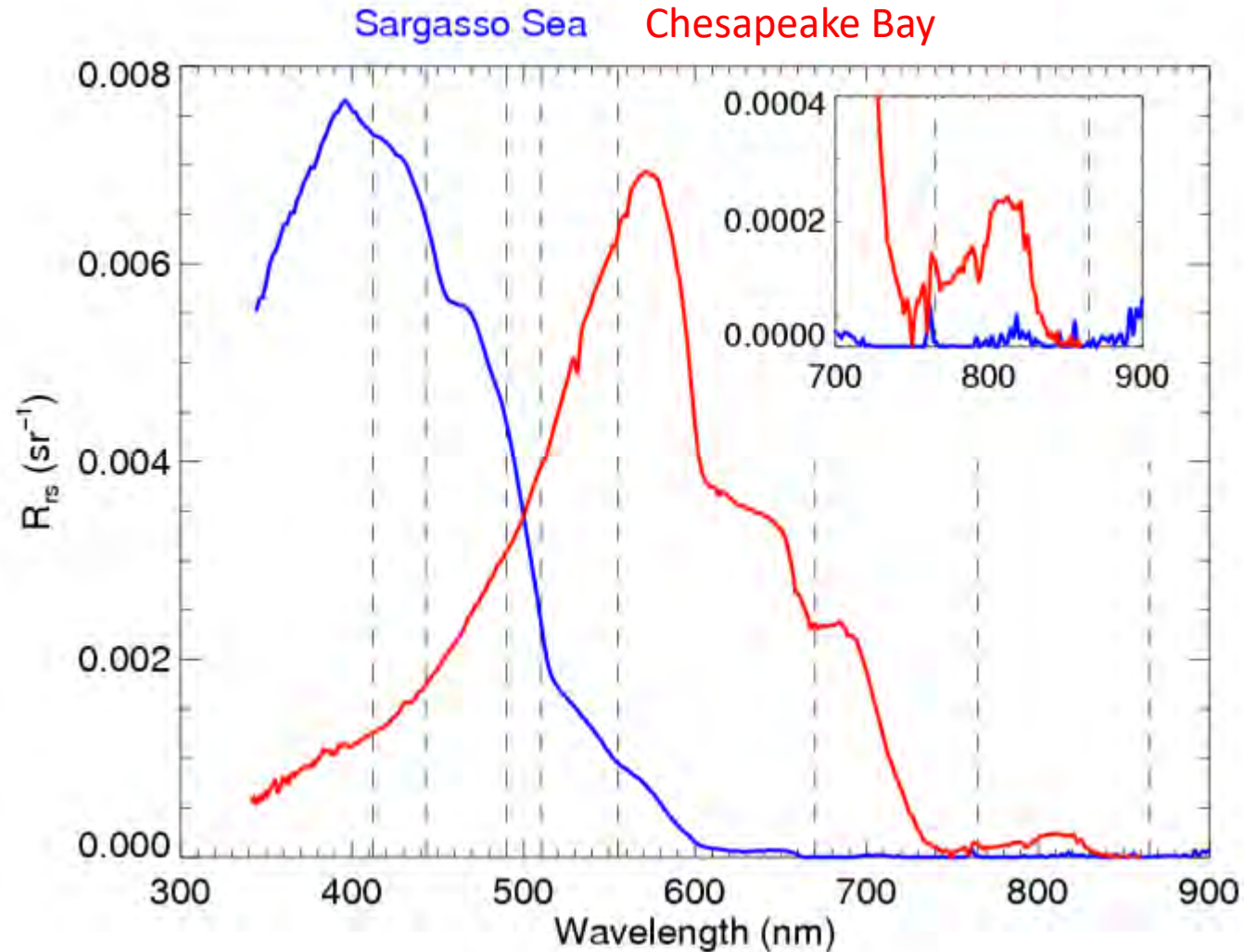
# is the black pixel assumption valid?

Is  $R_{rs}$ (NIR) really black?



# is the black pixel assumption valid?

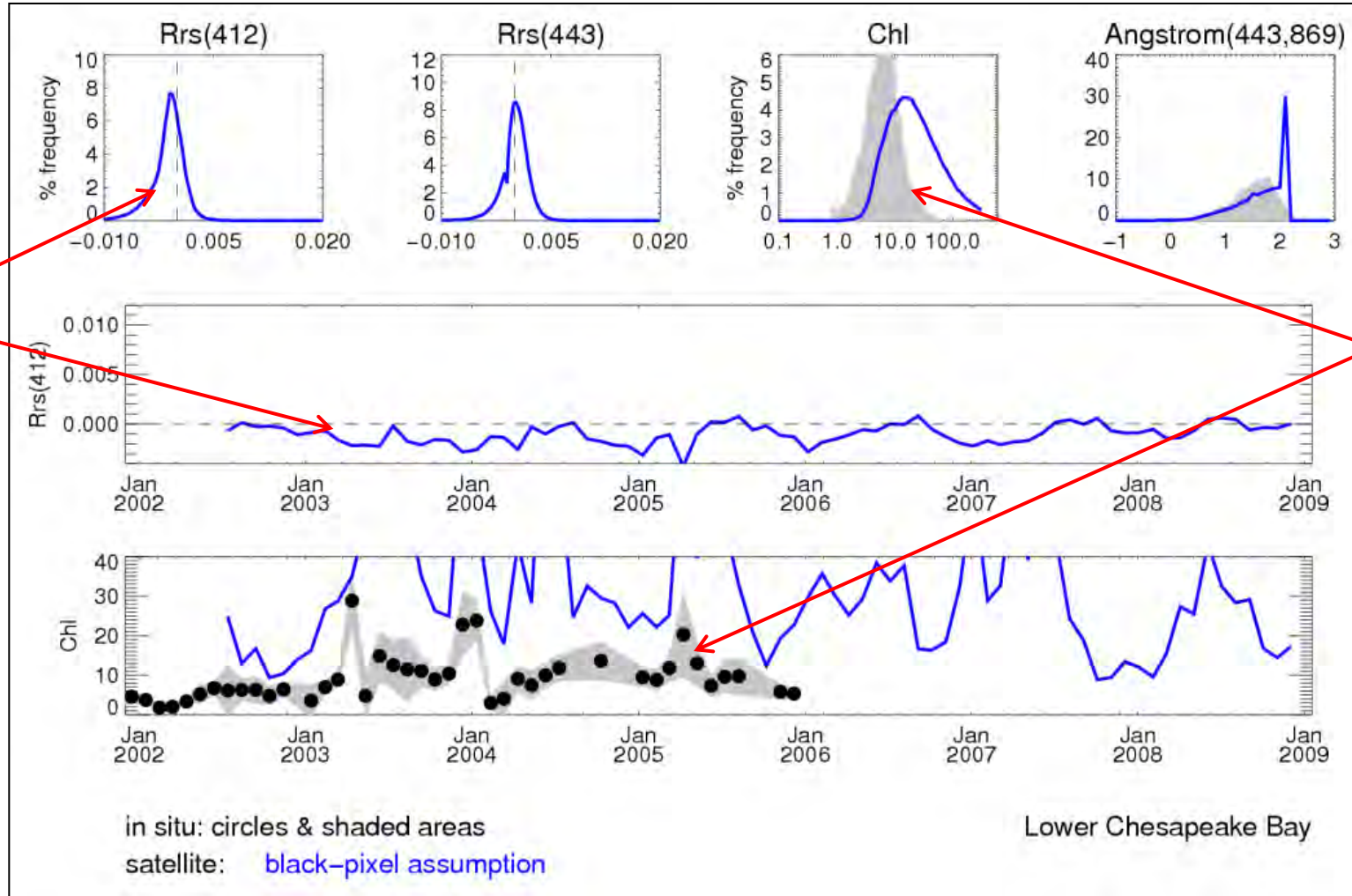
Is  $R_{rs}$ (NIR) really black?



# is the black pixel assumption valid?

what happens when we don't account for  $R_{rs}(\text{NIR}) > 0$ ?

Negative  $R_{rs}$   
at blue  
wavelengths



Bad Chl  
retrievals

retrievals using the “black pixel” assumption (e.g., SeaWiFS 1997-2000)

# how to proceed with the black pixel assumption?

what to do when  $R_{rs}(NIR) > 0$ ?

many approaches exist, here are a few examples:

assign aerosols ( $\epsilon$ ) and/or water contributions ( $R_{rs}(NIR)$ )

e.g., Hu et al. 2000, Ruddick et al. 2000

use shortwave infrared bands

e.g., Wang & Shi 2007

correct/model the non-negligible  $R_{rs}(NIR)$

Siegel et al. 2000

used in SeaWiFS Reprocessing 3 (2000)

Stumpf et al. 2003

used in SeaWiFS Reprocessing 4 (2002)

Lavender et al. 2005

MERIS

Bailey et al. 2010

used in SeaWiFS Reprocessing 6 (2009)

use a coupled ocean-atmosphere optimization

e.g., Chomko & Gordon 2001, Stamnes et al. 2003, Kuchinke et al. 2009

field data!

# The Bailey et al. (2010) iterative procedure

1. Assume that  $R_{rs}(765)$  and  $R_{rs}(865)$  are both 0, i.e. make the black-pixel assumption for both NIR reference bands.
2. Complete the atmospheric correction process as described in §9.2. This gives the initial estimate of  $\rho_w(\lambda)$ , or equivalently  $R_{rs}(\lambda)$ .
3. Use  $R_{rs}(443)$  and  $R_{rs}(555)$  from the initial estimate of  $R_{rs}(\lambda)$  to get  $\eta$  by the empirical relationship (Lee et al. (2010), Eq. 8); Bailey et al. (2010), Eq. 3))

$$\eta = 2 \left[ 1 - 1.2 \exp \left( 0.9 \frac{R_{rs}(443)}{R_{rs}(555)} \right) \right]. \quad (9.10)$$

bio-optical model

4. Use the initial  $R_{rs}(\lambda)$  to get an initial estimate of the chlorophyll concentration  $Chl$ . The particular algorithm used to obtain  $Chl$  from  $R_{rs}(\lambda)$  depends on the sensor.
5. Use this  $Chl$  to obtain  $a(670)$  via the empirical relationship (Bailey et al., 2010, Eq. 4)

$$a(670) = \exp[0.9389 \ln(Chl) - 3.7589] + a_w(670). \quad (9.11)$$

bio-optical model

where the  $a_w(670) = 0.439 \text{ m}^{-1}$  is the absorption by pure water.

6. Use  $a(670)$  and  $R_{rs}(670)$  in Eq. (9.9) to solve for  $b_b(670) = b_{bw}(670) + b_{bp}(670)$ , where  $b_{bw}(670) = 4.26 \times 10^{-4} \text{ m}^{-1}$  is the backscatter coefficient for pure sea water.

$$R_{rs}(\lambda) = \frac{f(\lambda)}{Q(\lambda)} \frac{b_b(\lambda)}{a(\lambda) + b_b(\lambda)} \quad \text{Eq. (9.9)}$$

$f/Q$  is modeled for Case 1 water as a function of Chl and is assumed known

bio-optical model

# The Bailey et al. (2010) iterative procedure

7. Use  $\eta$  from Eq. (9.10) and (Bailey et al., 2010, Eqs. 2b,3) to compute  $b_b(765)$ :

$$b_b(765) = b_{bw}(765) + b_{pb}(670) \left( \frac{670}{765} \right)^\eta \quad (9.12)$$

bio-optical  
model

where  $b_{bw}(765) = 2.38 \times 10^{-4} \text{ m}^{-1}$ .  $b_b(865)$  is computed in the same manner using  $b_{bw}(865) = 1.41 \times 10^{-4} \text{ m}^{-1}$ .

8. Use this  $b_b(765)$  and  $a(765) = a_w(765) = 2.85 \text{ m}^{-1}$  to get  $R_{rs}(765)$  from Eq. (9.9). Similarly, compute  $R_{rs}(865)$  using  $b_b(865)$  and  $a_w(865) = 4.61 \text{ m}^{-1}$ .
9. Use the new, non-zero value of  $R_{rs}(765)$  (i.e.  $\rho_w(765)$ ) to remove the non-zero  $\rho_w(765)$  contribution to  $\rho_t(765)$ . Do the same calculation for 865 nm.
10. Return to Step 2 and repeat the atmospheric correction using the black-pixel algorithm. This will give a new (hopefully better) estimate of  $R_{rs}(\lambda)$ , thus an new estimate of the other parameters, and finally new estimates of  $R_{rs}(765)$  and  $R_{rs}(865)$  at Step 8. After using the new values of  $\rho_w(765)$  and  $\rho_w(865)$  to correct for the non-zero water contribution to  $\rho_t(765)$  and  $\rho_t(865)$ , return to Step 2 for a new iteration. Continue iterating until the change in  $R_{rs}(765)$  from one iteration to the next is less than 2%, which typically takes 2-4 iterations, or when 10 iterations have been made.

# The Bailey et al. (2010) iterative procedure

If this process doesn't converge after 10 iterations, try once more assuming that all NIR reflectance is due to water. If still no convergence, flag the pixel as "atmospheric correction warning." (The pixel might still be useable; your call.)

The above iteration is not done if  $\text{Chl} < 0.3 \text{ mg m}^{-3}$ , and is always done if  $\text{Chl} > 0.3$ . In between use linear combination of the two results.

The two NIR wavelength bands depend on the sensor:

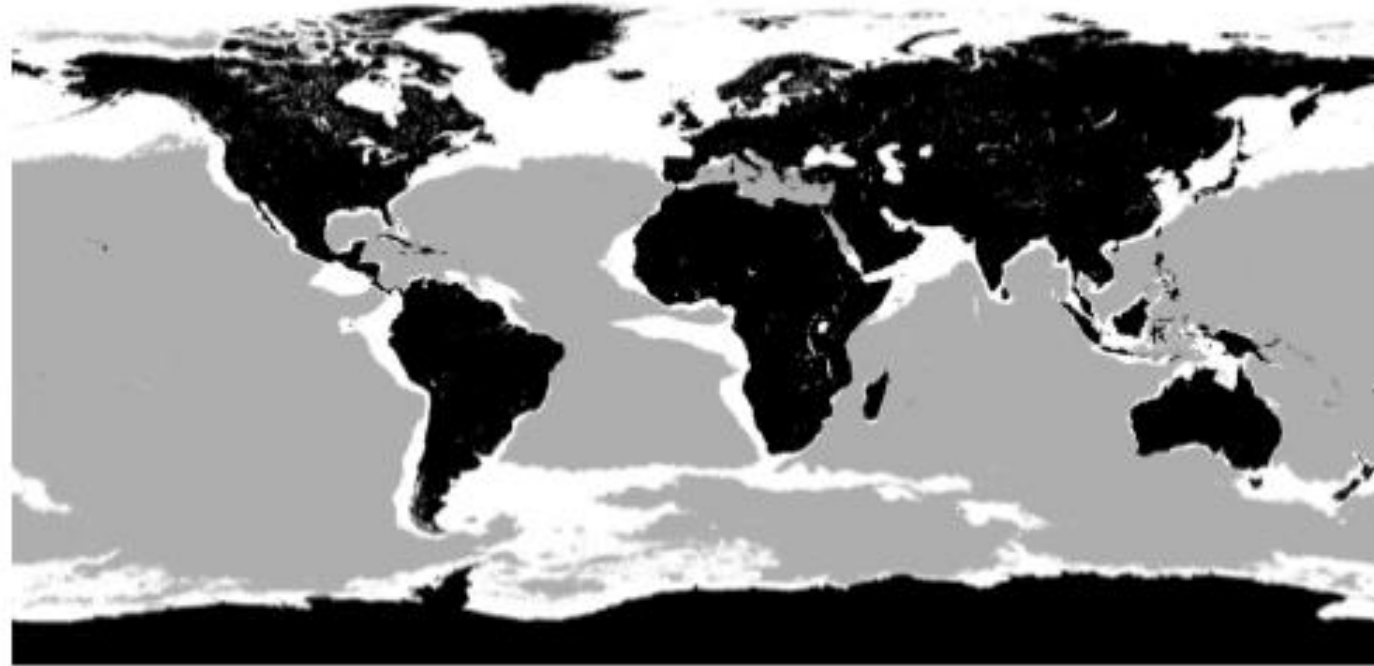
Table 9.1: NIR bands used for aerosol correction.

Band Label	Wavelengths [nm]	Nominal Wavelength [nm]
SeaWiFS		
7	745-785	$\lambda_1 = 765$
8	845-855	$\lambda_2 = 865$
MODIS		
15	743-753	$\lambda_1 = 748$
16	862-877	$\lambda_2 = 869$
VIIRS		
M6	739-754	$\lambda_1 = 745$
M7	846-885	$\lambda_2 = 862$



# black pixel assumption – a bio-optical model

locations of application of bio-optical model



black = land; grey = Chl < 0.3 mg m<sup>-3</sup>; white Chl > 0.3 mg m<sup>-3</sup>

not applied when Chl < 0.3 mg m<sup>-3</sup>

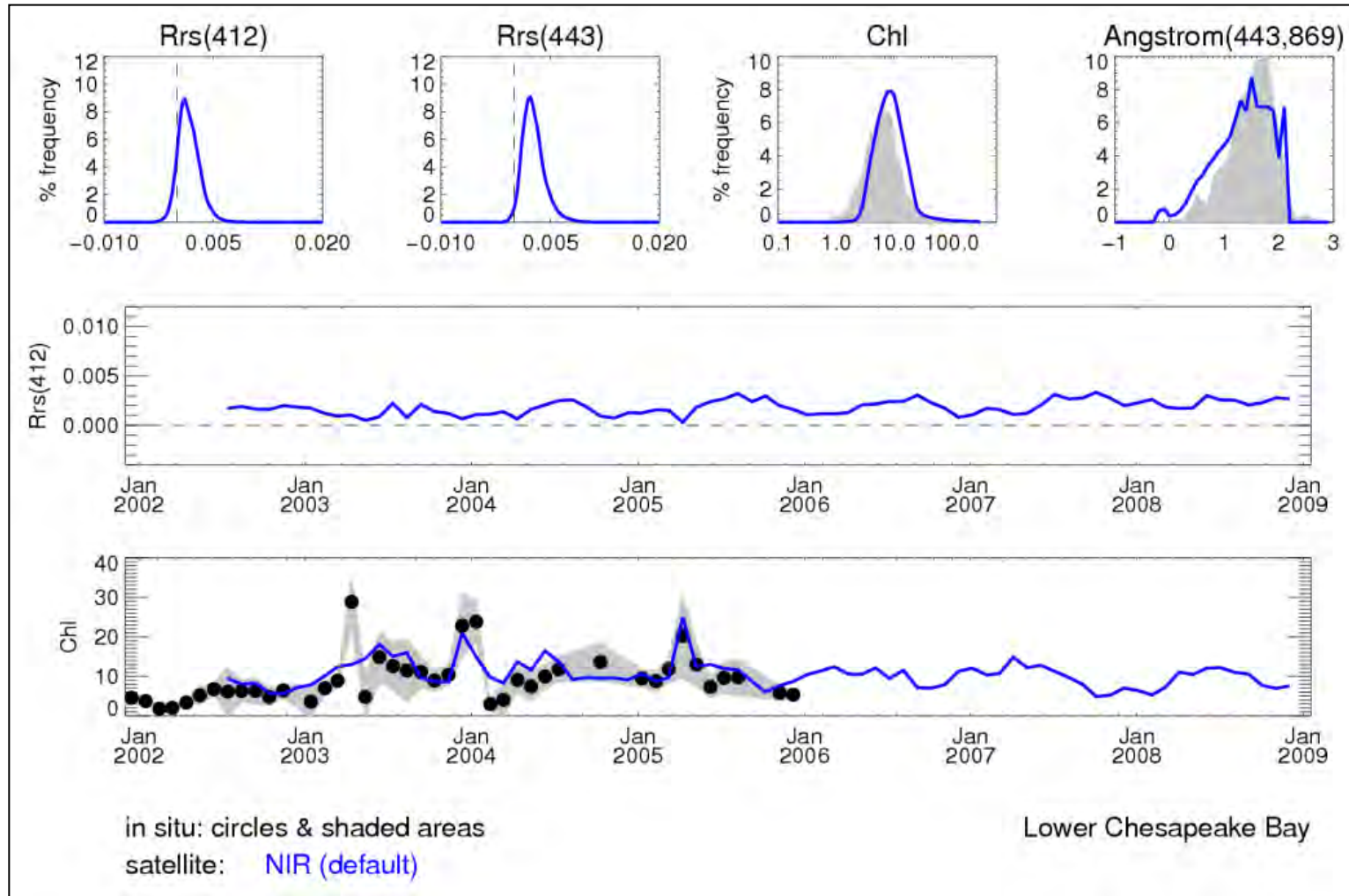
weighted application when 0.3 < Chl < 0.7 mg m<sup>-3</sup>

fully applied when Chl > 0.7 mg m<sup>-3</sup>

Bailey et al., Optics Express, 2010

# black pixel assumption – a bio-optical model


correction of non-negligible  $R_{rs}$ (NIR)







estimate  $R_{rs}$ (NIR) using a bio-optical model

operational SeaWiFS & MODIS processing ~ 2000-present



# example alternative aerosol selection schemes

ORIGINAL RESEARCH ARTICLE  
Front. Earth Sci., 31 May 2019 | <https://doi.org/10.3389/feart.2019.00116> 

## Multiband Atmospheric Correction Algorithm for Ocean Color Retrievals

 Amir Ibrahim<sup>1,2\*</sup>,  Bryan A. Franz<sup>1</sup>,  Ziauddin Ahmad<sup>1,3</sup> and  Sean W. Bailey<sup>1</sup>

- “MBAC”
- uses multiple NIR/SWIR bands instead of 2
- GW94, but no assumption of single-scattering
- Will be used for PACE with its extra wavelengths

 Atmospheric correction in presence of sun glint: application to MERIS  
François Steinmetz, Pierre-Yves Deschamps, and Didier Ramon  
[Author Information](#)  Find other works by these authors 

Optics Express Vol. 19, Issue 10, pp. 9783-9800 (2011) · <https://doi.org/10.1364/OE.19.009783>

- “POLYMER”
- spectral matching approach
- <https://www.hygeos.com/polymer>
- Popular in Europe and better for Sun-glint regions

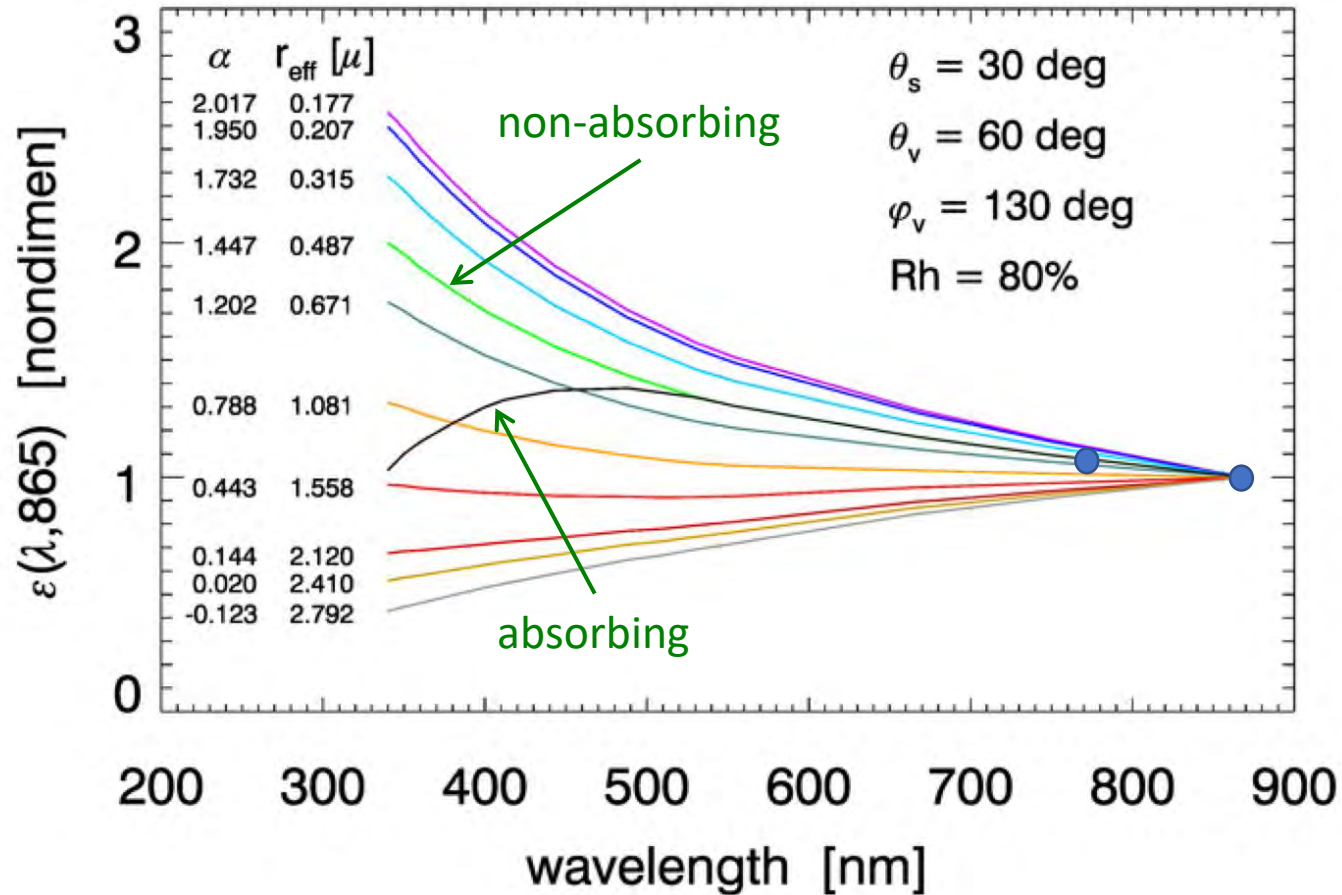
ORIGINAL RESEARCH ARTICLE Provisionally accepted The full-text will be published soon.  [Notify me](#)  
Front. Earth Sci. | doi: 10.3389/feart.2019.00100

## Modeling atmosphere-ocean radiative transfer: A PACE mission perspective.

 Jacek Chowdhary<sup>1\*</sup>,  Pengwang Zhai<sup>2</sup>,  Emmanuel Boss<sup>3</sup>,  Heidi M. Dierssen<sup>4</sup>,  Robert J. Frouin<sup>5</sup>,  Amir I. Ibrahim<sup>6</sup>,  Zhongping Lee<sup>7</sup>,  Lorraine A. Remer<sup>6</sup>,  Michael Twardowski<sup>8</sup>,  Feng Xu<sup>9</sup>,  Xiaodong Zhang<sup>10</sup>,  Matteo Ottaviani<sup>11</sup>,  William R. Espinosa<sup>6</sup> and  Didier Ramon<sup>12</sup>

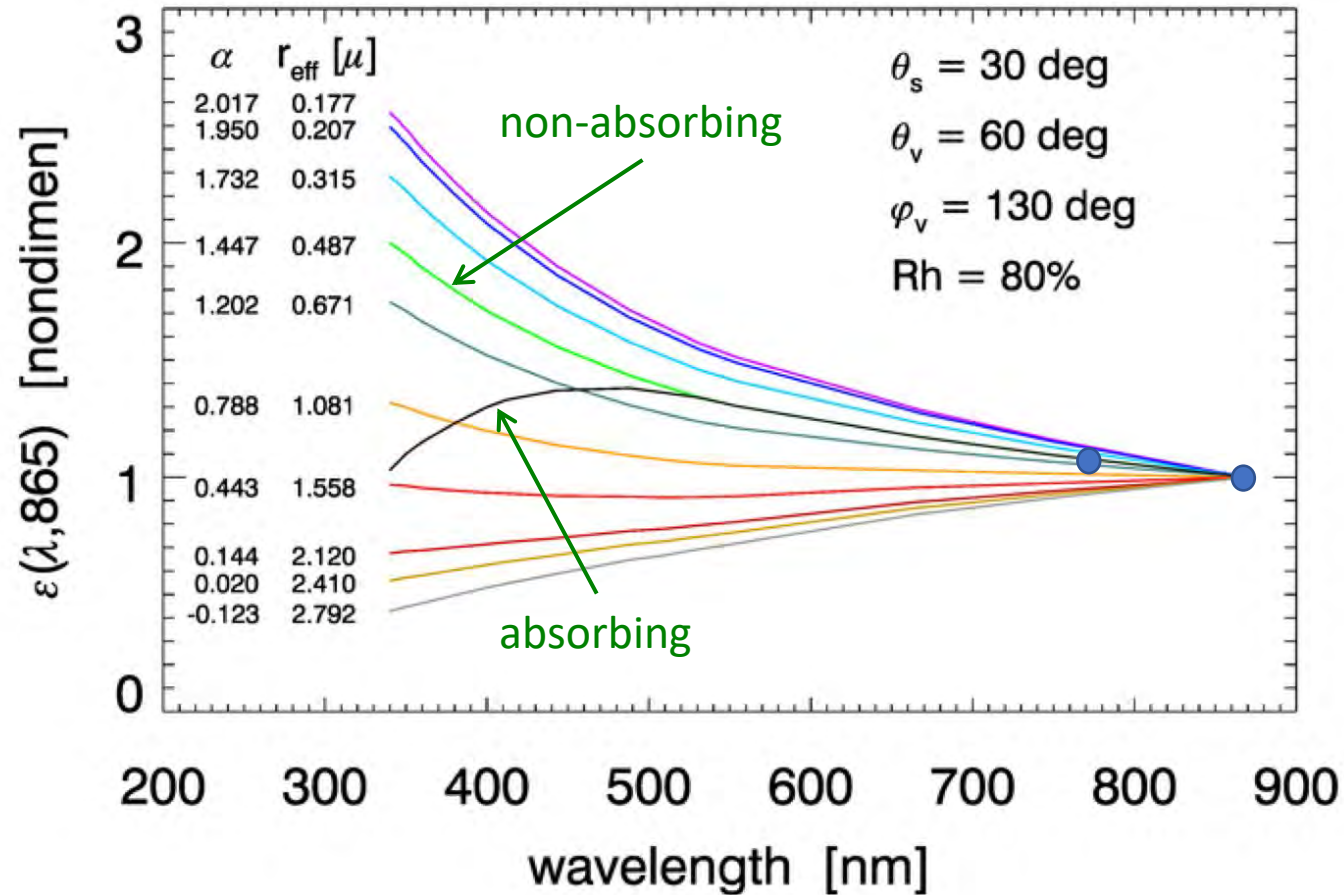
- radiative transfer for coupled ocean-atmosphere systems
- The ultimate goal but computationally expensive

atmospheric correction (currently) assumes no absorbing aerosols



Q: Why not use a wavelength near 350 or 400 nm, which could distinguish between absorbing and nonabsorbing aerosols?

# atmospheric correction (currently) assumes no absorbing aerosols

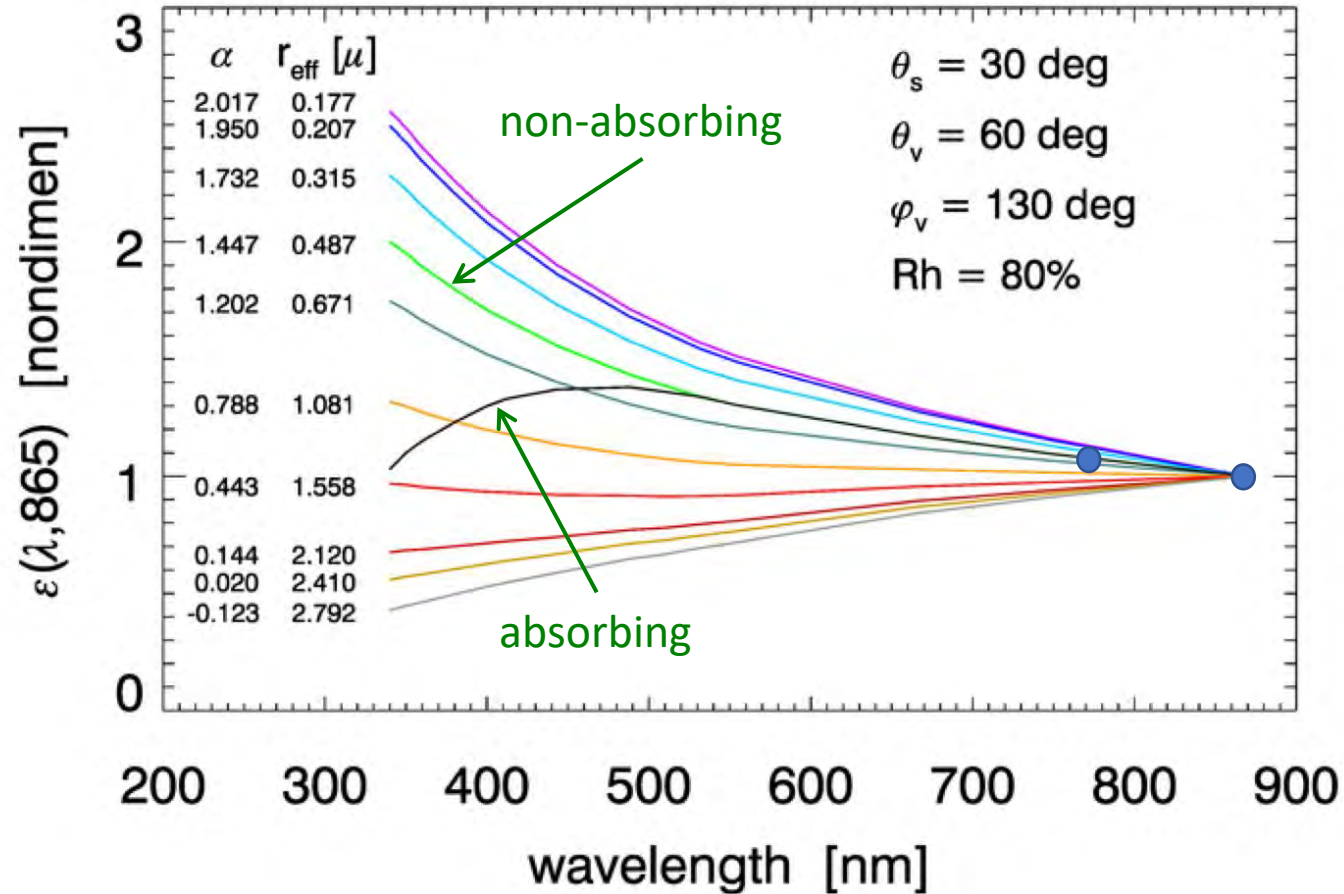


Q: Why not use a wavelength near 350 or 400 nm, which could distinguish between absorbing and nonabsorbing aerosols?

A: the water isn't black

Why not use a wavelength < 300 nm, where the ocean is again black due to high CDOM and water absorption?

# atmospheric correction (currently) assumes no absorbing aerosols

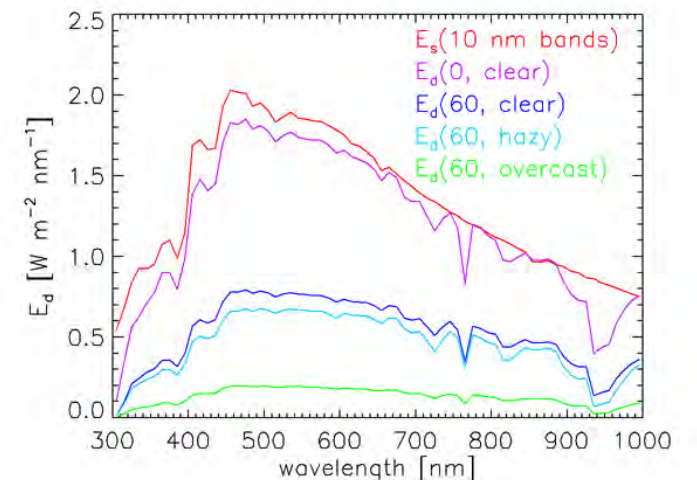


Q: Why not use a wavelength near 350 or 400 nm, which could distinguish between absorbing and nonabsorbing aerosols?

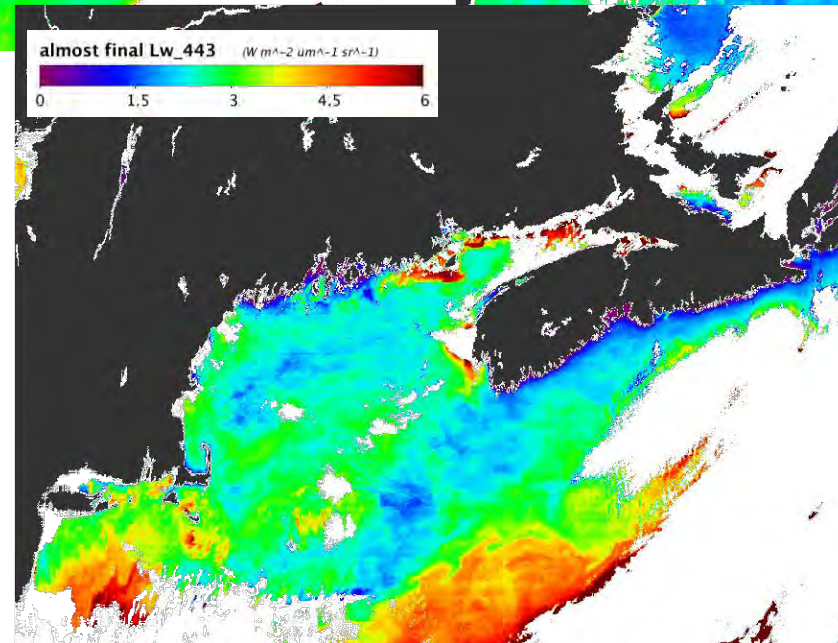
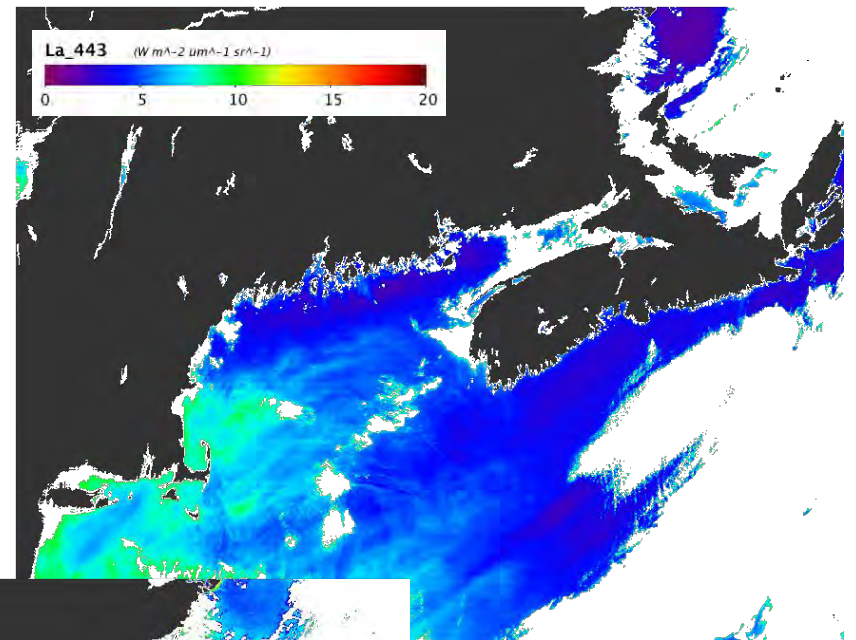
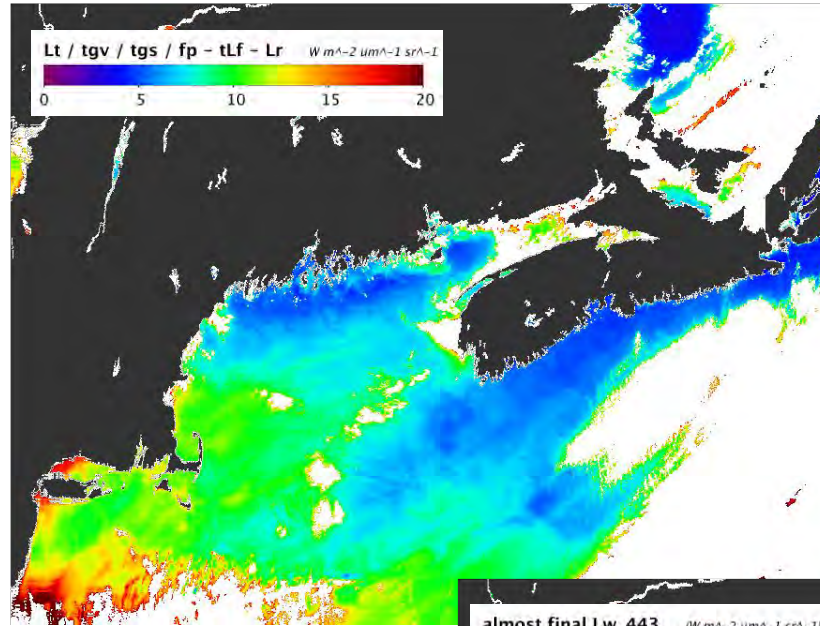
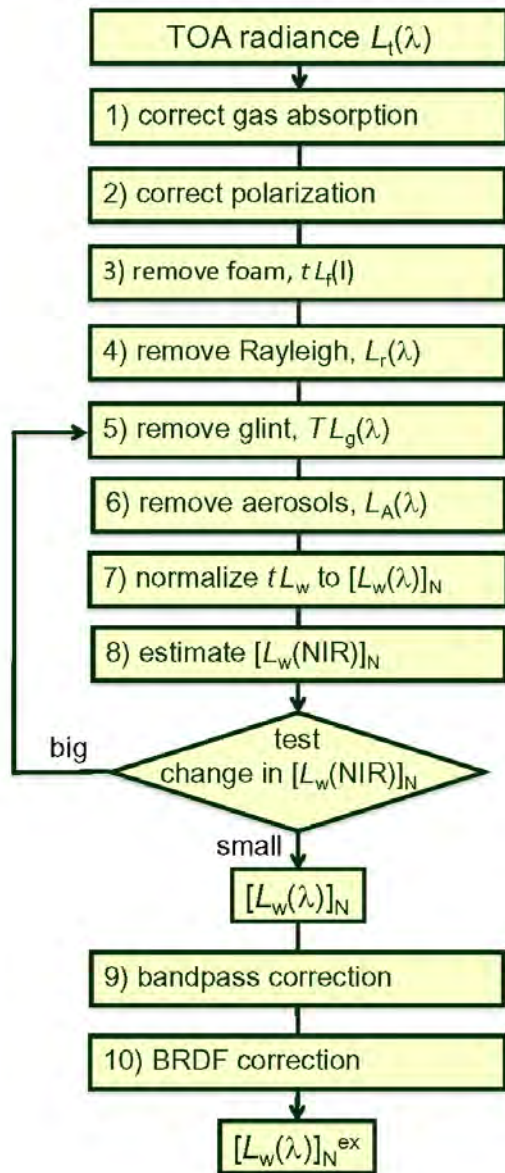
A: The water isn't black

Q: Why not use a wavelength < 300 nm, where the ocean is again black due to high CDOM and water absorption?

A: There ain't no sunlight



processing cadence:  $L_t / t_{gv} / t_{gs} / f_p - tL_f - L_r - TL_g - L_a$



# spectral bandpass correction

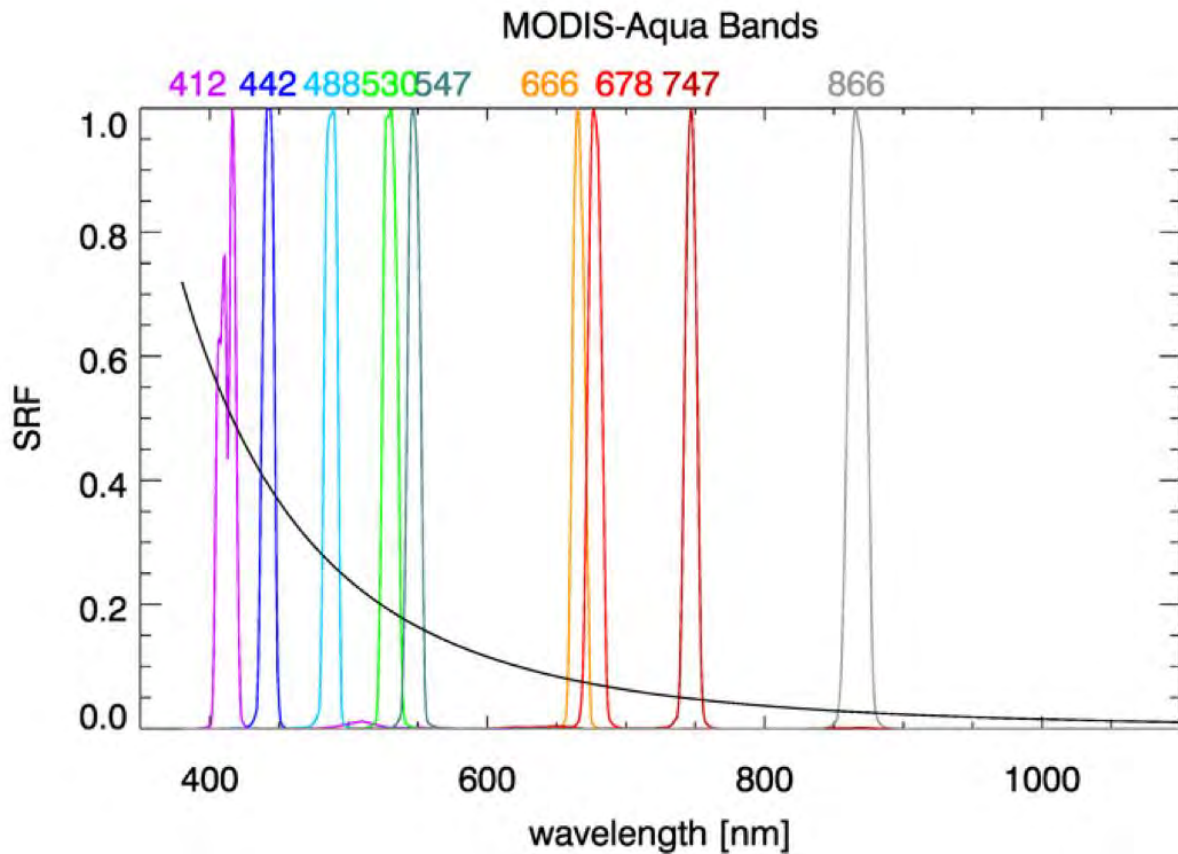
$$L_t = \left( L_r + [L_a + L_{ra}] + t_{dv} L_f + t_{dv} L_w \right) t_{gv} t_{gs} f_p + T L_g$$

$$R_{rs} = \frac{L_w}{F_0 \cos(q_s) t_{ds} f_s f_b f_l}$$

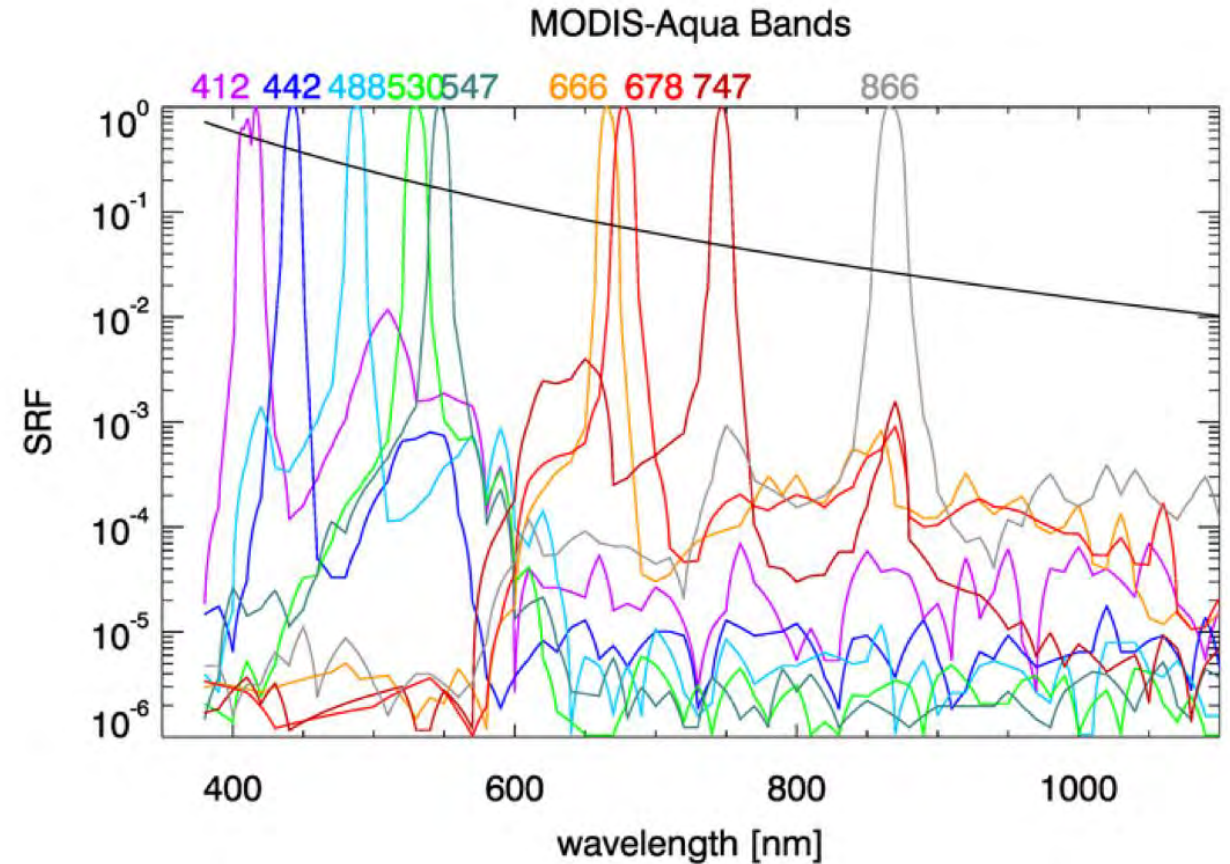




# instrument spectral bandpasses



sensor bands appear well separated  
on a linear axis



however, sensor band response overlaps  
enough to cause problems

# correction for light that comes from outside the nominal wavelength band

satellite filter

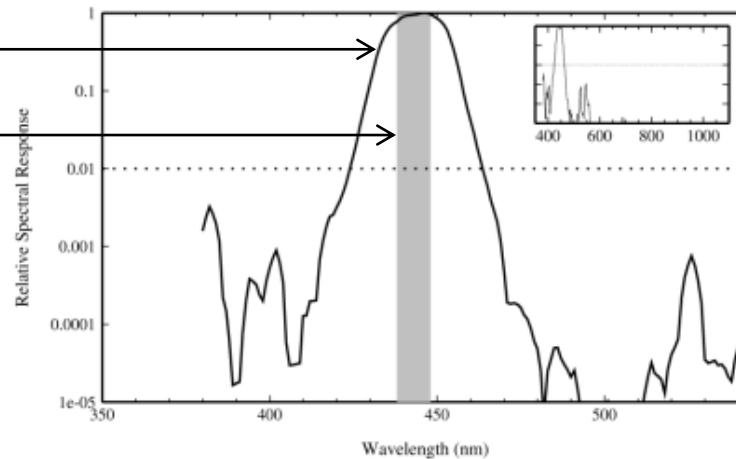
in situ filter

different for each band of each sensor

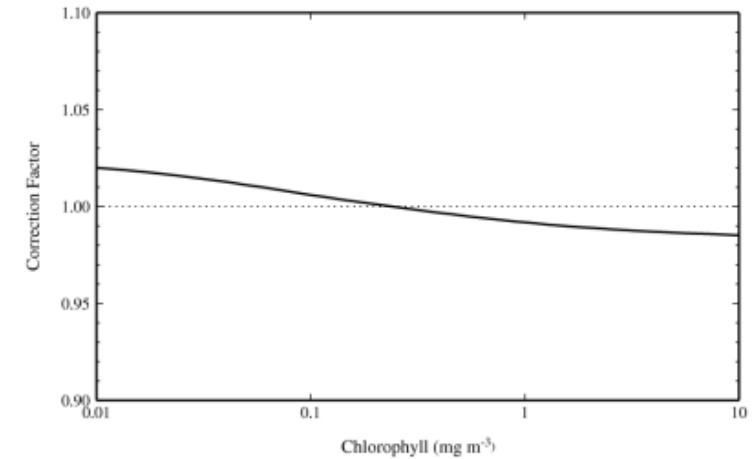
correction uses a clear-water (Morel) reflectance model

see SeaWiFS post-launch TM vol. 22

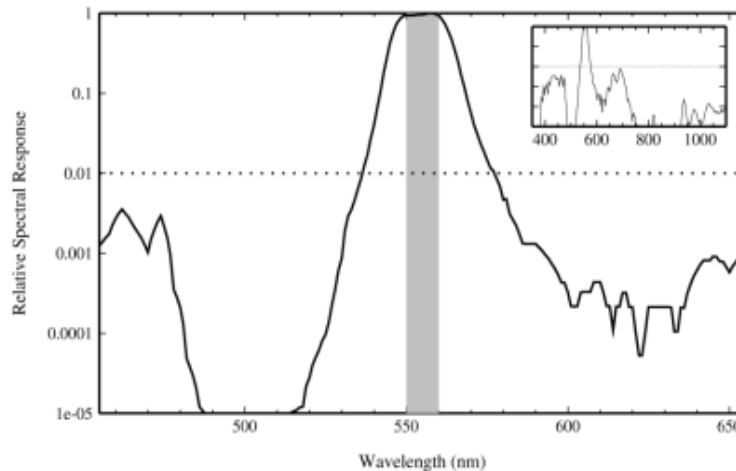
SeaWiFS Spectral Response for Band 2 (443 nm)



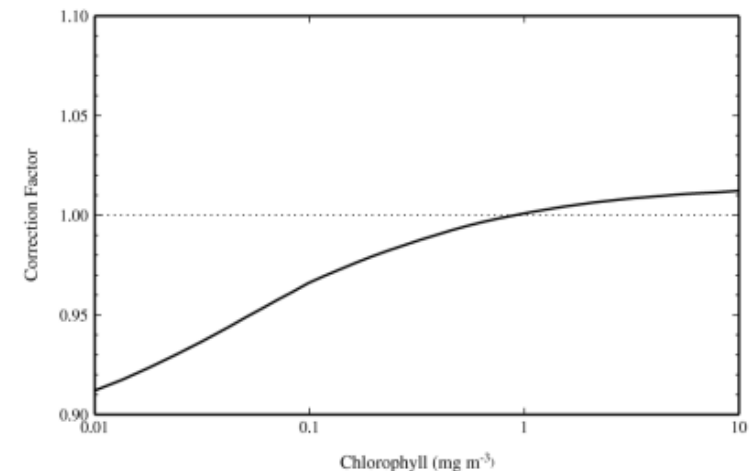
SeaWiFS Out-of-Band Correction for Band 2 (443 nm)



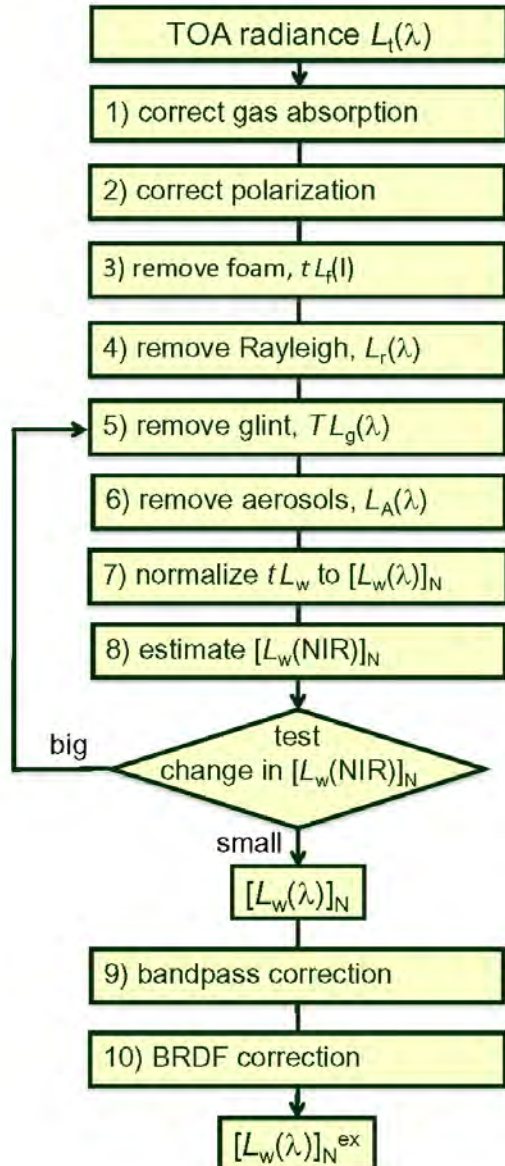
SeaWiFS Spectral Response for Band 5 (555 nm)



SeaWiFS Out-of-Band Correction for Band 5 (555 nm)



# processing cadence: spectral bandpass correction



**take care when executing satellite-to-in situ match-ups**

*Example for heritage multi-spectral satellite instruments:*

**when using multispectral in situ radiometers:**  
enable the bandpass adjustment

**when using hyperspectral in situ radiometers:**  
enable the adjustment when applying 10-nm filter to in situ  $R_{rs}$   
disable the adjustment when applying full-spectral-response to in situ  $R_{rs}$

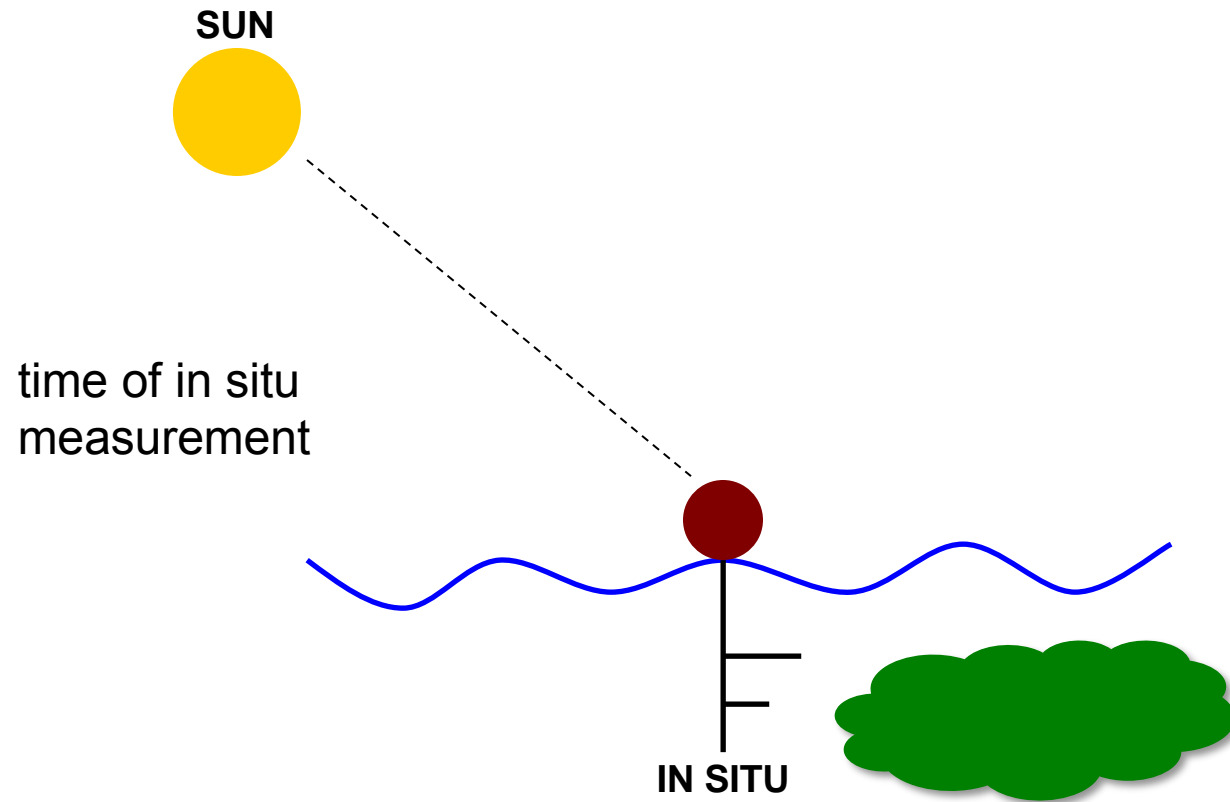
# bidirectional reflectance correction

$$\checkmark L_t = \left( \checkmark L_r + [\checkmark L_a + \checkmark L_{ra}] + \checkmark t_{dv} \checkmark L_f + \checkmark t_{dv} \checkmark L_w \right) \checkmark t_{gv} \checkmark t_{gs} \checkmark f_p + \checkmark T L_g$$

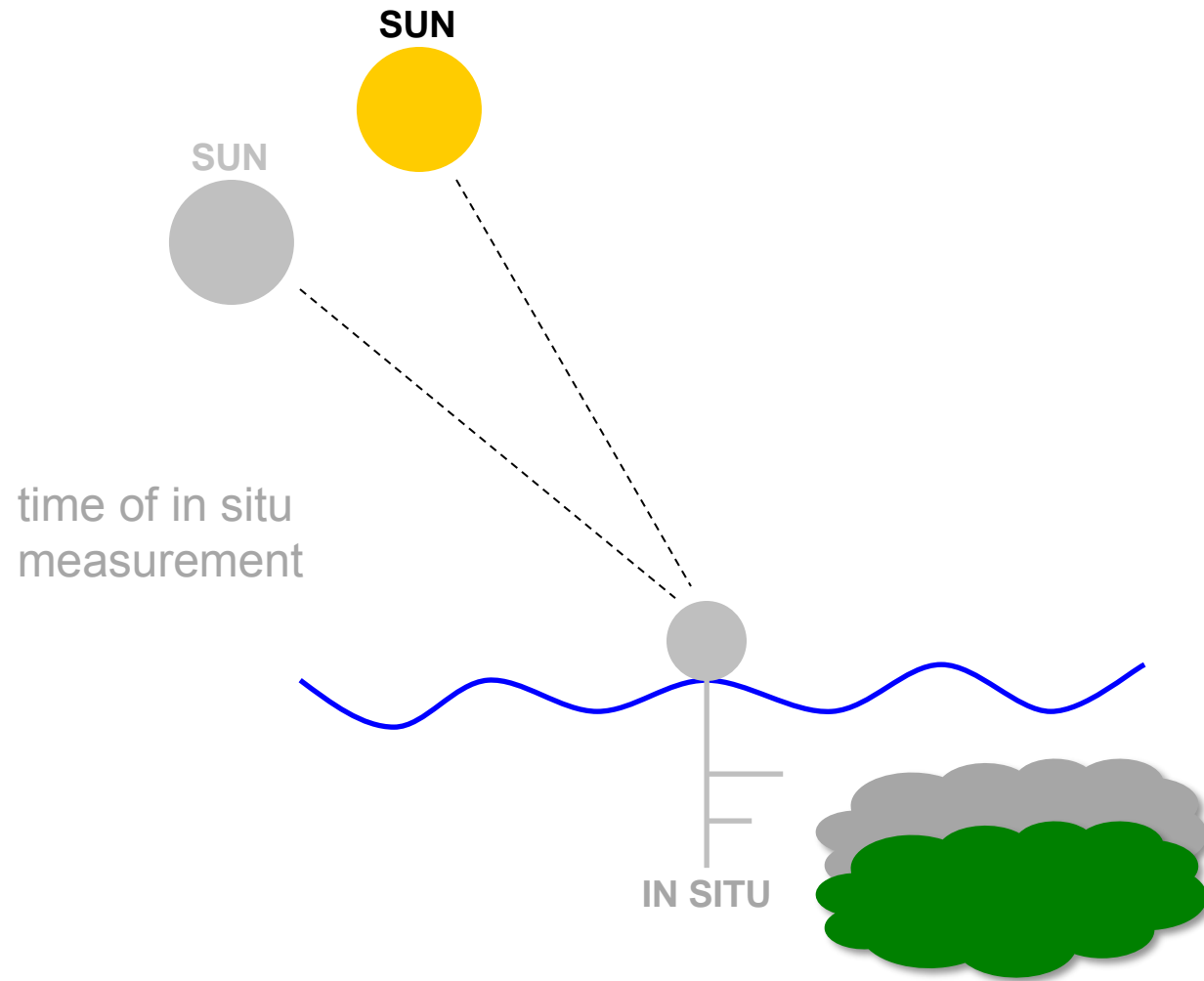
$$R_{rs} = \frac{L_w}{\checkmark F_0 \checkmark \cos(q_s) \checkmark t_{ds} \checkmark f_s \checkmark f_b \checkmark f_l}$$



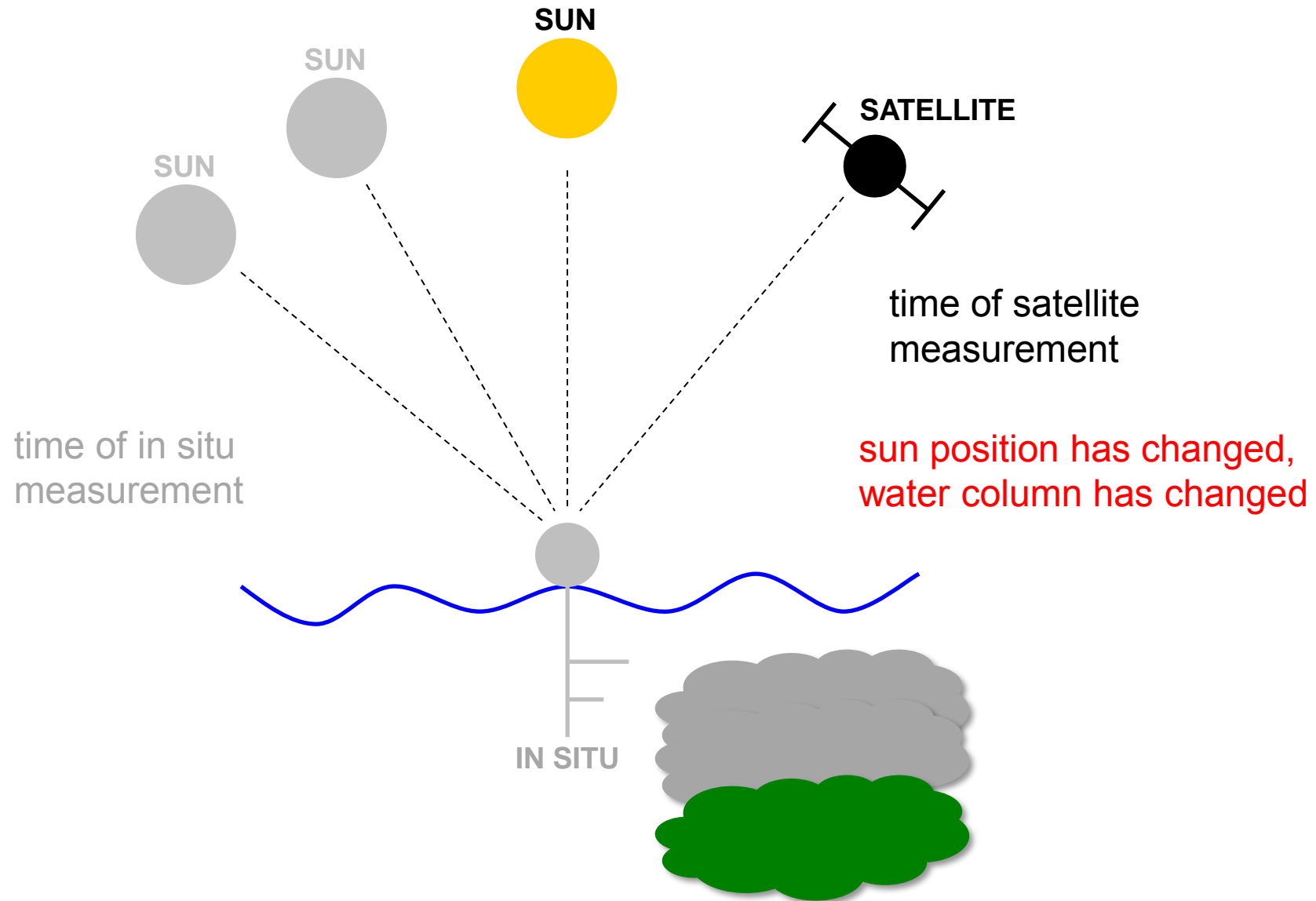
# bidirectional reflectance correction



# bidirectional reflectance correction



# bidirectional reflectance correction



# bidirectional reflectance correction

we normalize  $R_{rs}$  to account for Sun's changing position in the sky:

- pathlengths through atmosphere
- transmission of light through air-sea & sea-air interfaces
- angular features of in-water volume scattering functions

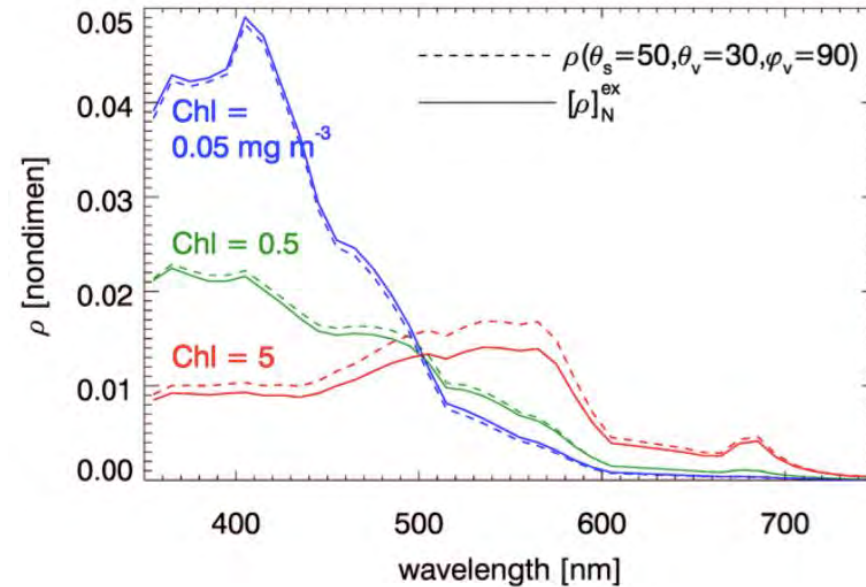
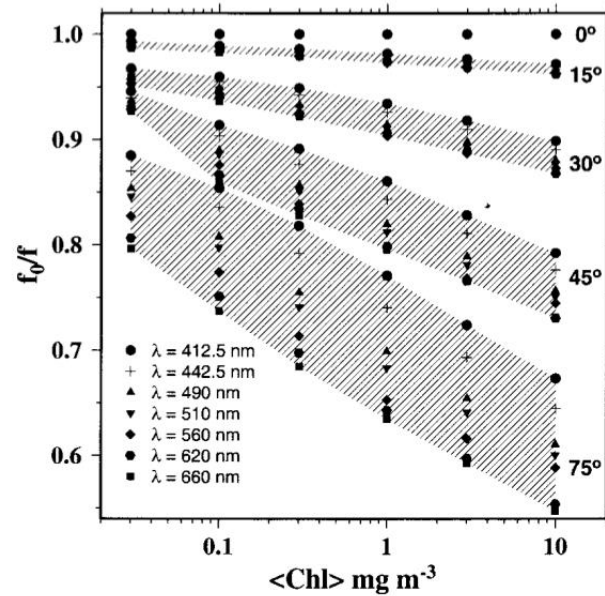
$$[L_w]_N^{\text{ex}} \equiv [L_w(\theta_v, \phi)]_N \frac{\mathfrak{R}_o(W)}{\mathfrak{R}(\theta'_v, W)} \frac{f_o(\text{ATM}, W, \text{IOP})}{Q_o(\text{ATM}, W, \text{IOP})} \left[ \frac{f(\theta_s, \text{ATM}, W, \text{IOP})}{Q(\theta_s, \theta'_v, \phi, \text{ATM}, W, \text{IOP})} \right]^{-1}$$

Morel et al., Applied Optics, 2002

$\mathfrak{R}, \mathfrak{R}_o, f, f_o, Q, Q_o$  from look-up-tables based on Chl & geometries of Sun & sensor  
to normalize all measurements (no subscript) to condition of overhead Sun (subscript  $_o$ )  
and no atmospheric losses (not “no atmosphere”)



# bidirectional reflectance correction



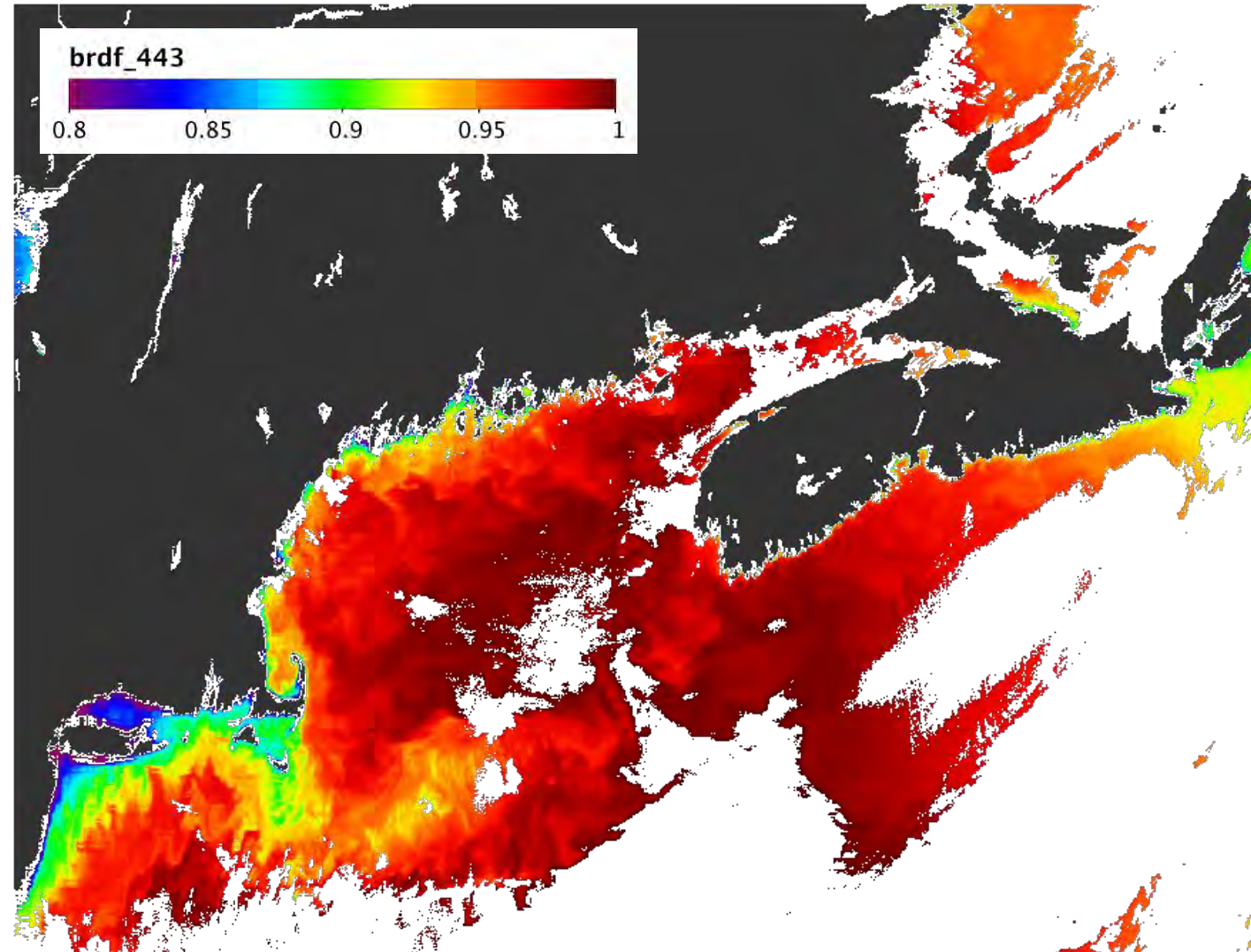
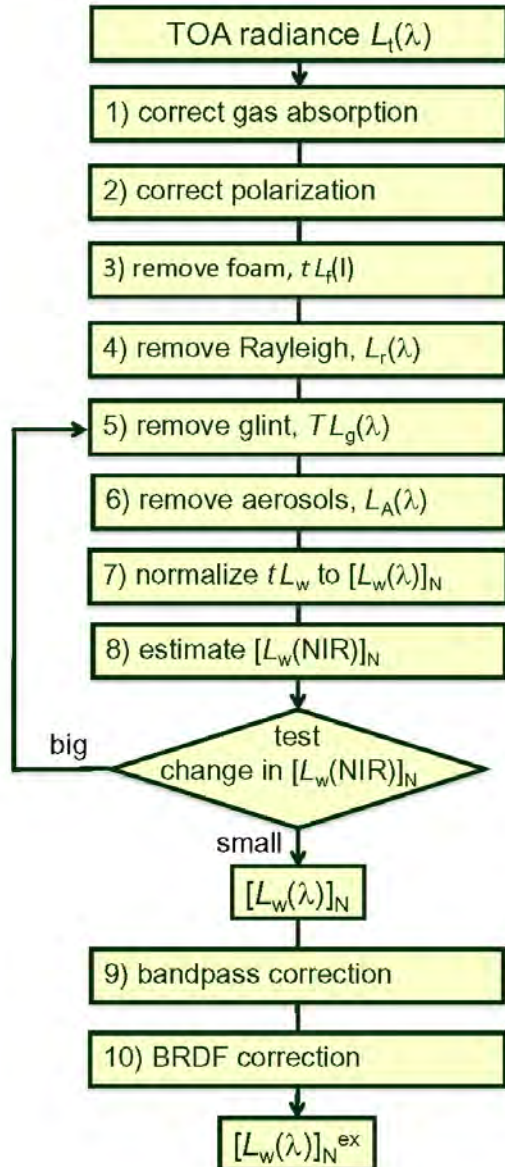
$$[L_w]_N^{\text{ex}} \equiv [L_w(\theta_v, \phi)]_N \frac{\mathfrak{R}_o(W)}{\mathfrak{R}(\theta'_v, W)} \frac{f_o(\text{ATM}, W, \text{IOP})}{Q_o(\text{ATM}, W, \text{IOP})} \left[ \frac{f(\theta_s, \text{ATM}, W, \text{IOP})}{Q(\theta_s, \theta'_v, \phi, \text{ATM}, W, \text{IOP})} \right]^{-1}$$

Morel et al., Applied Optics, 2002

$\mathfrak{R}, \mathfrak{R}_o, f, f_o, Q, Q_o$  from look-up-tables based on Chl & geometries of Sun & sensor

to normalize all measurements (no subscript) to condition of overhead Sun (subscript  $_o$ ) and no atmospheric losses (not “no atmosphere”)

# processing cadence: BRDF correction



so there you have it – perfect  $R_{rs}$

$$\checkmark L_t = \left( \checkmark L_r + [\checkmark L_a + \checkmark L_{ra}] + \checkmark t_{dv} \checkmark L_f + \checkmark t_{dv} \checkmark L_w \right) \checkmark t_{gv} \checkmark t_{gs} \checkmark f_p + \checkmark T L_g$$

$$R_{rs} = \frac{L_w}{\checkmark F_0 \checkmark \cos(q_s) \checkmark t_{ds} \checkmark f_s \checkmark f_b \checkmark f_l}$$

# ancillary data requirements

## ancillary data

atmospheric pressure  
water vapor  
relative humidity  
wind speed  
ozone  
NO<sub>2</sub>  
sea surface temperature  
sea ice

## ancillary source

NCEP  
NCEP  
NCEP  
NCEP  
OMI/TOMS  
Sciamachy/OMI/GOME  
Reynolds  
NSIDC

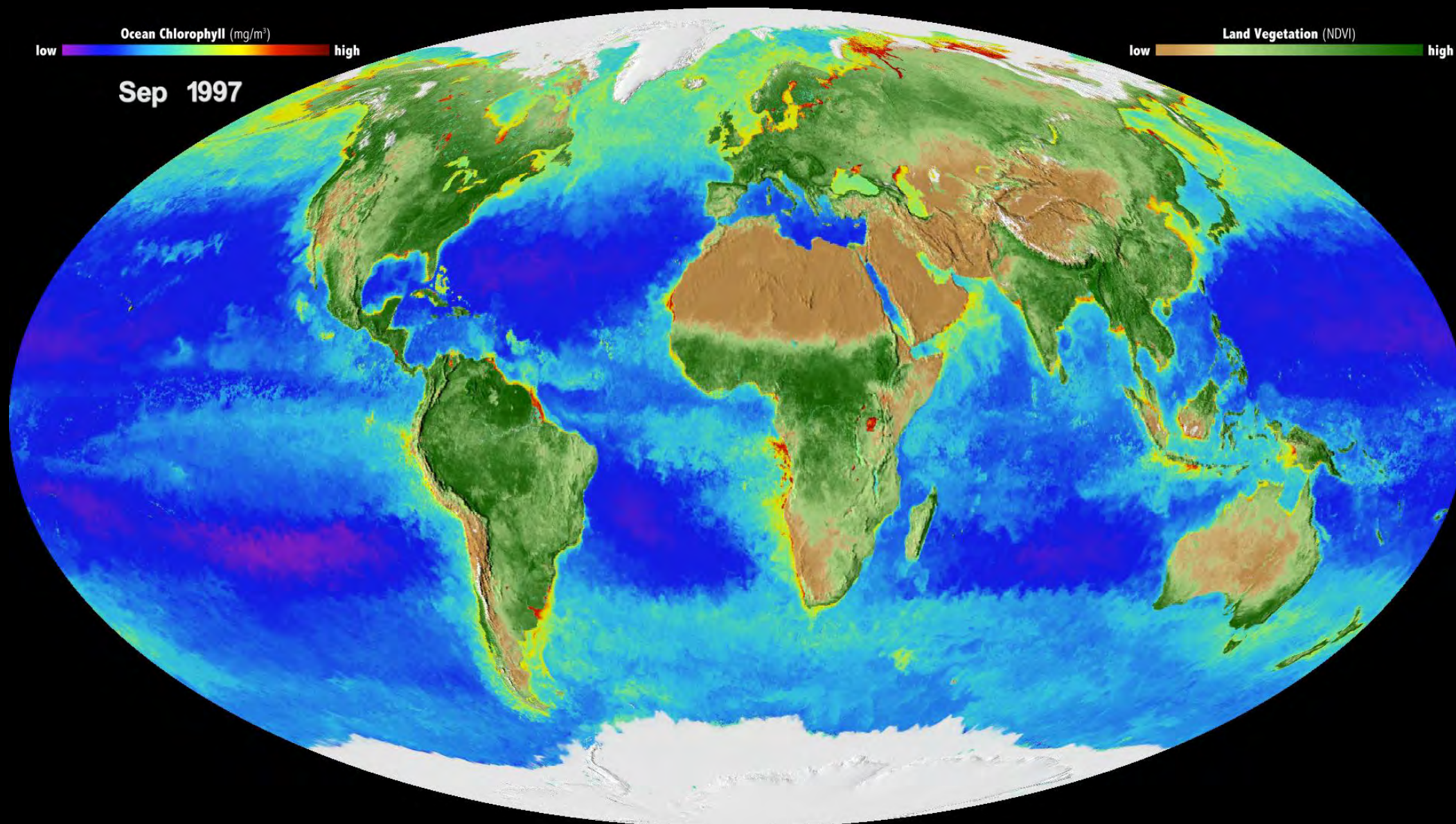
## uses

Rayleigh  
transmittance  
aerosol models  
white caps, Sun glint, Rayleigh  
transmittance  
transmittance  
bio-optical algorithms  
masking

## look-up tables, coefficients

aerosol models  
Rayleigh  
Rayleigh optical thickness  
ozone absorption  
NO<sub>2</sub> absorption  
pure seawater absorption, scattering, index of refraction (temp/sal dependent)  
f/Q (bidirectional reflectance distributions)  
others ...

All of this actually does work pretty well most of the time!!

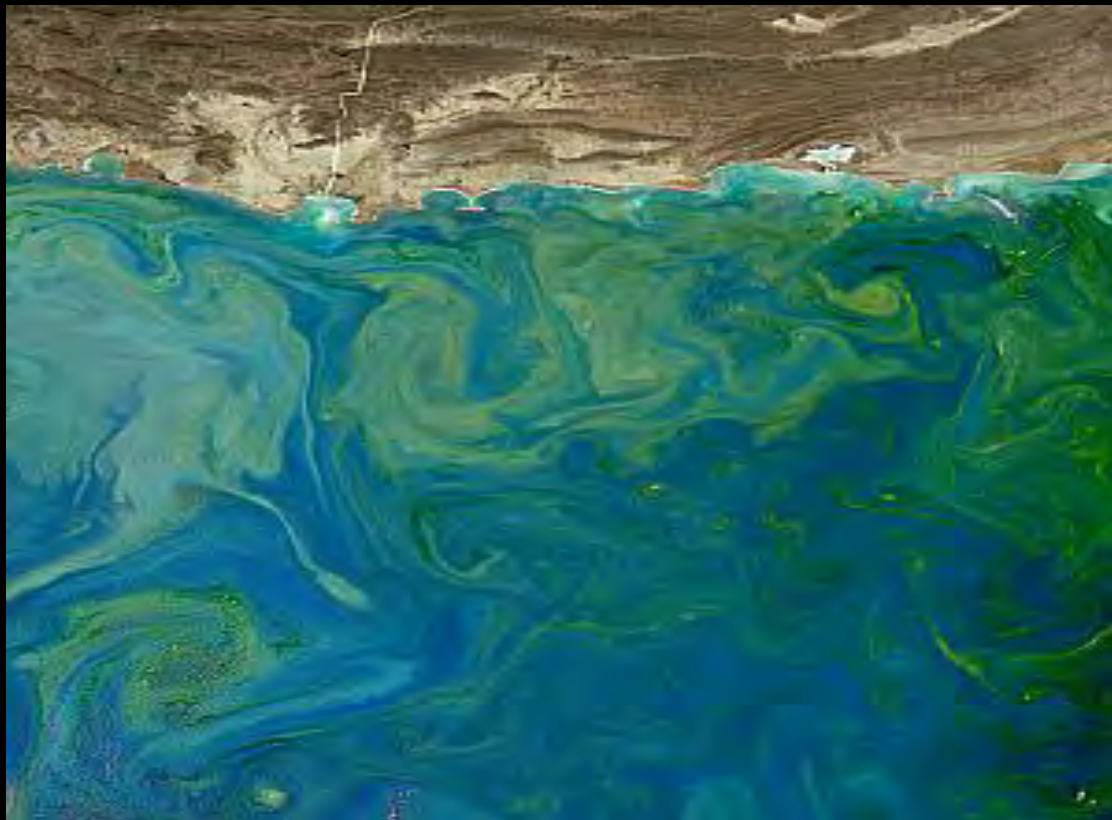


“I know of no competent biologist who considers this an important problem.”

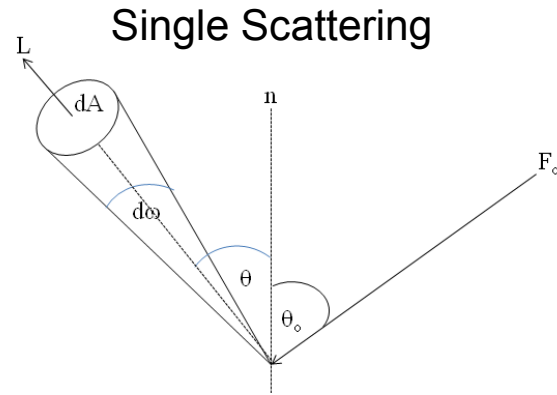
The opinion of an “eminent biologist” who reviewed the proposed Coastal Zone Color Scanner (1978-1986) back in the 1970s.

-- related to me by Howard Gordon

Thank you! Questions?



# single vs. multiple scattering

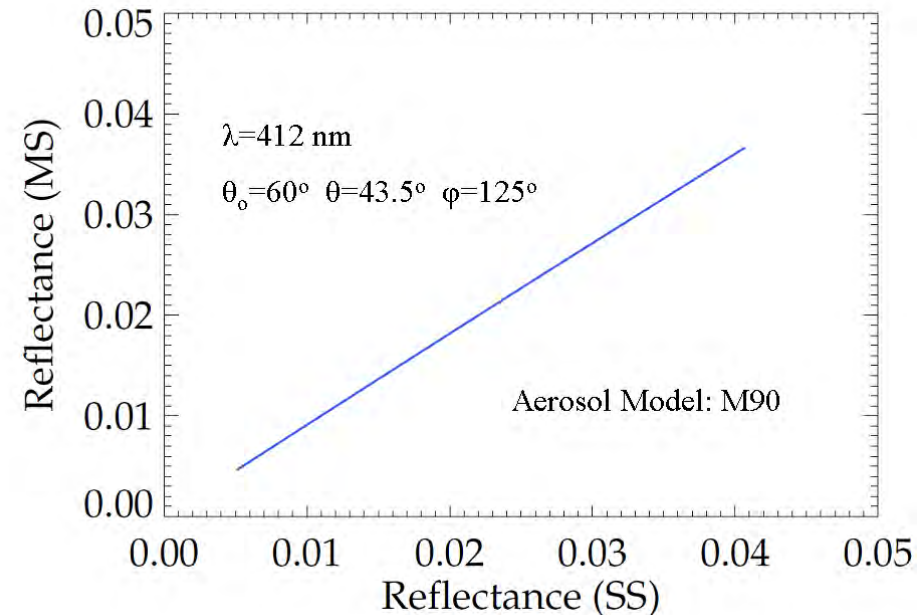
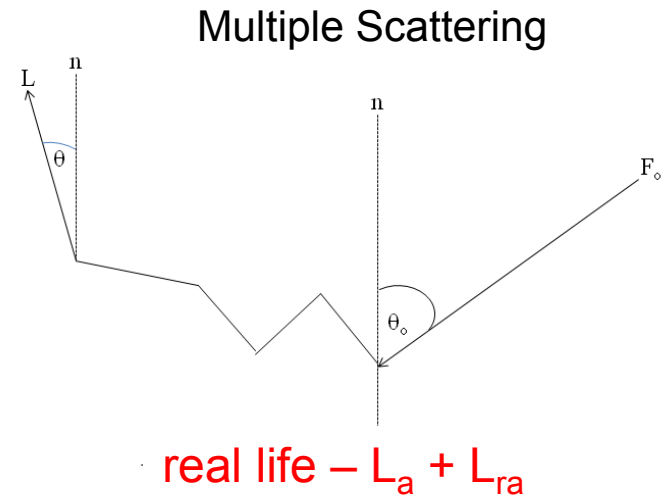


single scattering solution historically used to simplify math (CZCS – present)

in the atmospheric correction algorithm, the relationship between single (SS) and multiple scattered (MS) reflectances is defined as:

$$\ln(\rho_{ms}) = a_0 + a_1 \ln(\rho_{ss}) + a_2 [\ln(\rho_{ss})]^2$$

see Gordon & Wang, Applied Optics, 1994





# single vs. multiple scattering

why bring this up?

- satellites “observe” multi-scattering
- operational atmospheric corrections schemes convert to single-scattering
  
- what errors are introduced? (plus, error propagation made difficult)
- is this still necessary?