Analytical Solution of the RTE:

The Successive-Order-of-Scattering Method and its baby, the SSA, and its grandchild, the QSSA

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At last, we finally get to *do* a little bit of math, instead of just looking at it

Analytic Solution Methods

Predictive models

plug in IOP's, get out *approximate* radiances using simple formulas

Advantages:

physical insight – identify and isolate dominant processes

guidance – in concept formulation and data analysis

simple math – easy to program

adaptable to statistical analysis – e.g. of radiance fluctuations due to surface wave effects

sanity checks on exact numerical models

Disadvantages:

simple situations only – homogeneous water, sun in a black sky, level water surface, etc

inaccurate – factor of 2 to 10 accuracy

From the *draft* introduction of "Phase function effects on oceanic light fields" by Mobley, Sundman, and Boss, *Applied Optics* 41, 1035-1057, 2001.

Consider a collimated radiance incident onto the sea surface at polar angle θ_s , which approximates the sky radiance distribution for the sun at zenith angle θ_s in a clear sky. Let θ_w be the angle of the incident (sun's) radiance after refraction through a level sea surface, and let $E_d(0)$ be the plane irradiance just beneath the sea surface. Single-scattering theory³ then shows that in homogeneous water the upwelling radiance in direction (θ, ϕ) at depth z within the water is given approximately by

$$L_{u}(\theta,\phi,z) \approx E_{d}(0) \omega_{o} \widetilde{\beta}(\theta_{w},\phi_{w} \rightarrow \theta,\phi) \frac{1}{\cos\theta_{w} - \cos\theta} \exp\left(-cz/\cos\theta_{w}\right).$$
(2)

Here ϕ is azimuthal direction measured from some convenient reference direction, and θ is measured from 0 at the nadir direction; thus $\theta > 90$ deg for upwelling radiance. The albedo of single scattering, $\omega_0 = b/c$, gives the probability of scattering (rather than absorption) in any interaction of a photon with the medium. In ocean waters, ω_0 can vary from 0.2 to 0.9 depending on wavelength and water composition. The angle arguments of the phase function denote scattering from the direction of the sun's in-water downwelling beam into the upwelling direction of interest.

The quasi-single-scattering approximation (QSS A) treats forward scattered light as unscattered, in which case³ $\omega_0 = b_b / (a + b_b)$. Here b_b is the backscatter coefficient, which is the integral of the VSF over the hemisphere of backscattering directions, $\psi \ge 90$ deg. For upwelling radiance at $\theta = 180$ deg (the nadir-viewing radiance), Eq. (2) then gives

$$L_{u}(z=0) \approx b_{b} \quad \tilde{\beta}(\psi = 180 - \theta_{w}) \\ E_{d}(0) \approx a + b_{b} \quad \cos \theta_{w} + 1$$
(3)

The remote-sensing reflectance R_{rs} is the ratio of the water-leaving radiance to the downwelling plane

OCEAN OPTICS

H. GORDON \dot{m} SPINKAD, CARDER, PERRY where θ_0 and ϕ_0 are the solar zenith and azimuth angles, respectively, and δ is the

Dirac delta function. F_0 is the solar irradiance on a plane normal to the sun's rays. The equation for $L^{(0)}$ can be solved immediately, yielding

$$L^{(0)}(z,\theta,\phi) = F_0 \delta(\cos\theta - \cos\theta_0) \,\delta(\phi - \phi_0) \exp(-cz/\cos\theta_0) \quad (1.30)$$

The equation for $L^{(1)}$ then becomes

$$\cos\theta \frac{dL^{(1)}}{c\,dz} = -L^{(1)} + \int PL^{(0)'}\,d\Omega' = -L^{(1)} + P(\theta_0, \phi_0 \to \theta, \phi)F_0\,\exp(-cz/\cos\theta_0)$$
(1.31)

The solution to this equation is

where
where

$$L_{\mu}^{(1)}(z,\theta,\phi) = \frac{P(\alpha)}{\cos \theta_0 - \cos \theta} \exp(-cz/\cos \theta_0)$$

 $L_{d}^{(1)}(z,\theta,\phi) = \frac{P(\alpha)}{\cos \theta_0 - \cos \theta} \left[\exp(-cz/\cos \theta_0) - \exp(-cz/\cos \theta)\right]$
(1.32)
where

$$E_d(0) = F_0 \cos \theta_0 \tag{1.33}$$

and

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$$\cos \alpha = \cos \theta \cos \theta_0 + \sin \theta \sin \theta_0 \cos \phi \qquad (1.34)$$

The subscripts u and d in Eq. (1.32) mean "up" ($\theta > 90^\circ$) and "down" ($\theta < 90^\circ$), respectively. From these we can compute the first-order or single scattering approximation to the apparent optical properties. As an example we compute $K_d(0)$, the downwelling irradiance attenuation coefficient just beneath the surface. For $cz \ll 1$,

The SUCCESSIVE-ORDER-OF-SCATTERING SOLUTION METHOD -> SSA -> QSSA Consider · homogeneous water · plane parallel gcometry · level surface · paint sum in a block sky · no internal sources The RTE is then (LEW Eg. 5.24) $\mathcal{M} = \frac{dL(\mathbf{x}, \mathbf{u}, \boldsymbol{\phi})}{dS} = -L(\mathbf{x}, \mathbf{u}, \boldsymbol{\phi}) + \omega_0 \int \tilde{\boldsymbol{\beta}}(\mathbf{u}, \boldsymbol{\phi}' - \mathbf{y}, \mathbf{u}, \boldsymbol{\phi}) L(\mathbf{y}, \mathbf{u}', \boldsymbol{\phi}') d\mathcal{R}'$ $J = \int_{0}^{\infty} C(z') dz' = C z ; w_{0} = \frac{b}{c} ; \mu = u z \Theta ; dz' = d\mu' dp'$ Assume that we can write $L(y, \mu, \phi) = L^{(0)}(y, \mu, \phi) + \omega_0 L^{(1)}(y, \mu, \phi) + \omega_0^2 L^{(2)}(y, \mu, \phi) + \cdots$ unscattered singly-scattered photons plintons twice scattered +... This will be the SSA solution

Substitute series expansion in to RTE;

$$\mathcal{M}\left[\frac{dL^{(n)}}{dy} + \omega_{0}\frac{dL^{(1)}}{dy} + \omega_{0}^{1}\frac{dL^{(n)}}{dy} + \cdots \int_{-1}^{2} - \left[L^{0} + \omega_{0}L^{(1)} + \cdots \right] \right]$$

$$+ \omega_{0} \int_{-\infty}^{\infty} \widetilde{\beta}\left[L^{(0)} + \omega_{0}L^{(1)} + \omega_{0}^{1}L^{(2)} + \cdots \right] d\Omega'$$
And equal terms with equal powers of ω_{0} :

$$\mathcal{M}\frac{dL^{(1)}}{dy} = -L^{(0)} \qquad (o)$$

$$\mathcal{M}\frac{dL^{(1)}}{dy} = -L^{(1)} + \int_{-\infty}^{\infty} \widetilde{\beta}\left[L^{(0)}d\Omega'\right] \qquad (1)$$

$$\mathcal{M}\frac{dL^{(2)}}{dy} = -L^{(1)} + \int_{-\infty}^{\infty} \widetilde{\beta}\left[L^{(0)}d\Omega'\right] \qquad (2)$$
and so an.
We can ashe Eq (0) [Beer's law], The
boundary conditions are (collimated been, blacksdy)

$$L^{(0)}(o, \mathcal{M}, \varphi) = E_{1}(o) S(\mathcal{M}-\mathcal{M}_{5}) S(\mathcal{M}-\mathcal{A}_{5}) \qquad (Ec)$$

$$\frac{W}{W^{2}} = \int_{-\infty}^{\infty} (S(S-S_{5})) \qquad (Ec)$$

$$\frac{W}{W^{2}} = \int_{-\infty}^{\infty} (S(S-S_{5})) \qquad (Ec)$$

$$\frac{W}{W^{2}} = \int_{-\infty}^{\infty} (S(X-X_{5})dX - f(x_{5}) = \int_{-\infty}^{\infty} [S] = \frac{1}{L^{1}}$$

oud $L^{(0)}(Y,\mu,\phi) \rightarrow 0 \quad \alpha \quad \mathcal{Y} \rightarrow \infty$ (BC2) Rewrite Eq (0) as $\frac{dL^{(0)}}{r^{(0)}} = -\frac{dY}{u}$ $\ln L^{(0)} \Big|_{L^{(0)}(Y_{\geq 0})}^{L^{(0)}(Y)} = -\int \frac{dy'}{M} = -\frac{y'}{M} \Big|_{0}^{y}$ $L^{(0)}(S, \mu, \phi) = L^{(0)}(0, \mu, \phi) e^{-S/\mu}$ (BCT) (BCT) (BCT) (BCT) (BCT) (BCT) (BCT)Note that L'0) (9, 4, \$\$) = 0 if M = Ms or \$\$ = Ps Note that L' (8, M, Ø) -> 0 as J -> 0 So both Band Conds are satisfied. This solution describes the contribution of unscattered light to the total

· Now we can evaluate the scattering term m Eg(1): $\int \overline{\beta} L^{(v)} d\Omega' = \int_{0}^{2\pi} \int \overline{\beta} (\mu', \phi' - \overline{\mu}, \phi) \mathcal{R}$ $E_1(0)$ $S(\mu'-\mu_5)$ $S(\phi'-\phi_5)e^{-J_{\mu}}d\mu'd\phi'$ recall that (LEW p21) S flu) Slu-no) du = fluo) etc so we get $\int \tilde{\beta} L^{(\circ)} d\Omega' = E_{p}(0) \tilde{\beta} (Ms, \Phis + P, M, \Phi) C$ = how much of the solar beam reaches depth I and then gets scattered into the direction of interest (4, \$) = a local (at 3) source term for singly-scattered radiance

So Eq (1) is now $\mathcal{M} \frac{dL^{(1)}}{dg} + L^{(1)} = E_{1}(0) \tilde{\beta} (\mu_{5}, q_{5} - \mu_{1}, \phi) e^{-J/\mu_{5}}$ (la) known This is an ODE (in y) with constant coefficients, so we can solve it. The Bod Conds are L(1) (0, 1, 0) = 0 => no scattered light from sun $L^{(1)}(\mathcal{Y},\mu,\phi) \rightarrow 0 \iff \mathcal{Y} \rightarrow \infty$ To integrate (1a), break L(1) into downwelling and up welling parts:

an Y=0 05 $L_{u}^{(1)}(S_{u}, q), \mu < 0$ $L^{(1)}_{\mathcal{A}}(J_{\mathcal{A}}, \psi, \phi)$ N70 4-70 L'u (9) contributions L'A (y) contributions ame only from come only from below g above y Eq (1a) has the same form seen in LEW p256. For L'i we. multiply by the grating factor)

multiply by $f_{I} e^{3/n}$ (integrating factor) $f_{I} e^{3/n} \left[\mu \frac{dL_{A}^{"}}{dy} + L_{A}^{"} \right] = f_{I} e^{3/n} \left[e_{I} \tilde{\beta} e^{-3/n} \right]$ $\frac{d}{ds} \left[L_{A}^{"} e^{3/n} \right] = \frac{e_{I} \tilde{\beta}}{\mu} e^{(\frac{1}{n} - \frac{1}{n}s)g}$

Now integrate from 0 to 3: $L_{1}^{(0)}(g)$ $d[L_{a}^{(i)}e^{\frac{1}{m}}] = \frac{1}{m}\int_{0}^{s}e^{(\frac{1}{m}-\frac{1}{m})s^{i}}ds^{i}$ using Seax 1x = 1 eax, $L_{a}^{(i)}(y)e^{t_{M}} - L_{a}^{(i)}(0) = \frac{\varepsilon_{a}\beta}{m} \frac{1}{(t_{a} - t_{a})} \left[e^{(t_{a} - t_{a})s} - 1 \right]$ Oby B.C. mult by e toget $L'a(9) = \frac{E_{a}E_{a}}{X} \frac{1}{\frac{M_{s-M}}{M_{max}}} \left[e^{-\frac{M_{s}}{M_{s}}} - e^{-\frac{M_{s}}{M_{s}}} \right]$ $= E_{IMS} \overline{\beta} \frac{1}{M_{S-M}} \left[e^{-\frac{S}{MS}} - e^{-\frac{S}{M}} \right]$ For a collimated beam, Ed(0)= E1(0)MS = E1(0) CD BS, 20 $L_{a}^{(3)}(9, \mu, \phi) = E_{a}(0) \widetilde{\beta}(\mu_{s}, \phi_{s} \rightarrow \mu, \phi) \frac{1}{\mu_{s-\mu}} \begin{bmatrix} e^{-\frac{2}{\mu_{s}}} & e^{-\frac{2}{\mu_{s}}} \end{bmatrix}$ for M = Ms. This is Eq. (1.32 b) in SCP p 14,

For the special use of
$$\mu = \mu_s$$
 we get

$$\int d \left[L_A^{(1)} e^{\frac{\pi}{3}} \mu_s \right] = \frac{\mathbb{E}_{\Sigma} \tilde{p} \left(\mu_s, \phi_s \rightarrow \mu_s, \phi \right)}{\int \mathcal{M}_s} \int e^{\frac{\pi}{3}} e^{\frac{\pi}{3} - \frac{\pi}{3}} e^{\frac{\pi}{3}} e^{\frac{\pi$$

Comments:

1. If you didn't need to know this stuff in order to read the literature, I wouldn't be giving this lecture.

2. Now you know why they told you to take differential equations as an undergraduate.

For the upwelling radiance, integrate (1a) from the Sto co. Note also that 11<0 since 0 > 1/2. $\int_{a}^{\infty} d\left[L_{u}^{(i)}e^{S/n}\right] = \frac{E_{L}E}{n}\int_{a}^{\infty}e^{\left(\frac{1}{n}-\frac{1}{n}\right)S'}dS'$ $L_{u}^{(1)}(\omega) e^{-\gamma_{1}} - L_{u}^{(1)}(y) e^{-\gamma_{1}} = \frac{E_{2}\tilde{B}}{M} \frac{1}{(\frac{1}{M} - \frac{1}{M}s)} e^{-\gamma_{1}} e^{-\gamma_{1}$ by B.C. $-L_{n}^{(1)}(9)e^{3/m} = E_{1}^{\infty} \frac{M_{s}}{M_{s}-M} \left[e^{\left(\frac{1}{M}-\frac{1}{M_{s}}\right)\infty} - e^{\left(\frac{1}{M}-\frac{1}{M_{s}}\right)9} \right]$ so p= = 0 so we get $L_{u}^{(1)}(y) = E_{d}(0) \widetilde{\beta}(\mu_{s}, \phi_{s} \rightarrow \mu, \phi) \frac{1}{\mu_{s} - \mu} e^{-\frac{y}{\mu_{s}}}$ This is SCP Eq. (1.32a) on p 14, We now have the radiance distailantin in the SSA: $L^{(554)} = L^{(0)} + \omega_0 L^{(1)}$ which is

$$L_{d}^{(55h)} = \frac{\omega_{0} E_{d}(2) \tilde{c}(4)}{\mu_{5}-\mu} \left[e^{-\frac{3}{2}\mu_{5}} - e^{-\frac{3}{2}\mu_{1}} \right]$$
for $\mu > 0$ and $\mu s \neq \mu$ [Note: $L_{d}^{(0)} = 0$ if $\mu \neq \mu s$]
$$L_{d}^{(55h)} = L_{d}^{(0)}(0, \mu s, \phi_{5}) e^{-\frac{3}{2}\mu_{5}} if \mu = \mu s \text{ and } \phi \circ \phi_{5}$$

$$L_{d}^{(55h)} = \omega_{0} E_{d}(0) \tilde{\beta}(\mu_{5}, \phi_{5} \rightarrow \mu_{5}, \phi) \frac{s}{\mu_{5}} e^{-\frac{3}{2}\mu_{5}}$$

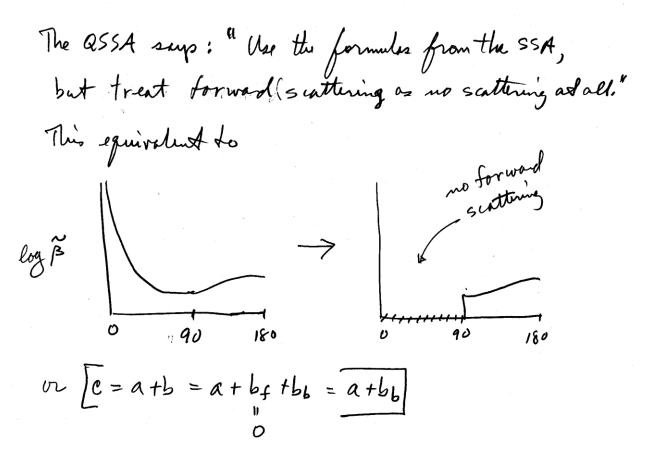
$$I_{d}^{(55h)} = \frac{\omega_{0} E_{d}(0) \tilde{\beta}(4)}{\mu_{5}-\mu} e^{-\frac{s}{2}\mu_{5}} e^{-\frac{3}{2}\mu_{5}}$$
Eq. 2 of Mobley,
$$L_{u}^{(55h)} = \frac{\omega_{0} E_{d}(0) \tilde{\beta}(4)}{\mu_{5}-\mu} e^{-\frac{s}{2}\mu_{5}} e^{-\frac{3}{2}\mu_{5}} e^{-\frac{3}{2}\mu_{5}}$$
Bust remember: we get thes solution only
for a collimated input beam. The S-function
made the source-term evaluation easy. May
other boundary condition [evin a uniform
$$L^{(0)}(0, \mu, \phi)] \text{ makes the source-term evaluation}$$
very difficult. Heso, the infinitely deep water
removed any bottom effects.

A fun spring-break project:

Program the SSA and compare its predictions of the radiance with those of HydroLight (which includes multiple scattering) for highly absorbing water, highly scattering water, etc.

See when the SSA works well, and when it doesn't.

The Quasi-Single-Scattering Approximation (QSSA) Argument. For highly peaked accanic phase functions, most of the scattering is through very small angles 4. But scattering through a small angle is almost the same as no scattering at all, e.g. almost the same Ed 0, since $\theta_0 \approx \theta_1$



To be consistent with the approximation c≈a+bb we home $\frac{b_{f}^{0}+b_{b}}{a+b_{f}+b_{b}} \approx \frac{b_{b}}{a+b_{b}}$ $w_0 \equiv \frac{b}{c} \equiv$ and $\mathcal{G} = CZ = (a+b)Z \approx (a+b_b)Z$ So the QSSA says: use the SSA equations, but make the replacements $c \rightarrow c^* = a + b_b$ $\omega_0 \longrightarrow \omega_0^* = \frac{b_5}{a+b_5}$ q → g* = (a+bb) = This is an example of a similarity Fransformation: Solve are problem, then rescale the variables to get the solution of another problem.

Now let's compute Rrs in the QSSA. From the SSA solution for Lus on page (D), <u>Lw(air)</u> <u>Lu(wate)</u> Ed(air) <u>Fd(wate)</u> don't worry about factors $R_{rs} \equiv$ 72 $\frac{(ssit)}{R_{1s}} \sim \frac{\frac{L_{u}^{(ssit)}(woti)}{E_{\lambda}(0)}}{E_{\lambda}(0)} = \frac{(wo\beta 14)}{M_{s}-M} e^{-c^{2}/M_{s}}$ wo B(4) = 5 B(0, 45 -> 0, 0) $\frac{1}{\alpha_{44A}} \omega_0^* \tilde{\beta}(4) = \frac{b_b}{\alpha_{+bb}} \tilde{\beta} = \frac{1}{\beta_{+bb}}$ 4 Do=180 ((=+1) for 4 > 90° (take 0=180°) ~ <u>bb</u> <u>BI(4)</u> - ~ // us atlob Ms-M At z = 0, gives Eq. 3 of Mobley, Sundman & Boss constant = 1 mean the 5 -1 -1 ; 0.1 for given sur face, Z=0 and the many Mrs we are left with the functional dependence R^(QSSA)

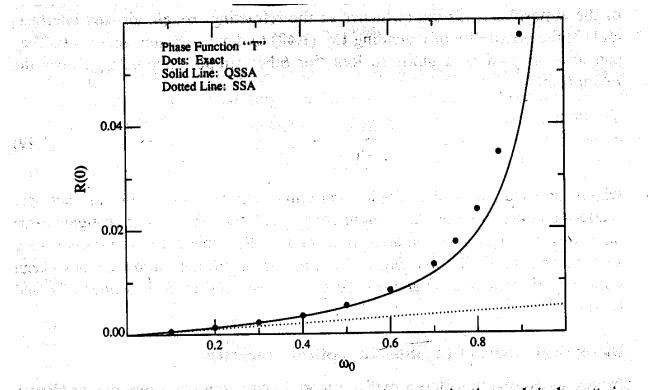


Fig. 1-3. Comparison between exact, quasi-single scattering approximation, and single scattering approximation computations of R(0).

from H. Gordon in Spinrad, Carder, and Perry, op. cit.

A fun summer-vacation project:

Program R_{rs} as given by the QSSA (keeping the factors of 2) and compare its predictions of R_{rs} with those of HydroLight for highly absorbing water, highly scattering water, etc.

See when the QSSA works well, and when it doesn't.

You can go another step in the SSA:

Table 2-6.2. Downwelling and upwelling radiance algorithms for the multiple-scattering hybrid radiometric model for nonconservative scattering ($w_0 \neq 1$)

$$L_{d}(\tau^{*}, s) = w_{0}^{*} F_{0}\mu_{0} \frac{p_{w}(s^{*}, s_{0})}{4\pi} \frac{\exp(-\tau^{*}/\mu_{0}) - \exp(-\tau^{*}/\mu)}{\mu_{0} - \mu}$$

$$+ \begin{cases} \frac{w_{0}^{*} \mu_{0}}{4\pi} (A + a) \frac{\exp(-\tau^{*}/\mu_{0}) - \exp(-\tau^{*}/\mu)}{\mu_{0} - \mu} \\ + \frac{w_{0}^{*}}{4\pi} (B + b) \frac{\exp[\tau^{*}(\kappa_{1} + \kappa_{2})] - \exp(-\tau^{*}/\mu)}{1 + \mu(\kappa_{1} + \kappa_{2})} \\ + \frac{w_{0}^{*}}{4\pi} (C + c) \frac{\exp[\tau^{*}(\kappa_{1} - \kappa_{2})) - \exp(-\tau^{*}/\mu)}{1 + \mu(\kappa_{1} - \kappa_{2})} \end{cases}$$

$$L_{u}(\tau^{*}, s) = w_{0}^{*} F_{0} \mu_{0} \frac{p_{w}(s^{*}, s_{0})}{4\pi} \exp(-\tau^{*}/\mu_{0}) \frac{1 - \exp[-(\tau_{0}^{*} - \tau^{*})(1/\mu_{0} + 1/(-\mu))]}{\mu_{0} + (-\mu)}$$

$$+ \begin{cases} \frac{w_{0}^{*} \mu_{0}}{4\pi} (A + a) \exp(-\tau^{*}/\mu_{0}) \frac{1 - \exp\{-(\tau_{0}^{*} - \tau^{*})[1/\mu_{0} + 1/(-\mu)]\}}{\mu_{0} + (-\mu)} \\ + \frac{w_{0}^{*}}{4\pi} (B + b) \exp[\tau^{*} (\kappa_{1} + \kappa_{2})] \frac{1 - \exp\{-(\tau_{0}^{*} - \tau^{*})[-(\kappa_{1} + \kappa_{2}) + 1/(-\mu)]\}}{1 - (\kappa_{1} + \kappa_{2})(-\mu)} \\ + \frac{w_{0}^{*}}{4\pi} (C + c) \exp[\tau^{*} (\kappa_{1} - \kappa_{2})] \frac{1 - \exp[-(\tau_{0}^{*} - \tau^{*})[-(\kappa_{1} - \kappa_{2}) + 1/(-\mu)]]}{1 - (\kappa_{1} - \kappa_{2})(-\mu)} \end{cases}$$

Table 2-6.1. Integration constants for the hybrid radiometric model

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$$A = F_0 \mu_0 \frac{\frac{1}{2} w_0^*}{(\mu_0 - \overline{\mu}_d) - \frac{1}{2} w_0^* \mu_0 \left[1 + \frac{\mu_0 - \overline{\mu}_d}{\mu_0 + \mu_u}\right]}$$
$$= -F_0 \mu_0 (1 + 2\mu_0)$$
$$B = -A \frac{\gamma \exp[\tau_0^* (\kappa_1 - \kappa_2)] - \alpha \exp(-\tau_0^* / \mu_0)}{\gamma \exp[\tau_0^* (\kappa_1 - \kappa_2)] - \alpha \exp(-\tau_0^* / \mu_0)}$$

$$\gamma \exp[\tau_0^* (\kappa_1 - \kappa_2)] - \beta \exp[\tau_0^* (\kappa_1 + \kappa_2)]$$

$$C = -A \frac{\beta \exp[\tau_0^* (\kappa + \kappa_2)] - \alpha \exp(-\tau_0^* / \mu_0)}{\beta \exp[\tau_0^* (\kappa_1 + \kappa_2)] - \gamma \exp[\tau_0^* (\kappa_1 - \kappa_2)]}$$

$$D = -F_0 \mu_0 \frac{(1 + 2\mu_0) + (1 - 2\mu_0)\exp(-\tau_0^* / \mu_0)}{1 + \tau_0^*}$$

$$E = F_0 \mu_0 (1 + 2\mu_0)$$

.

$$a = A \frac{\mu_0 - \overline{\mu}_d}{\mu_0 + \mu_u} = A \alpha \qquad b = B \frac{(1 - \frac{1}{2}w_0) + \overline{\mu}_d(\kappa_1 + \kappa_2)}{\frac{1}{2}w_0} = B \beta$$

$$c = C \frac{(1 - \frac{1}{2}w_0^*) + \overline{\mu}_d(\kappa_1 - \kappa_2)}{\frac{1}{2}w_0^*} = C\gamma \qquad d = D \qquad e = D + E$$

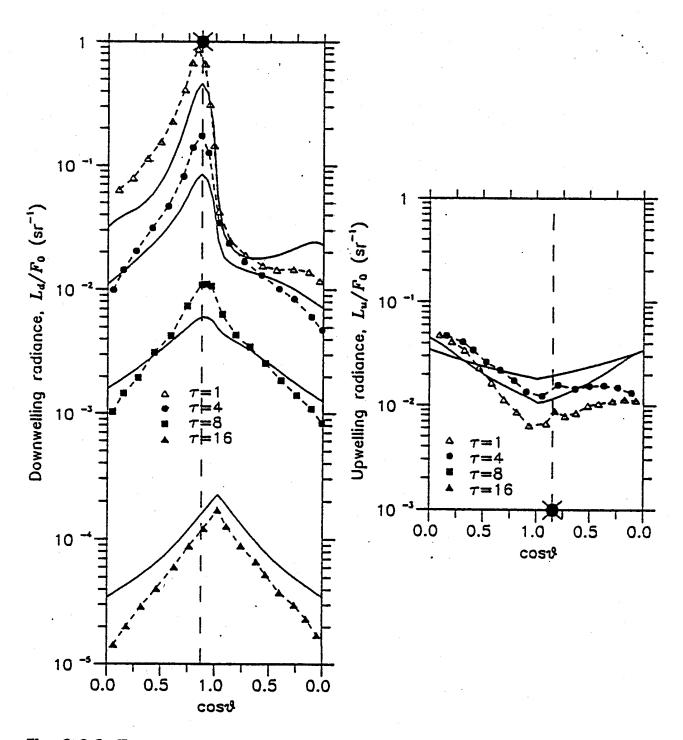


Fig. 2-6.2. Top and bottom radiances for a haze-L scattering medium of finite optical thickness. Symbols are from Kattawar, Plass, and Hitzfelder (1976).

Going any further with the SSA becomes massively complicated, and beyond usefulness. Just use a numerical solution method!