

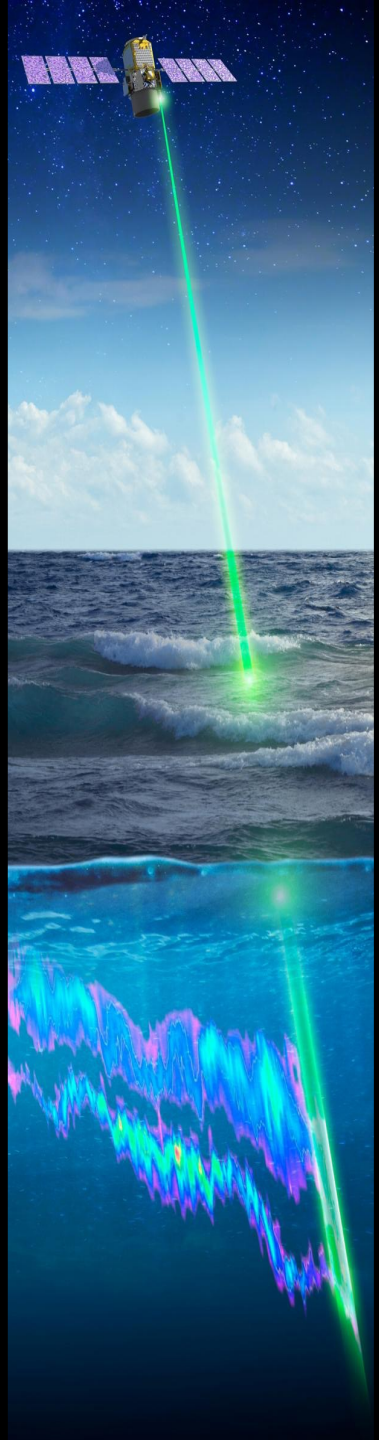
# Lidar

## for ocean studies

**Kelsey Bisson**

Assistant Professor Sr Research  
Oregon State University

Ocean Optics Class 2023  
Ocean Optics 2015 Alumni



# Plan for today

- Lidar basics
- What does our world look like under lidar?
- What discoveries have we made?
- Data availability and processing
- Sources of uncertainty
- Ongoing work
- Summary and advice for future studies

# Light Detection And Ranging (LiDAR)

## Lidar basics

Lidar world

Discoveries

Data

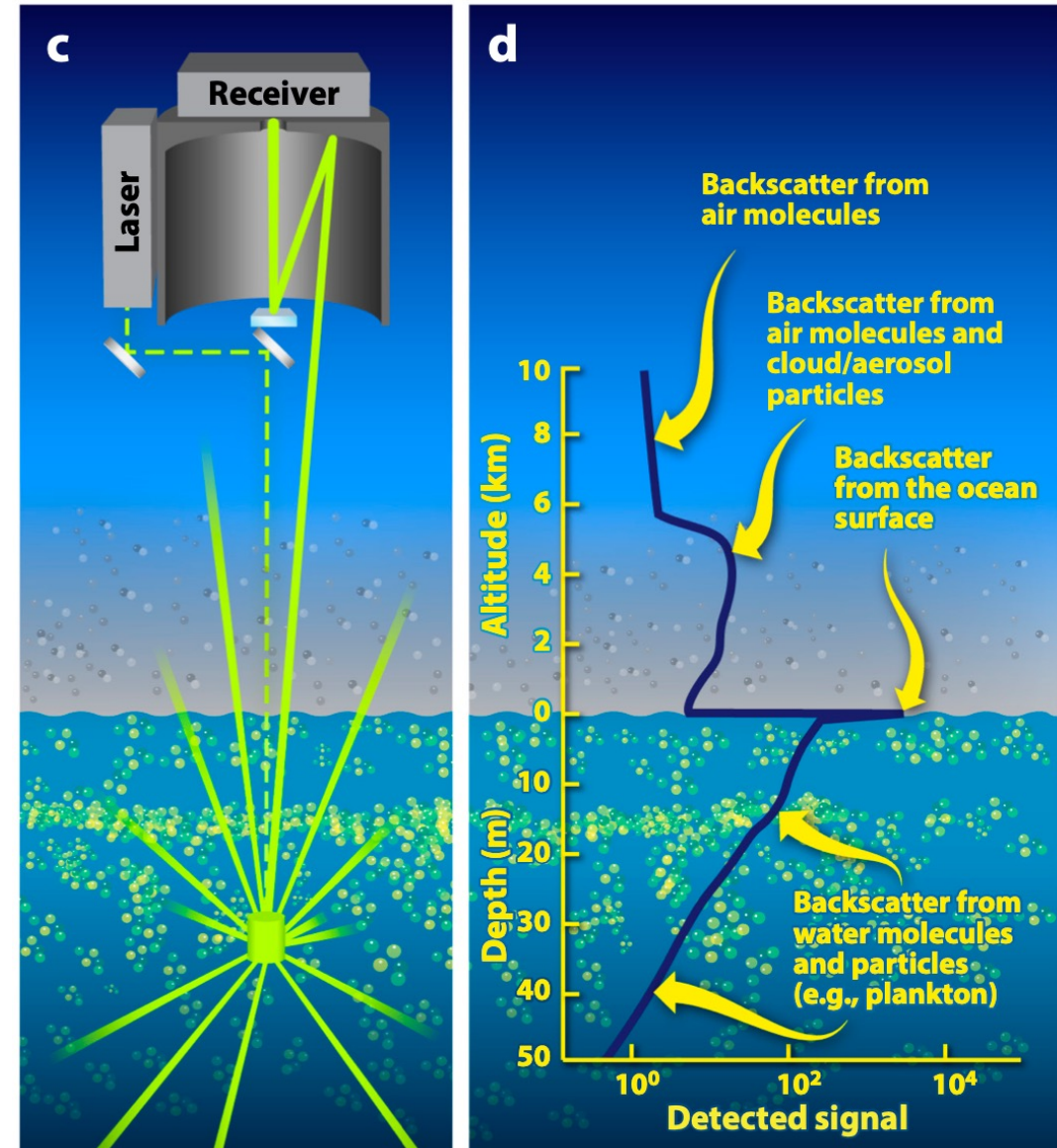
Uncertainty

Ongoing work

Summary

- Active measurement: Emits photons and measures distance (height) using time of travel & speed of light
- Vertical resolution is  $f(\text{photon frequency})$
- $b_{bp}$  in water can be calculated whereafter phytoplankton carbon can be derived (using  $C_{phyto}$  empirical relationships)

No dedicated ocean lidar satellite in orbit (yet!!)





**Lidar basics**

Lidar world

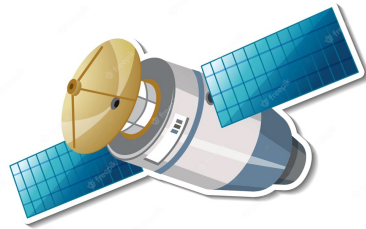
Discoveries

Data

Uncertainty

Ongoing work

Summary



## CALIPSO (2006) and ICESat-2 (2018)

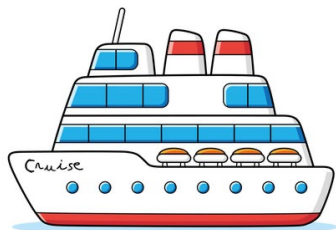
Cloud-Aerosol  
Lidar and Infrared  
Pathfinder  
Satellite  
Observation

Ice, Cloud and land  
Elevation Satellite



Used for decades to study the oceans (pioneering work by Churnside and others)

iHeartCraftyThings.com



Can measure laser induced fluorescence, wavelength shifted Raman scattering, polarization properties



Lidar basics

Lidar world

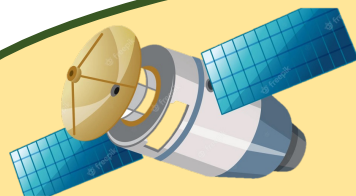
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Data

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Ongoing work

Summary



## CALIPSO (2006) and ICESat-2 (2018)

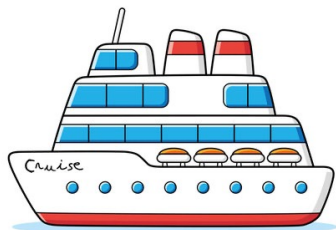
Cloud-Aerosol  
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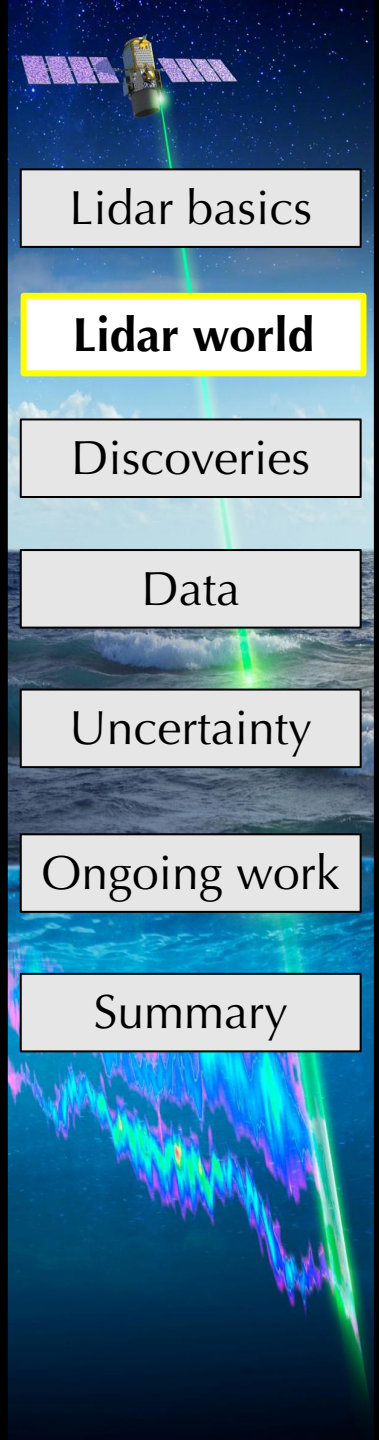


Used for decades to study the oceans (pioneering work by Churnside and others)

iHeartCraftyThings.com



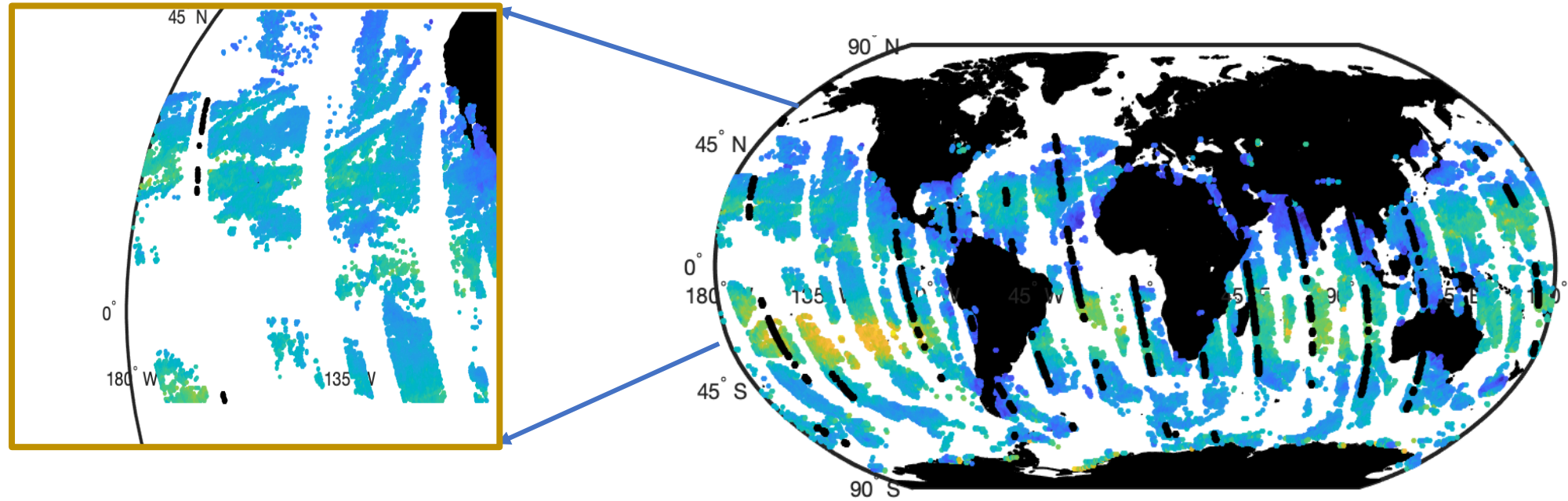
Can measure laser induced fluorescence, wavelength shifted Raman scattering, polarization properties (look for work by Brian Collister and others)



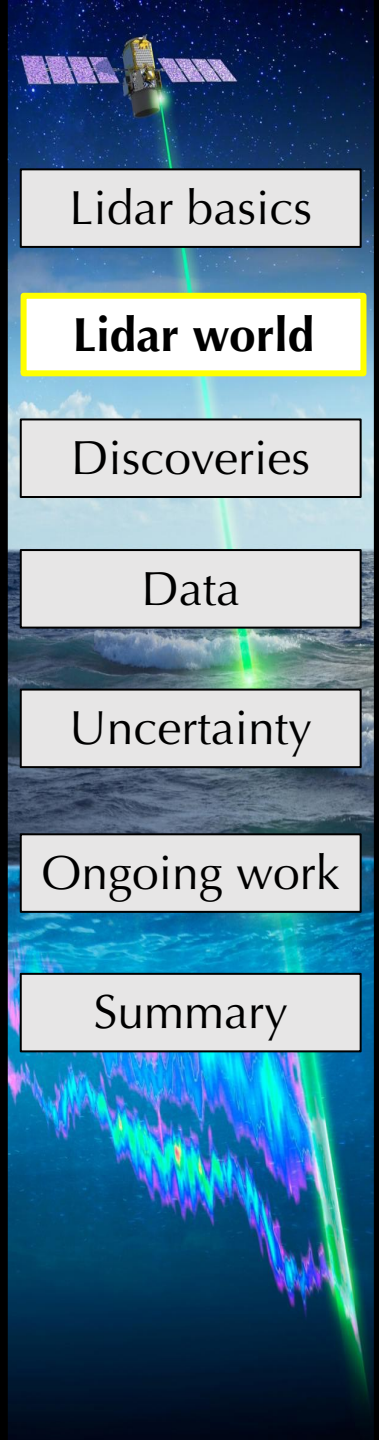
# What does the world look like from a lidar perspective?

## SPATIAL COVERAGE

1 day global coverage comparisons with MODIS-Aqua (passive satellite)

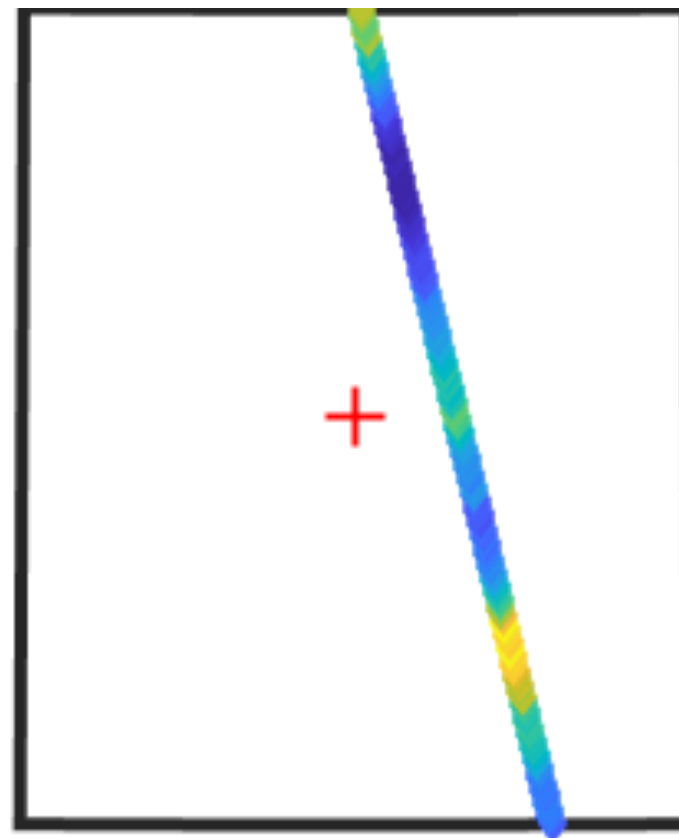
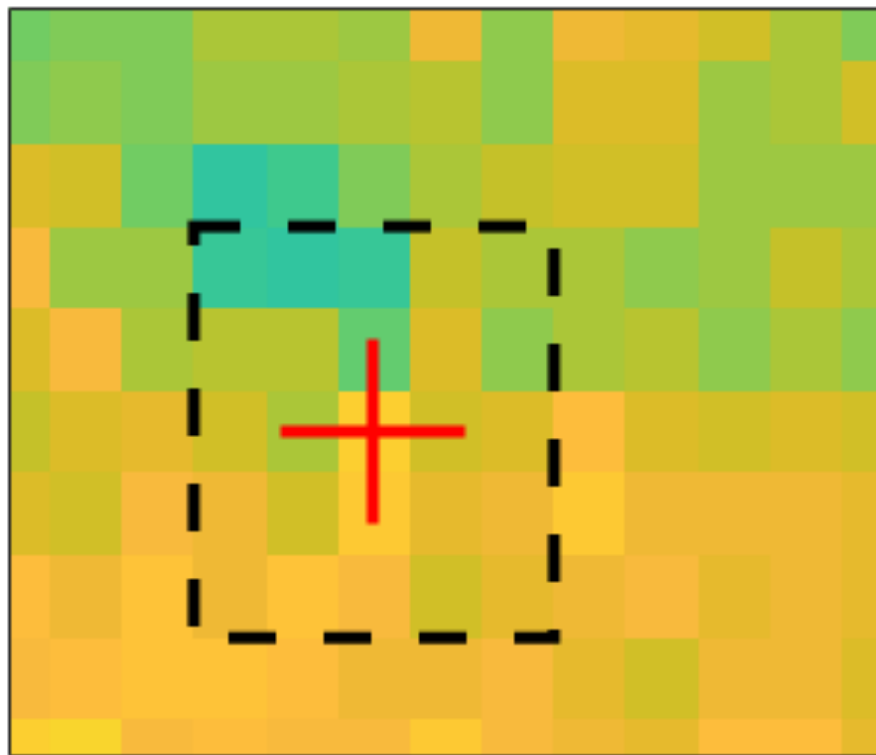


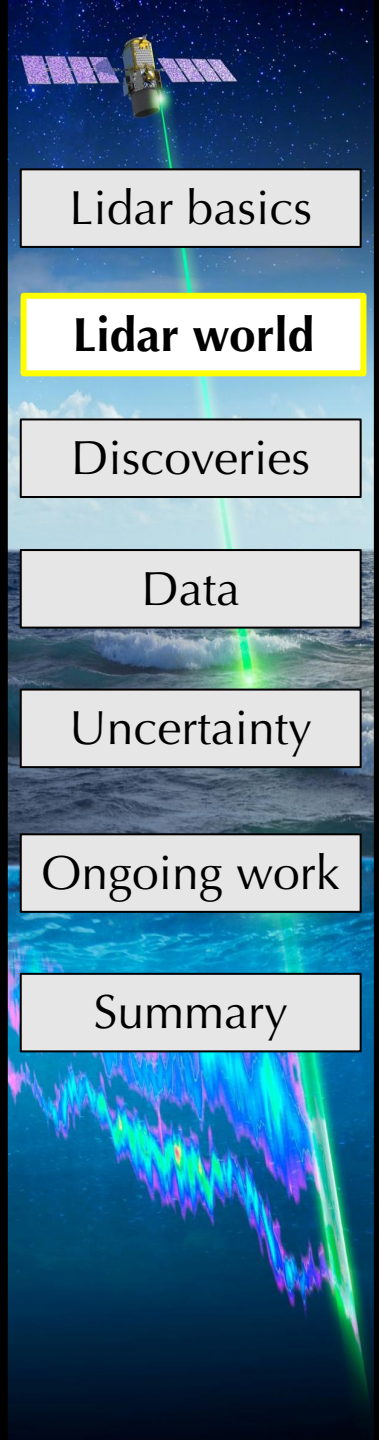
Lidar  $b_{bp}$  is in **black**, MODIS  $b_{bp}$  is **colored**.



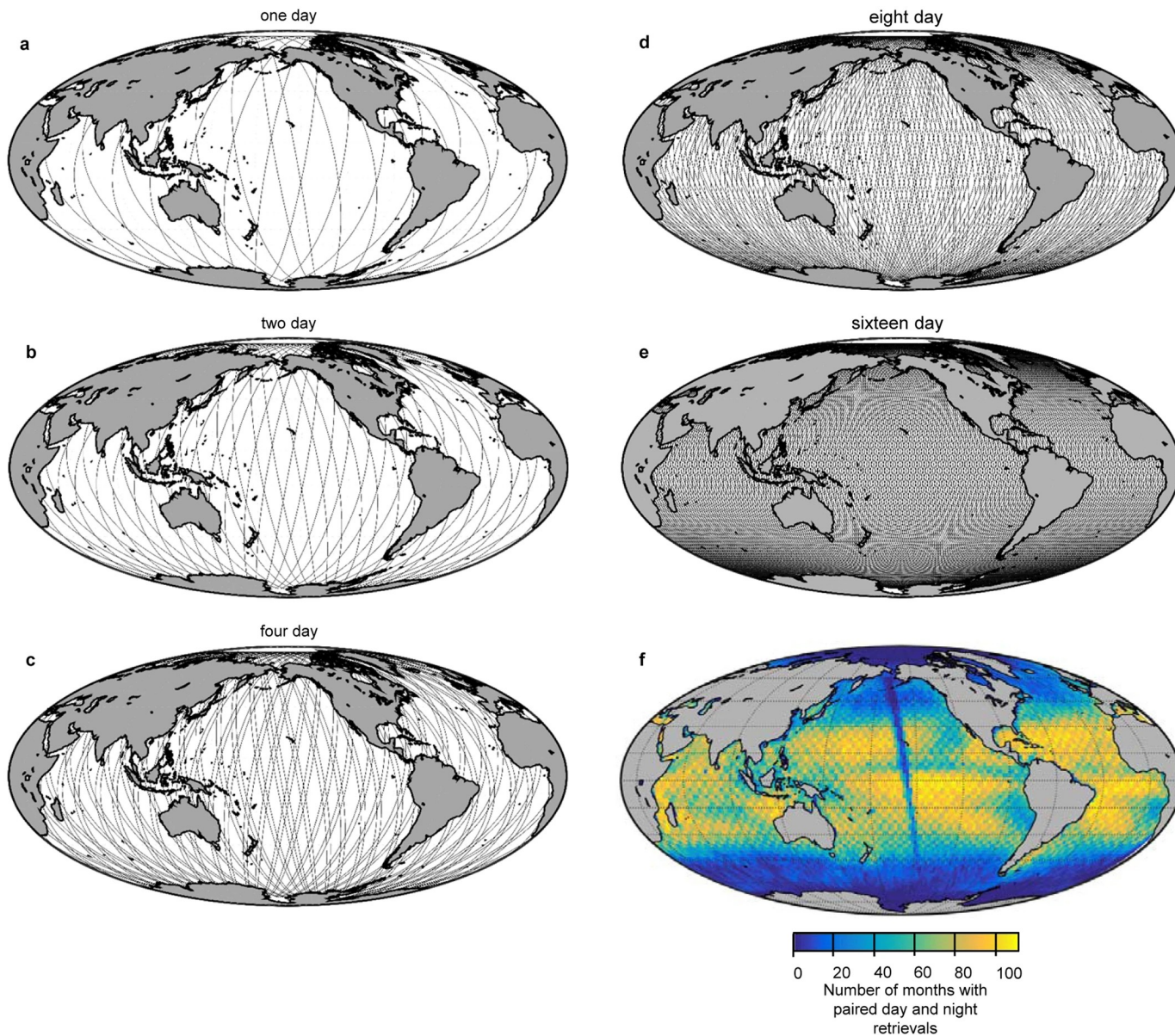
# SPATIAL COVERAGE

- **MODIS** observes swaths of area while **lidar** provides lines of data.





# CALIOP (CALIPSO) spatial coverage



← For reference, MODIS-Aqua would be 0 everywhere as there are no nighttime MODIS-Aqua data



# GENERAL DIFFERENCES WITH OCEAN COLOR

Lidar basics

**Lidar world**

Discoveries

Data

Uncertainty

Ongoing work

Summary

- Ocean color measures combined effects of absorption & scattering
- No information during night
- Retrievals are affected by clouds, aerosols, and low solar zenith angle



March 1, 2023 MODIS Aqua - OBPG

## $r_{rs}$ Inversion refresher

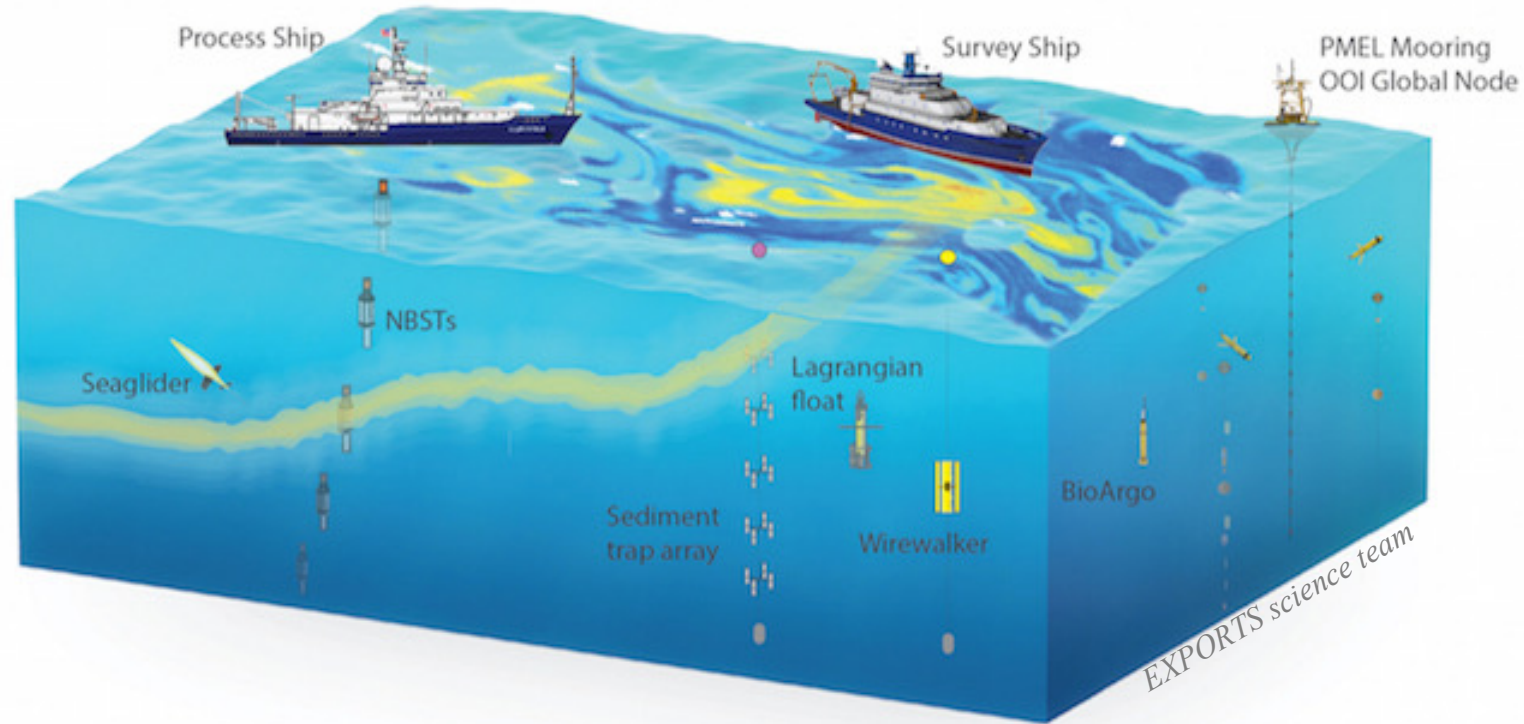
$r_{rs}$  is  $\propto$  the ratio of scattering to scattering + absorption

$$r_{rs}(\lambda) = \sum_{i=1}^2 G_i * \left[ \frac{b_b(\lambda)}{a(\lambda) + b_b(\lambda)} \right]^i$$

And absorption ( $a$ ) is the sum of all absorbing constituents (spectrally) and backscattering ( $b_b$ ) is the sum of backscattering from seawater and from particles.

$$a(\lambda) = a_w(\lambda) + M_{cdm} e^{-S_{cdm} \lambda} + M_{pha} *_{ph}(\lambda)$$
$$b_b(\lambda) = b_{bw}(\lambda) + M_{bp} \lambda^{-\gamma},$$

The ocean system is complex & highly variable.



Lidar basics

**Lidar world**

Discoveries

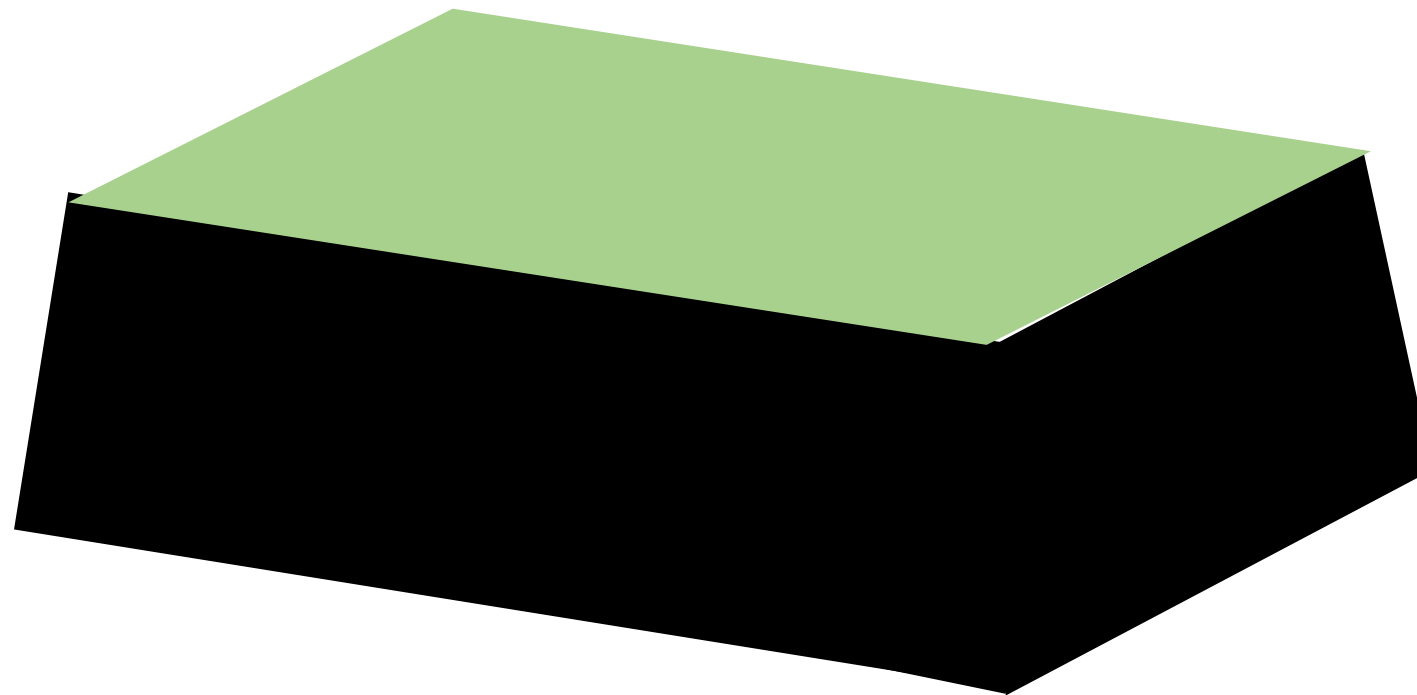
Data

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Summary

The ocean system is complex & highly variable.



But this is what a pixel of ocean 'looks like' with an ocean color satellite data.

\* Conceptual rendering of a 1 degree pixel as seen from space

Lidar basics

**Lidar world**

Discoveries

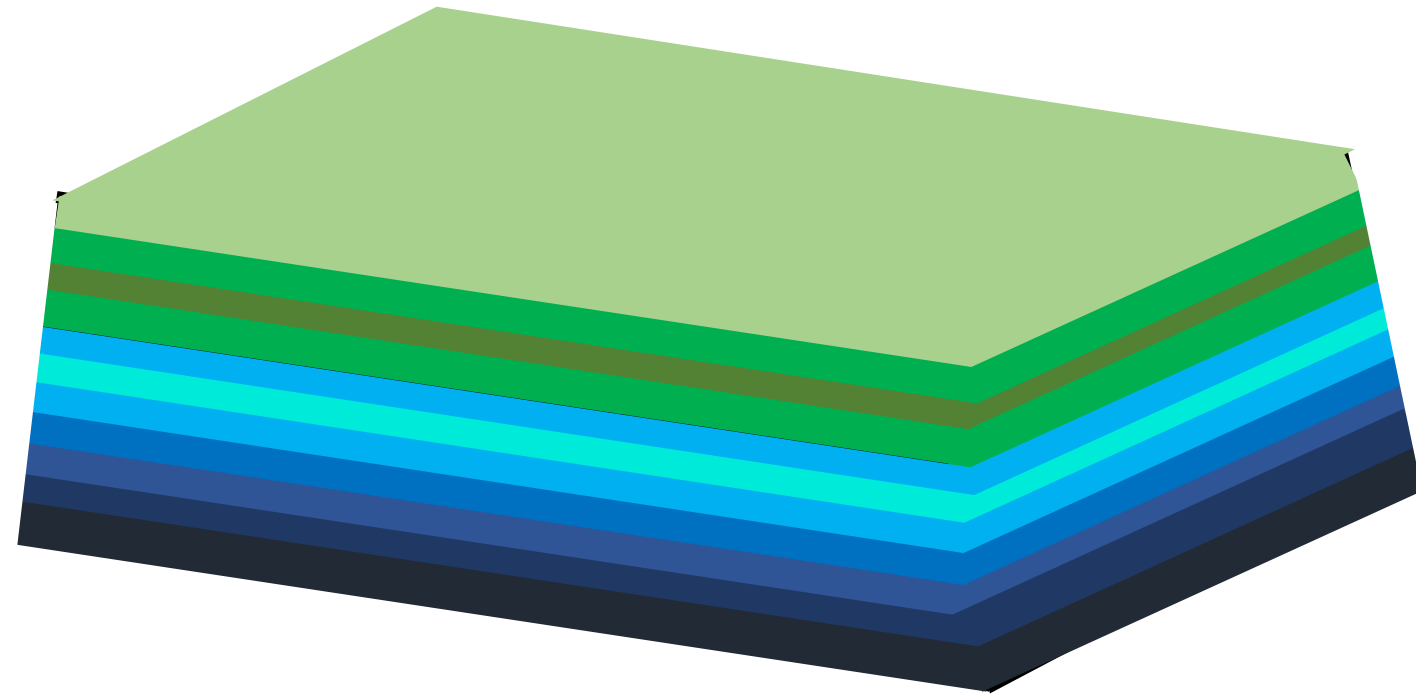
Data

Uncertainty

Ongoing work

Summary

The ocean system is complex & highly variable.



This is what an equivalent 'pixel' of ocean 'looks like' with a lidar

Lidar basics

**Lidar world**

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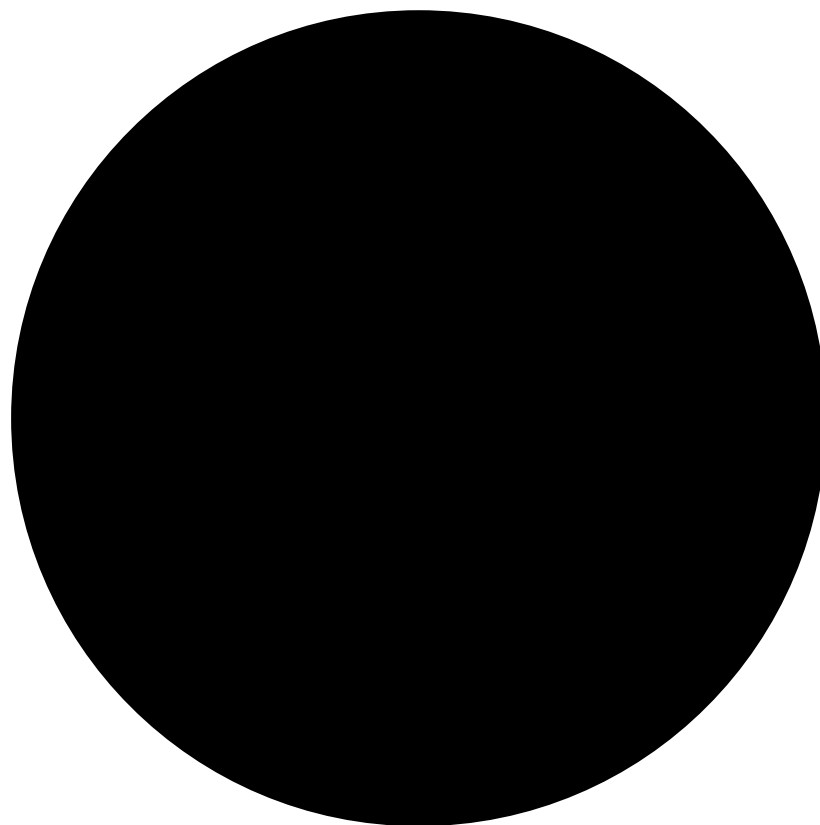
Data

Uncertainty

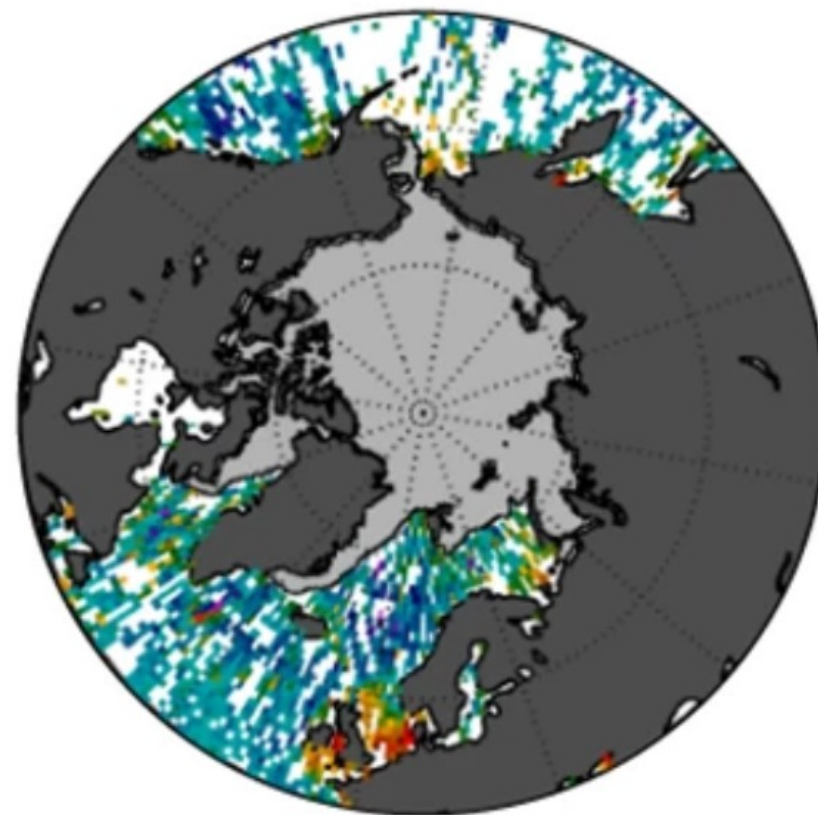
Ongoing work

Summary

# Polar ecosystems

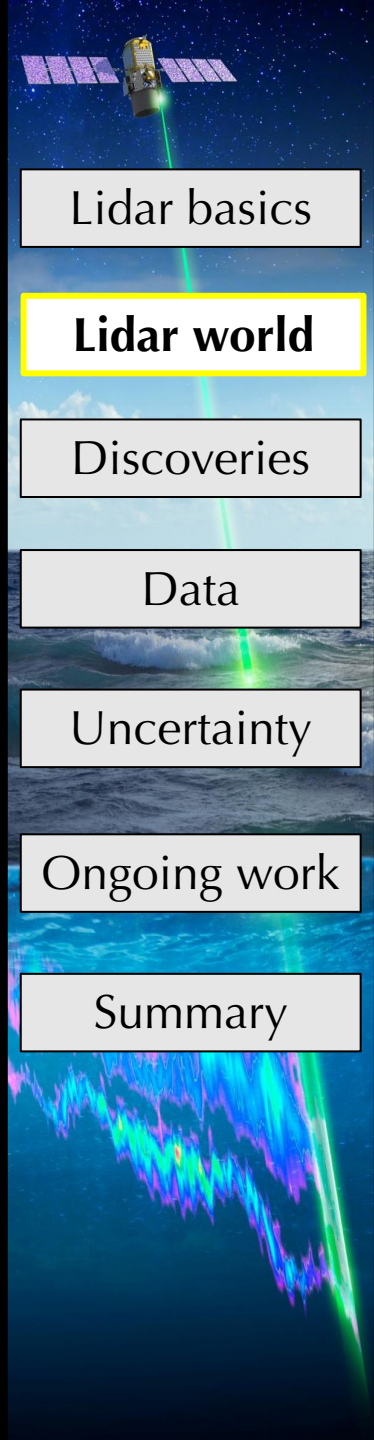


Passive satellite, winter



Lidar satellite, winter

A vertical sidebar on the left side of the slide. At the top, there is a small image of a satellite in space. Below it is a navigation menu with several buttons: "Lidar basics", "Lidar world" (highlighted with a yellow border), "Discoveries", "Data", "Uncertainty", "Ongoing work", and "Summary". The background of the sidebar features a vertical strip of imagery, including a green laser beam pointing down from the satellite, a blue and white wave, and a colorful, abstract pattern at the bottom.



# What have we discovered with lidar satellites when applied to oceans?

An appetizer....



# What have we learned so far from CALIPSO and ICESat-2?

GEOPHYSICAL RESEARCH LETTERS, VOL. 40, 4355–4360, doi:10.1002/grl.50816, 2013

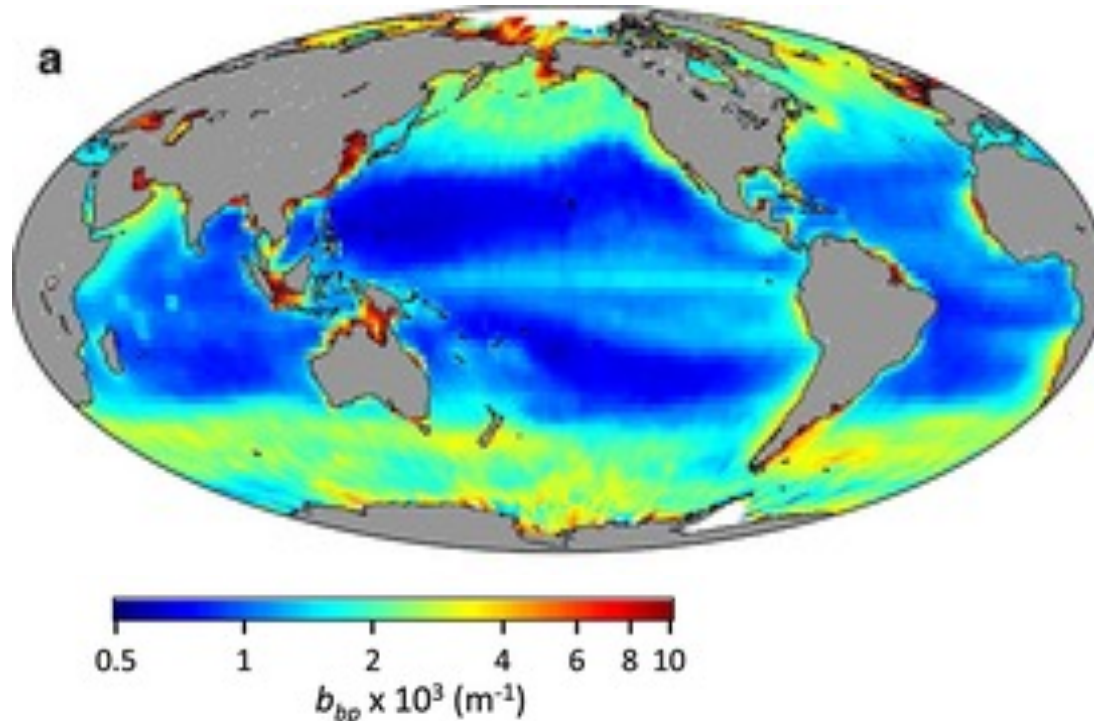
## Space-based lidar measurements of global ocean carbon stocks

Michael J. Behrenfeld,<sup>1</sup> Yongxiang Hu,<sup>2</sup> Chris A. Hostetler,<sup>2</sup> Giorgio Dall'Olmo,<sup>3</sup>  
Sharon D. Rodier,<sup>2</sup> John W. Hair,<sup>2</sup> and Charles R. Trepte<sup>2</sup>

Received 31 July 2013; accepted 2 August 2013; published 23 August 2013.

Global aggregated  $b_{bp}$  data present independent measurement

$b_{bp}$  data are converted to phytoplankton carbon



Lidar basics

Lidar World

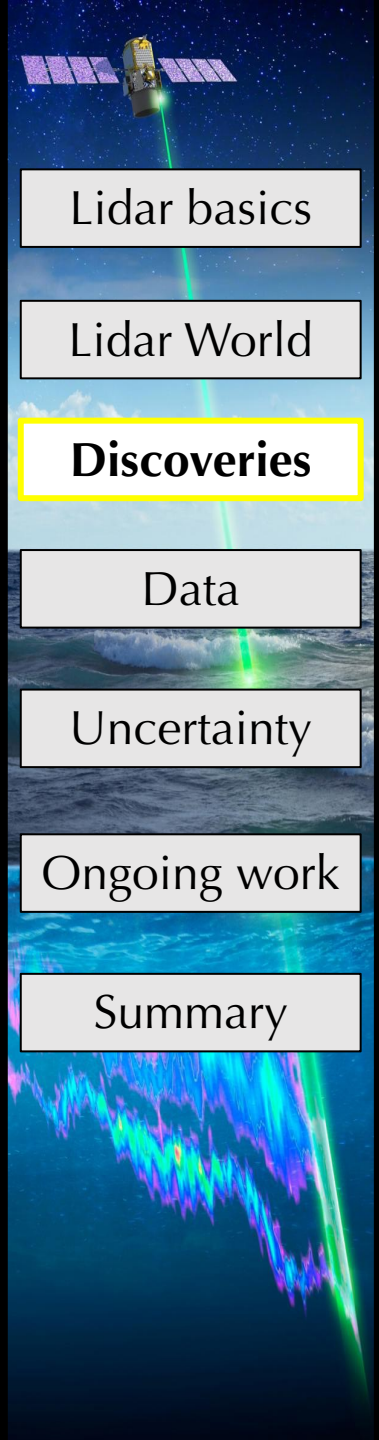
Discoveries

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# What have we learned so far from CALIPSO and ICESat-2?

## Article

# Global satellite-observed daily vertical migrations of ocean animals

<https://doi.org/10.1038/s41586-019-1796-9>

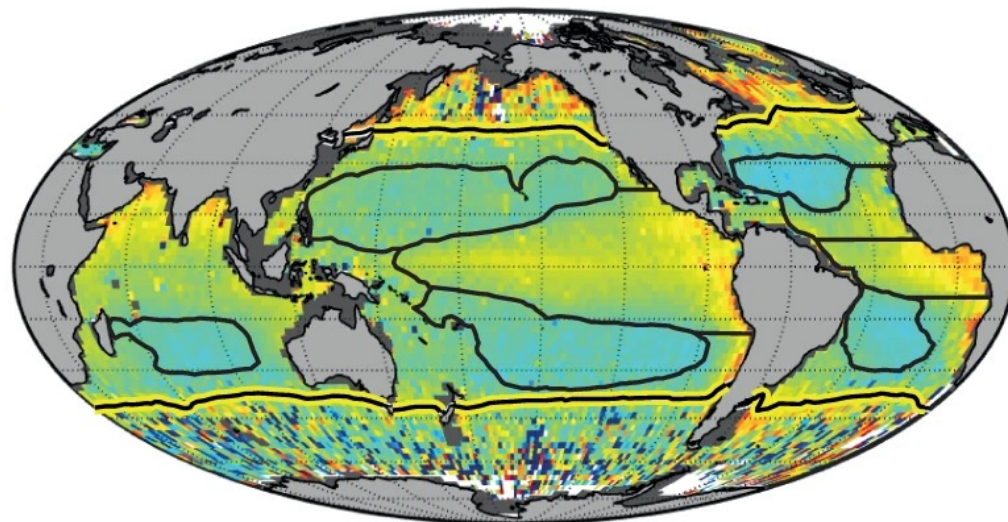
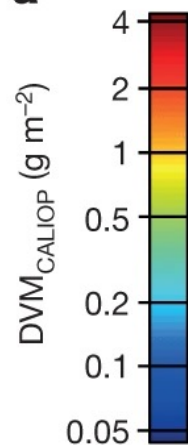
Received: 27 September 2018

Accepted: 10 October 2019

Published online: 27 November 2019

Michael J. Behrenfeld<sup>1\*</sup>, Peter Gaube<sup>2</sup>, Alice Della Penna<sup>2,3</sup>, Robert T. O'Malley<sup>1</sup>, William J. Burt<sup>4,5</sup>, Yongxiang Hu<sup>6</sup>, Paula S. Bontempi<sup>7</sup>, Deborah K. Steinberg<sup>8</sup>, Emmanuel S. Boss<sup>9</sup>, David A. Siegel<sup>10,11</sup>, Chris A. Hostetler<sup>6</sup>, Philippe D. Tortell<sup>4,12</sup> & Scott C. Doney<sup>13</sup>

**a**



$b_{bp}$  covaries with all particles, including zooplankton!

Differences in  $b_{bp}$  from day to night are attributed to daily migrating zooplankton



# What have we learned so far from CALIPSO and ICESat-2?

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ARTICLES

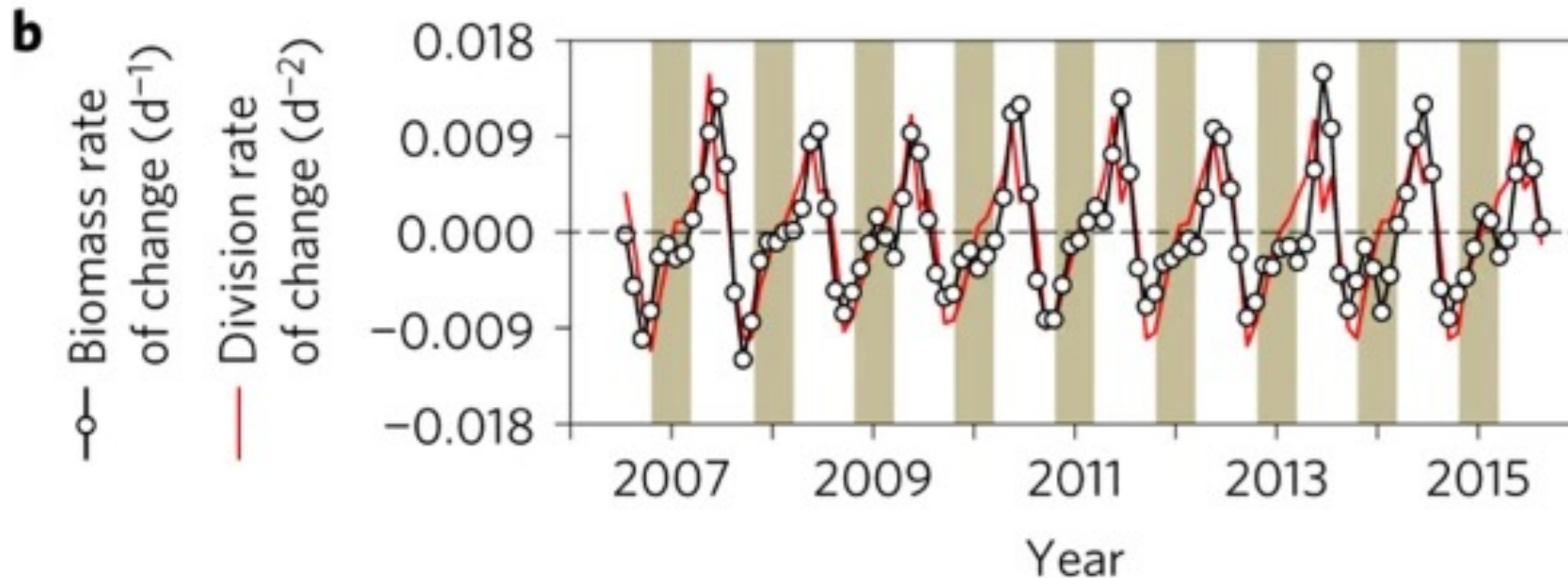
PUBLISHED ONLINE: 19 DECEMBER 2016 | DOI: 10.1038/NGEO2861

nature  
geoscience

## Annual boom–bust cycles of polar phytoplankton biomass revealed by space-based lidar

Michael J. Behrenfeld<sup>1\*</sup>, Yongxiang Hu<sup>2</sup>, Robert T. O'Malley<sup>1</sup>, Emmanuel S. Boss<sup>3</sup>, Chris A. Hostetler<sup>2</sup>, David A. Siegel<sup>4</sup>, Jorge L. Sarmiento<sup>5</sup>, Jennifer Schullien<sup>1</sup>, Johnathan W. Hair<sup>2</sup>, Xiaomei Lu<sup>2</sup>, Sharon Rodier<sup>2</sup> and Amy Jo Scarino<sup>2</sup>

Derivatives of  $b_{bp}$ -derived phytoplankton carbon are used to track polar phytoplankton cycles



# What have we learned so far from CALIPSO and ICESat-2?

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Contents lists available at [ScienceDirect](https://www.sciencedirect.com)

Remote Sensing of Environment

journal homepage: [www.elsevier.com/locate/rse](http://www.elsevier.com/locate/rse)



Antarctic spring ice-edge blooms observed from space by ICESat-2

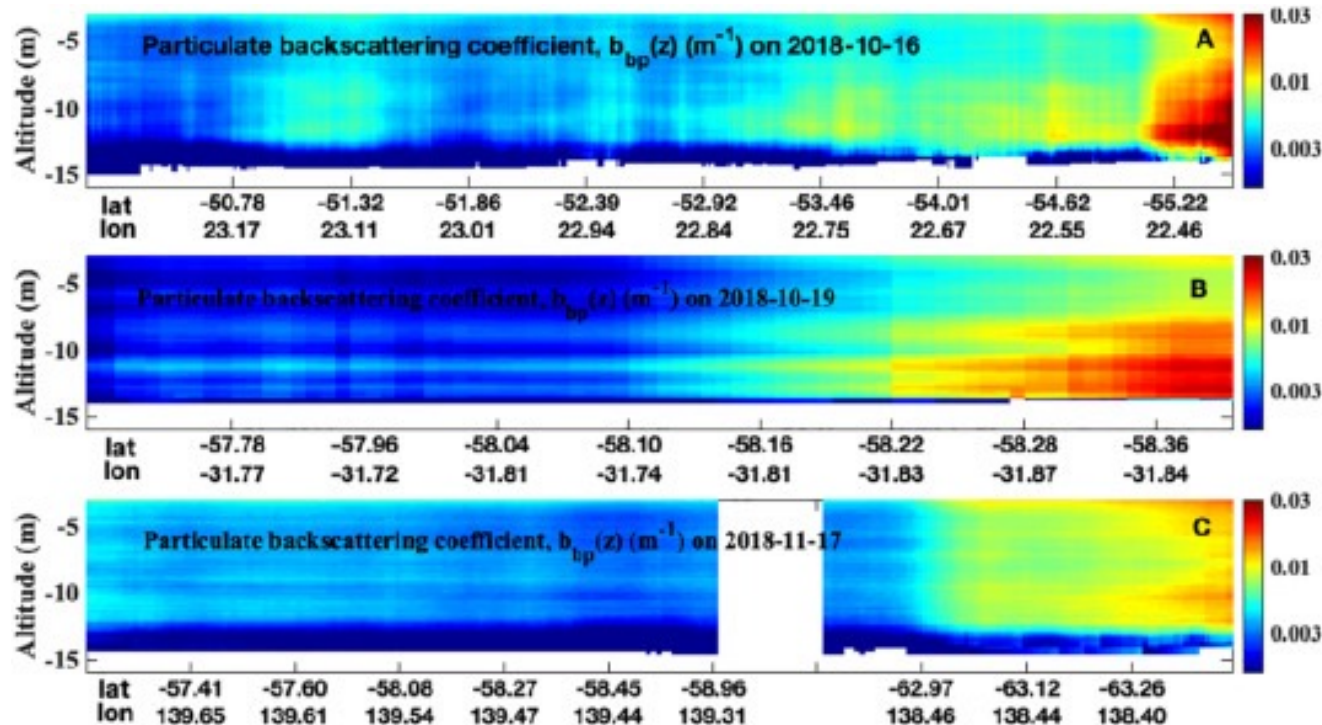
Xiaomei Lu<sup>a,b,\*</sup>, Yongxiang Hu<sup>b,\*\*</sup>, Yuekui Yang<sup>c</sup>, Paula Bontempi<sup>d</sup>, Ali Omar<sup>b</sup>, Rosemary Baize<sup>b</sup>

<sup>a</sup> Science Systems and Applications, Inc., Hampton, VA 23666, USA

<sup>b</sup> NASA Langley Research Center, Hampton, VA 23681, USA

<sup>c</sup> NASA Goddard Space Flight Center, Greenbelt, MD 20771, USA

<sup>d</sup> NASA Headquarters, Washington, DC 20546, USA



For the first time, cm-scale resolution of the particle field was achieved from ICESat-2 shortly after its launch.

15m is depth limit in open ocean from ICESat-2 due to data downlink restrictions, but the depth could be expanded for ocean optimized lidar

# What have we learned so far from CALIPSO and ICESat-2?

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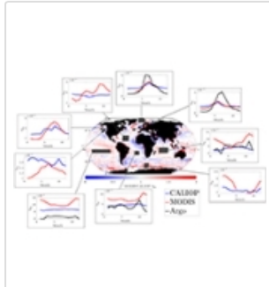
Data

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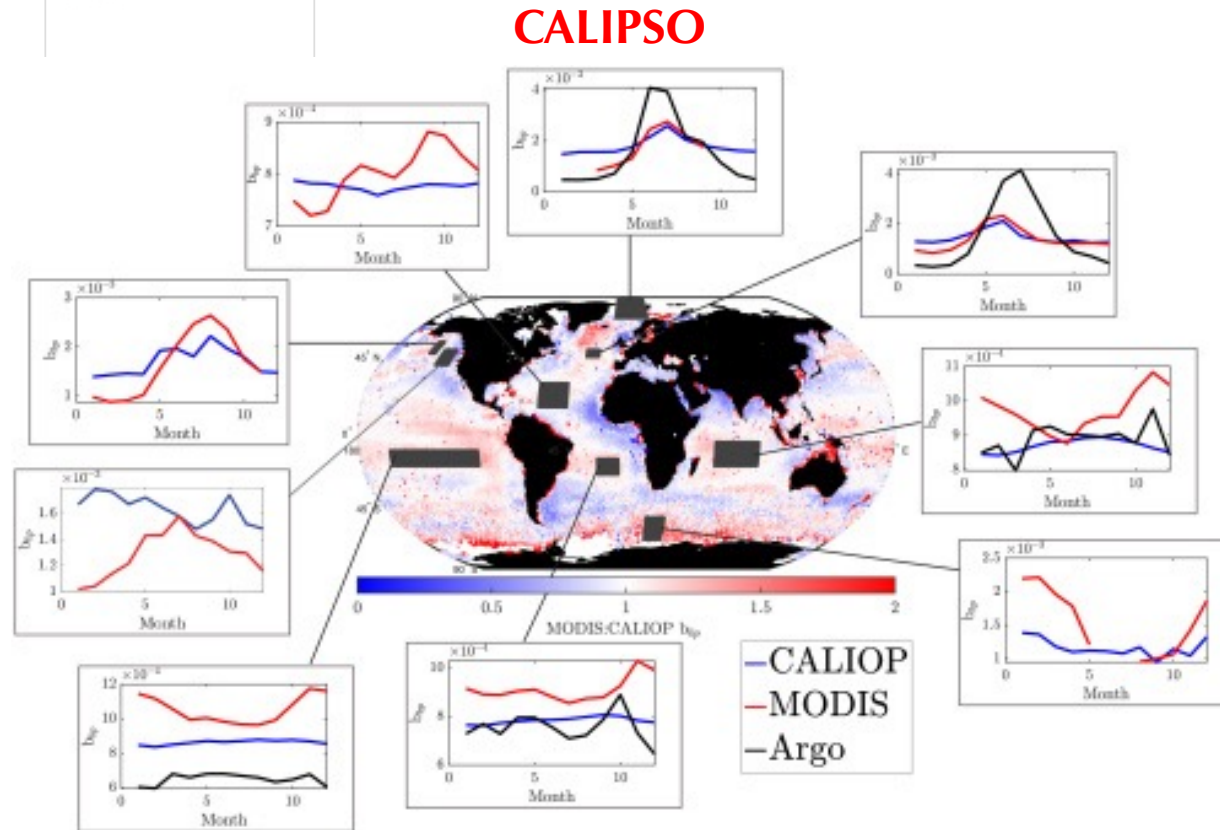
Applied Optics Vol. 60, Issue 23, pp. 6978-6988 (2021) • <https://doi.org/10.1364/AO.426137>



## Seasonal bias in global ocean color observations

K. M. Bisson, E. Boss, P. J. Werdell, A. Ibrahim, R. Frouin, and M. J. Behrenfeld

[Author Information](#) • [Find other works by these authors](#)



When CALIOP data were compared with MODIS-Aqua data on global scales, weird patterns (not obviously biological) were observed. When looking closer we found systematic biases in MODIS-Aqua data that we did not expect

# What have we learned so far from CALIPSO and ICESat-2?

Lidar basics

Lidar World

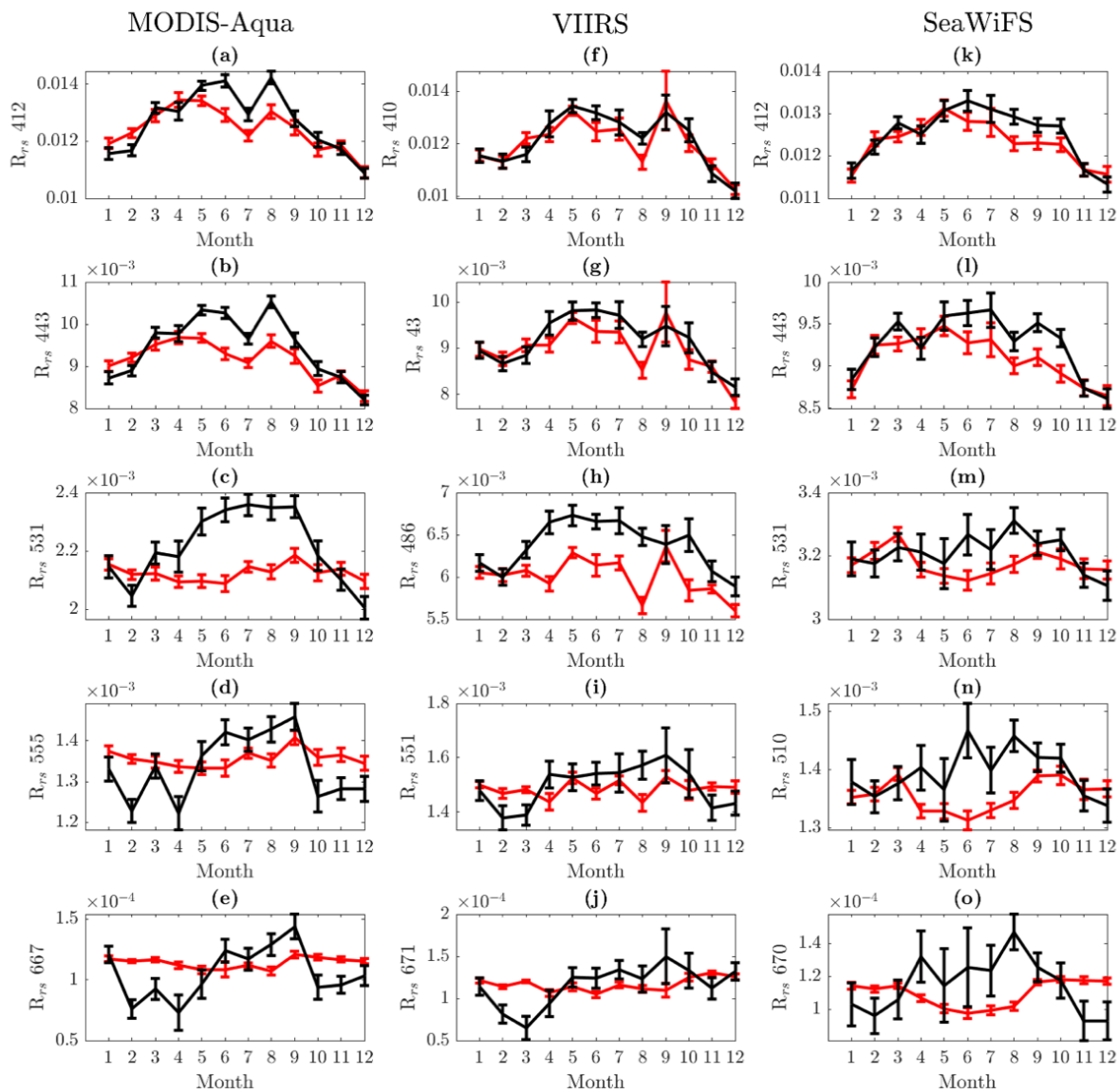
Discoveries

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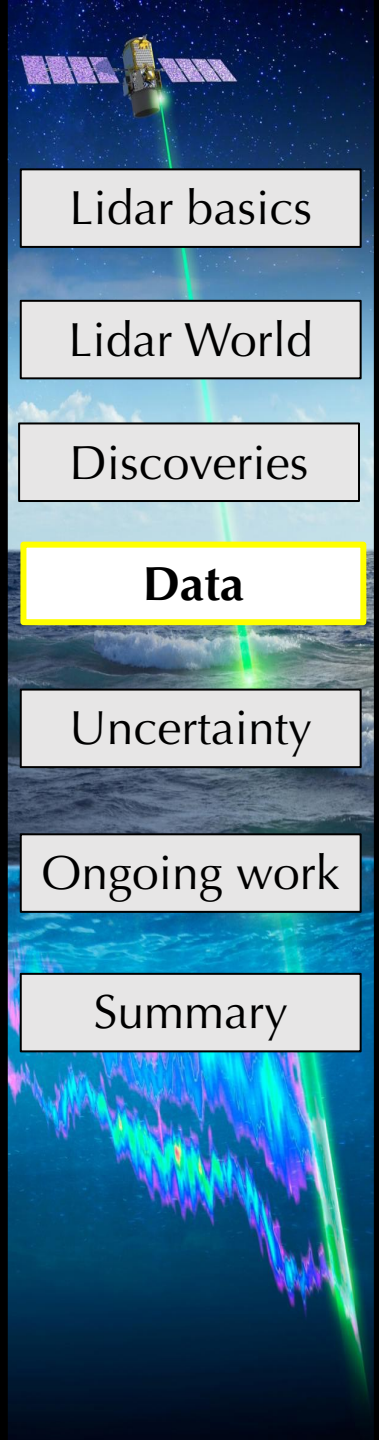
Ongoing work

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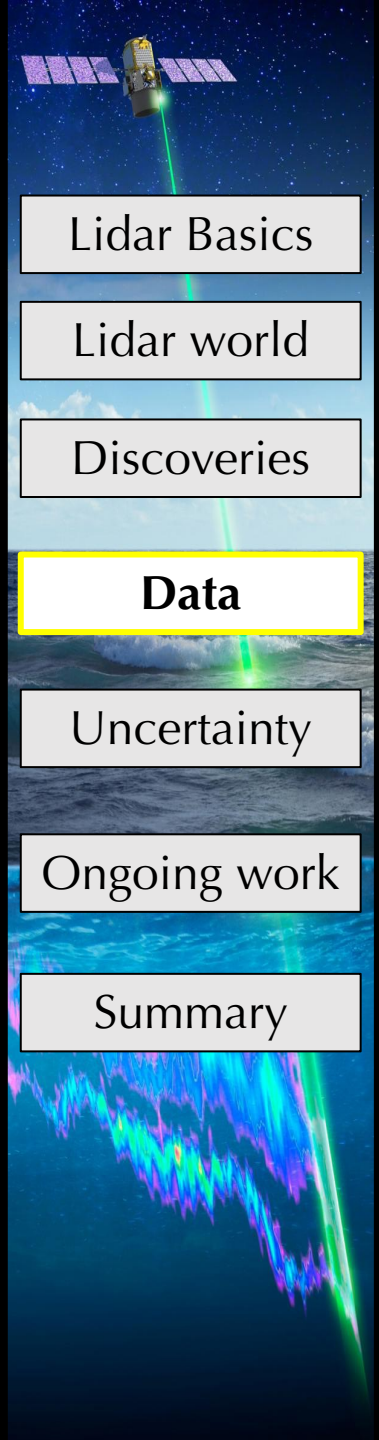
Systematic biases in MODIS-Aqua (and other ocean color satellites) data exist in all IOPs and AOPs, even at the ocean color validation site (MOBY)....

Mismatch in seasonal cycles between in situ observations and satellites at longer wavelengths



# Lidar for ocean research: We are living off atmospheric & polar satellites!

CALIPSO and ICESat-2 data development has been 'DIY' and very creative, as these satellites were not built for ocean research as a goal.



## ICESat-2 (ATLAS instrument)

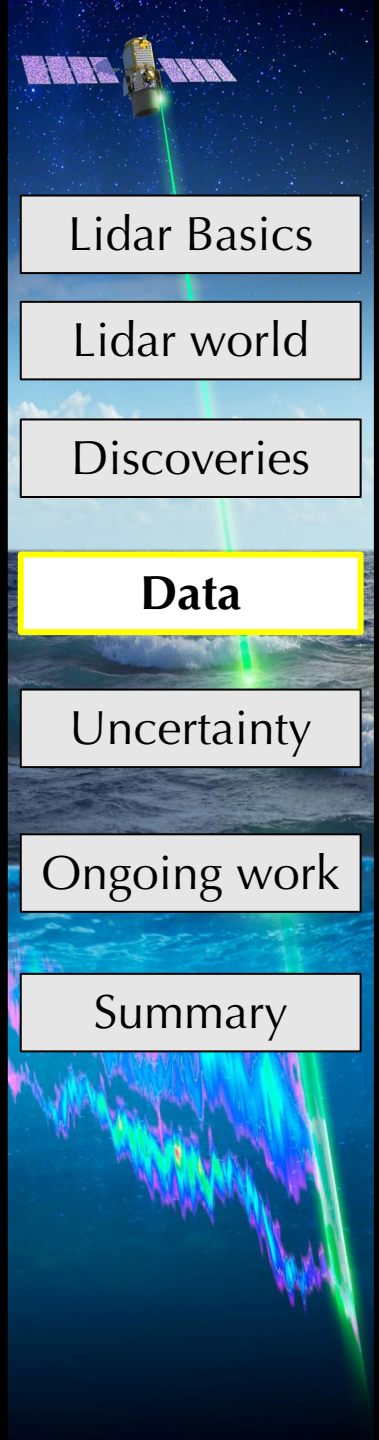
Photon counting altimeter  
No polarization information  
10 kHz  
10 cm vertical resolution in water  
11 m footprint



## CALIPSO (CALIOP instrument)

Photon counting altimeter  
Cross and co parallel polarization channels  
20.25 Hz  
21 m vertical resolution in water  
100 m footprint





## ICESat-2 (ATLAS instrument)

Photon counting altimeter  
No polarization information  
10 kHz  
10 cm vertical resolution in water  
11 m footprint



*super solid, gets  
the job done,  
one of the best*

## CALIPSO (CALIOP instrument)

Photon counting altimeter  
Cross and co parallel polarization channels  
20.25 Hz  
21 m vertical resolution in water  
100 m footprint



*more pizzazz  
through  
polarization  
channels gives a 'je  
ne sais quoi' to the  
mission*

# CALIOP data processing and access

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$$b_{bp}(532) \approx 7.2 R_{part}$$

$$R_{part} = \beta_{cross-pol} \frac{R_{theory}}{\beta_{co-pol}} c_1$$

CALIOP  $b_{bp}$



$\beta_{cross-pol}$  = integrated attenuated backscatter measured with the cross-polarized channels ( $sr^{-1}$ )

$\beta_{co-pol}$  = integrated attenuated backscatter measured with the co-polarized channels ( $sr^{-1}$ )

$c_1$  = unitless correction for crosstalk between polarization channels (1 – depolarization discrepancy between measured and theoretical molecular depolarization in stratosphere)

$R_{theory}$  = theoretical ocean surface reflectance ( $sr^{-1}$ ) derived from wind speed, viewing angles, water index of refraction

Behrenfeld et al 2022



# Validation status

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## Geophysical Research Letters

RESEARCH LETTER

10.1029/2020GL090909

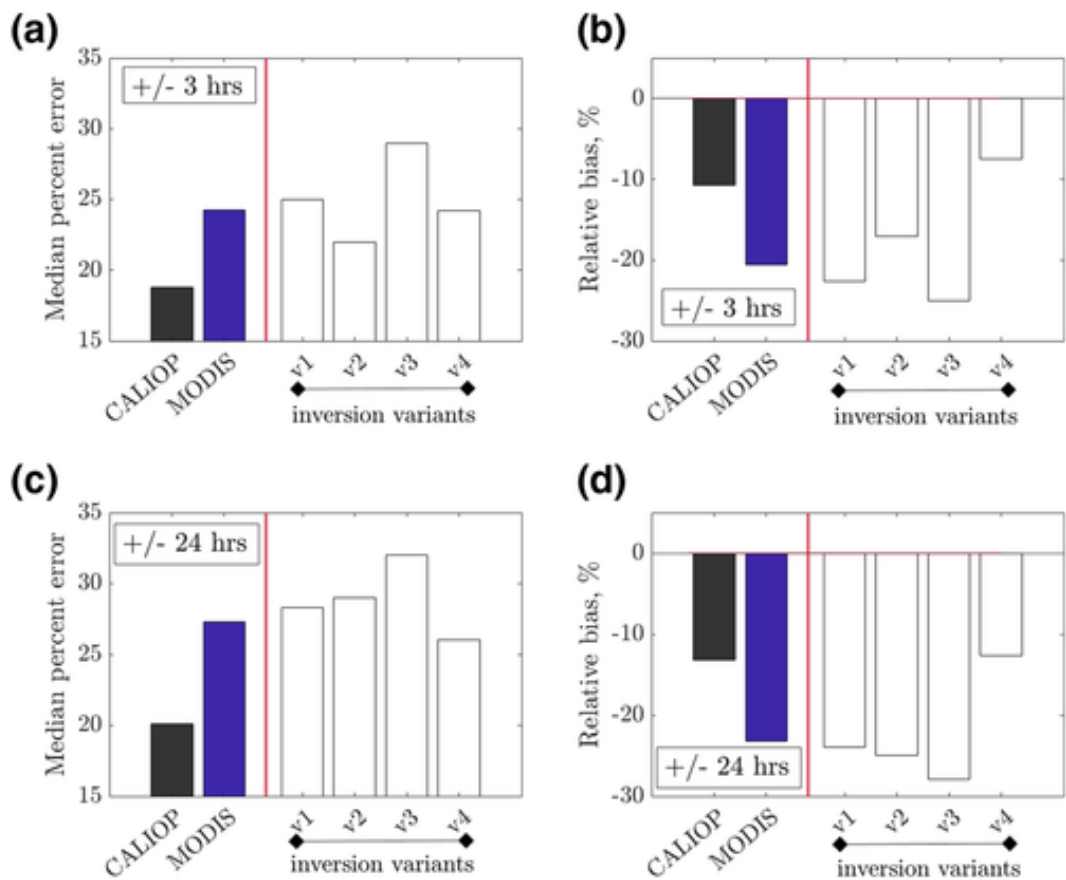
### Key Points:

- Spatiotemporal correlation scales are quantified between global lidar and in situ observations
- Satellite lidar has lower error and bias compared to ocean color observations of particulate

## Particulate Backscattering in the Global Ocean: A Comparison of Independent Assessments

K. M. Bisson<sup>1</sup>, E. Boss<sup>2</sup>, P. J. Werdell<sup>3</sup>, A. Ibrahim<sup>3,4</sup>, and M. J. Behrenfeld<sup>1</sup>

<sup>1</sup>Department of Botany and Plant Pathology, Oregon State University, Corvallis, OR, USA, <sup>2</sup>School of Marine Sciences, University of Maine, Orono, ME, USA, <sup>3</sup>NASA Goddard Space Flight Center, Ocean Ecology Laboratory, Greenbelt, Maryland, USA, <sup>4</sup>Science Systems and Applications Inc., Lanham, MD, USA



# Validation status

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## Geophysical Research Letters

RESEARCH LETTER

10.1029/2020GL090909

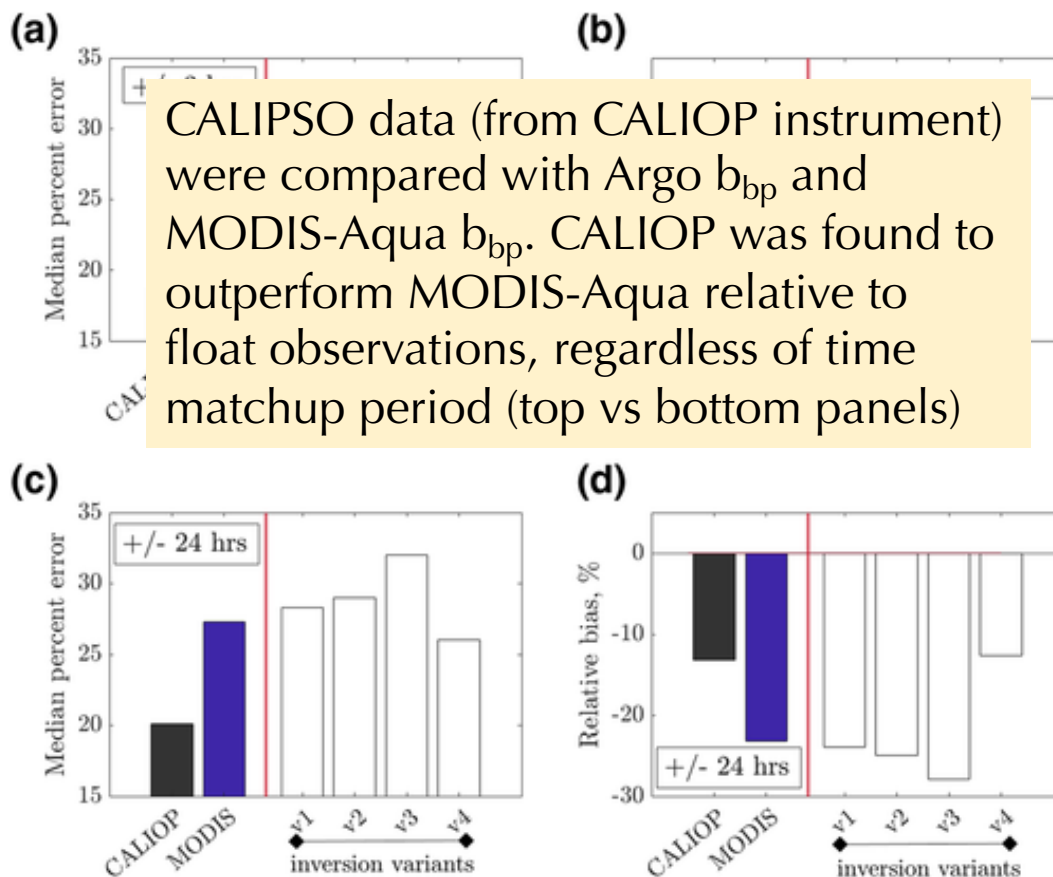
### Key Points:

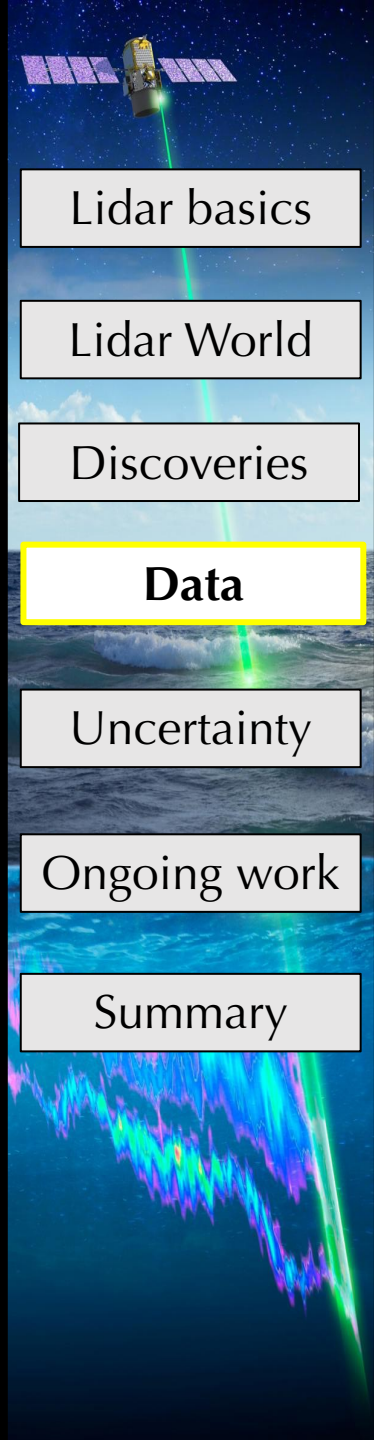
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# Validation status

## Geophysical Research Letters

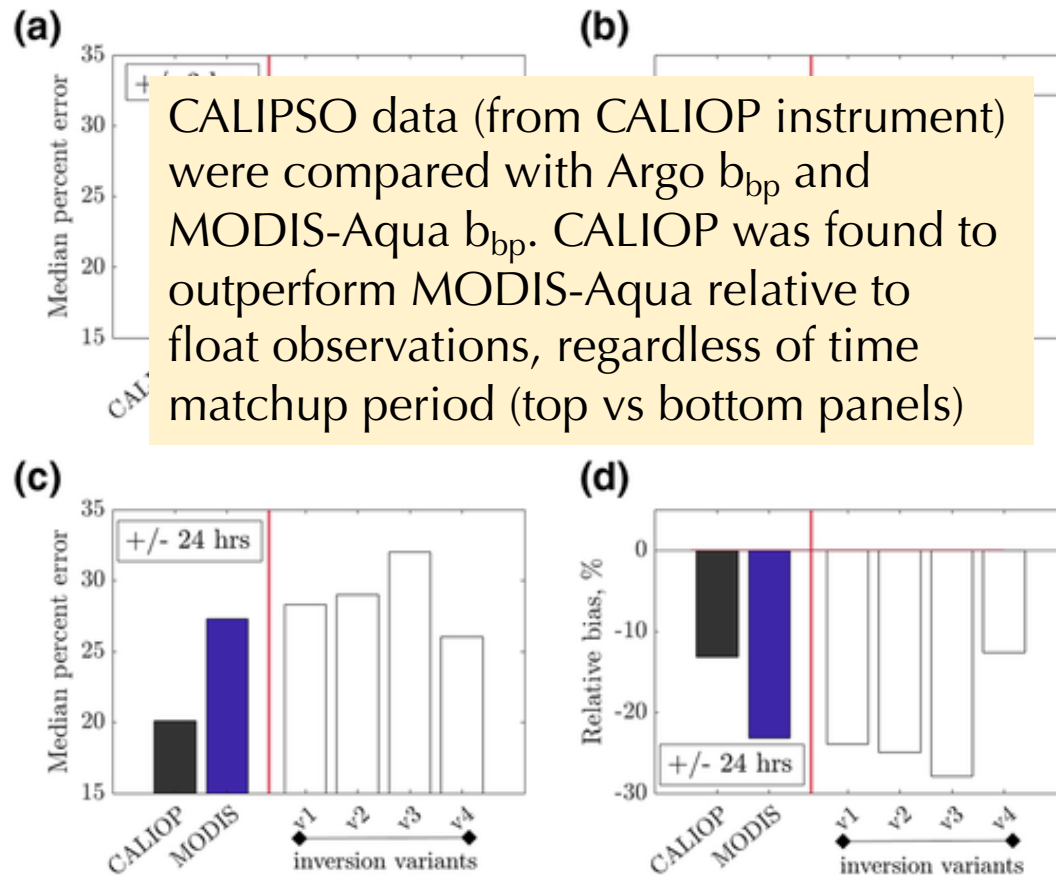
RESEARCH LETTER  
10.1029/2020GL090909

- Key Points:**
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  - Satellite lidar has lower error and bias compared to ocean color observations of particulate

### Particulate Backscattering in the Global Ocean: A Comparison of Independent Assessments

K. M. Bisson<sup>1</sup>, E. Boss<sup>2</sup>, P. J. Werdell<sup>3</sup>, A. Ibrahim<sup>3,4</sup>, and M. J. Behrenfeld<sup>1</sup>

<sup>1</sup>Department of Botany and Plant Pathology, Oregon State University, Corvallis, OR, USA, <sup>2</sup>School of Marine Sciences, University of Maine, Orono, ME, USA, <sup>3</sup>NASA Goddard Space Flight Center, Ocean Ecology Laboratory, Greenbelt, Maryland, USA, <sup>4</sup>Science Systems and Applications Inc., Lanham, MD, USA

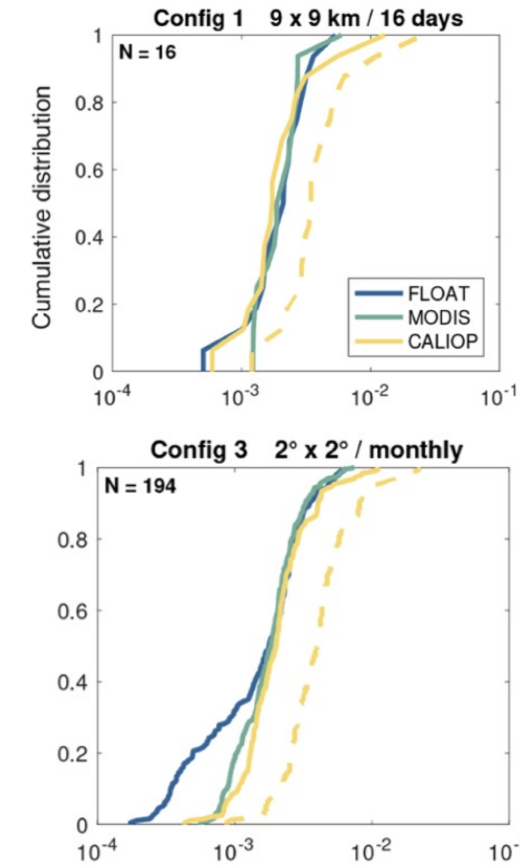


## In situ evaluation of spaceborne CALIOP lidar measurements of the upper-ocean particle backscattering coefficient

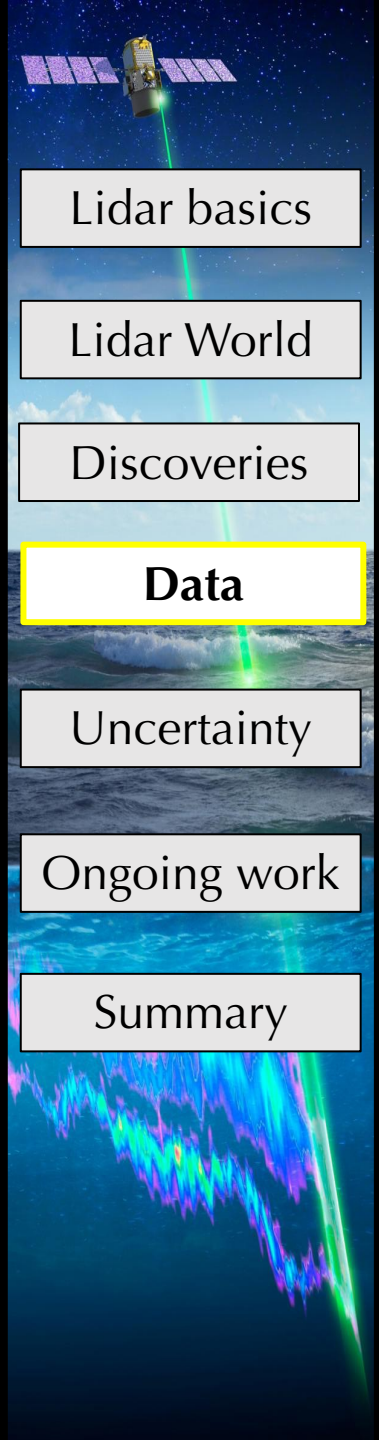
LÉO LACOUR,<sup>\*</sup> RAPHAEL LAROUCHE, AND MARCEL BABIN

UMI Takuvik, CNRS/Université Laval, Québec, QC, Canada

\*leo.lacour@takuvik.ulaval.ca

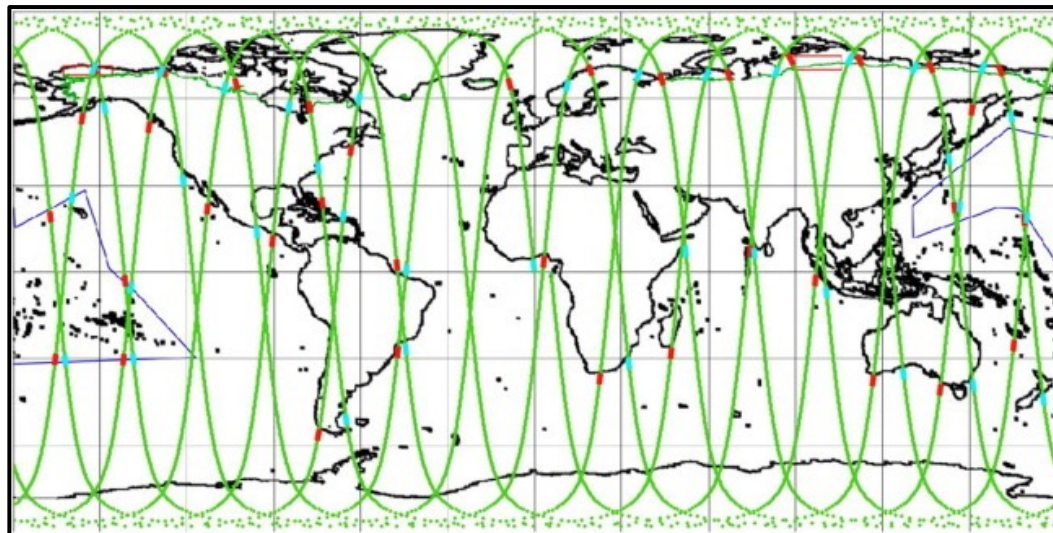
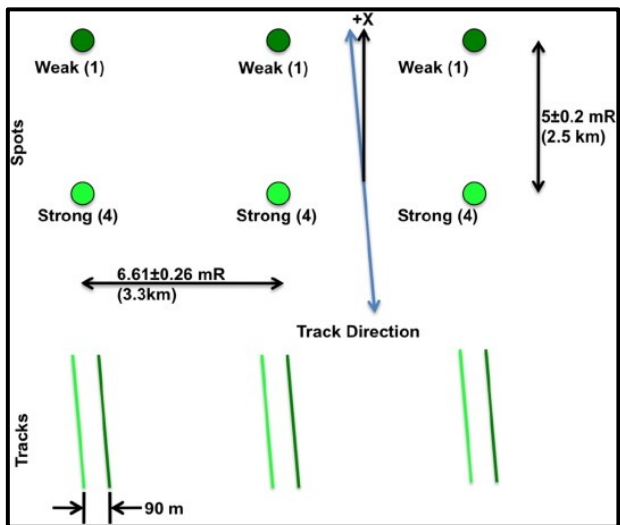


CALIOP (solid yellow) agrees well for the primary processing but not when using doubling a constant parameter in the processing (dashed line)



# ICESat-2 data processing and access

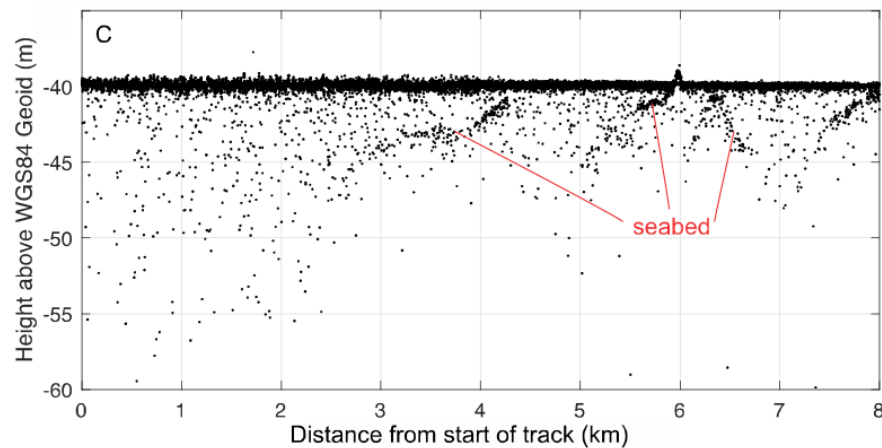
Start from raw ATL03 photon height product, distributed in granules and organized into 3 pairs of strong and weak beams



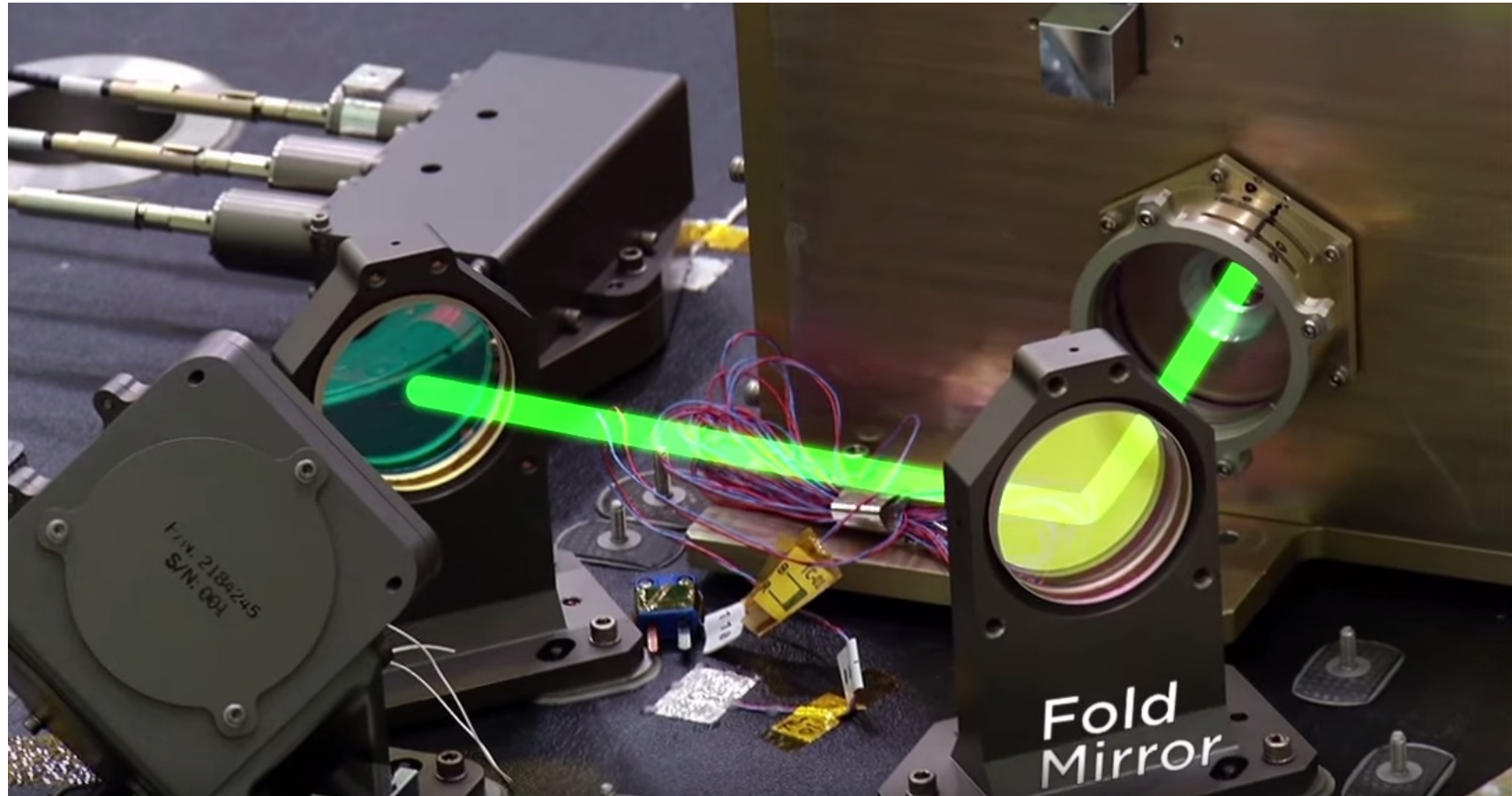
1 day orbit of ICESat-2 data

91 day repeat cycle

Photon height product



# Light Detection And Ranging (LiDAR)



If it flew over a football field, the first ICESat would have taken a measurement outside each end zone; ICESat-2 would take measurements within each yard line.

The pulses of light travel through a series of lenses and mirrors before beaming to the ground. This pathway along the optical bench serves to start the stopwatch on the timing mechanism, check the laser's wavelength, set the size of the ground footprint, ensure that the laser and the telescope are perfectly aligned, and split the laser into six beams.

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# ICESat-2 ocean products

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**Data**

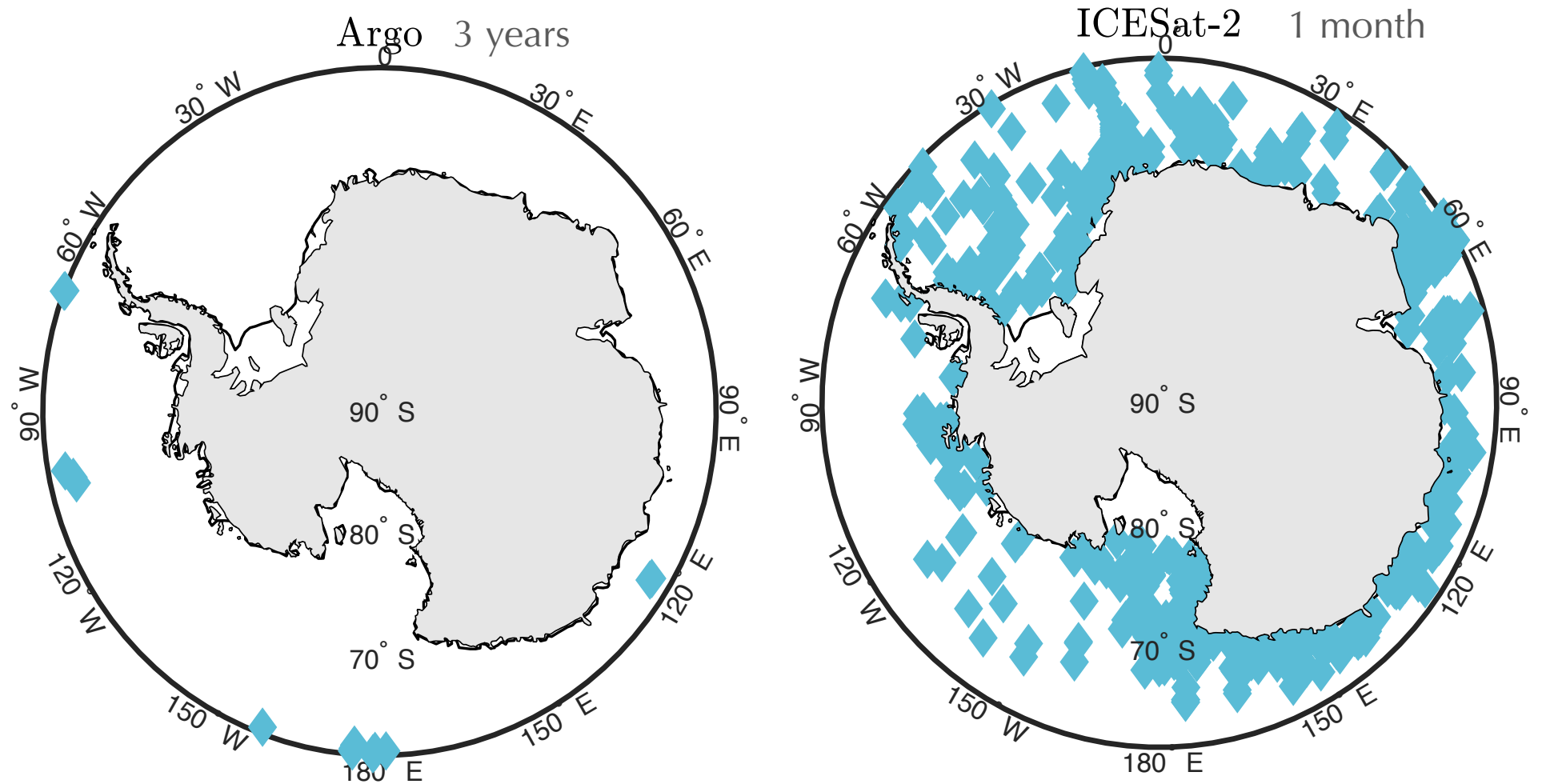
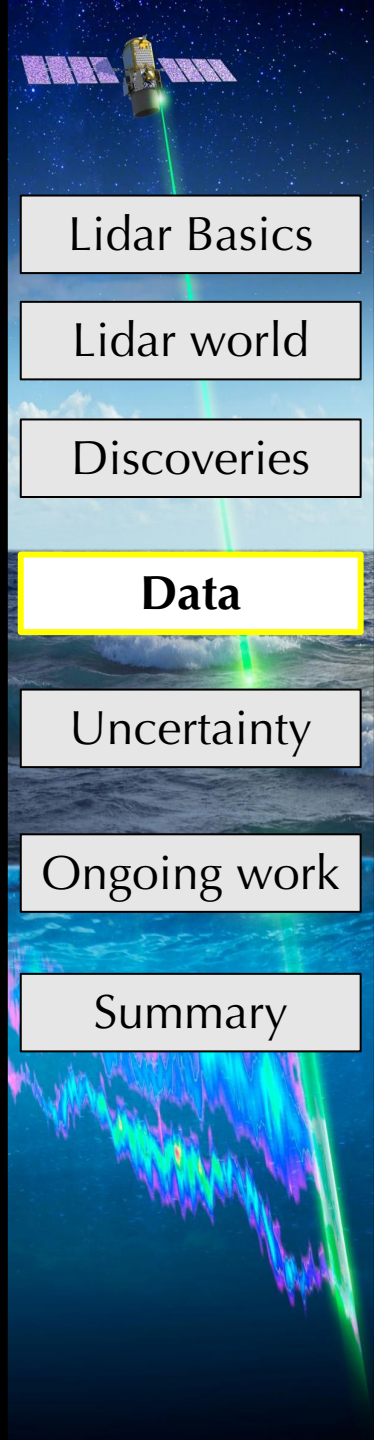
Uncertainty

Ongoing work

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Product	What is it?	Used for?	References (Ocean focus)
<b>ATL03</b>	Global geolocated photon data	<ul style="list-style-type: none"><li>Deriving optical information (Kd, bbp) in coastal and global waters</li><li>Bathymetry in shallow waters</li></ul>	Lu et al. 2020, 2021 , Eidam et al, 2022, Babbel et al, 2021, Parrish et al, 2019
<b>ATL07</b> <b>(ATL20)</b>	Polar sea ice elevation (Gridded sea ice freeboard)	<ul style="list-style-type: none"><li>Sea ice freeboard</li><li>Sea ice lead identification</li></ul>	Bisson and Cael, 2021
<b>ATL12</b> <b>(ATL19)</b>	Ocean Elevation (Gridded sea surface height)	<ul style="list-style-type: none"><li>Sea surface height</li></ul>	Bagnardi et al, 2021

# ICESat-2 ocean products



(Left) Under ice Argo float location (approximate within 50km) during October 2018-2021. (Right) Location of sea ice leads longer than 200m during just October 2020 from the ATL10 product. Similar ICESat-2 coverage exists in the Arctic. (unpublished)

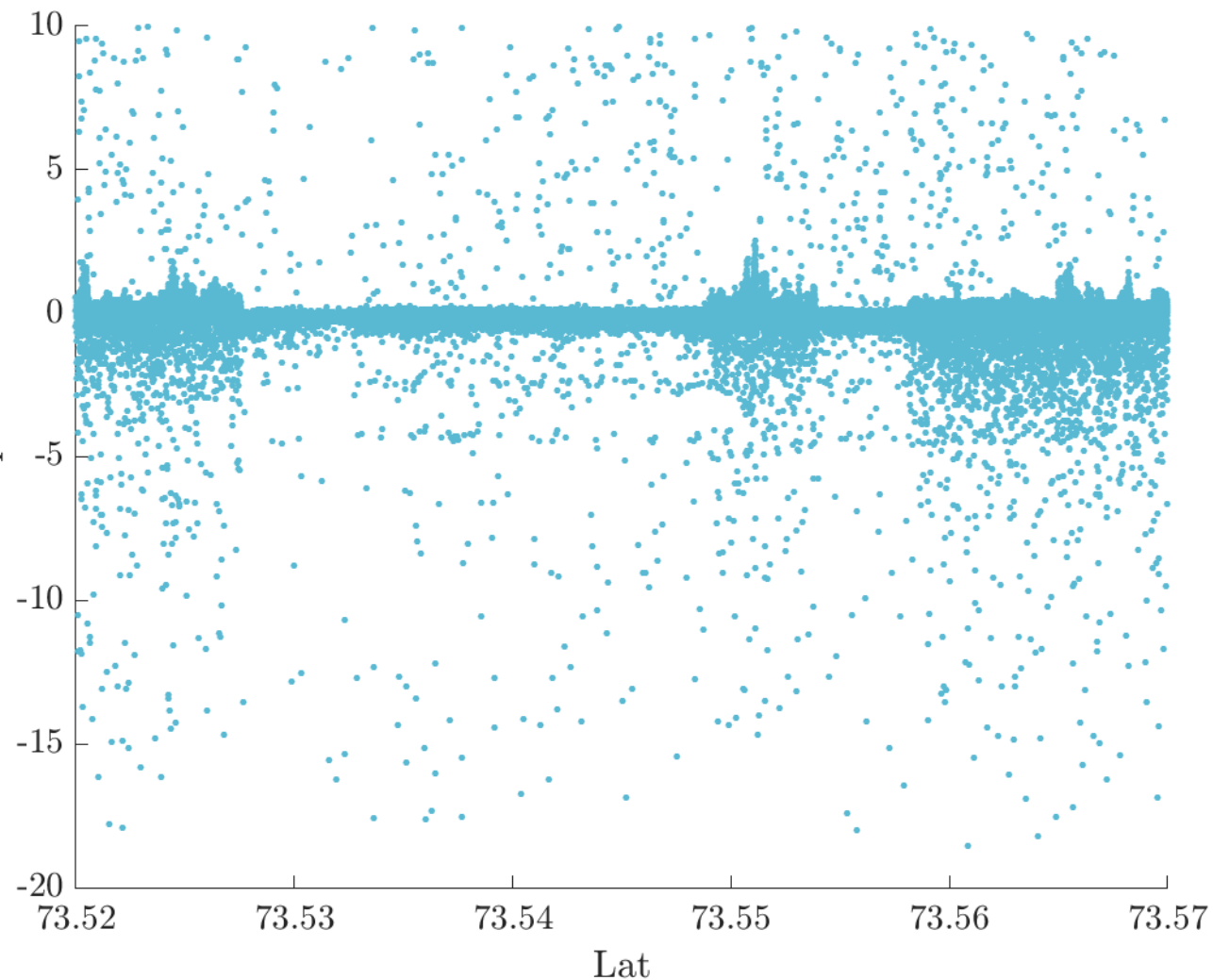
# ICESat-2 data processing and access

Start from raw ATL03 photon height product, distributed in granules and organized into 3 pairs of strong and weak beams

$$C = \frac{S(0)}{\beta_s}$$

$$b_b(z) = \frac{m^2 S(z) \exp(2K_d z)}{\beta(\pi) C t^2}$$

$m, t, C, \beta(\pi)$  are constants.  $K_d$  is calculated from ICESat-2 signal decay,  $S(z)$  is the signal.



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# ICESat-2 data processing and access

Start from raw ATL03 photon height product, distributed in granules and organized into 3 pairs of strong and weak beams

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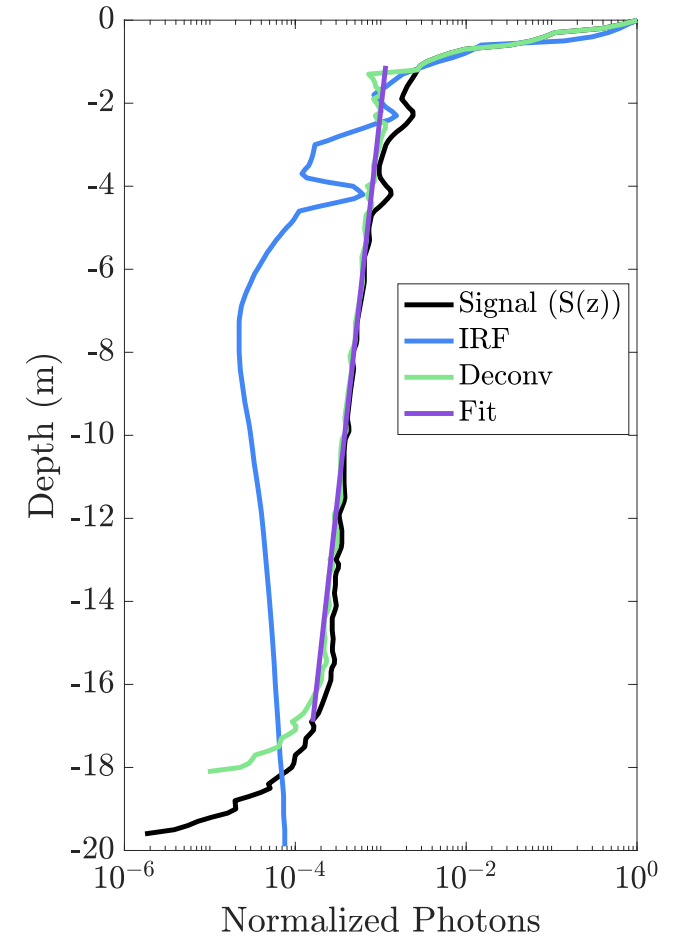
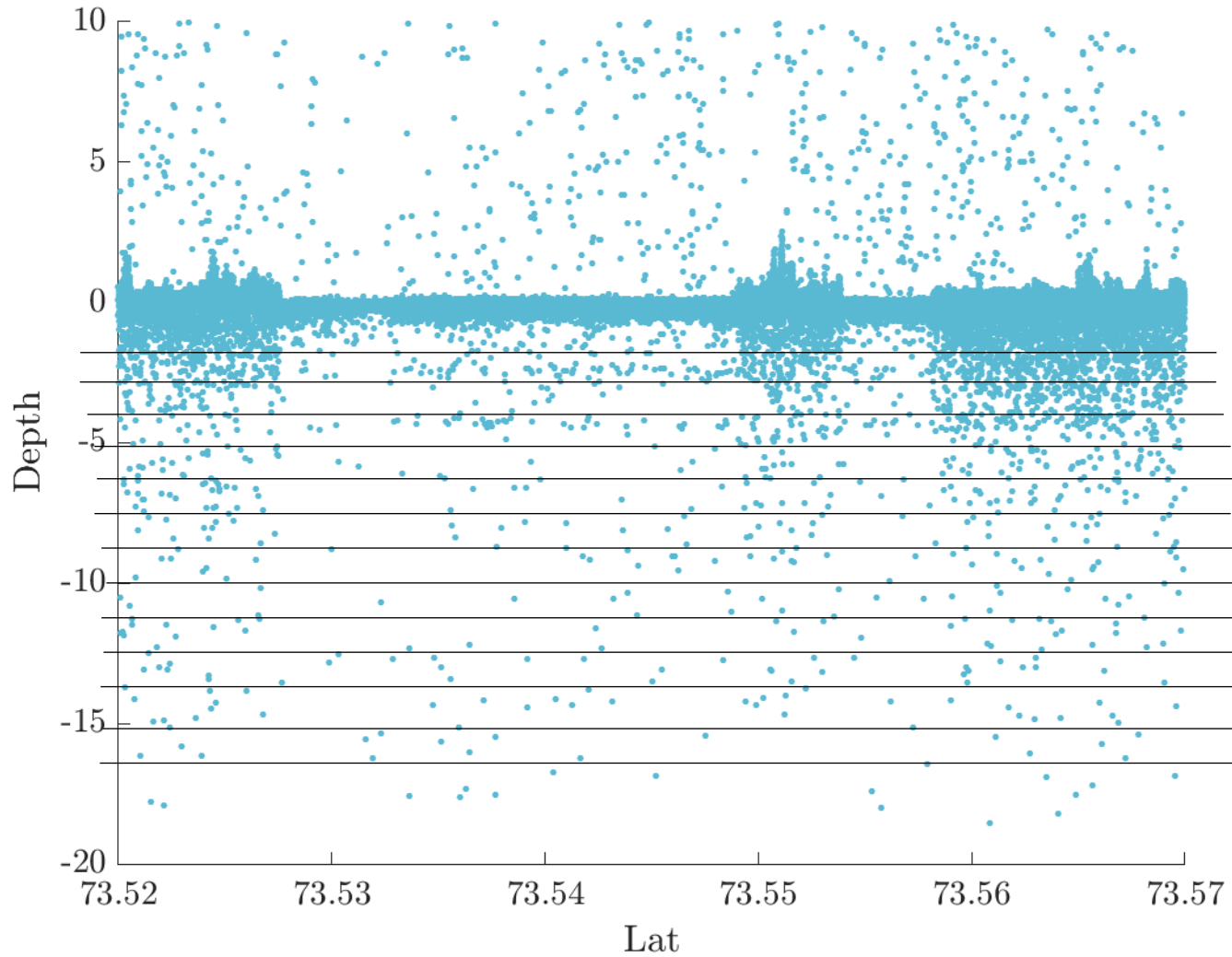
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# ICESat-2 data processing and access

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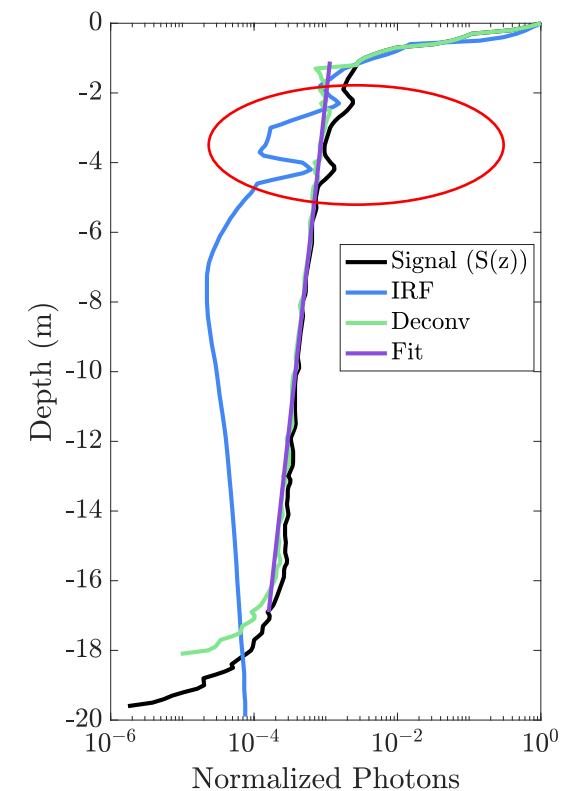
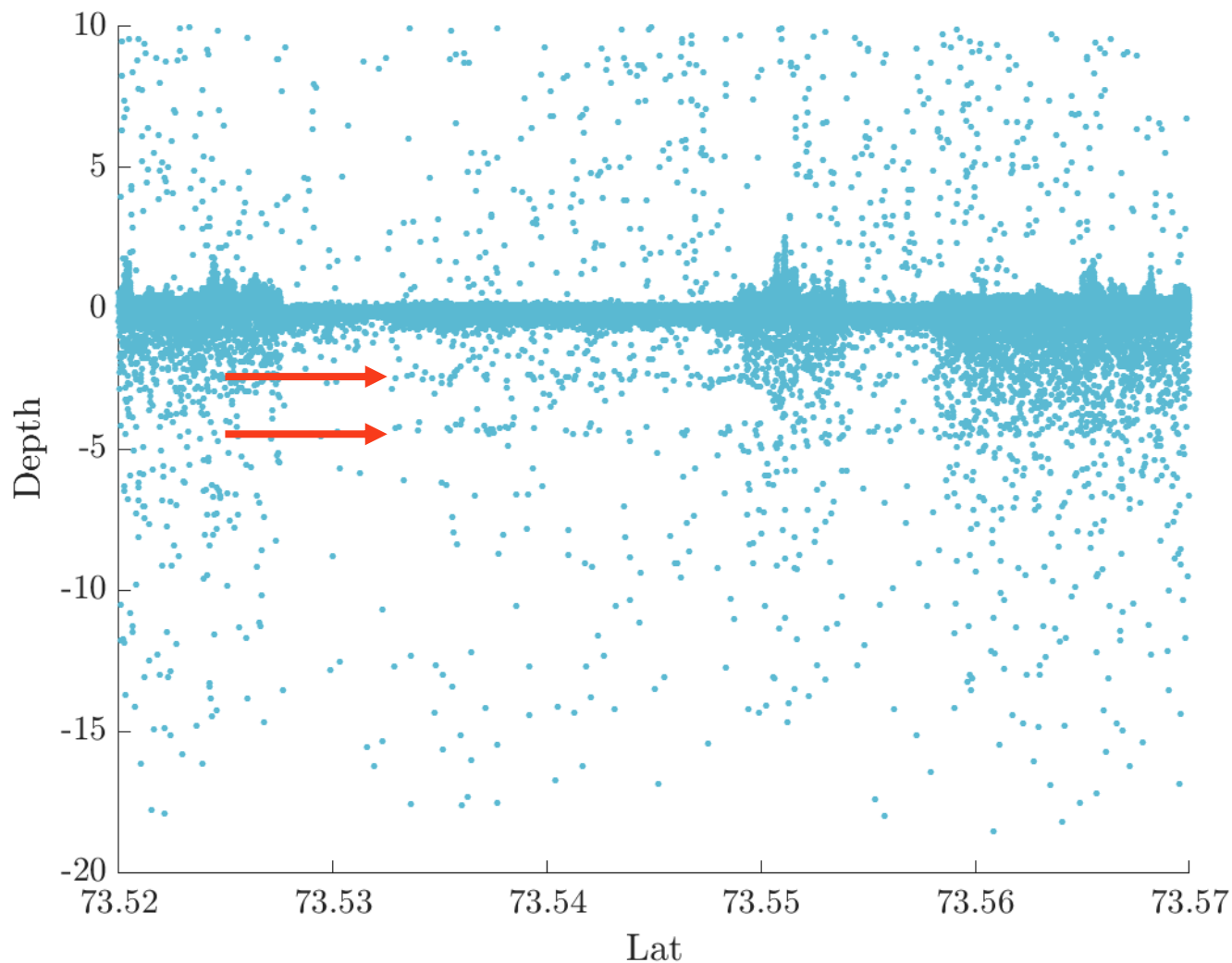
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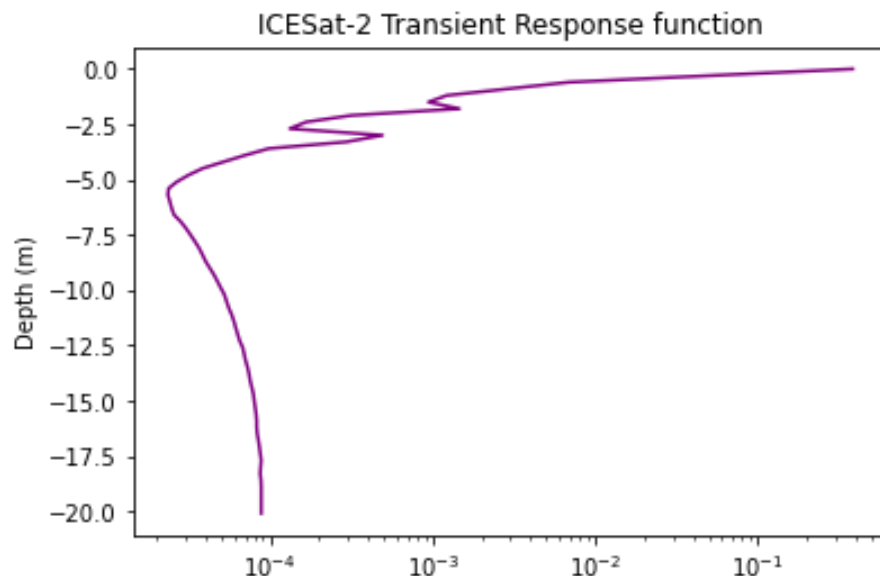
After pulsing effects (instrument noise, not natural)



Demonstration of method to derive the effective attenuation coefficient from the exponential fit (purple) of the deconvolved signal, where the signal is in black, the impulse response function is blue, and the deconvolved signal with the afterpulse peaks removed is in green.

# ICESat-2 data processing and access

After pulsing effects (instrument noise, not natural)



Deconvolution matrix informed by impulse response function

$$\begin{bmatrix} S_m(z_1) \\ S_m(z_2) \\ \dots \\ S_m(z_n) \end{bmatrix} = \begin{bmatrix} F(z_1) & 0 & \dots & 0 \\ F(z_2) & F(z_1) & \dots & 0 \\ \dots & \dots & \dots & \dots \\ F(z_n) & F(z_{n-1}) & \dots & F(z_1) \end{bmatrix} \begin{bmatrix} S(z_1) \\ S(z_2) \\ \dots \\ S(z_n) \end{bmatrix},$$

$$S(z) = F^{-1}(z) S_m(z)$$

1. Solve for signal by taking the inverse of the function above with the normalized signal previously
2. Then, calculate the effective attenuation coefficient from new signal

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$$S(z) = F^{-1}(z) S_m(z)$$

1. Solve for signal by taking the inverse of the function above with the normalized signal previously
2. Then, calculate the effective attenuation coefficient from new signal
3. Calculate calibration constant from surface photons and theoretical backscatter from wind speed

$$\beta_s = \frac{0.0209}{4\pi\sigma^2\cos^4(\theta)} e^{\left(\frac{\tan^2(\theta)}{2\sigma^2}\right)}$$

$\sigma^2$  is the wave slope variance estimated from wind speed, or  $0.003 + 0.00512v$  ( $v$  = wind speed in meters per second for winds between 7 and 13 m/s or  $0.0146*\text{sqrt}(v)$  for winds  $< 7$  m/s)

And  $\theta$  is IS2's off nadir pointing angle (a max of 1.8 degrees)

GMAO wind speeds from MERRA-2 1-hourly instantaneous two-dimensional data products are used to compute theoretical estimates of ocean surface backscatter

# ICESat-2 data processing and access

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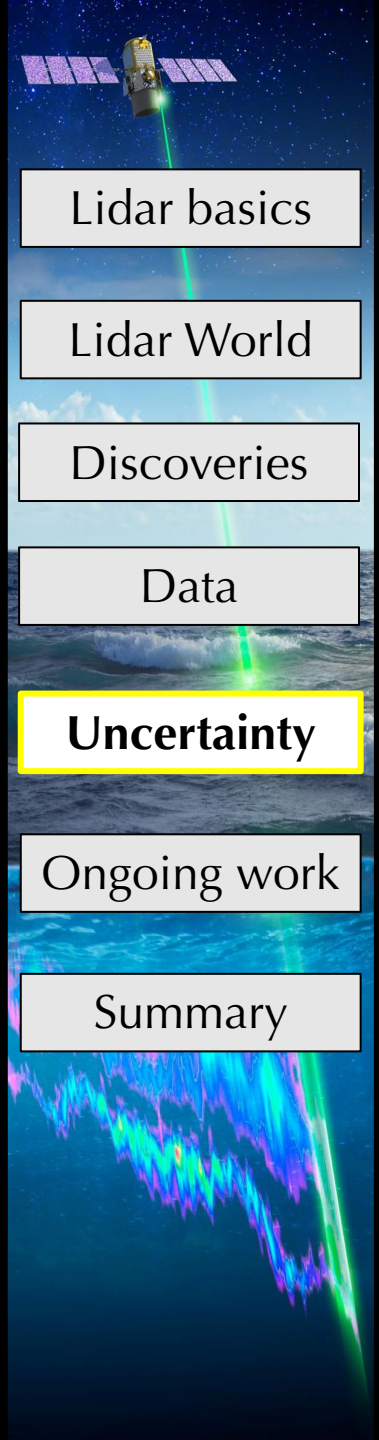
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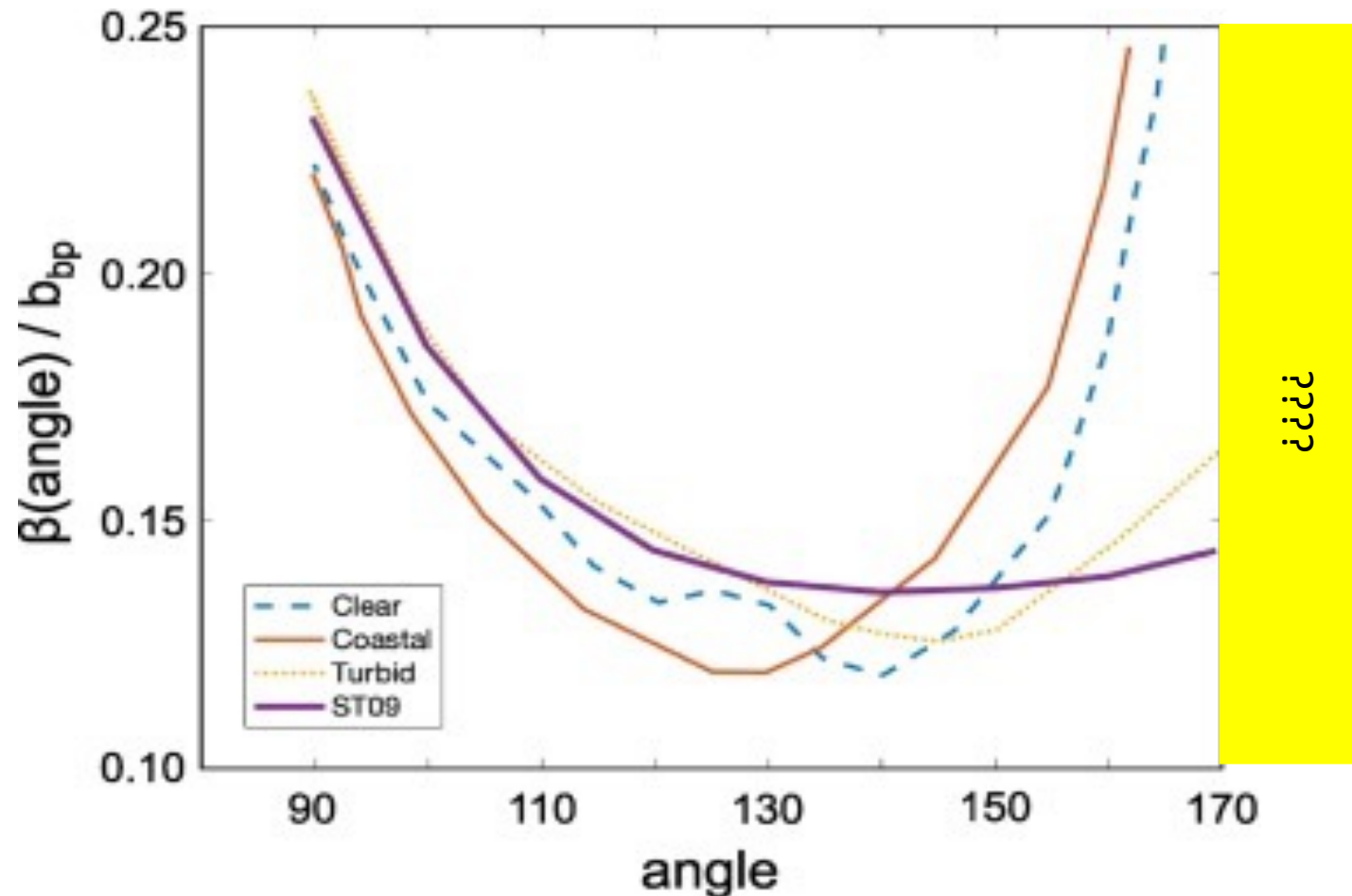
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$$b_b(z) = \frac{m^2 S(z) \exp(2Kdz)}{\beta(\pi) C t^2}$$



# ICESat-2 data processing and access: Influence of beta(pi)

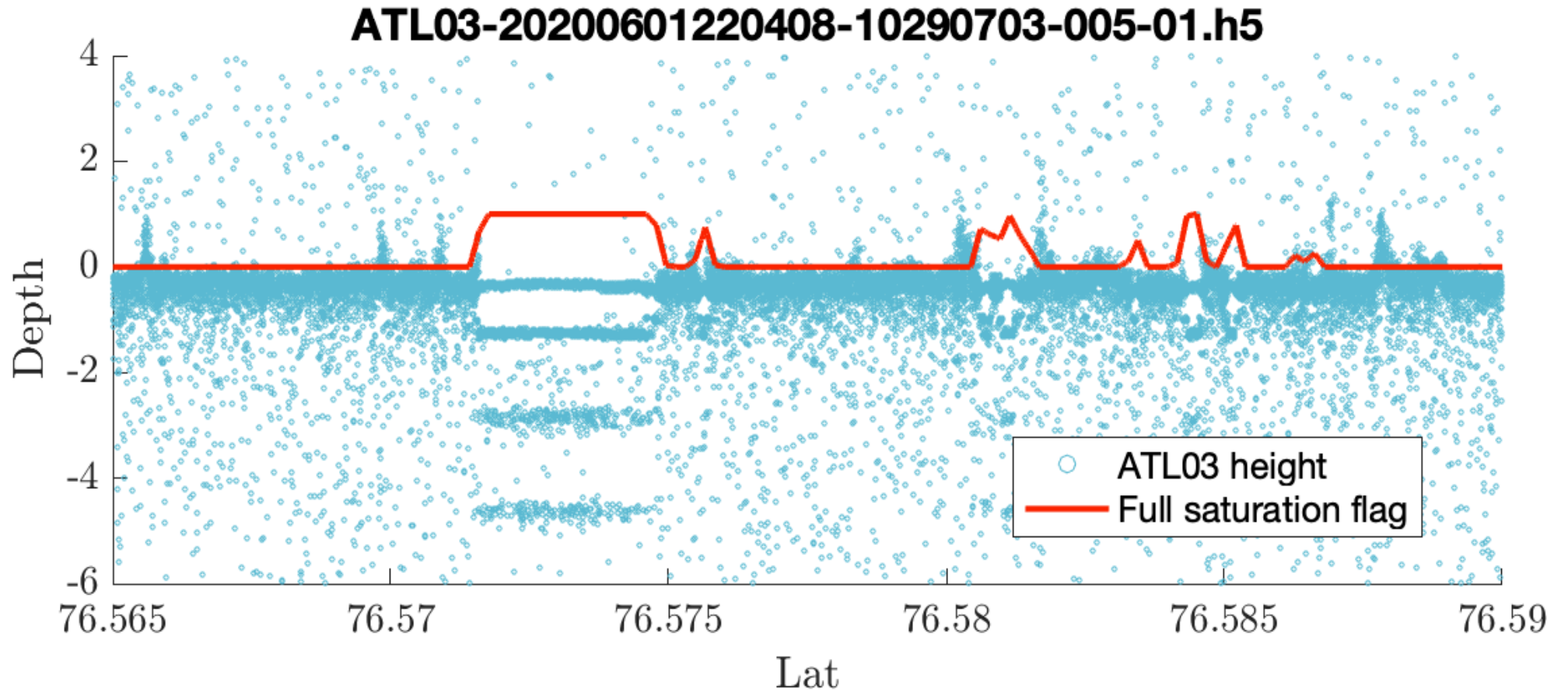


Large variation in this constant and the backscattering is proportional to it!

$$b_b(z) = \frac{m^2 S(z) \exp(2Kdz)}{\beta(\pi) C t^2}$$

# ICESat-2 data processing, issues

If photon receiving channels are saturated, they stop recording photons ... subsurface features will be present but appear unnatural due to dead time effects



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# Why collaborative open source science?

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## SCIENCE TEAM

The Science Team is a group of competitively selected scientists who help define and implement ICESat-2's science goals. They provide guidance and advice to the ICESat-2 project to ensure the mission meets its science requirements.



### ANDELA, NIELS

Vegetation  
NASA Goddard Space Flight Center

### BORAK, JORDAN

Vegetation  
NASA Goddard Space Flight Center

### EIDAM, EMILY

Oceanography  
University of North Carolina

### FATOYIMBO, LOLA

Vegetation  
NASA GSFC Biospheric Sciences Laboratory

### FRICKER, HELEN

Ice sheets  
University of California San Diego

### HANAN, NIALL

Vegetation  
New Mexico State University

### HOLSCHUH, NICK

Land ice  
Amherst College

### KWOK, RON

Sea ice  
University of Washington Polar Science Center

### LU, XIAOMEI

Atmospheric Science  
NASA LaRC/SSAI

### BISSON, KELSEY

Oceans  
Oregon State University

### CSATHO, BEA

Land Ice  
University of Buffalo

### FARRELL, SINEAD

Sea Ice  
University of Maryland

### FELIKSON, DENIS

Land Ice  
NASA Goddard Space Flight Center

### GARDNER, ALEX

Ice sheets  
NASA Jet Propulsion Lab

### HERZFELD, UTE

Land ice  
University of Colorado Boulder

### HORVAT, CHRIS

Sea ice  
Brown University

### LIPOVSKY, BRAD

Land ice  
Harvard University

### MAGRUDER, LORI

Laser altimetry, Science Team Leader  
University of Texas

### MAKSYM, TED

Sea ice  
Woods Hole Oceanographic Institution

### MORLIGHEM, MATHIEU

Land Ice  
Dartmouth College

### NEUENSCHWANDER, AMY

Vegetation  
University of Texas

### PALM, STEVE

Atmospheric science  
Science Systems and Applications, Inc.

### RYAN, JONATHAN

Hydrology  
Brown University

### SIEGFRIED, MATTHEW

Land ice  
Colorado School of Mines

### STROEVE, JULIENNE

Sea ice  
University of Colorado Boulder

### TILLING, RACHEL

Sea ice  
NASA Goddard Space Flight Center

### MORISON, JAMES

Arctic oceans  
University of Washington

### NEREM, STEVE

Oceanography  
University of Colorado Boulder

### PADMAN, LAURENCE

Antarctic oceanography  
Earth & Space Research

### POPESCU, SORIN

Vegetation  
Texas A&M University

### SHAPER, DANIEL

Hydrology  
University of Washington

### SMITH, BEN

Ice sheets  
University of Washington

### THOMPSON, ANDY

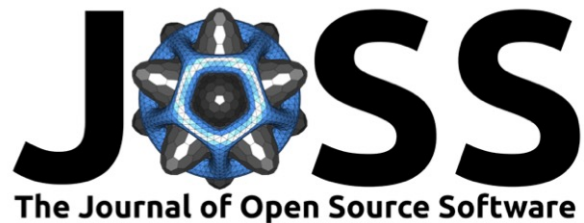
Antarctic oceans  
California Institute of Technology

### VELICOGNA, ISABELLA

Ice sheets  
University of California Irvine



# Collaborative open source science



1Tb per day  
Assess simplified in 3 lines of code  
Written in object oriented programming  
Adaptable, community forward



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## icepyx: querying, obtaining, analyzing, and manipulating ICESat-2 datasets

Jessica Scheick <sup>1</sup>¶, Wei Ji Leong <sup>2</sup>, Kelsey Bisson <sup>3</sup>, Anthony Arendt <sup>4</sup>, Shashank Bhushan <sup>4</sup>, Zachary Fair <sup>5</sup>, Norland Raphael Hagen <sup>6</sup>, Scott Henderson <sup>4</sup>, Friedrich Knuth <sup>4</sup>, Tian Li <sup>7</sup>, Zheng Liu <sup>4</sup>, Romina Piunno <sup>8</sup>, Nitin Ravinder<sup>9</sup>, Landung “Don” Setiawan <sup>4</sup>, Tyler Sutterley <sup>4</sup>, JP Swinski<sup>5</sup>, and Anubhav <sup>10</sup>

1 University of New Hampshire, USA 2 Development Seed, USA 3 Oregon State University, USA 4 University of Washington, USA 5 NASA Goddard Space Flight Center, USA 6 CarbonPlan, USA 7 University of Bristol, UK 8 University of Toronto, Canada 9 University of Leeds, UK 10 University of Maryland, College Park, USA ¶ Corresponding author

DOI: [10.21105/joss.04912](https://doi.org/10.21105/joss.04912)

# Can lidar and ocean color be combined to get the best of both worlds?

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If  $b_b$  is known from lidar, reduces the amount of parameters to be solved for and possibly constrains solutions more accurately

(assuming  $b_b$  and  $R_{rs}$  are accurate, as well as  $R_{rs}$  to absorption relationships)

## $r_{rs}$ Inversion refresher

$r_{rs}$  is  $\propto$  the ratio of scattering to scattering + absorption

$$r_{rs}(\lambda) = \sum_{i=1}^2 G_i \left[ \frac{b_b(\lambda)}{a(\lambda) + b_b(\lambda)} \right]^i$$

And absorption ( $a$ ) is the sum of all absorbing constituents (spectrally) and backscattering ( $b_b$ ) is the sum of backscattering from seawater and from particles.

$$a(\lambda) = a_w(\lambda) + M_{cdm} e^{-S_{cdm}\lambda} + M_{ph} a_{ph}(\lambda)$$

$$b_b(\lambda) = b_{bw}(\lambda) + M_{bp} \lambda^{-\gamma},$$

# Can lidar and ocean color be combined to get the best of both worlds?

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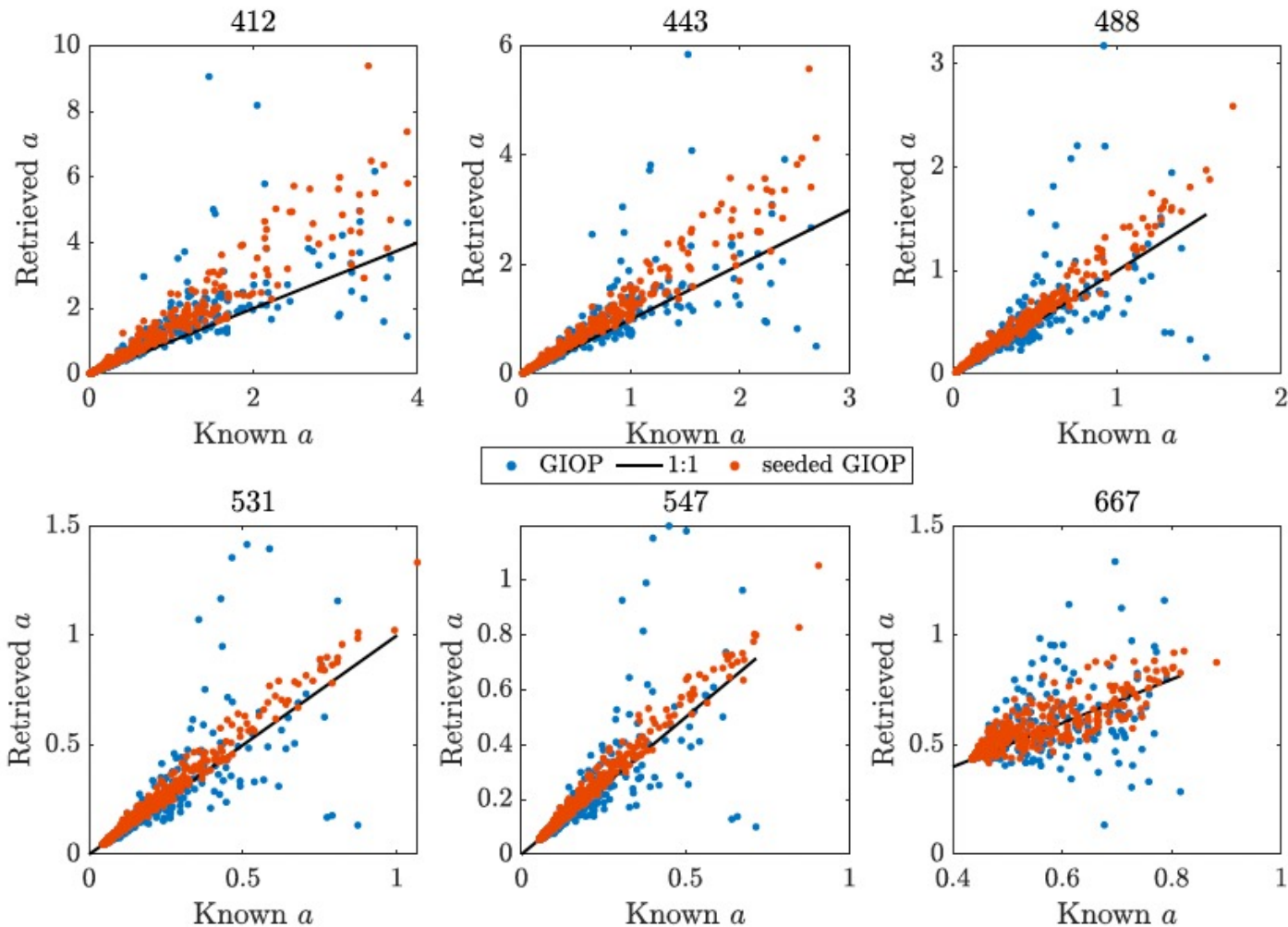
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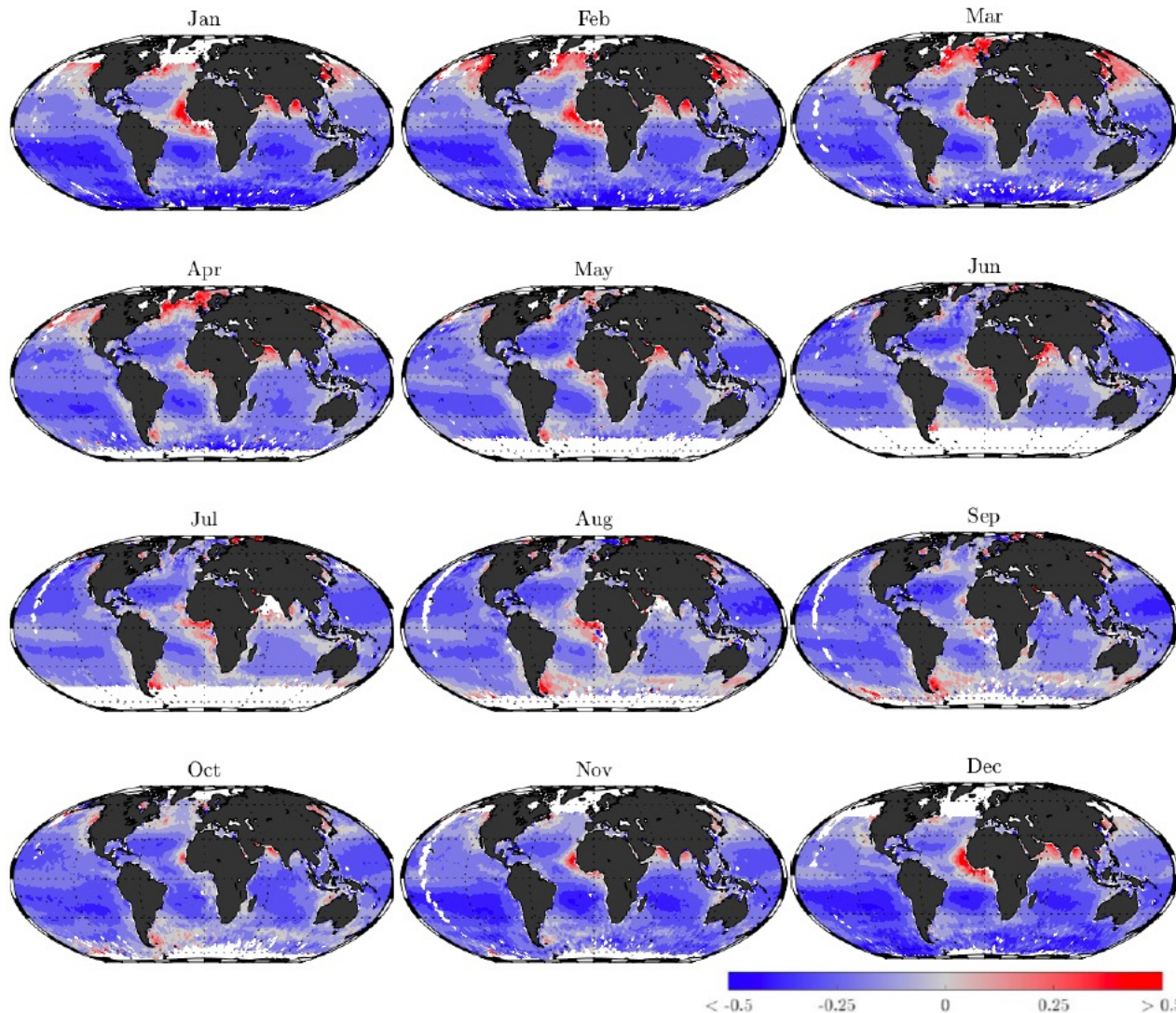
Summary



Simulated data case using theoretically derived data shows good proof of concept

(think hydrolight runs!)

# Can lidar and ocean color be combined to get the best of both worlds?



Relative differences on global scales between standard absorption and lidar-derived absorption show differences greater than 50% in some places

Need more field absorption data to understand which is more correct...

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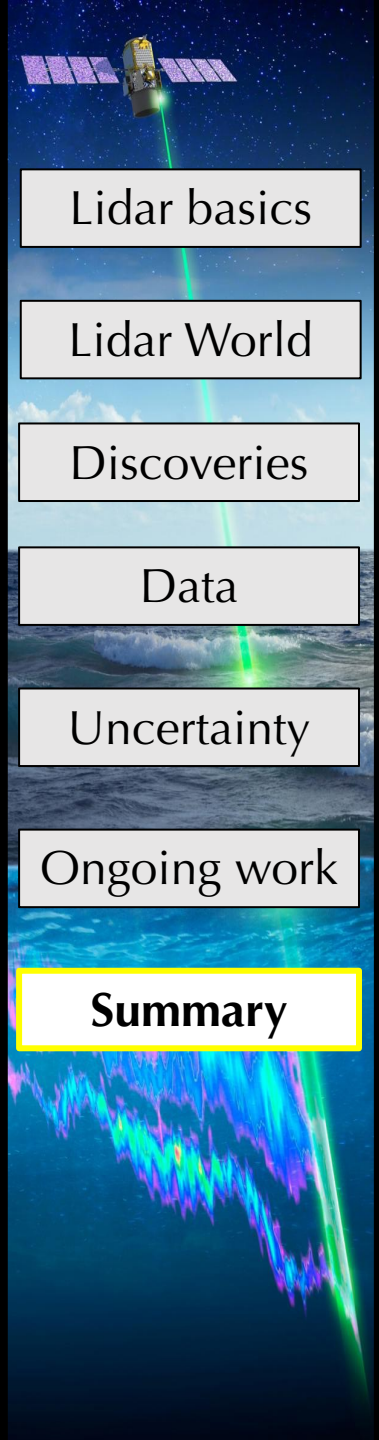
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### **Advantages:**

- Lidar provides independent source of optical properties...closure
- Lidar  $b_{bp}$  is more accurate and less temporally biased than ocean color  $b_{bp}$ .
- Lidar 'sees' into incredible features like sea ice cracks and subsurface ocean bathymetry, enabling research from polar ecosystems to coral health
- Polarization offers additional data and features to be examined (not discussed today)

### **Challenges:**

Processing is under constant development, no routine centralized route yet

Data require cloud computing or server storage – 1TB per day under ICESat-2

Methods rely on good  $\beta(\pi)$  values, which are uncertain, so field data are needed to confirm realism often

BUT -- this creates ripe opportunities for funding, publications (low fruit), and synergies across disciplines !!!



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## Spaceborne Lidar in the Study of Marine Systems

### Annual Review of Marine Science

Vol. 10:121-147 (Volume publication date January 2018)  
First published as a Review in Advance on September 27, 2017  
<https://doi.org/10.1146/annurev-marine-121916-063335>

Chris A. Hostetler,<sup>1</sup> Michael J. Behrenfeld,<sup>2</sup> Yongxiang Hu,<sup>1</sup> Johnathan W. Hair,<sup>1</sup> and Jennifer A. Schulien<sup>2</sup>

<sup>1</sup>Langley Research Center, National Aeronautics and Space Administration, Hampton, Virginia 23681-2199, USA; email: [chris.a.hostetler@nasa.gov](mailto:chris.a.hostetler@nasa.gov)

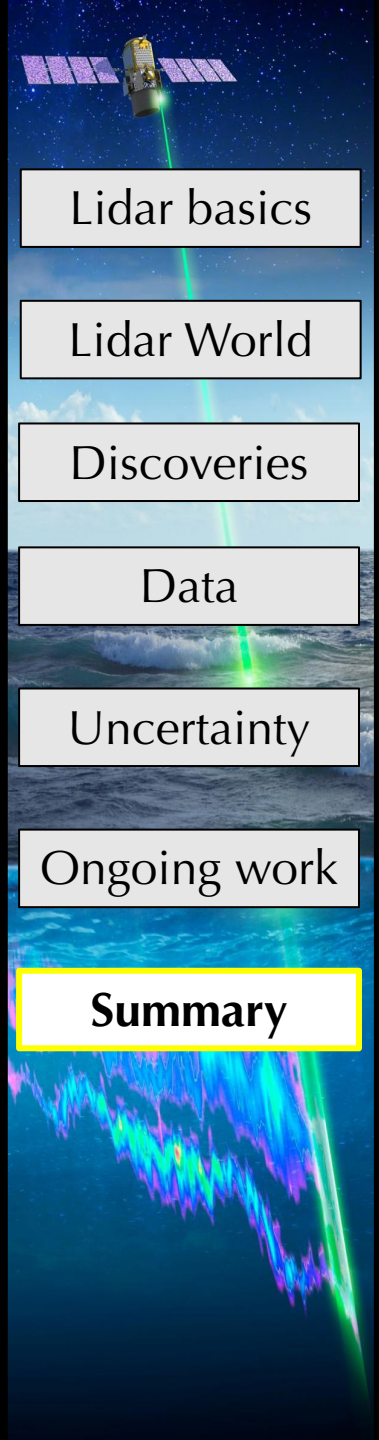
<sup>2</sup>Department of Botany and Plant Pathology, Oregon State University, Corvallis, Oregon 97331-2902, USA

Future reading

## Going Beyond Standard Ocean Color Observations: Lidar and Polarimetry

*Cédric Jamet<sup>1\*</sup>, Amir Ibrahim<sup>2,3\*</sup>, Ziauddin Ahmad<sup>2,4</sup>, Federico Angelini<sup>5</sup>, Marcel Babin<sup>6</sup>, Michael J. Behrenfeld<sup>7</sup>, Emmanuel Boss<sup>8</sup>, Brian Cairns<sup>9</sup>, James Churnside<sup>10</sup>, Jacek Chowdhary<sup>9</sup>, Anthony B. Davis<sup>11</sup>, Davide Dionisi<sup>12</sup>, Lucile Duforêt-Gaurier<sup>1</sup>, Bryan Franz<sup>2</sup>, Robert Frouin<sup>13</sup>, Meng Gao<sup>2,3,14</sup>, Deric Gray<sup>15</sup>, Otto Hasekamp<sup>16</sup>, Xianqiang He<sup>17</sup>, Chris Hostetler<sup>18</sup>, Olga V. Kalashnikova<sup>11</sup>, Kirk Knobelspiesse<sup>2</sup>, Léo Lacour<sup>6</sup>, Hubert Loisel<sup>1</sup>, Vanderlei Martins<sup>14</sup>, Eric Rehm<sup>6</sup>, Lorraine Remer<sup>14</sup>, Idriss Sanhaj<sup>19</sup>, Knut Stamnes<sup>20</sup>, Snorre Stamnes<sup>18</sup>, Stéphane Victori<sup>19</sup>, Jeremy Werdell<sup>2</sup> and Peng-Wang Zhai<sup>14</sup>*

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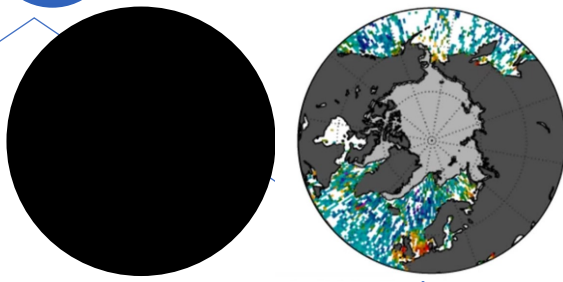
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**Summary**

*Open source  
science is >  
current culture*

**1** *Lidar observes in polar night*



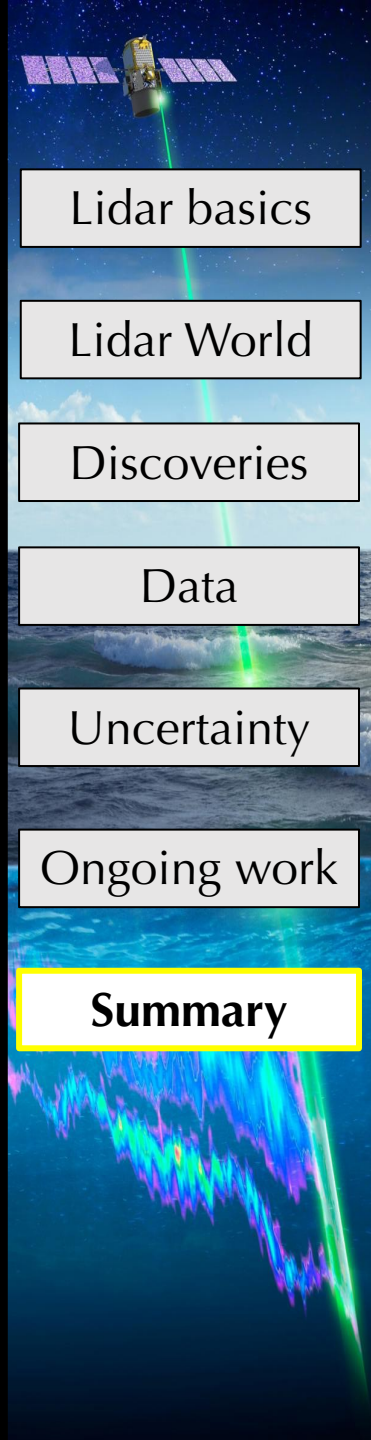
**2** *Lidar bbp outperforms  
ocean color*

**3** *Lidar offers vertical  
resolution*

**4** *Data processing is  
paramount*

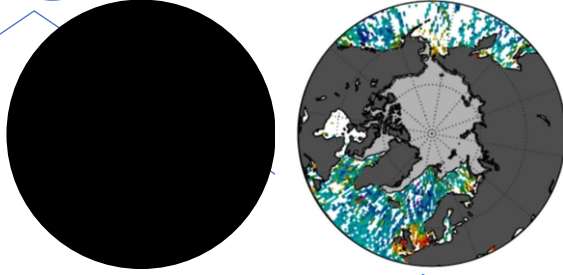
**5**

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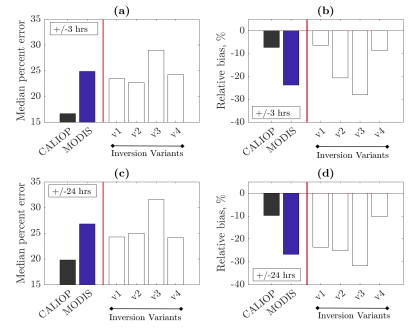


Open source science is > current culture

1 Lidar observes in polar night



2 Lidar bbp outperforms ocean color



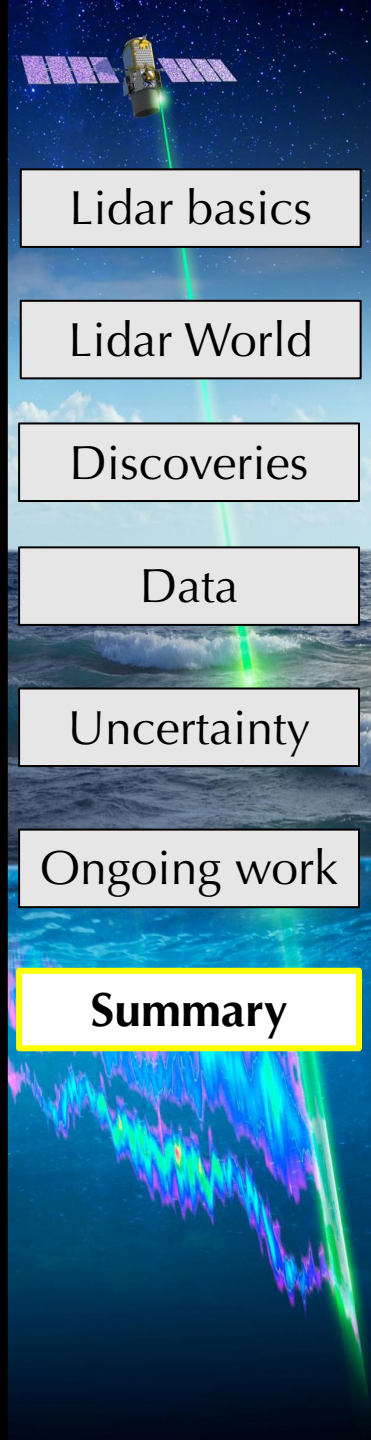
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4 Data processing is paramount

3 Lidar offers vertical resolution

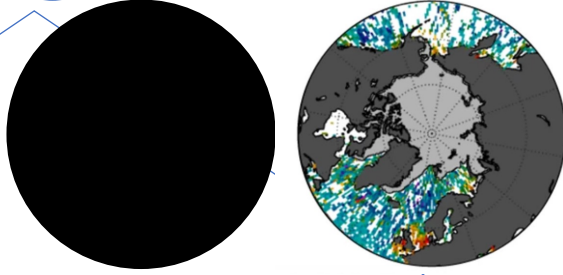


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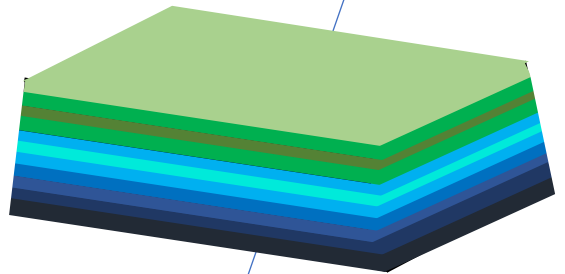
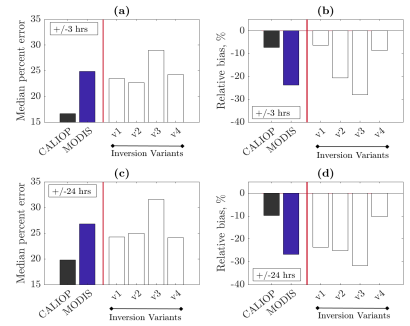


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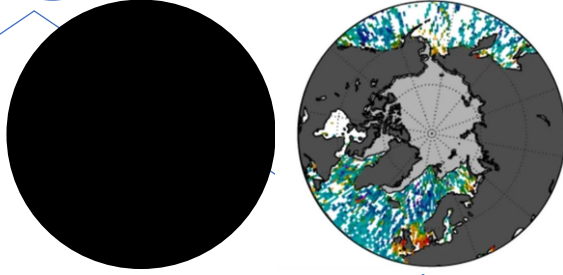
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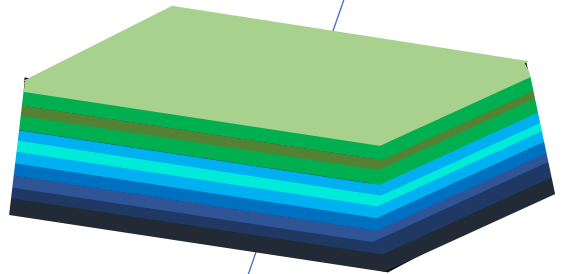
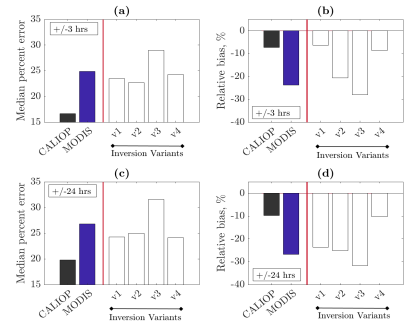
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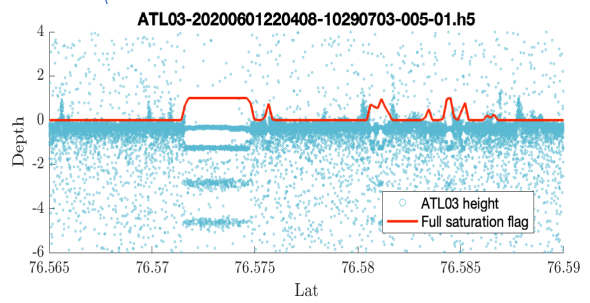
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4 Data processing is paramount



3 Lidar offers vertical resolution

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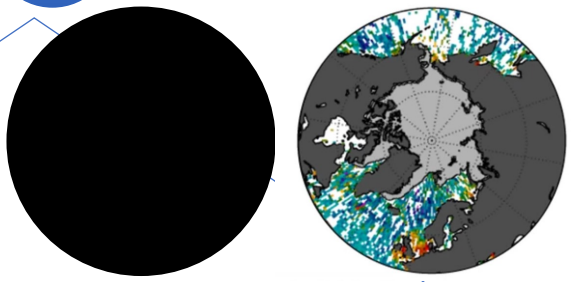
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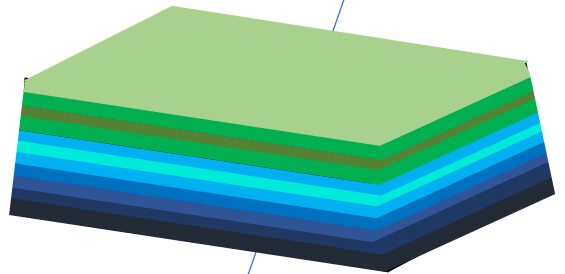
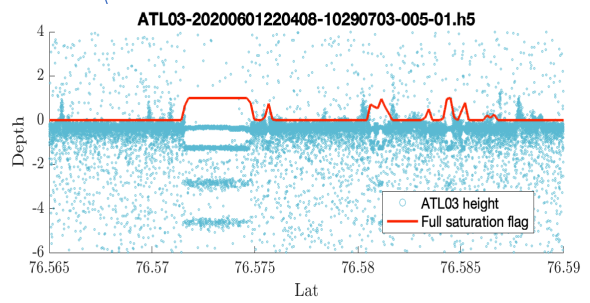
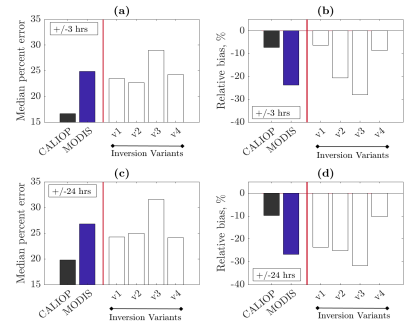
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Lidar observes in polar night



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Lidar bbp outperforms ocean color



4

Data processing is paramount

3

Lidar offers vertical resolution