Lecture 6 – Scattering Part 1

Defining and Measuring Scattering Theoretical Basis of Scattering Linking Particle Properties and Scattering

> Wayne Slade (Sequoia Scientific, Bellevue WA) Ocean Optics Summer Class 2023

Playing with Light...



No Scattering

Scattering

Light interactions with matter in the ocean

Absorption is the removal of photon and conversion of its energy to molecular energy (thermal, chemical, fluorescence emission) – quantum stuff

Scattering is the change in direction (elastic scattering) and/or wavelength (inelastic scattering) of a photon

Matter is composed of discrete electric charges (electrons and protons)

Obstacle (e.g., e-, atom, molecule, particle) illuminated by electromagnetic wave will have electric charges set in oscillatory motion by the E-field of incident wave

Accelerated electric charges radiate emag energy in all directions – this secondary radiation is scattering

Scattering = excitation + re-radiation





Context – Scattering parts 1 and 2



Scattering as an Inherent Optical Property (IOP) Scattering related to familiar physical/optical processes Particle properties affecting scattering A view beyond unpolarized scattering

part 1

How do we measure scattering in the ocean? Examples of particle scattering in the ocean Issues and inspiration...

POTPOURRI

Why is scattering important in ocean optics?

Collin's Overview of Light in Water Scattering determines the angular distribution of the radiance (RTE) Basis for ocean color remote sensing (surface, in-water, bottom)

Enhances absorption effects (increased pathlength)

We can use scattering properties as proxies for particle (and/or medium) properties (dependence on size, shape, composition)

Degrades underwater visibility

Why is scattering important in ocean optics?

Degrades underwater visibility

What is different in these two scenarios?





Schmidt Ocean Institute

https://www.rowandsreef.com/

Light interactions with matter in the ocean

Scattering occurs in three places:

air-sea interface via reflection and refraction (complexity of wind-blown surface) sea-bottom interface via reflection (sediments, corals, algae) within the water column by molecular and particle constituents: particles, pure seawater (water molecules and salts), turbulence (density fluctuations), bubbles

All light scattering is due to the same fundamental idea of emag radiation (waves) interacting with discrete charges (electrons and protons)

Depending on scale and particular problem, different physics dominate, and different physical/mathematical models are used (Rayleigh, diffraction, Mie, geometric optics, etc.)



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Fifty years of inherent optical properties

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ARTICLE INFO

ABSTRACT

Article history: Available online 13 May 2014 This paper describes my career in Ocean Optics over nearly half a century. It was centered around the Inherent Optical Properties (IOP, the scattering and absorption properties of sea water and its dissolved and suspended materials). The paper describes the development of instrumentation for the measurement of the IOP, the applicable theories, and the inversions to obtain biogeochemical parameters. This is not intended to be a thorough review, but rather describes a personal journey.



Inherent Optical Properties are **the scattering and absorption characteristics of particulate and dissolved materials in natural waters**. The IOP can be used to determine the characteristics of the underwater light field when the incoming light field is known.

Inherent Optical Properties



radiant flux [watt]

Conservation of Energy

$$\Phi_i(\lambda) = \Phi_a(\lambda) + \Phi_s(\lambda) + \Phi_t(\lambda)$$

Define fraction of power absorbed, scattered, transmitted:

Transmittance Absorptance Scatterance $A(\lambda) = \frac{\Phi_a(\lambda)}{\Phi_i(\lambda)} \quad B(\lambda) = \frac{\Phi_s(\lambda)}{\Phi_i(\lambda)} \qquad T(\lambda) = \frac{\Phi_t(\lambda)}{\Phi_i(\lambda)}$ [unitless]

 $A(\lambda) + B(\lambda) + T(\lambda) = 1$

Inherent Optical Properties



absorption and scattering "coefficients" are defined <u>per unit distance</u>

$$B(\lambda) = \frac{\Phi_s(\lambda)}{\Phi_i(\lambda)} \qquad b(\lambda) = \lim_{\Delta r \to 0} \frac{\Delta B(\lambda)}{\Delta r} = \frac{dB(\lambda)}{dr} \qquad [m^{-1}]$$

Like absorptance, scatterance is not something we typically use – we need the pathlength for context The scattering coefficient $b(\lambda)$ is a measure of the overall magnitude of the scattered light with no information about angular distribution

Defining the Volume Scattering Function (VSF)

Proportionality factor relating intensity of light scattered in a direction (ξ) by an infitesimal volume (dV) of a scattering medium illuminated by a plane wave of irradiance (E_i)



Defining the Volume Scattering Function (VSF)

Scattering direction $\vec{\xi}$ is typically thought of in spherical coordinates, i.e., polar angle (ψ) and azimuthal angle (ϕ)

For unpolarized incident light and common assumption that scattering medium is isotropic (or axially symmetrical about direction of propagation of the incident light beam) results in azimuthal symmetry



The polar angle ψ is typically referred to as the scattering angle in ocean optics

Example variability in the ocean VSF

The classic measurements of Theodore J. Petzold (1972, 1977)

Most widely used and cited scattering measurements in ocean optics

Combined from two different instruments (LASM and GASM) Measured in limited environments: clear Bahamas, coastal California, San Diego harbor



https://www.oceanopticsbook.info/view/scattering/petzolds-measurements https://misclab.umeoce.maine.edu/education/VisibilityLab/reports/SIO_72-78.pdf

The scattering coefficients

Integrating over all directions $(\vec{\xi})$ gives the total scattered power per unit incident irradiance and unit volume of water





Solid angle review



The scattering coefficients

Integrating over all directions $(\vec{\xi})$ gives the total scattered power per unit incident irradiance and unit volume of water



$$b(\lambda) = 2\pi \int_0^{\pi} \beta(\psi, \lambda) \sin \psi \, d\psi$$

$$b(\lambda) = c(\lambda) - a(\lambda)$$

 $b(\lambda) = \int_{\Xi} \beta(\vec{\xi}, \lambda) d\Omega$

 $\beta(\psi,\lambda)$

$$_{l^{"}} \omega_0(\lambda) = \frac{b(\lambda)}{a(\lambda) + b(\lambda)}$$

E denotes the unit

 $d\Omega = \sin\theta \, d\theta \, d\phi$

 $b(\lambda) = \int_{0}^{2\pi} \int_{0}^{\pi} \beta(\psi, \phi, \lambda) \sin \psi \, d\psi \, d\phi$

sphere of all directions

The scattering coefficients

Scattering is often divided into the forward and backwards scattering components:







Particulate backscattering ratio:

$$B_p(\lambda) = \frac{b_{bp}(\lambda)}{b_p(\lambda)}$$

Useful parameter to quantify relative strength of backscattering

Can also be used as a proxy for particulate index of refraction

Scattering phase function

The VSF can be factored into the product of the scattering coefficient (magnitude) and the "phase function" (angular information)

$$ilde{eta}(\psi,\lambda) = rac{eta(\psi,\lambda)}{b(\lambda)}$$
 [sr¹]

scattering coefficient: amount of scattering (first order amount of stuff)

phase function: angular scattering "behavior" of the medium



Assumption appears ok for Petzold, but keep in mind that the data has limited dynamic range...

Scattering phase function



Petzold average compared with coastal measurements from MVSM (Multi-spectral Volume Scattering Meter) instrument Figure from Mobley, data from E. Boss, M. Lewis



MASCOT measurements from surf zone, with representative phase functions for "background" scattering, suspended sediment, and bubble dominated (Twardowski et al. 2012)

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Scattering parameters are additive

Like absorption $a_{tot}(\lambda)$ being partitioned into $a_p(\lambda)$, $a_w(\lambda)$, $a_{cdom}(\lambda)$, $a_{nap}(\lambda)$, etc. using solvent extraction, filter fractionation, or other operational definition, scattering parameters are similarly additive

VSFs and scattering coefficients are additive

phase functions must be weighted per the fraction of component scattering

$$\beta(\psi) = \sum_{i=1}^{N} \beta_i(\psi) = \sum_{i=1}^{N} b_i \tilde{\beta}_i(\psi)$$

$$b(\lambda) = \sum_{i=1}^{N} b_i(\lambda)$$
$$b_b(\lambda) = \sum_{i=1}^{N} b_{b,i}(\lambda)$$

$$\tilde{\beta}(\psi) = \sum_{i=1}^{N} \frac{b_i}{b} \tilde{\beta}_i(\psi)$$

$$\tilde{\beta}(\psi) = \frac{b_w}{b} \tilde{\beta}_w(\psi) + \frac{b_\phi}{b} \tilde{\beta}_\phi(\psi) + \frac{b_{nap}}{b} \tilde{\beta}_{nap}(\psi) + \cdots$$

$$\tilde{\beta}(\psi) = \sum_{i=1}^{N} \frac{b_i}{b} \tilde{\beta}_i(\psi)$$

Basic principles of scattering – refraction

Refraction is the redirection of a wave as it passes from one medium to another

Light travels slower in medium other than vacuum, described by the index of refraction, the ratio of the speed of light in vacuum (c) to the speed of light in the medium (v)



$$n(\lambda) = \frac{c}{v(\lambda)} \sim \sqrt{\epsilon_r(\lambda)}$$

 ϵ_r is relative electric permittivity, a material property

$$n = \frac{n_p}{n_m}$$

we are often concerned about the relative refractive index of a particle, i.e., relative to the medium

complex index of refraction describes absorption

$$m(\lambda) = n(\lambda) + in'(\lambda)$$
 $a(\lambda) = \frac{4\pi n'(\lambda)}{\lambda}$

Snells Law (https://en.wikipedia.org/wiki/Refraction)

By jkrieger - File:Beugungsspalt fuer schlitzblende2.svg, CC BY-SA 3.0, https://commons.wikimedia.org/w/index.php?curid=18705795

Basic principles of scattering – diffraction

Analogous to diffraction by a slit or aperture

Huygens-Fresnel principle: every point on wavefront is a new secondary wave

Fraunhofer diffraction $({}^{D^2}/_{L\lambda} \ll 1)$ equation is a far-field approximations

For circular aperture, diffracted intensity is independent of index of refraction





Rayleigh scattering

Rayleigh scattering results from the electric "polarizability" of the particles

Polarizability is the tendency of matter to respond (oscillate) in response to applied electric field – if we apply an E-field, how much does the spring move?

Opposite charges of the dipole have a characteristic field



"polar" atom or molecule has asymmetry wrt charge distribution (+)(+)(+) (-)(-)(-) (+)(+)(+)(+) (-)(-)(-) (+)(+)(+)(+)



Rayleigh scattering





time

Incident wave's E-field sets up oscillating dipole, which in turn generates secondary radiation Scattering = excitation + re-radiation

Rayleigh scattering (unpolarized)



$$\beta(\psi, \lambda) = \frac{\pi^4 D^6}{8\lambda^4} \left(\frac{m^2 - 1}{m^2 + 1}\right)^2 (1 + \cos^2 \psi)$$

General concept of scattering by a single particle

Consider the scattering by a single particle, dividing the particle into small regions approximating dipoles (each with secondary wave)

At some far-field point, scattered field is the sum of all the secondary waves including their phase differences

For small particles, the secondary waves will be approximately in phase

For large particles there become significant effects of constructive and destructive interference between the secondary waves – the larger the particle relative to wavelength, the more structure in scattering pattern





http://hyperphysics.phy-astr.gsu.edu/ Bohren and Huffman (2004)

What parameters define scattering by a particle?

First order drivers of scattering:

size of particle relative to incident wavelength

$$x = \frac{\pi D}{\lambda}$$

index of refraction of particle relative to medium

 $m = \frac{m_p}{m_m}$

Scattering regimes and models





Lorenz-Mie theory for homogenous spheres is a general computational solution to Maxwell's eqs. for EM scattering in spherical coordinates Given size (particle diameter and wavelength) and relative refractive index, we can calculate its IOPs including polarized angular scattering

Clavano et al. (2007)

Basic principles of scattering – particles





Model including diffraction, transmission, and reflection compares well with Mie theory

Diffraction is needed to explain expected (Mie) scattering pattern

Fig. 4.1 Angular distribution of scattered intensity from transparent spheres calculated from Mie theory (Ashley and Cobb, 1958) or on the basis of transmission and reflection, or diffraction, transmission and reflection (Hod-kinson and Greenleaves, 1963). The particles have a refractive index (relative to the surrounding medium) of 1.20, and have diameters 5 to 12 times the wavelength of the light. After Hodkinson and Greenleaves (1963).

This is an example of two different approaches to describe the underlaying physics: essentially Snell's law and Fraunhofer diffraction vs. rigorous solution of Maxwells equations for spherical particle

Basic principles of scattering – modeling particle properties

Consider Lorenz-Mie theory since it's generally applicable to marine particles





absorption and scattering "cross sections" [m²]

"amplitude scattering matrix" elements that describe polarized scattering and can be used to calculate phase function

 $\tilde{\beta}(\psi) \sim \frac{1}{2} \left(\left| S_1^2 \right| + \left| S_2^2 \right| \right)$

"cross sections" C_{abs} , C_{sca} are the equivalent area of the incident plane wave that has energy equal to the energy absorbed or scattered by the sphere

(More in the ME and EB's lectures 9 and 13 on particles and of course the Mie lab...)

If a particle has a given cross-sectional area A, then the dimensionless "efficiencies" Q_{abs} , Q_{sca} are the fractions of energy passing through that area that are absorbed or scattered, e.g.,

$$Q_{sca} = \frac{C_{sca}}{A}$$

Basic principles of scattering – modeling particle properties

"When criticized for using Mie theory where its applicability is dubious, modelers sometimes say that although they know that Mie theory is inadequate, it is the only game in town. Better to do wrong calculations than to do none at all. Modelers have to model.

We suggest an alternative to modeling. It is called not modeling–not modeling, that is, until adequate methods are at hand."



(Bohren and Singham 1991)

Connecting single particles to bulk scattering

 $C_{abs}(x,m), C_{sca}(x,m)$

scattering "cross sections" $[m^2]$ are for a single particle with given properties (e.g., x, m)

Consider a "bulk" volume of ocean with many of that same N [# m⁻³] particle with given x, m

$$b(\lambda) = NC_{sca}(\frac{\pi D}{\lambda}, m)$$

[m⁻³][m²] = [m⁻¹]
$$x = \frac{\pi D}{\lambda}$$

In reality, we usually have a wide range of particle sizes in the ocean, described by the particle size distribution (PSD) n(D)

n(D)dD is the number of particles per volume with diameters in a "bin" between D and D + dD

$$b(\lambda) = \int_0^\infty n(D) C_{sca}(D,m) dD$$

[m⁻³ µm⁻¹] [m²] [µm] = [m⁻¹]

Spectral dependence of attenuation





Mobley (2022) Ocean Optics Book Boss et al. (2013) doi:10.1016/j.mio.2013.11.002

Spectral dependence of scattering

If $c_p(\lambda)$ is well-represented as a smooth power-law function of wavelength, what will $b_p(\lambda)$ look like?

$$c_p(\lambda) = c_p(\lambda_0) \left(\frac{\lambda}{\lambda_0}\right)^{-1}$$

$$b_{nap}(\lambda) = c_{nap}(\lambda_0) \left(\frac{\lambda}{\lambda_0}\right)^{-\gamma} - a_{nap}(\lambda)$$

smooth fu

smooth function of wavelength

$$\begin{pmatrix} 0.4 \\ 0.3 \\ 0.2 \\ 0.4 \\ 0.4 \\ 0.6 \\ 0.2 \\ 0.6 \\ 0$$

$$b_{\phi}(\lambda) = c_{\phi}(\lambda_0) \left(\frac{\lambda}{\lambda_0}\right)^{-\gamma} - a_{\phi}(\lambda)$$

highly variable over wavelength (pigments)

Estapa et al. (2012) Mobley (2022) Ocean Optics Book

Spectral dependence of scattering



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Boss et al. (2013) doi:10.1016/j.mio.2013.11.002

Babin et al. (2003) doi:10.4319/lo.2003.48.2.0843

Fournier-Forand analytic phase function

"Approximate analytic" formula for a power-law size distribution of anomalous diffraction (VDH) scatterers

$$\beta_p(\psi) \sim \lambda^{3-\mu} \int_0^\infty Q_{sca}(x) P(\psi, x) x^{2-\mu} dx \qquad \begin{array}{l} \text{single particle phase} \\ \text{function } P(\psi, x) \end{array}$$

Very savvy approximations of Qsca and P that model the behavior of those functions for marine-like soft particles

$$\begin{split} \tilde{\beta}_{\rm FF}(\psi) &= \frac{1}{4\pi (1-\delta)^2 \delta^{\nu}} \left[\nu \left(1-\delta\right) - \left(1-\delta^{\nu}\right) + \left[\delta(1-\delta^{\nu}) - \nu(1-\delta)\right] \sin^{-2}\left(\frac{\psi}{2}\right) \right] \\ &+ \frac{1-\delta_{180}^{\nu}}{16\pi (\delta_{180}-1)\delta_{180}^{\nu}} (3\cos^2\psi - 1) \\ \nu &= \frac{3-\mu}{2} \text{ and } \delta = \frac{4}{3(n-1)^2} \sin^2\left(\frac{\psi}{2}\right) \\ B &= \frac{b_{\rm b}}{b} = 1 - \frac{1-\delta_{90}^{\nu+1} - 0.5(1-\delta_{90})\delta_{90}^{\nu}}{(1-\delta_{90})\delta_{90}^{\nu}} \end{split}$$

Fournier-Forand analytic phase function



Stokes vectors to describe light polarization



Polarization is defined in terms of the direction of the plane wave E-field



Mueller matrix describes polarized scattering



$$\begin{bmatrix} I_s \\ Q_s \\ U_s \\ V_s \end{bmatrix} = \begin{bmatrix} M_{11} & M_{12} & 0 & 0 \\ M_{21} & M_{22} & 0 & 0 \\ 0 & 0 & M_{33} & M_{34} \\ 0 & 0 & M_{43} & M_{44} \end{bmatrix} \begin{bmatrix} I_i \\ Q_i \\ U_i \\ V_i \end{bmatrix}$$

Simplified Mueller matrix for randomly oriented particles with symmetry

$$M_{11}(\psi)=\beta_p(\psi)$$

$$DoLP = \frac{M_{12}(\psi)}{M_{11}(\psi)}$$

Modeled polarized non-spherical vs. spherical scattering



Scattering 'big picture'

The VSF includes the effects of all the simple and complicated physical phenomena (reflection, refraction, diffraction, polarizability, etc.)

We approach scattering of different constituents with different models depending on size, reasonable assumptions, etc.

Magnitude of VSF depends on the type and concentration of the particles. Shape of VSF depends on the particle size, shape, internal structure, composition

VSF parameterizes unpolarized incident and scattered light. For polarization we have a scattering function for each combination of incident and scattered polarization (for example vertical linear to horizontal linear).

We usually assume isotropic media and randomly oriented particles, so no azimuthal dependence of scattering, i.e., $\beta(\psi)$ not $\beta(\psi, \phi)$. Beware if your particles orient with flow.



The Oceanic Optics Book

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Coordinating Group

International Ocean Colour





The New York Times

Swaths of North America Are Shrouded Under Unhealthy Air

LIVE 6m ago

New York City Air Quality Hits Worst Level on Record

- The sky in New York City rapidly darkened as a plume of smoke from Canadian wildfires blotted out the sun and triggered air pollution warnings.
- Gov. Kathy Hochul of New York called the worsening air quality "an emergency crisis," and warned it could last several days.

See more updates 9+

In New York City, the hazy, unhealthy air is expected to linger through Thursday morning.

2 MIN READ



