



# SMS 598: Calibration and Validation for Ocean Color Remote Sensing

## Lecture 4 – Phytoplankton

What are phytoplankton?  
How are phytoplankton assessed?  
Why are phytoplankton important?

Mary Jane Perry, UMaine  
9 July 2013

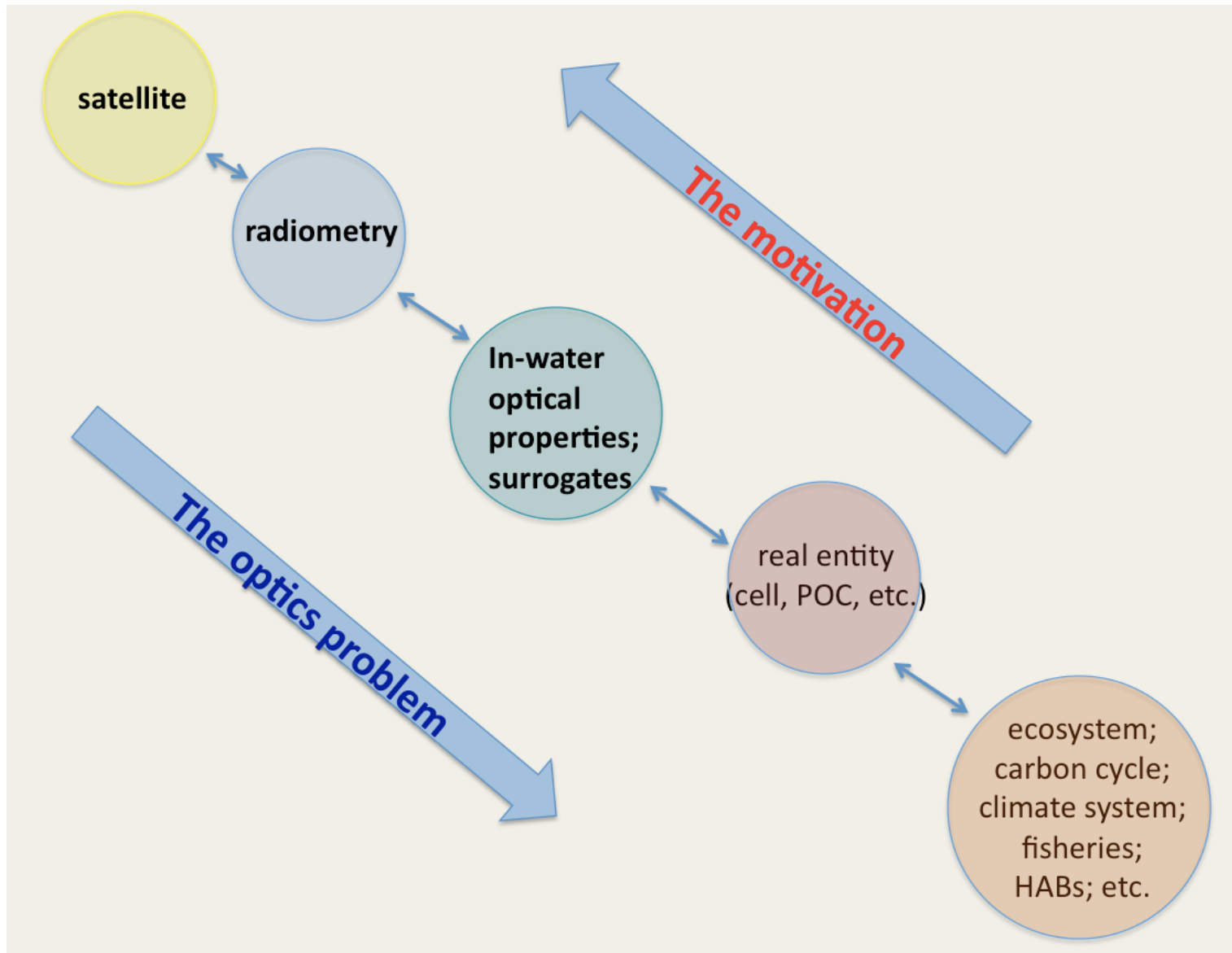
On the piece of paper provided,  
answer these three questions:

What are phytoplankton? (one sentence max)

How are phytoplankton assessed? (top approach)

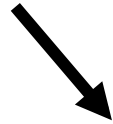
Why are phytoplankton important? (top reason)

Your interest or science question may determine your answers.  
(Ken Carder would say, the question determines your 'look angle'.)



## Optics to study biogeochemistry

Variable interacts with light



Volts or Counts



IOP or AOP



Proxy



Variable → the real entity

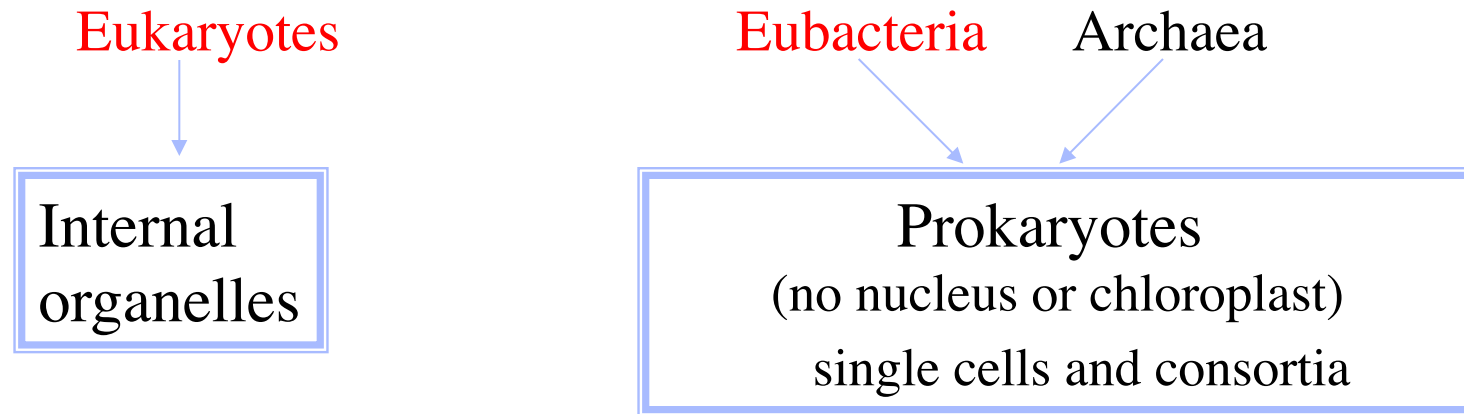
(so what is a phytoplankton anyway?)



# What are phytoplankton?

**Aerobic** (oxygenated environment)  
**Photosynthetic** (pigmented)  
**Oxygenic** (oxygen producing; use sunlight)  
Small, single-celled **particle** (usually) but some form chains)  
Not all round and uniform (limitation for Mie modeling)

Three Super Kingdoms (phytoplankton in two; most are NOT plants)



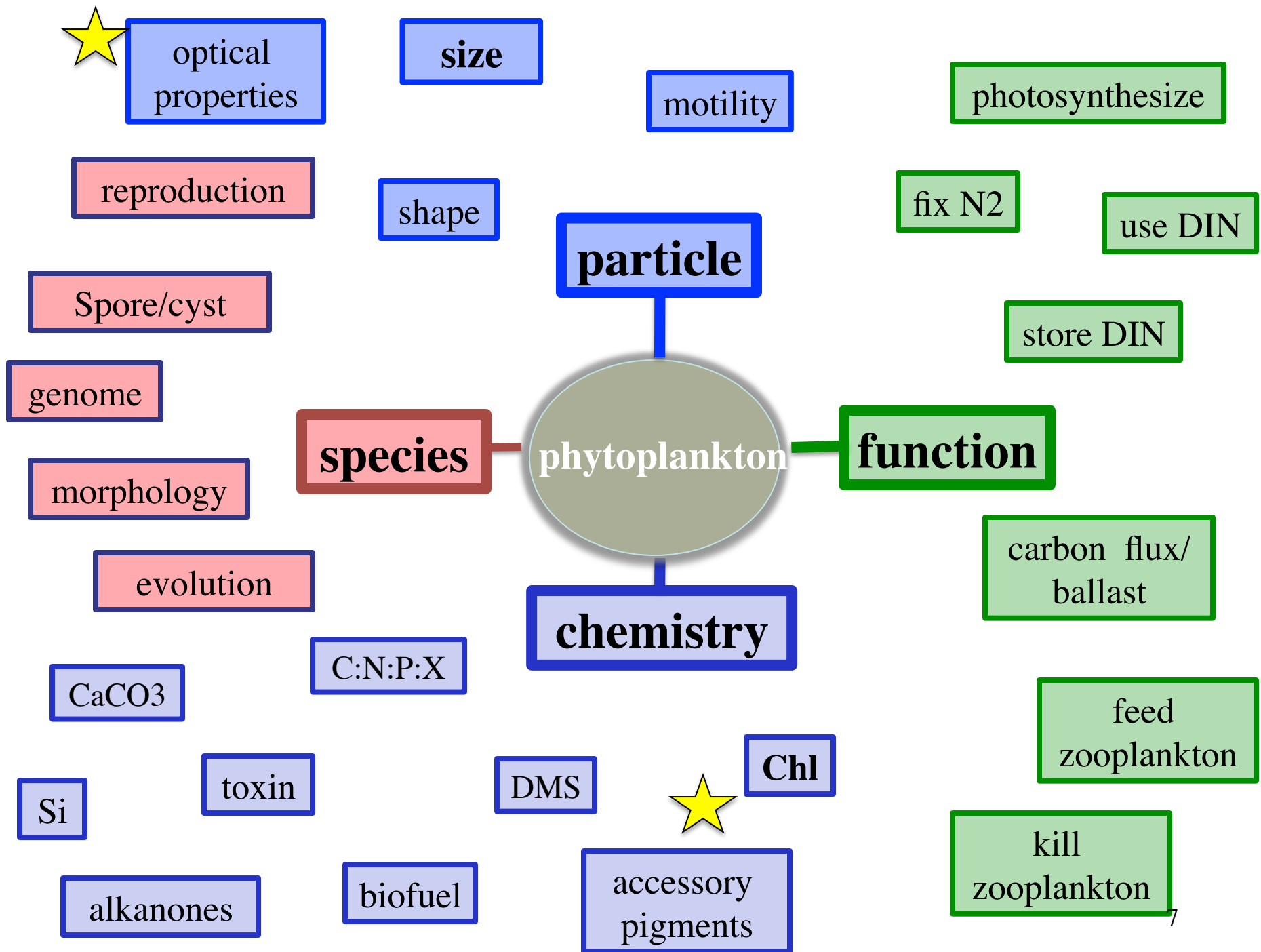
Bottom line:

great genetic diversity of organisms that interact with light in the ocean

(See Keeling et al. 2004, Science 306: 2191, endosymbiotic evolution)<sup>5</sup>

## This lecture:

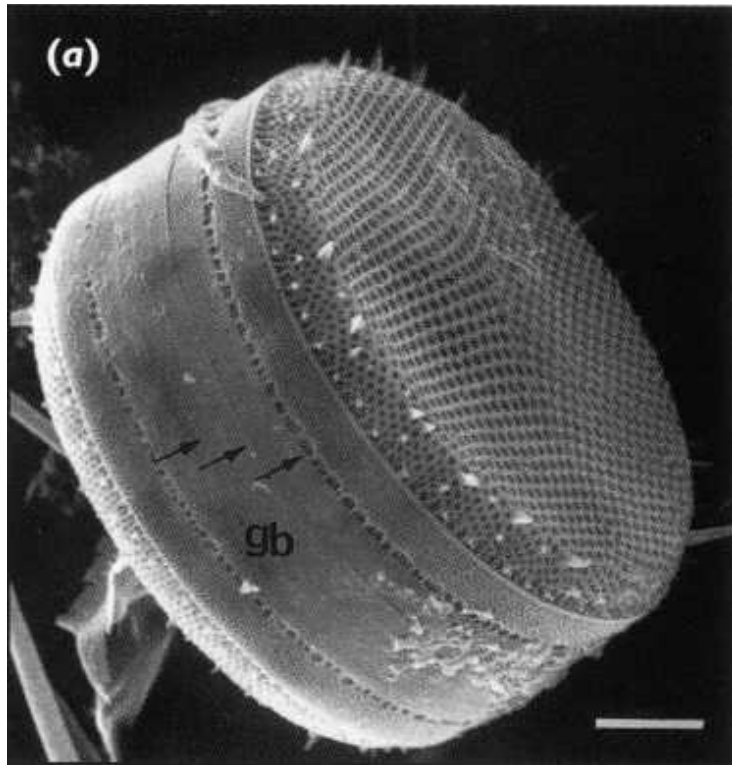
1. Introduce you first to phytoplankton,  
and a little bit about their role in the ocean
2. Phytoplankton interactions with light are basis for optical proxies
  - particles scatter light
  - pigments absorb light
  - chlorophyll *a* and phycoerythrin fluoresce light
3. Physiology can change the relationship between  
phytoplankton and some of their optical proxies  
(plasticity is intrinsic to their survival, potential annoyance to us)



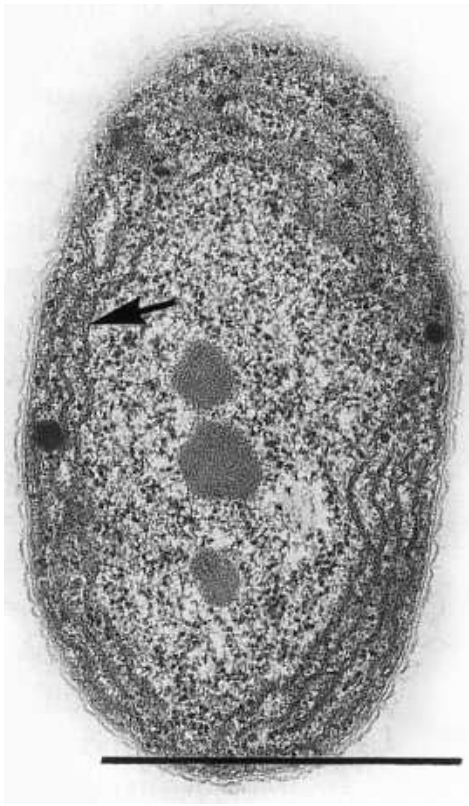
# Phytoplankton as species (or taxa) –when does knowing species matter?

Eubacteria – **cyanobacteria** (aerobic, oxygenic, autotrophic eubacteria)  
also aerobic, anoxygenic species and anaerobic, anoxygenic (sulfur bacteria)

Eukaryotes – **protists** (very diverse) and **chlorophytes** (closer to land plants)



Morphology  
to characterize species  
(here, diatom frustule  
structure)

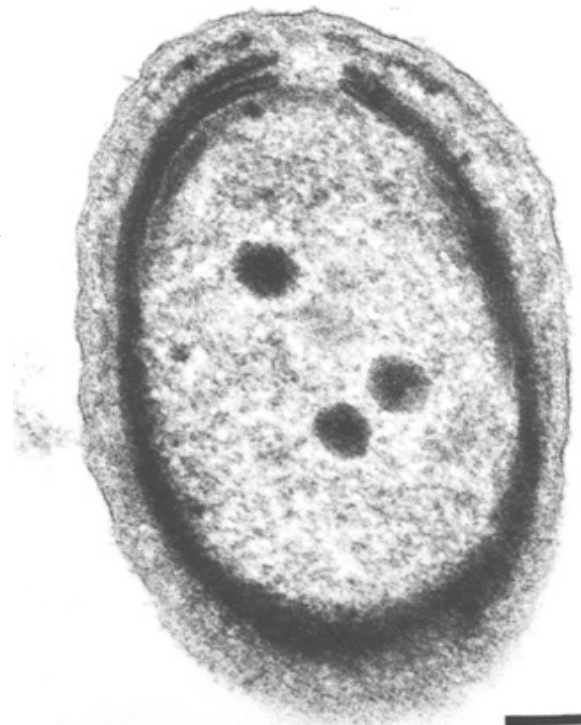


electron micrographs of  
prokaryotic phytoplankton

### **Synechococcus** ( $\sim 1 \mu\text{m}$ )

Arrow denotes thylakoid membrane which has both photosynthetic and respiratory functions.

Diagnostic: phycoerthyrin pigment fluoresces orange (in contrast to chlorophyll, which fluoresces red).

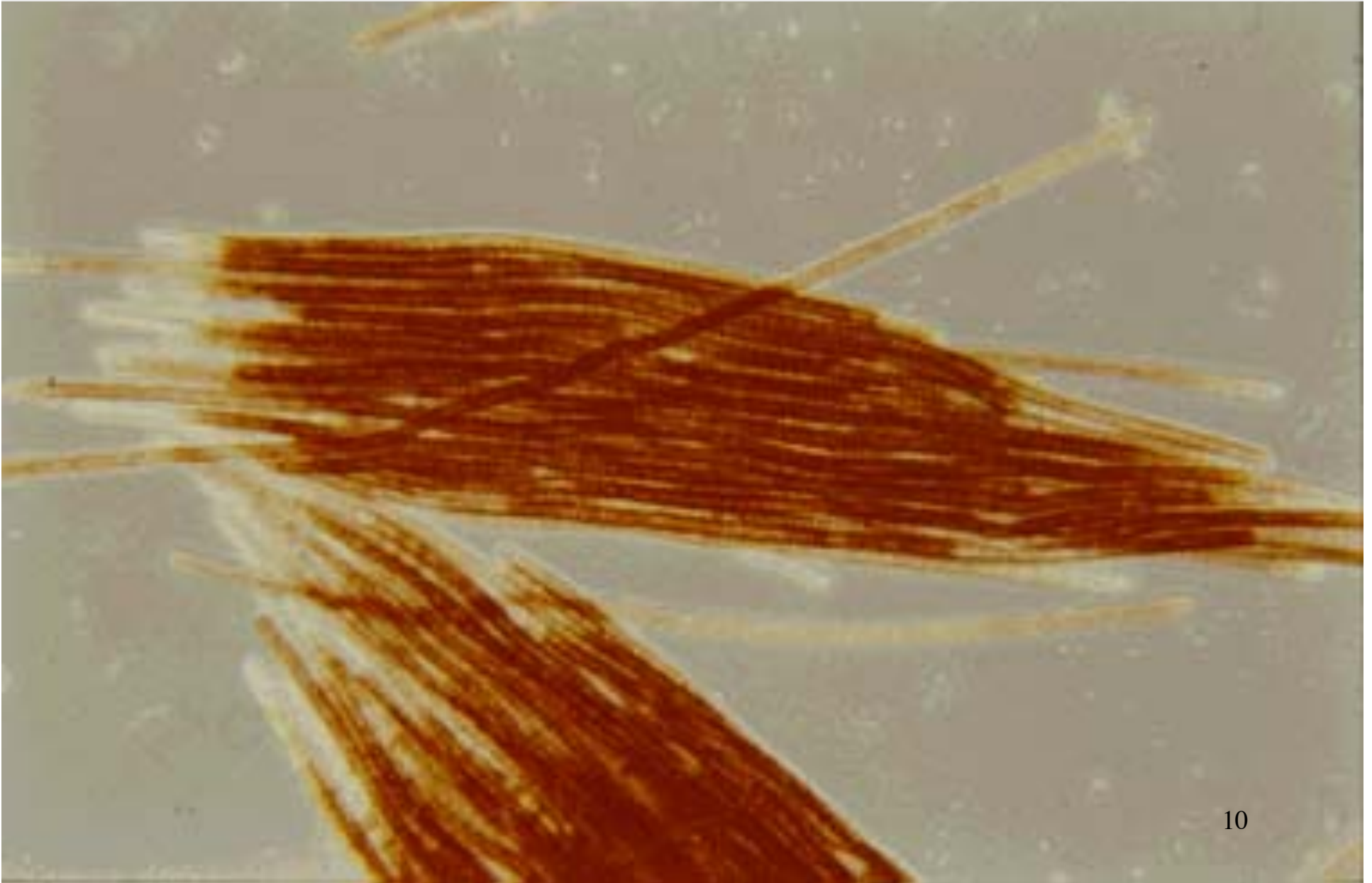


Electron micrograph of a longitudinal section of *Prochlorococcus* (isolate MIT 9313). Tightly appressed intracytoplasmic lamellae are present near the cell periphery, and carboxysomes are visible within the cell interior. Scale bar, 0.1  $\mu\text{m}$ . (C. Ting, J. King, S.W. Chisholm, 1999)

### **Prochlorococcus** ( $\sim 0.7 \mu\text{m}$ )

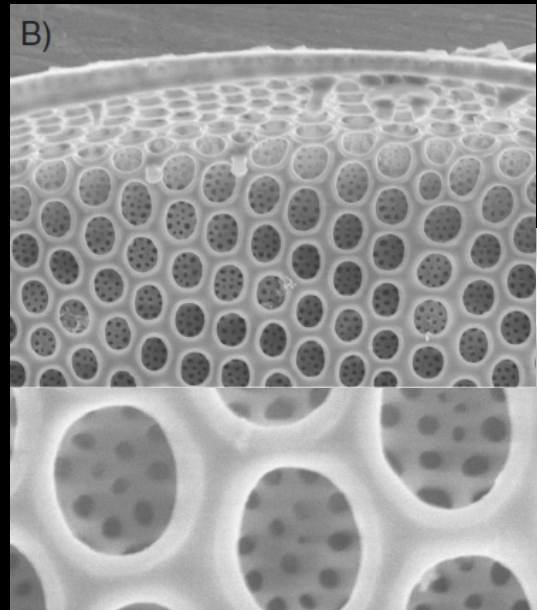
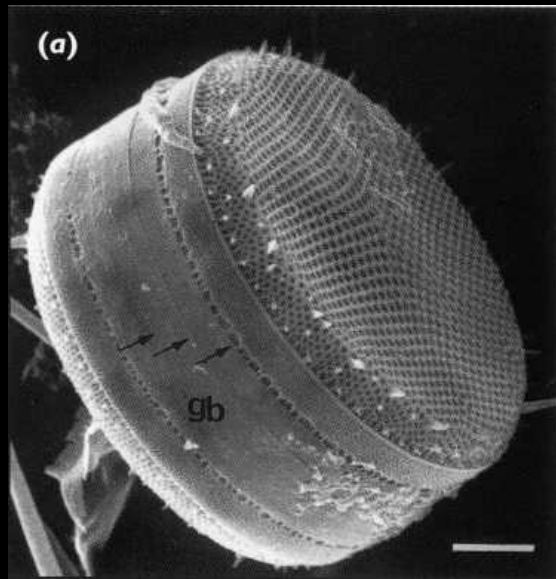
Diagnostic: very small size, lack of orange fluorescence, divinyl chlorophyll a & b. Found only in tropics/subtropics.

**Trichodesmium** (cyanobacterial nitrogen fixer;  
warm waters; patchy; Fe may regulate abundance)

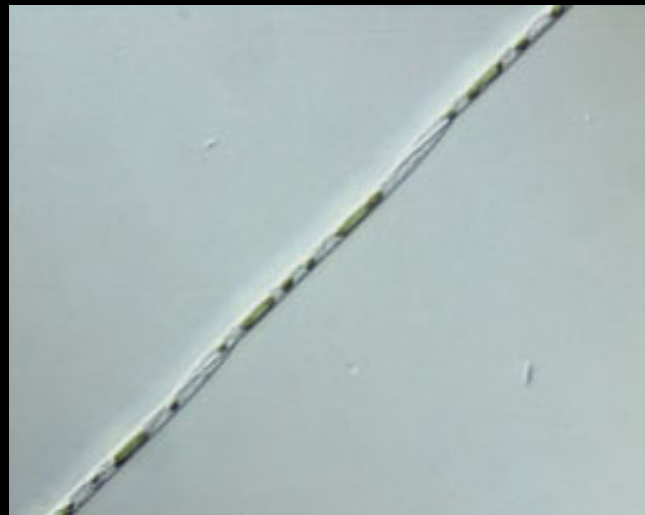




# Centric Diatoms, single cell *Thalassiosira* and chained *Chaetoceros*



SEM –  
*Coscinodiscus*  
Townley et al. 2008.  
*Adv. Funct. Mat.* 18:  
369.



Pennate,  
*Pseudonitzschia*  
(some species  
have domoic acid)

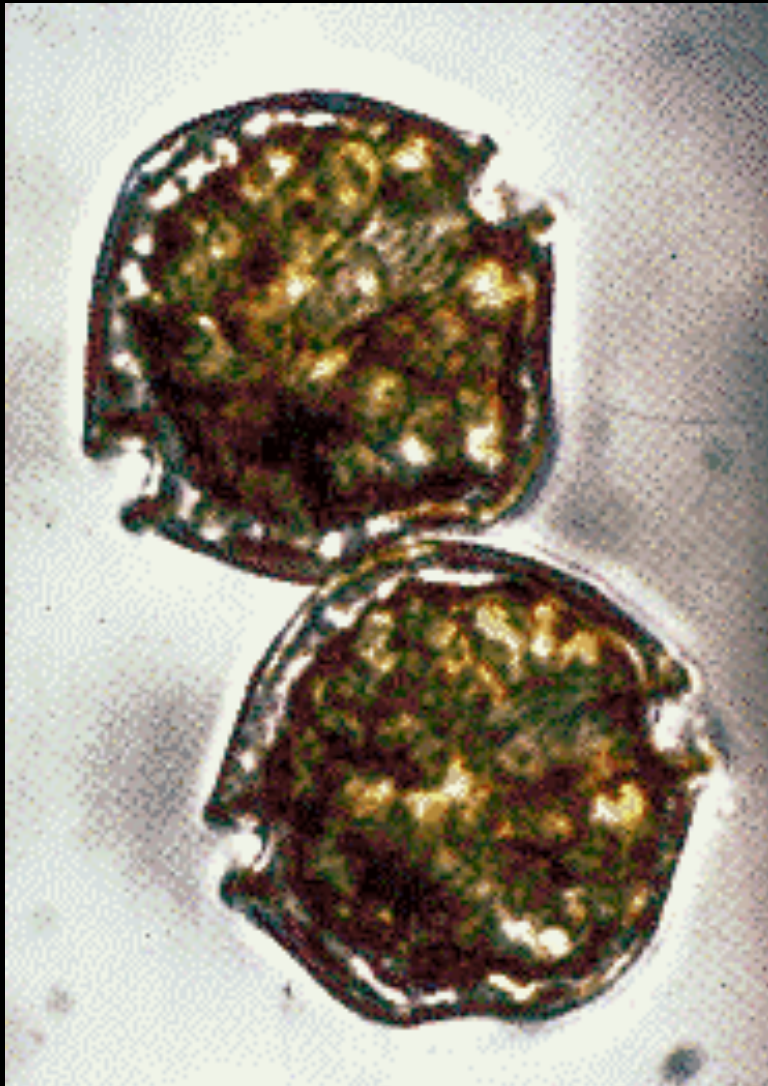
<http://vimeo.com/33031310>

Plankton Chronicles



# Dinoflagellates

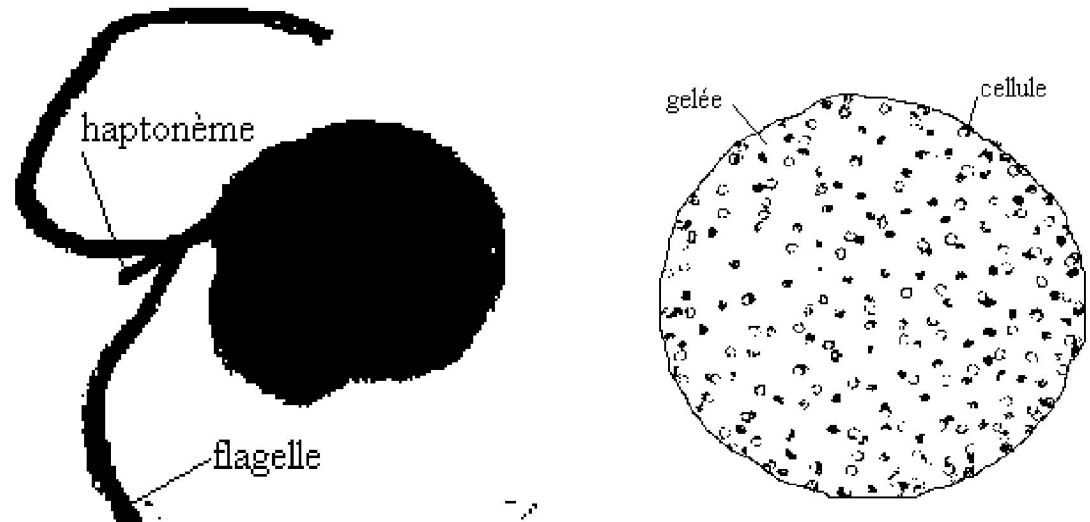
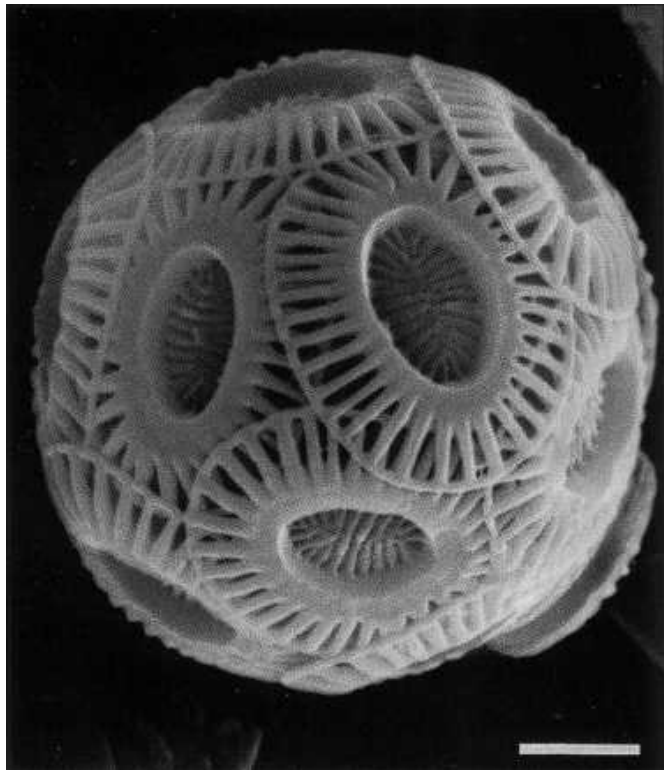
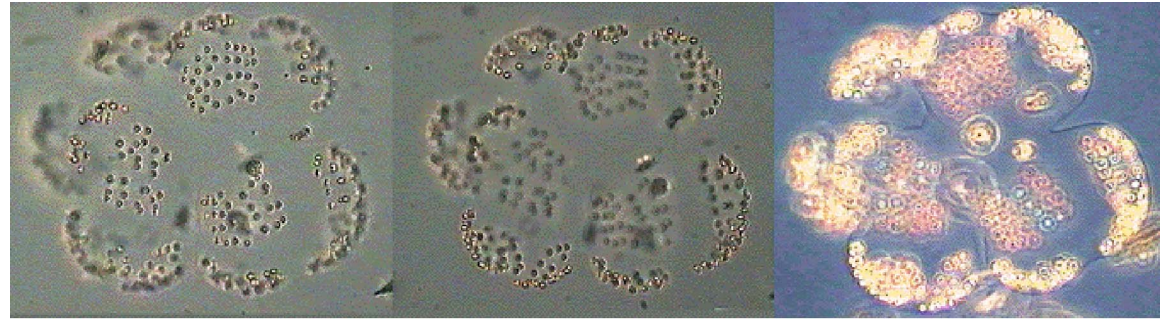
*Alexandrium  
tamarense*



*Ceratium*



**Coccolithophorid,**  
with calcite plates  
(coccoliths); blooms  
visible from space



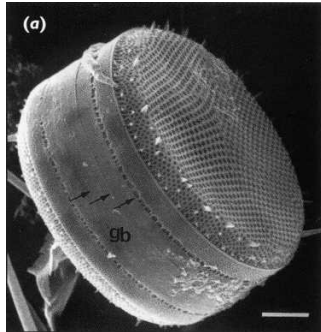
***Phaeocystis***

(colonical and single cell)  
famous for producing foam on  
northern European beaches

**Most small (pico phytoplankton) look like this**  
– small and non-descript under microscope

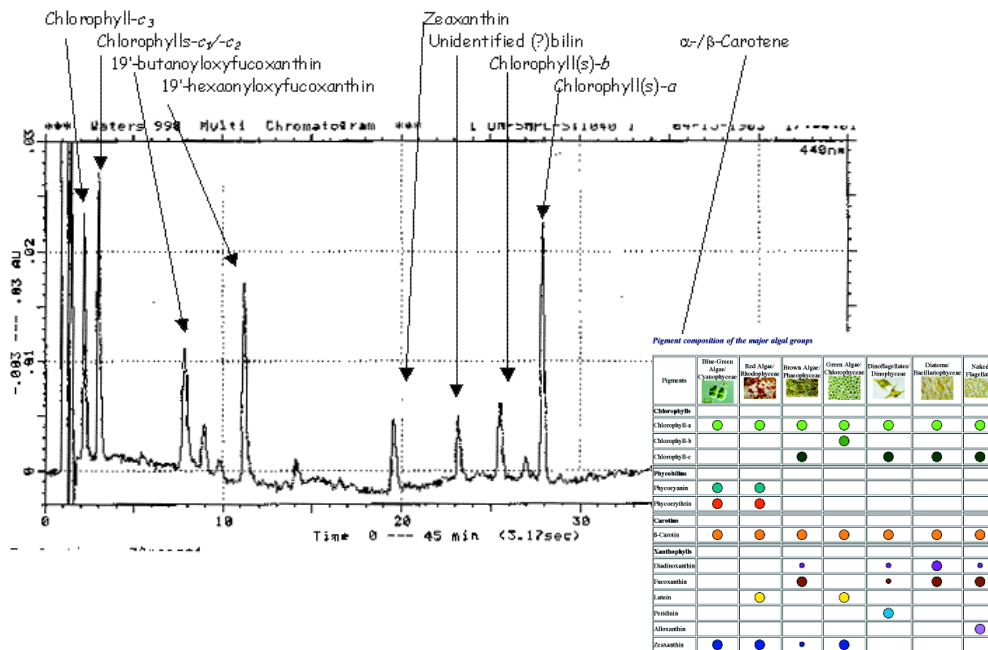


# Phytoplankton species (or taxa)

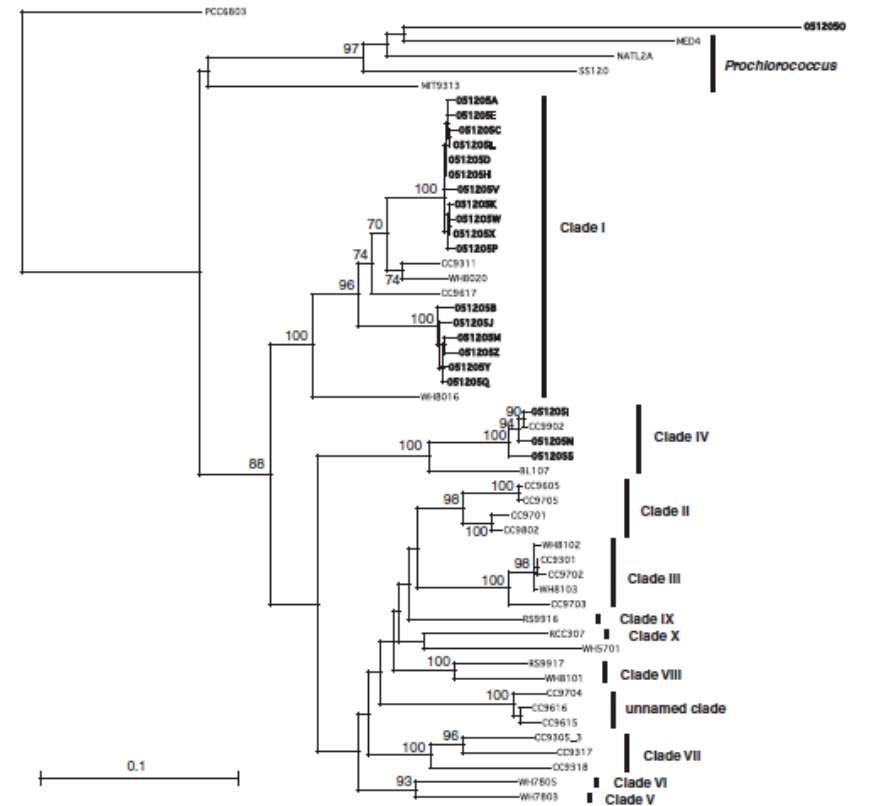


Morphology to characterize species (diatom frustule structure)

HPLC pigment clustering & Chemtax (some cautions here)



## Molecular characterization (clades of *Synechococcus*)



Tai & Palenik, 2009, ISME 3: 908

# Phytoplankton as particles

– in the ocean, size matters and is related to function

historical nomenclature:

net >  $20\mu\text{m}$

nano <  $20\mu\text{m}$

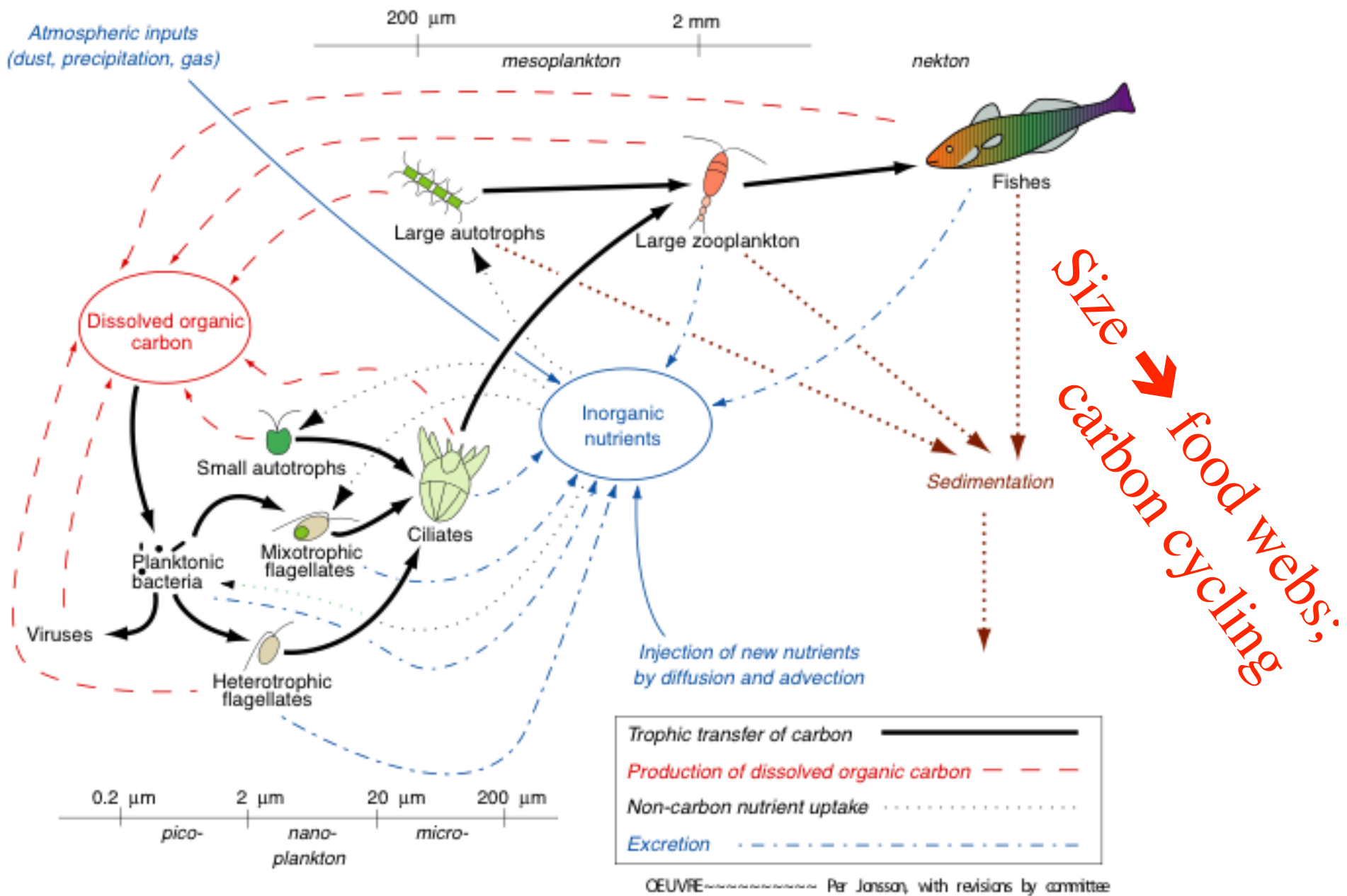
pico <  $5\mu\text{m}$

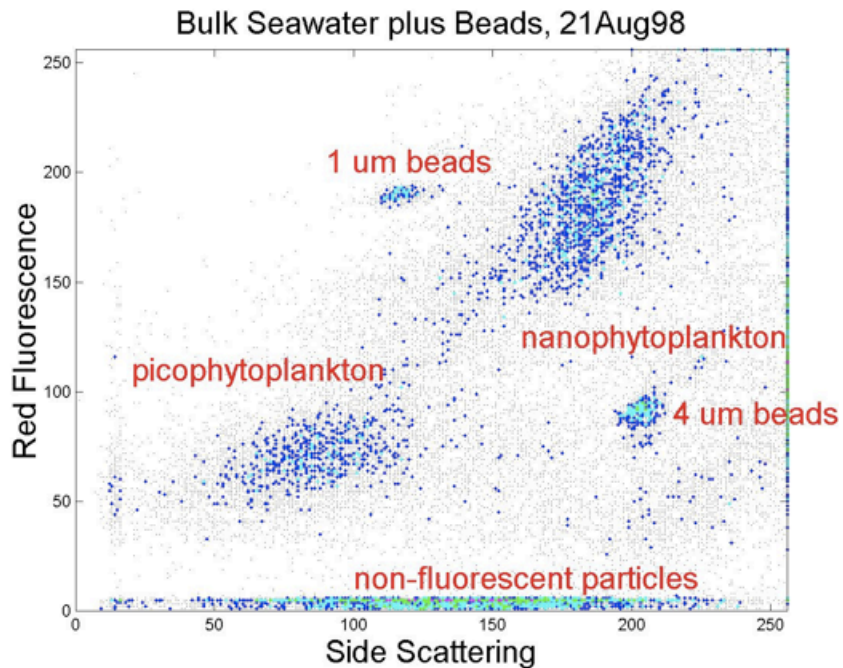
ultra <  $2\mu\text{m}$  (smallest mostly prokaryotes)

## Size

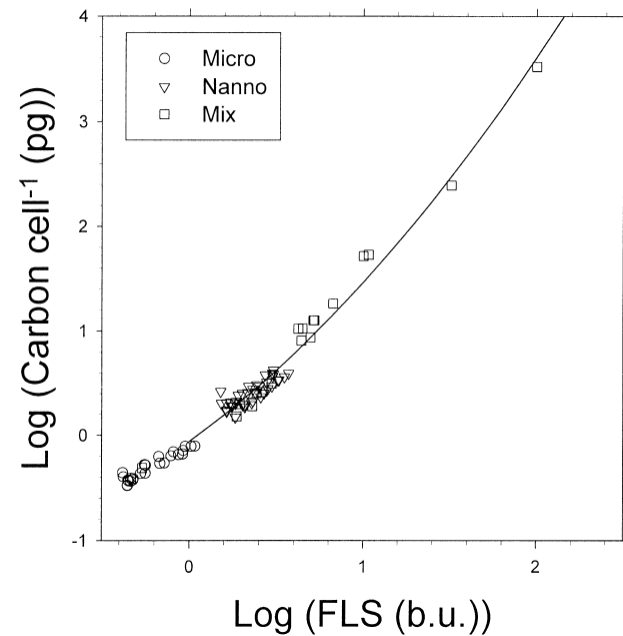
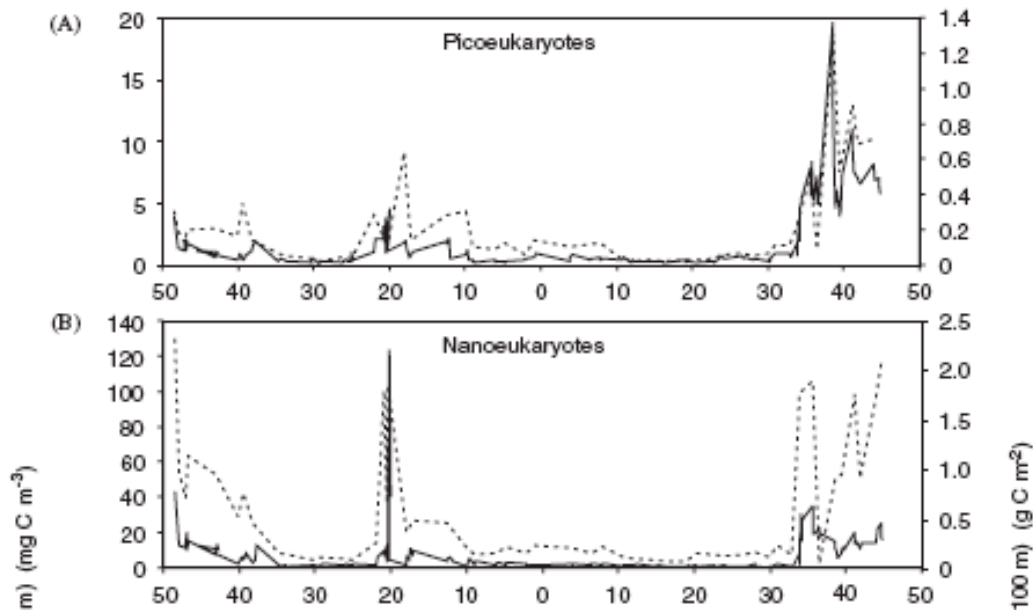
- \* small cells are mostly spherical; larger cells often non-spherical
- \* efficiency of dissolved solute capture (diffusion – smaller cells better)
- \* efficiency of encounter – surface area for contact
- \* efficiency of aggregation increases with size
- \* settling – Stokes Law (carbon cycling – small cells don't sink)
- \* exposure to light (packaging;  $a^*$ ) and UV damage greater for small cells
- \* carbon content – cell carbon density higher for small cells
- \* metabolic rates scale to size (specific rate decreases with increasing size)
- \* **size determines carbon export efficiency** (number of trophic interactions)







Common way to measure picoeukaryotes, as well as determine their carbon, is by flow cytometry – measures single particle (chl and PE fluorescence and FS optical size)



# Phytoplankton as functional types:

## Functional type

- autotrophic, oxygenic, oxygen evolving
- size and shape (previous comments....)
- transformer of specific nutrient (N<sub>2</sub> fixer, CaCO<sub>3</sub> precipitator, silica polymerizer, etc.); **ballasting to enhance C flux**; specialized nutrient-up take pathways, sequestering mechanisms; unique C:N:P:trace metal ratio
- nutritional value to higher trophic organisms, such as essential fatty acids, toxins or development disrupters, paleo markers
- ability to live in turbulent vs. stratified environment
- motility for enhancing nutrient acquisition, encounter gametes, avoiding predation
- what else ??

**Chemical composition** – relates to function, species, etc. For optics, pigments are key (& sometimes unique) chemicals. But if C we really want?



# This lecture:

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phytoplankton and some of their optical proxies  
(plasticity is intrinsic to their survival, potential annoyance to us)

## How are we going to measure phytoplankton?

Count them – microscope, flow cytometer/FlowCAM/imaging

Gene sequence them – presence/absent or not yet quantitative

Optics – related to absorption (unique), scattering (no unique), fluorescence (unique).

Historically – various measures related to **chlorophyll** used as proxies for  
phytoplankton mass (but what do we really want ???)

**Chlorophyll *a*** – most common entity used to denote presence of phytoplankton and attempt to quantify concentration (mass).

*Term ‘chlorophyll’ biomass often used – anathema to some.*

**Is chlorophyll a perfect proxy for phytoplankton? Yes / No**

Chlorophyll *a* (or divinyl Chl *a*) is found in all phytoplankton and not in heterotrophs (**exception: mixotrophs, digesting predators**).

Relationship between carbon and Chl allows estimation of phytoplankton carbon. **But beware Mobley’s Law of Conservation of Misery; C/Chl ratio influenced by physiology.**

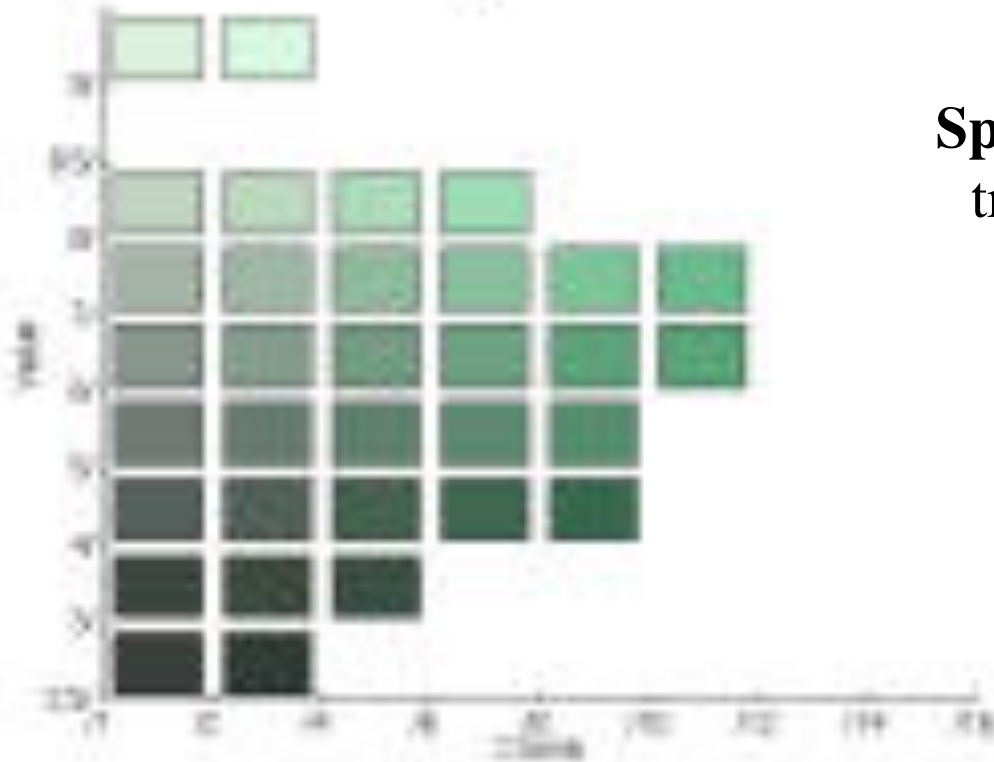
Some measure of assessing chlorophyll can be used at all scales – from drop of water, ship, mooring, autonomous platform, satellite.

**Different measures of assessing ‘chlorophyll’ need to be aligned; not measuring exactly same thing.**

# Brief history of measurement of 'bulk' chlorophyll & related entities

Harvey Plant Pigment Unit (**HPPU**) - up to ~ 1950

– standardized color on filters (Munsell chart); eyeball reflectance measurements. Still used for soils and tobacco.



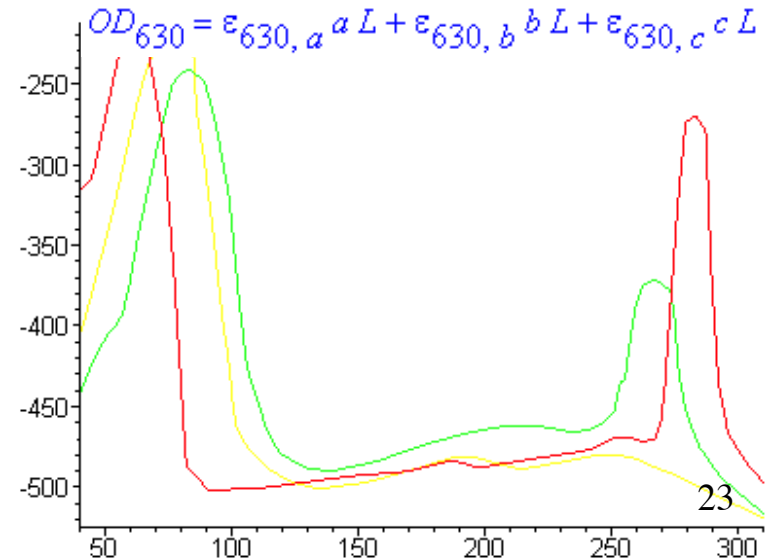
**Spectrophotometry**, extracts in solvent;  
trichromatic eq. to separate pigments.

~ 1950's – 1960's

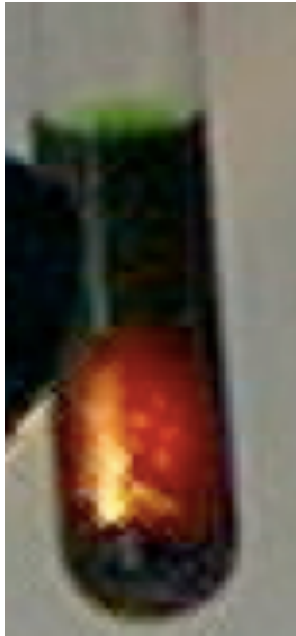
$$OD_{664} = \epsilon_{664,a} aL + \epsilon_{664,b} bL + \epsilon_{664,c} cL$$

$$OD_{647} = \epsilon_{647,a} aL + \epsilon_{647,b} bL + \epsilon_{647,c} cL$$

$$OD_{630} = \epsilon_{630,a} aL + \epsilon_{630,b} bL + \epsilon_{630,c} cL$$



# Brief history of measurement of 'bulk' chlorophyll & related entities

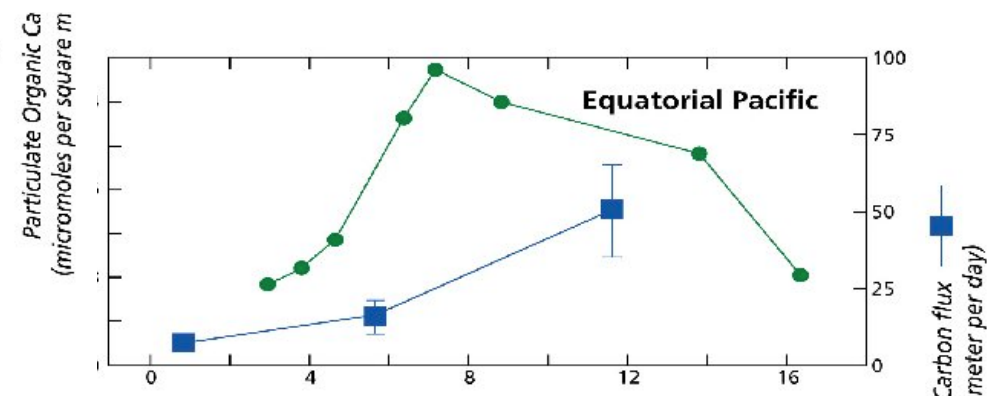
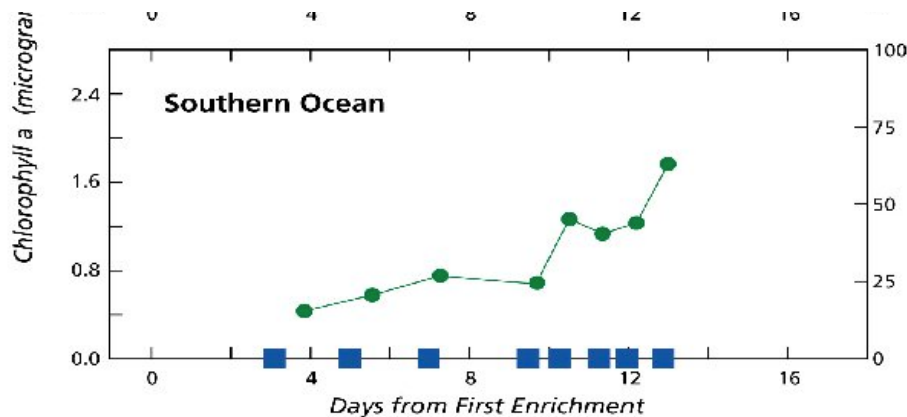


Early 1960's, **solvent extracts** of filtered water samples, measured by **fluorescence**.

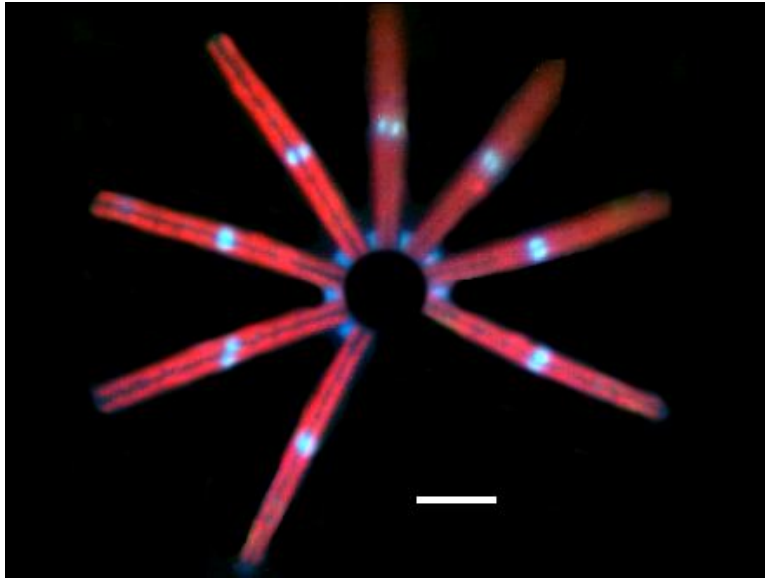
Attraction was it is reasonably fast.

Still benchmark method. (Mobley's Conservation of Misery)

Lots of good information. For example: phytoplankton response to iron-fertilization; Chl *a* ( $\mu\text{g L}^{-1}$ ) provided an index of bulk phytoplankton response: Southern Ocean vs. Equatorial Pacific.

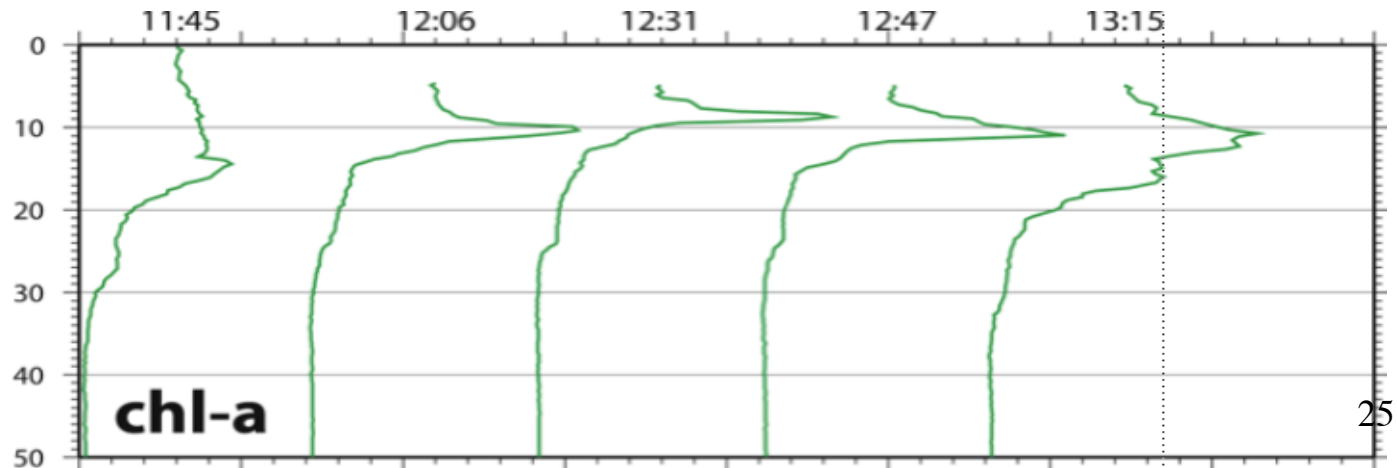


# Brief history of measurement of 'bulk' chlorophyll & related entities



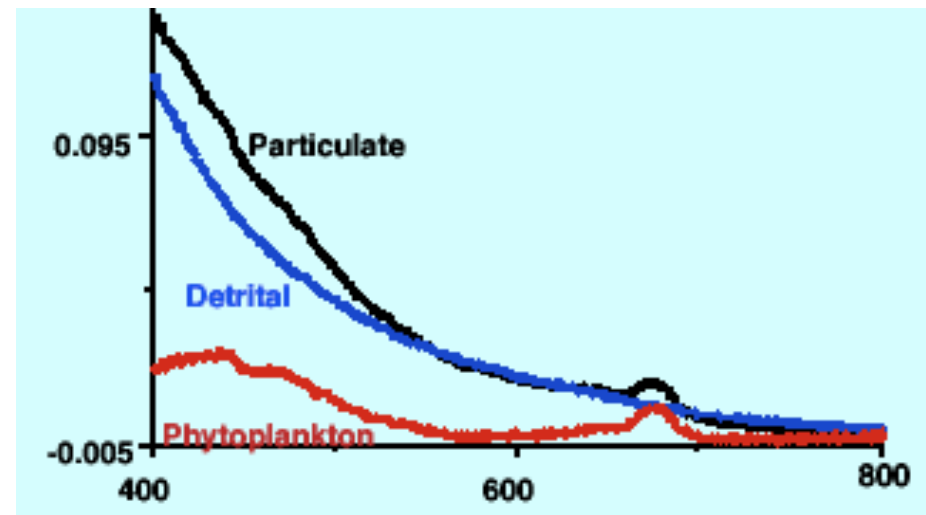
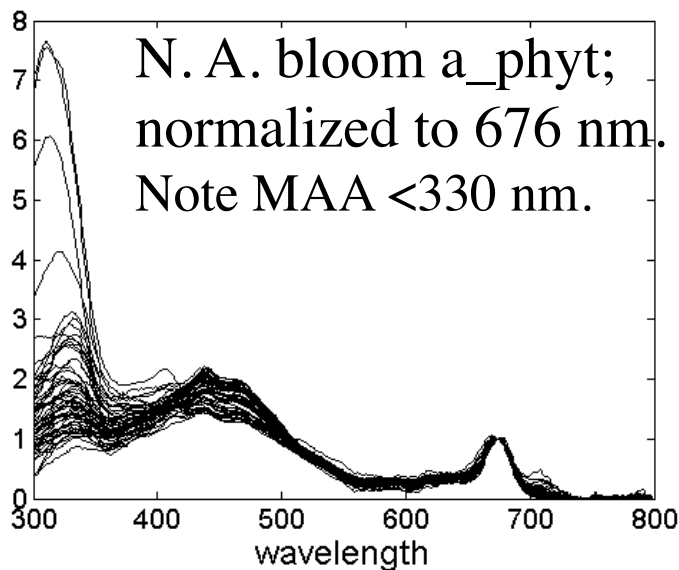
Late 1960' s, **fluorescence profiles of fluorescence in living cells** –  
measure directly in the ocean.  
Fast! and high vertical resolution.  
(Mobley's *Conservation of Misery*)

Used on CTD, mooring, floats, gliders, etc.  
Example below of thin layers in Monterey Bay.



Brief history of measurement of 'bulk' chlorophyll & related entities

**QFT – Quantitative Filter Technique** (filter pad absorption) ~ 1980' s  
(Quantitative version of HPPU)

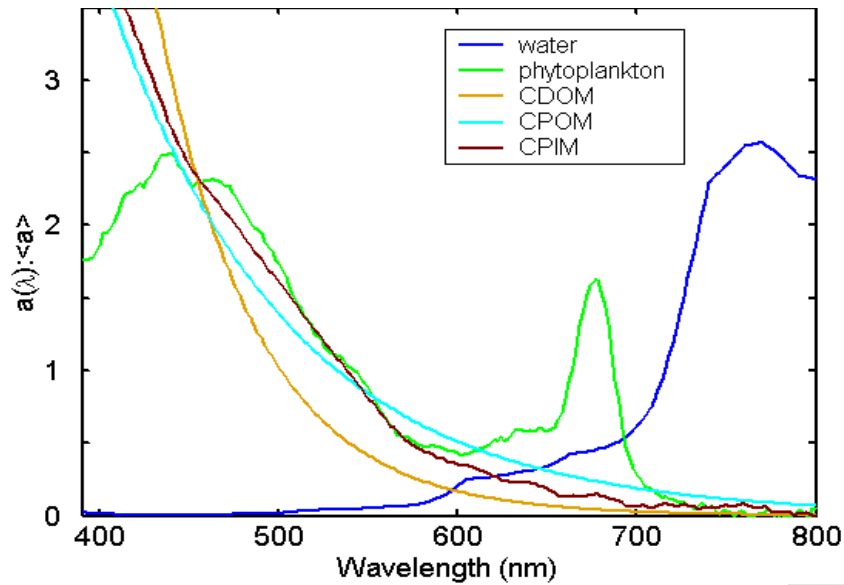


$a_{\text{particulate}}$

$a_{\text{phytoplankton}}$ :  $a_{\text{PS}}$  and  $a_{\text{PP}}$

$a_{\text{NAP}}$ : not solvent extractable, e.g.,  
 $a_{\text{mineral}}$ ,  $a_{\text{dead stuff}}$

# Brief history of measurement of 'bulk' chlorophyll & related entities

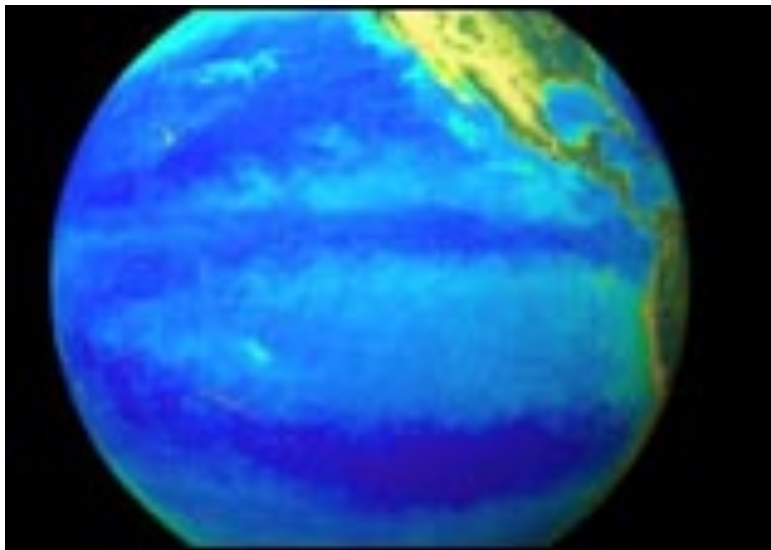


Remote sensing reflectance is based on selective absorption by phytoplankton pigments; empirical algorithms, need local tuning. ~ 1980's

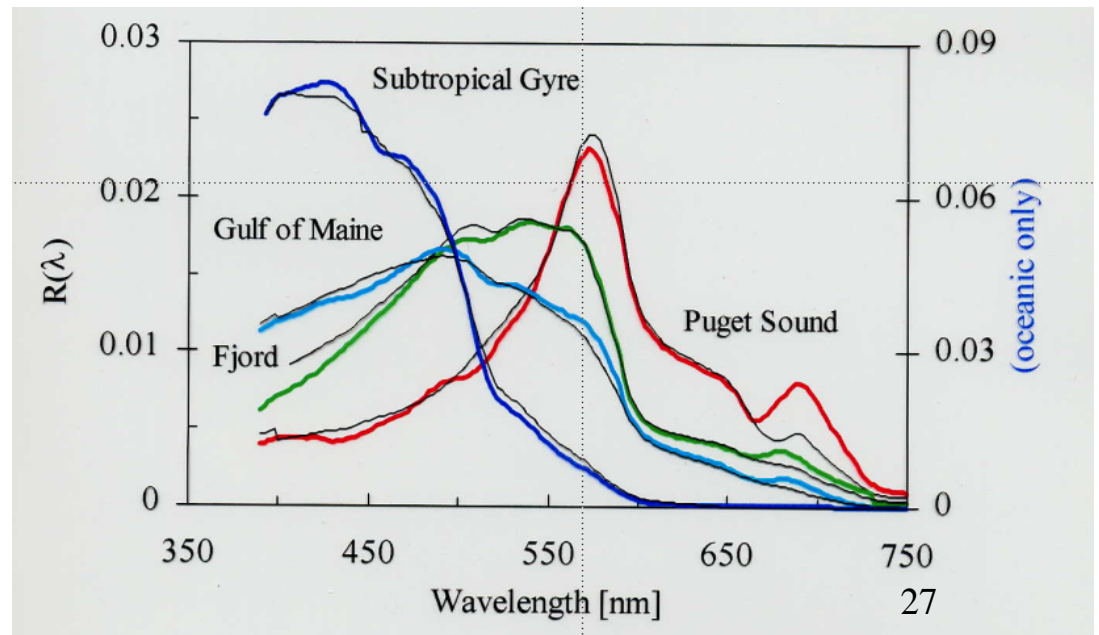
$$R_{rs} \sim [b_b / (a + b_b)]$$

$a$  ~ phytoplankton (Chl? absorption?)

$b_b$  ~ particles and carbon



El Niño



Roesler & Perry, 1995

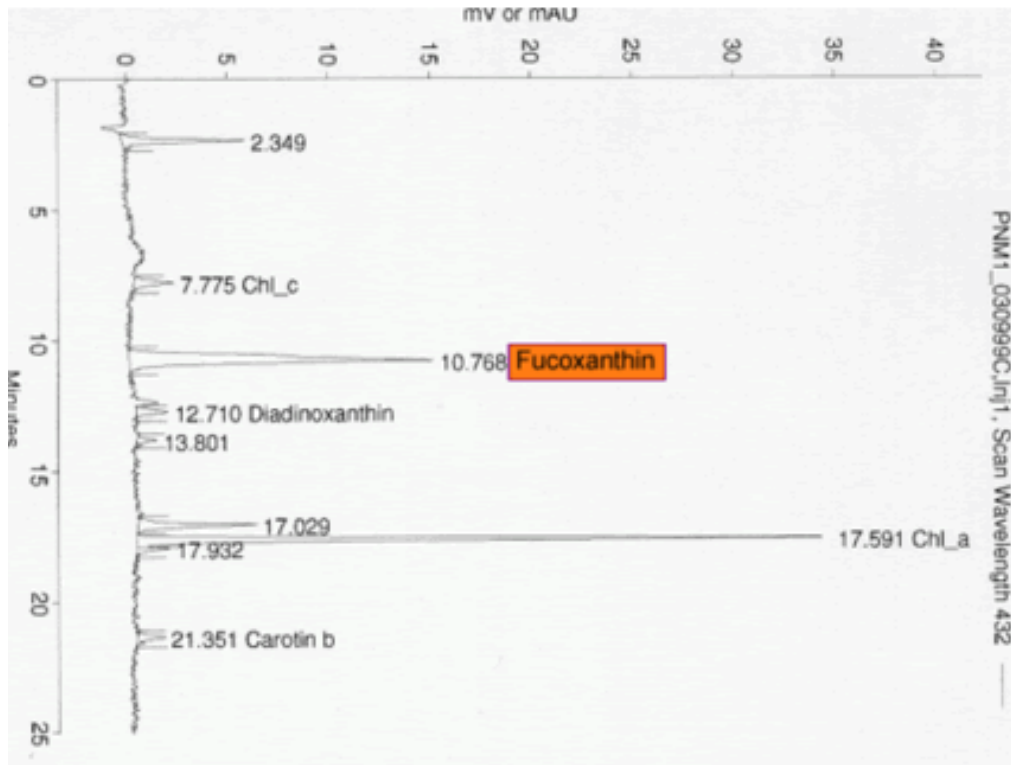


# Brief history of measurement of ‘bulk’ chlorophyll & related entities

HPLC pigments – resolve most of phytoplankton pigments. ~1990’ s.

Chemtax – for taxonomic assessment (requires training).

Filter lots of water; sample ~ \$80/



Pigment composition of the major algal groups

Pigments	Blue-Green Algae/ Cyanophyceae	Red Algae/ Rhodophyceae	Brown Algae/ Phaeophyceae	Green Algae/ Chlorophyceae	Dinoflagellates/ Dinophyceae	Diatoms/ Bacillariophyceae	Naked Flagellates
<b>Chlorophylls</b>							
Chlorophyll-a	●	●	●	●	●	●	●
Chlorophyll-b				●			
Chlorophyll-c			●		●	●	●
<b>Phycobilins</b>							
Phycocyanin	●	●					
Phycocerythrin	●	●					
<b>Carotins</b>							
β-Carotin	●	●	●	●	●	●	●
<b>Xanthophylls</b>							
Diadinoxanthin			●		●	●	●
Fucoxanthin			●		●	●	●
Lutein		●		●			
Peridinin					●		
Alloxanthin							●
Zeaxanthin	●	●	●	●			

Quantitative version of trichromatic equations.

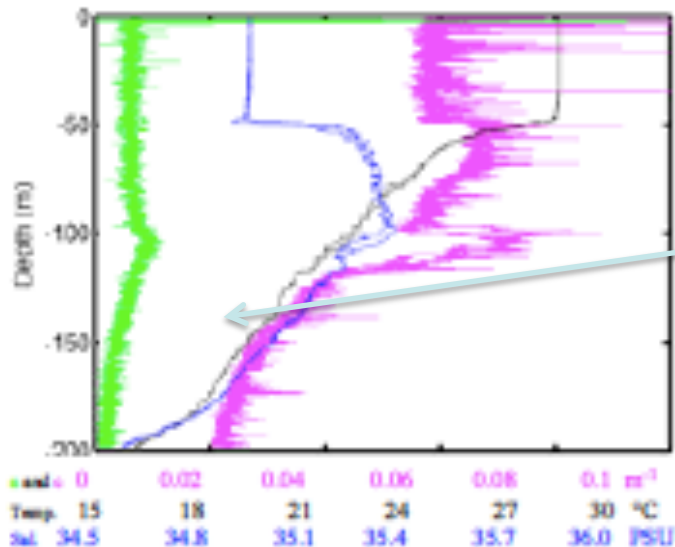
(Mobley’s Conservation of Misery – not all dinoflagellates have peridinin,  $\Delta$ )

Used to ground truth satellite PFT algorithms.

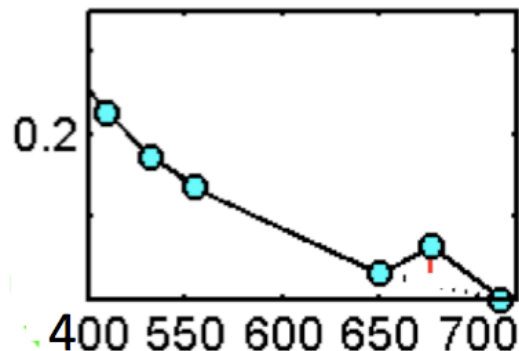


# Brief history of measurement of 'bulk' chlorophyll & related entities

**ac-9 and ac-s** - absorption and attenuation meters for profiles ~ 1990's



$a_{676}$  (676) is a good estimator of chlorophyll concentration in cell (Roesler leader in use & interpretation)



In-situ measurements demonstrate instrument stability and precision. Absorption (673nm, green line), Beam attenuation (650nm, magenta line), Temperature (black line) and Salinity (blue line) profiles taken at the Hawaii Ocean Time Series (HOTS) Aloha site near 22.75°N, 158°W (approximately 100 km north of Oahu, Hawaii) on August 11, 2004. The data were obtained during one down and up profile.

# Let's explore more pigments

Definition: absorbing compound

Role: light harvesting for photosynthesis (PS – photosynthetic)  
light protection if too much light (PP – photoprotective )

Types:

## **chlorophylls**

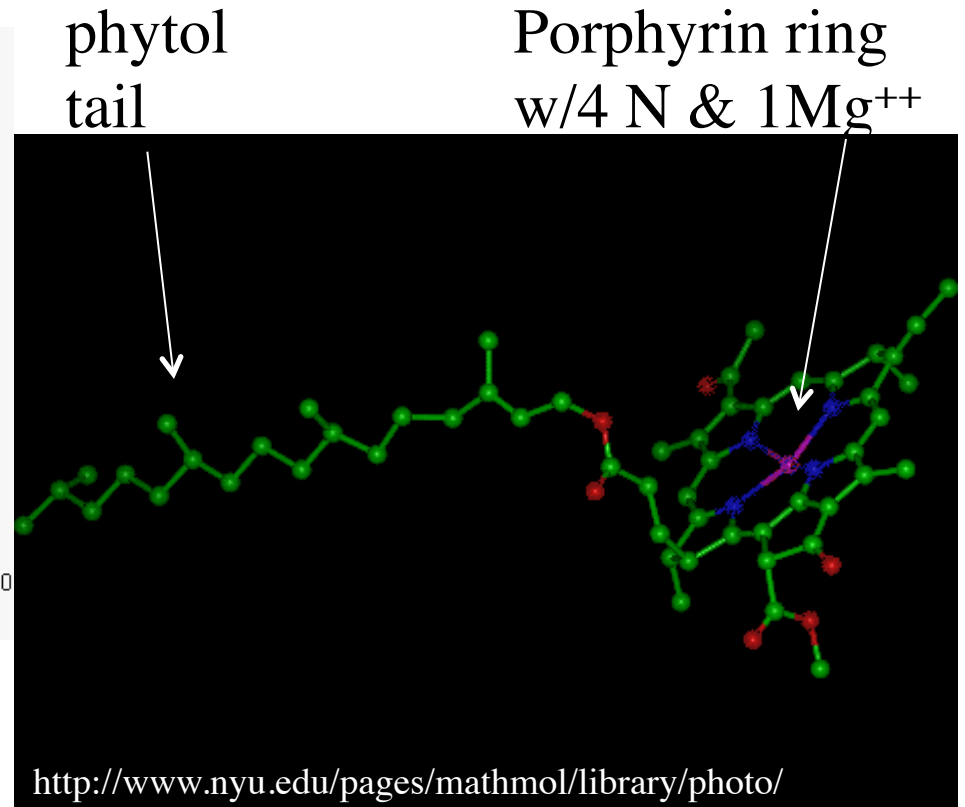
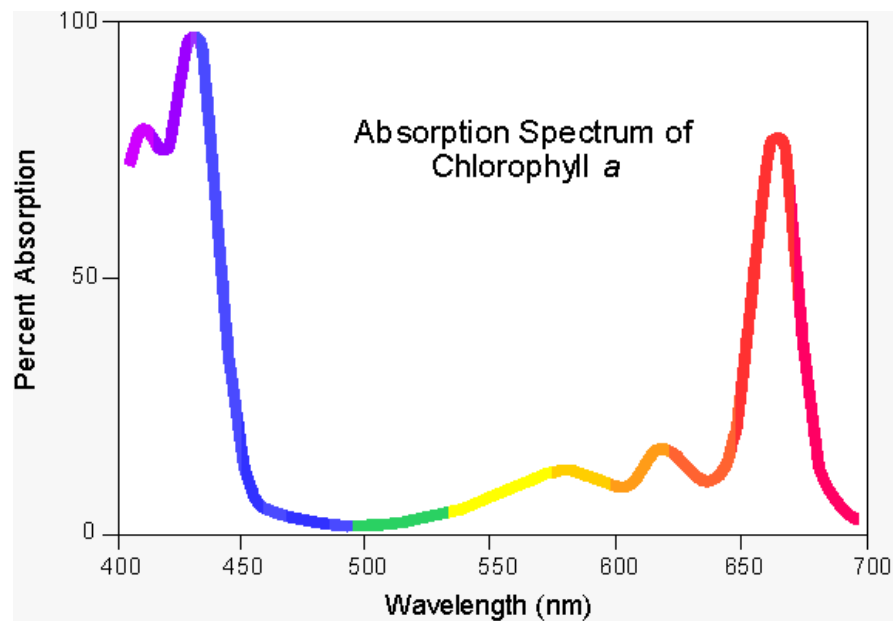
**chlorophyll *a*** - primary PS pigment in all oxygen producers  
chlorophyll *b* or *c* - accessory PS pigments; expand  $\lambda$  range;  
transfer energy to chlorophyll *a*  
(divinyl chl *a* and *b* in *Prochlorococcus*)

## **carotenoids**

light harvesting for photosynthesis (PS)  
light protection when too much light (PP)

## **phycobilins**

water soluble pigments; phycoerythrin can fluorescence



## Chlorophyll *a*

(absorption peaks will vary, depending on environment – protein complex in membrane, polarity of solvent)

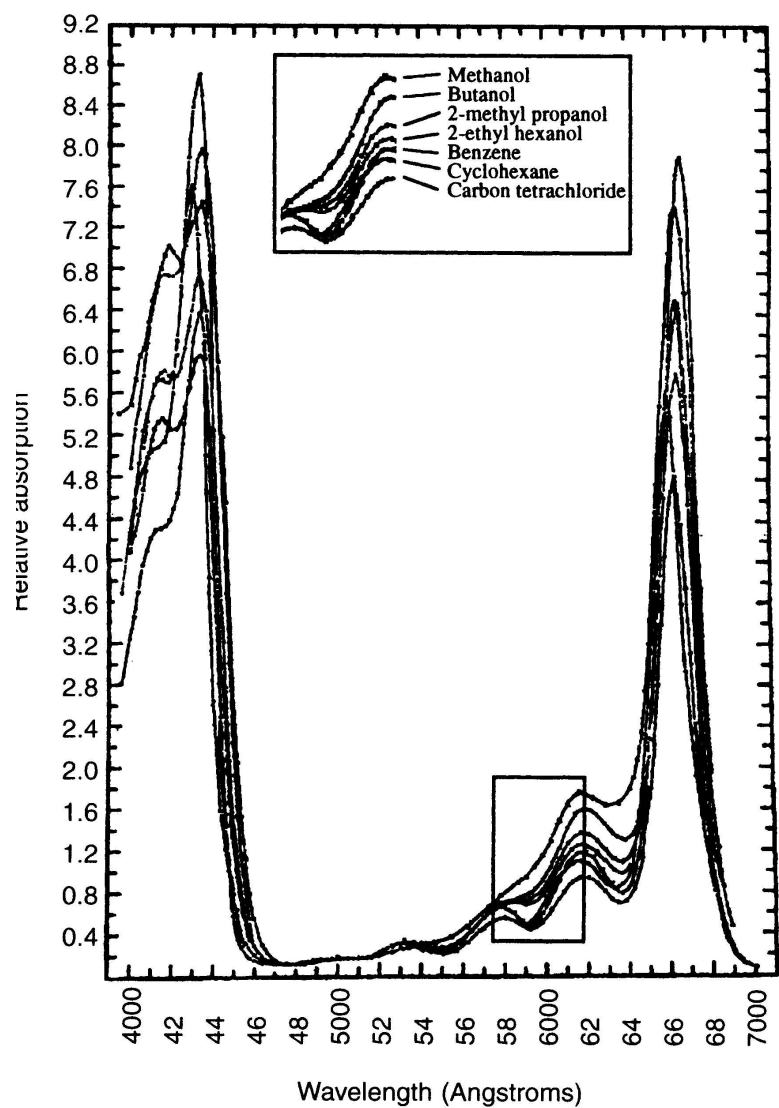
### Degraded pigments:

Pheophytin

*lost Mg<sup>++</sup>; peak shifts to ~415*

Pheophorbide

*lost Mg<sup>++</sup> and phytol tail*



Note of caution: solvent effects the position of absorption peak

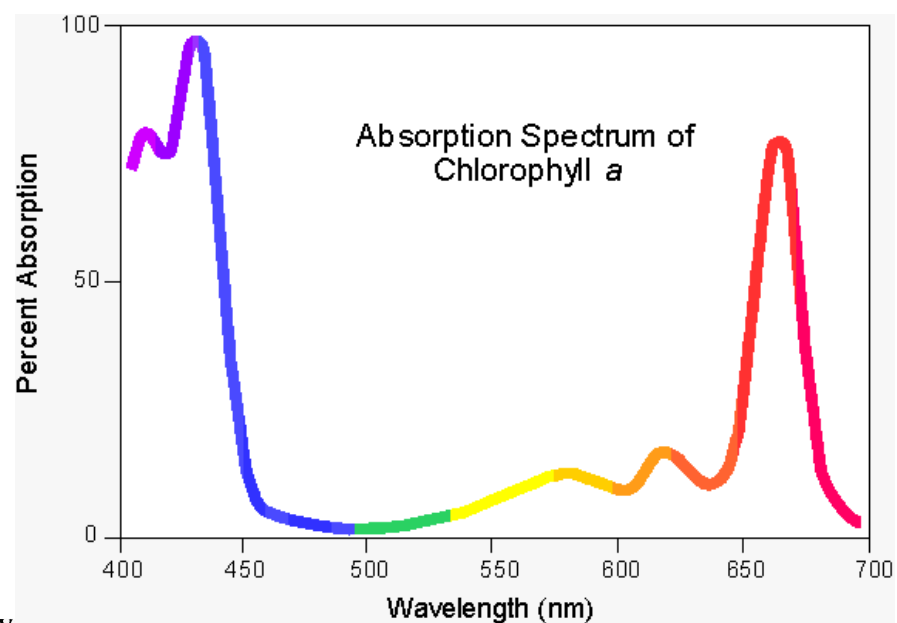


Figure 7.2  
Absorption spectra of highly purified chlorophyll *a* in different solvents.  
Original, after Harris and Zscheile (1943).

## Accessory pigments:

Chl *b* and *c*

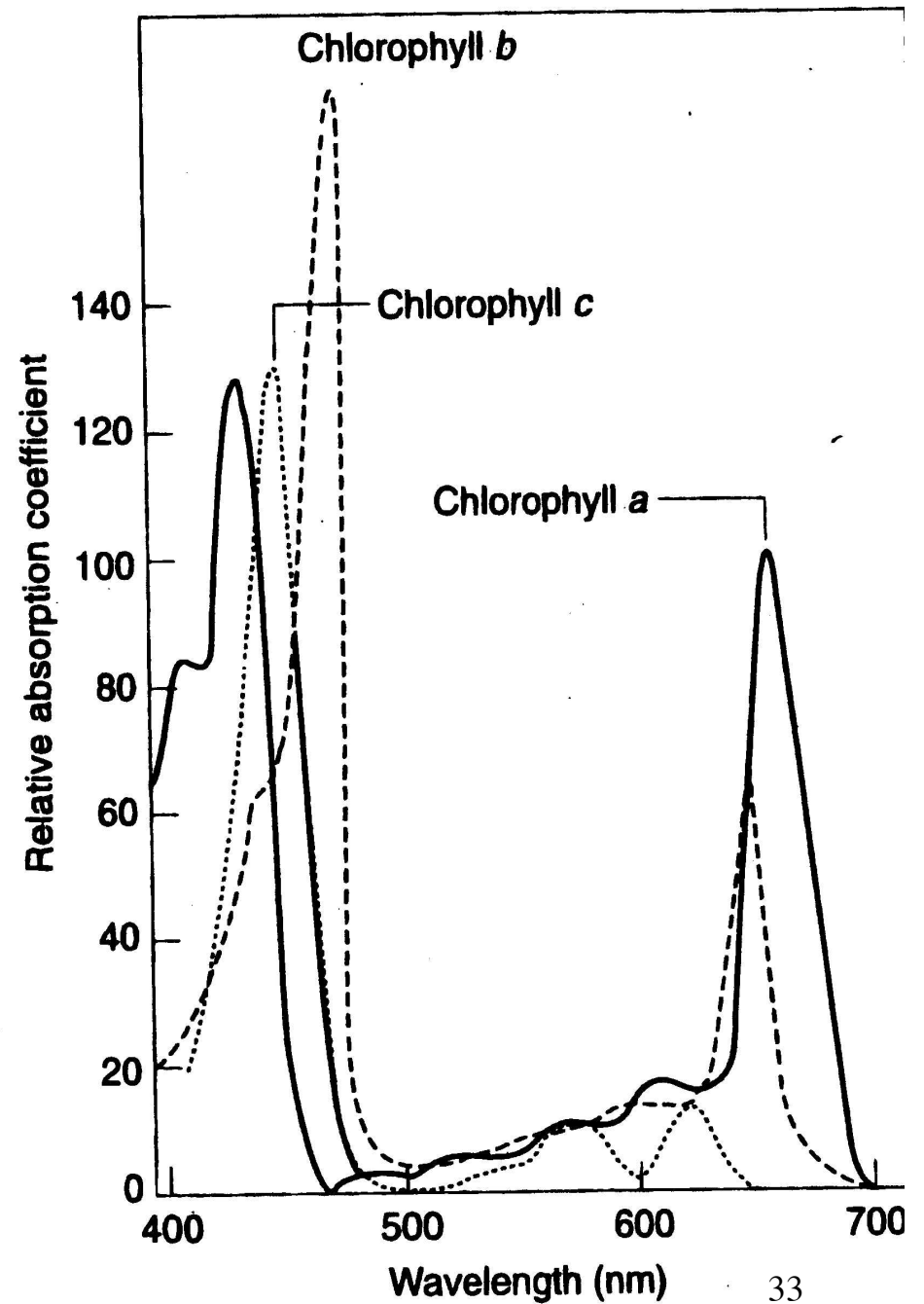
*inside chl a max peaks*  
*minor modification of ring*

Chl *b*

*in vitro fluorescence*

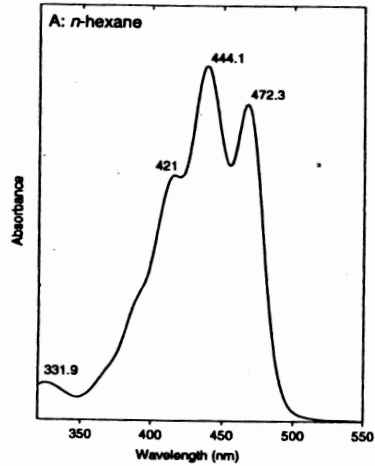
Chl *c*

*lacks phytol tail*

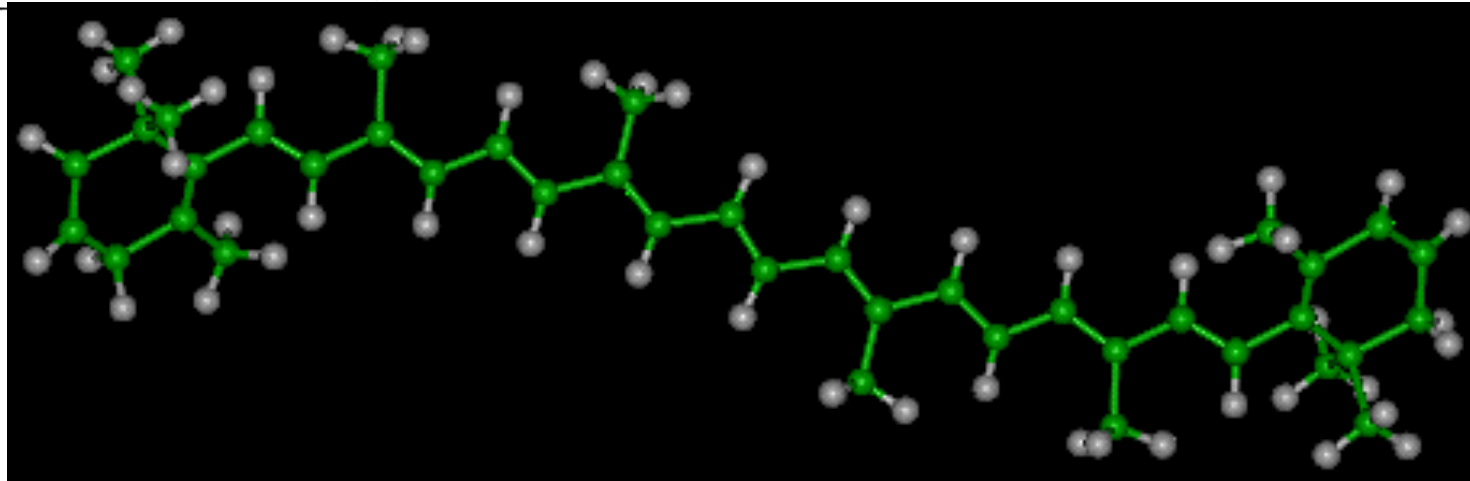
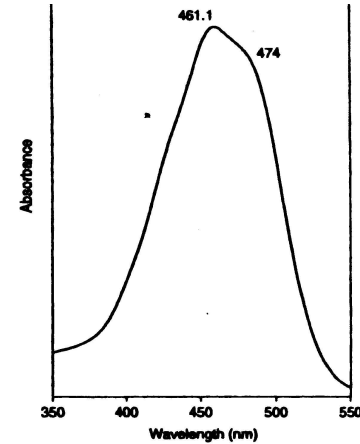


## $\beta$ , $\epsilon$ -carotene

Standard spectrum in reference solv



# Carotenoids



conjugated double bonds; some taxon specificity; role in photosynthesis (PS - absorb blue-green-yellow  $\lambda$ s) and photoprotection (PP - absorb excess photons, quench free radicals & triplet oxygen)

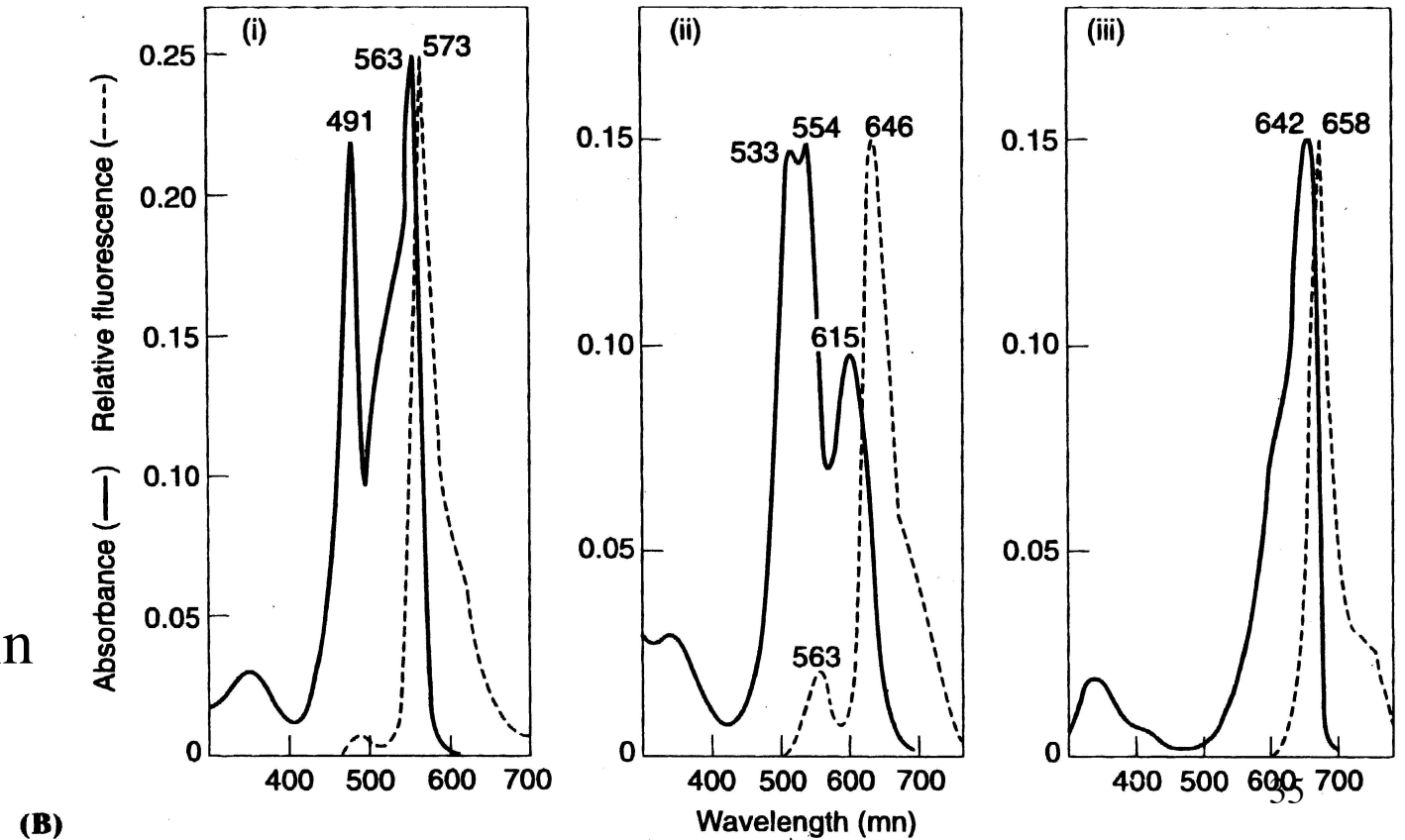
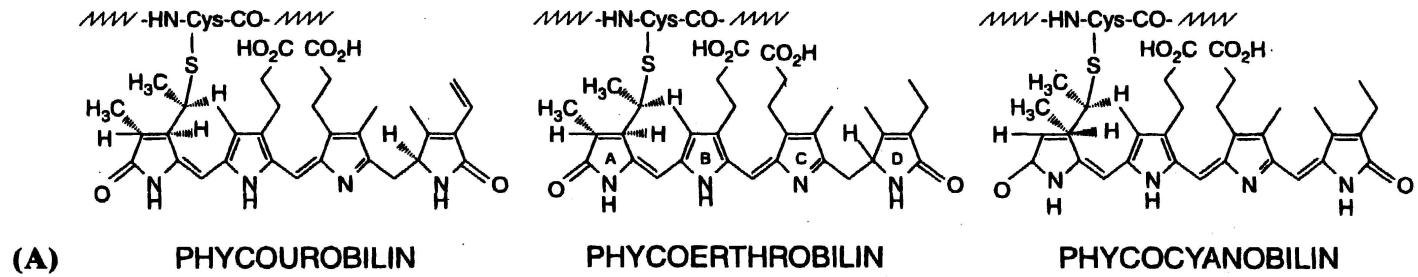
# Phycobilins (phycobiliproteins) – water soluble cyanobacteria and chryptomonads

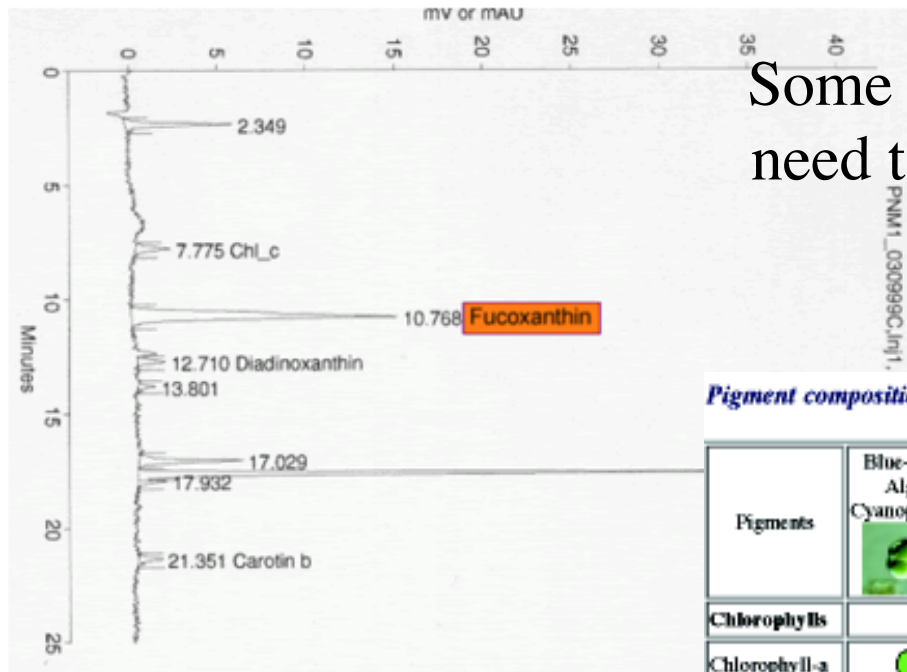
PUB  
phycourobilin

PE  
phycoerthyrin  
(fluoresces orange)

PC  
phycocyanin

APC  
allophycocyanin





Some taxonomic information in pigments,  
 need to assess against species information  
 (local tuning needed)

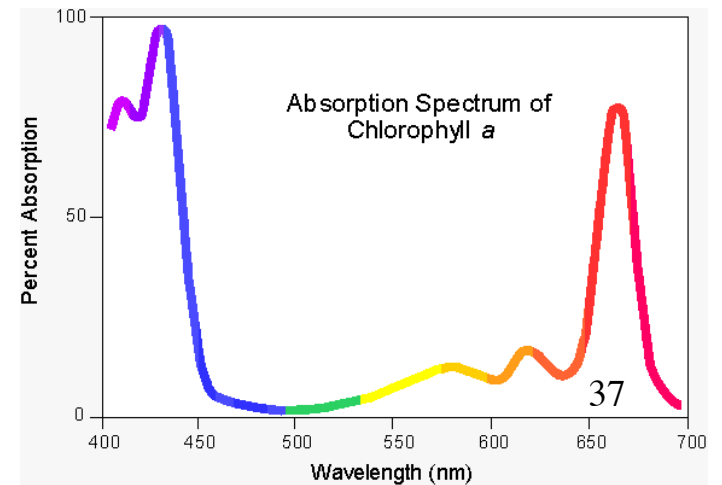
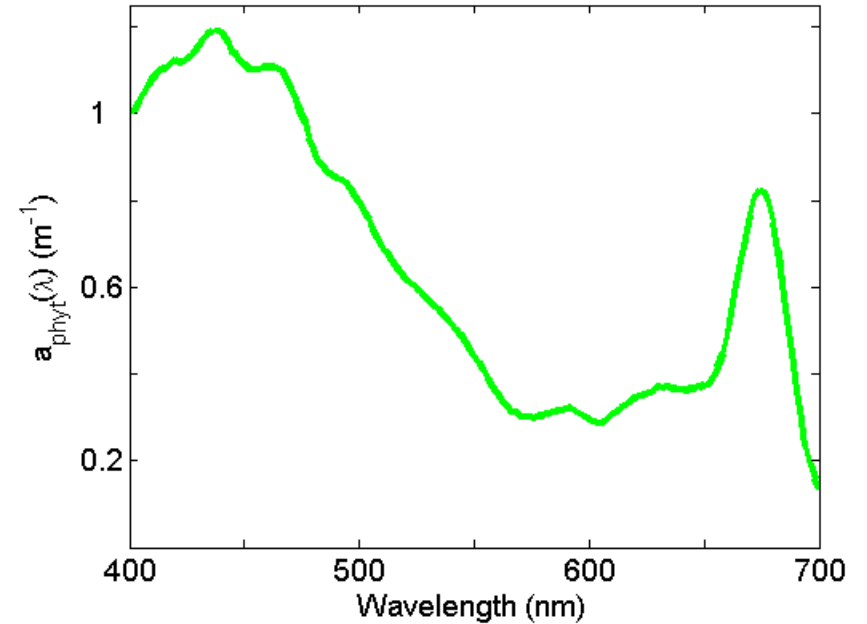
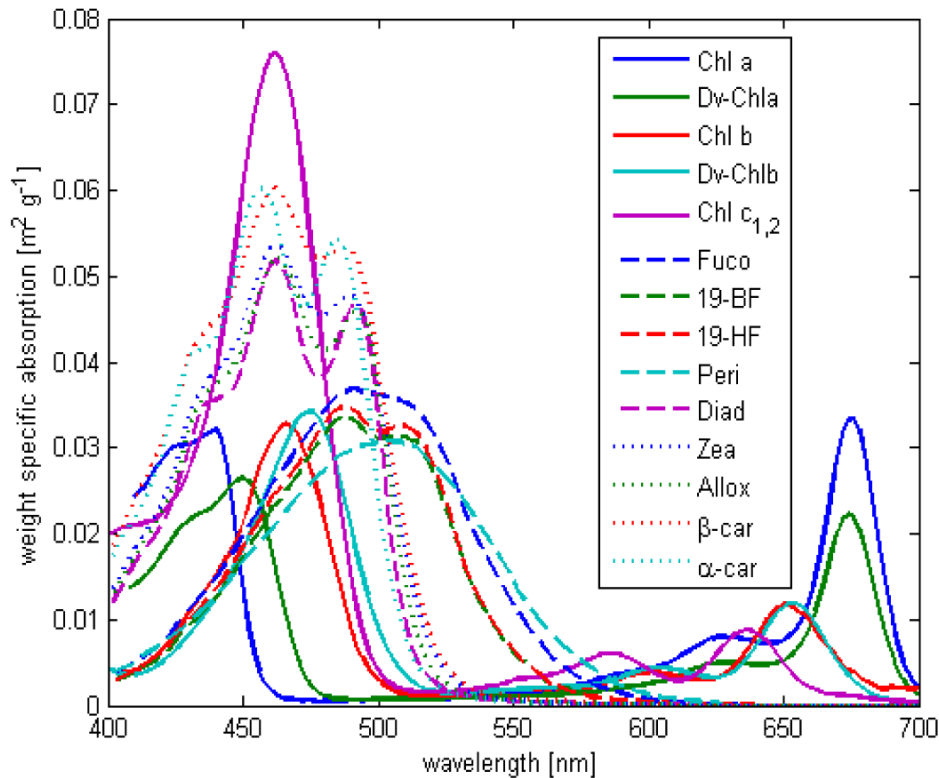
*Pigment composition of the major algal groups*

Pigments	Blue-Green Algae/ Cyanophyceae	Red Algae/ Rhodophyceae	Brown Algae/ Phaeophyceae	Green Algae/ Chlorophyceae	Dinoflagellates/ Dinophyceae	Diatoms/ Bacillariophyceae	Naked Flagellates
<b>Chlorophylls</b>							
Chlorophyll-a	●	●	●	●	●	●	●
Chlorophyll-b				●			
Chlorophyll-c			●		●	●	●
<b>Phycobilins</b>							
Phycocyanin	●	●					
Phycocerythrin	●	●					
<b>Carotins</b>							
β-Carotin	●	●	●	●	●	●	●
<b>Xanthophylls</b>							
Diadinoxanthin			●		●	●	●
Fucoxanthin			●		●	●	●
Lutein		●		●			
Peridinin					●		
Alloxanthin							●
Zeaxanthin	●	●	●	●			

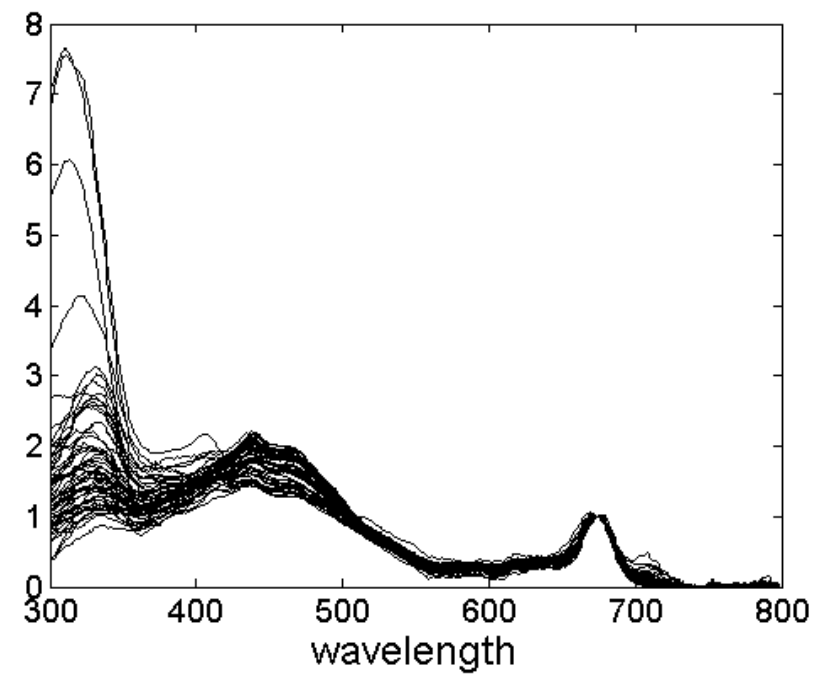
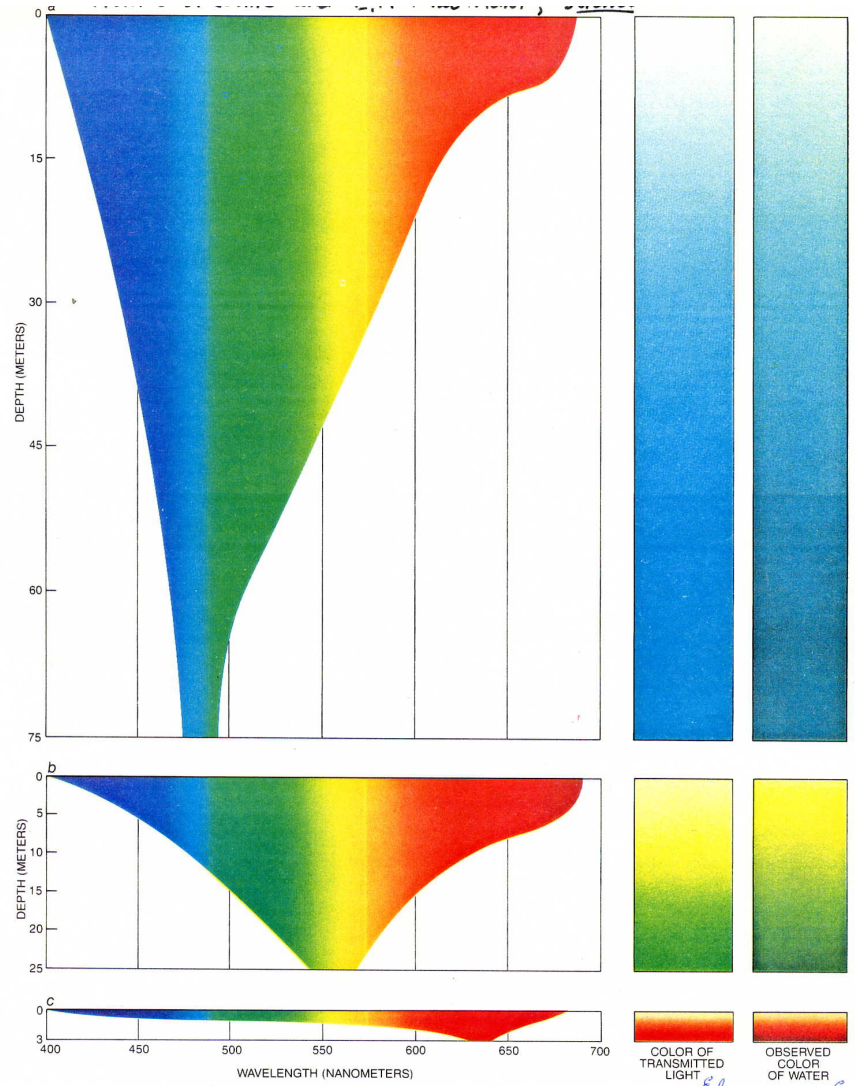


# Composite absorption – why have multiple pigments?

Chlorophyll *a* and *b* is good enough for spinach & other plants.



# Composite absorption – multiple pigments expand livable environment



**TRANSMISSION OF LIGHT** by water is dependent on the color or wavelength of the light. In clear oceans and lakes (a) the light becomes increasingly monochromatic and blue as its path length increases. In fresh water that carries green organic matter (b) light at all wavelengths is absorbed more quickly than it is in clear water, but the light becomes greener with path length. In rivers, swamps and

marshes that carry large amounts of the products of plant and animal decay (c) absorption is rapid and the spectral distribution of the light shifts to the red. Such waters are called black because the human eye is relatively insensitive to light at long wavelengths; a less anthropomorphic name would be infrared water. The depths given for the maximum penetration of light are typical, but they vary widely.

Chlorophyll *a* – most common entity used to denote presence of phytoplankton and attempt to quantify concentration (mass).

### **Is chlorophyll a good proxy for phytoplankton?**

Chlorophyll *a* (or divinyl Chl *a*) is found in all phytoplankton and not in heterotrophs (exception: mixotrophs, digesting predators).

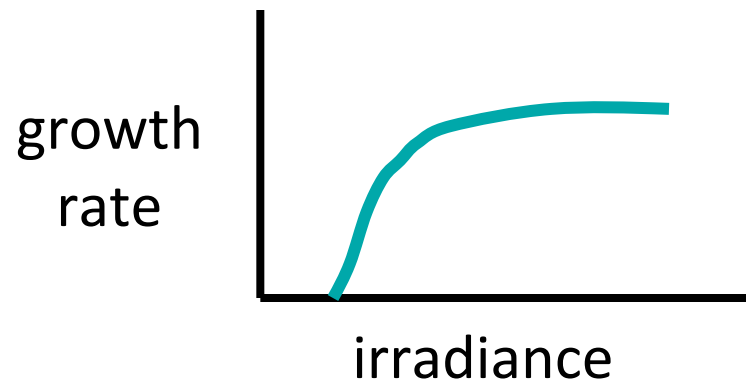
Relationship between carbon and Chl allows estimation of phytoplankton carbon. But beware Mobley's Law of Conservation of Misery: **C/Chl ratio influenced by physiology.**

Some measure of assessing chlorophyll can be used at all scales – from mooring, ship, autonomous platform, satellite.

Different measures of assessing 'chlorophyll' need to be aligned; not measuring exactly same thing. Remember need for closure.

## Variability in Chl / cell

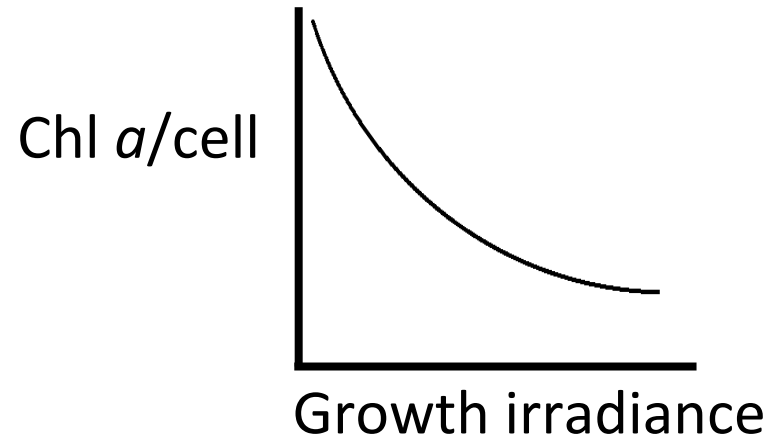
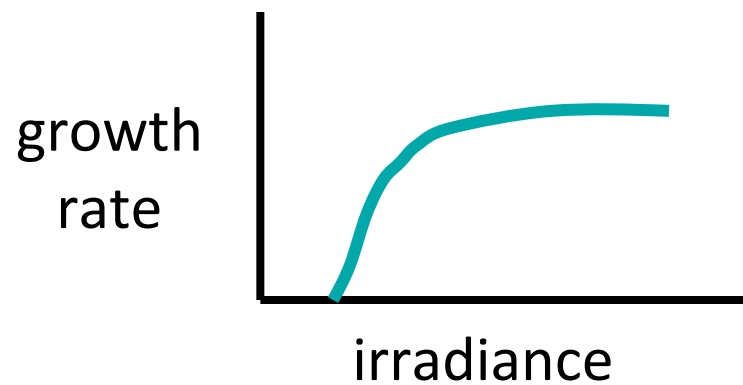
Physiological adaptation to low light is to increase amount of light collectors (chlorophyll molecules).



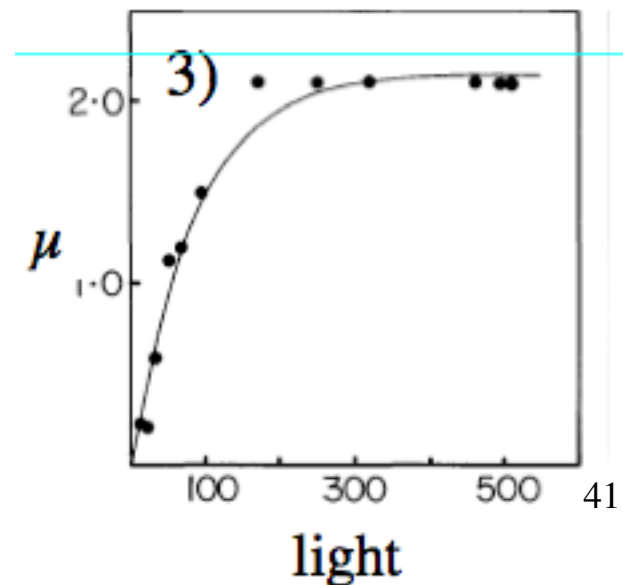
With constant chlorophyll/cell, growth rate would be very low at low light

## Variability in Chl / cell

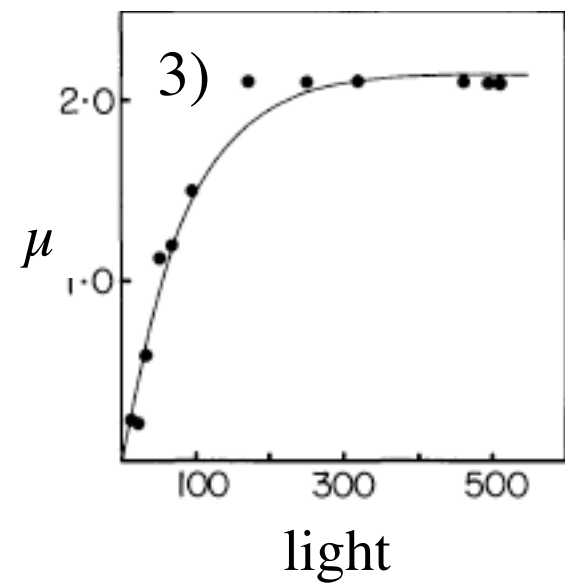
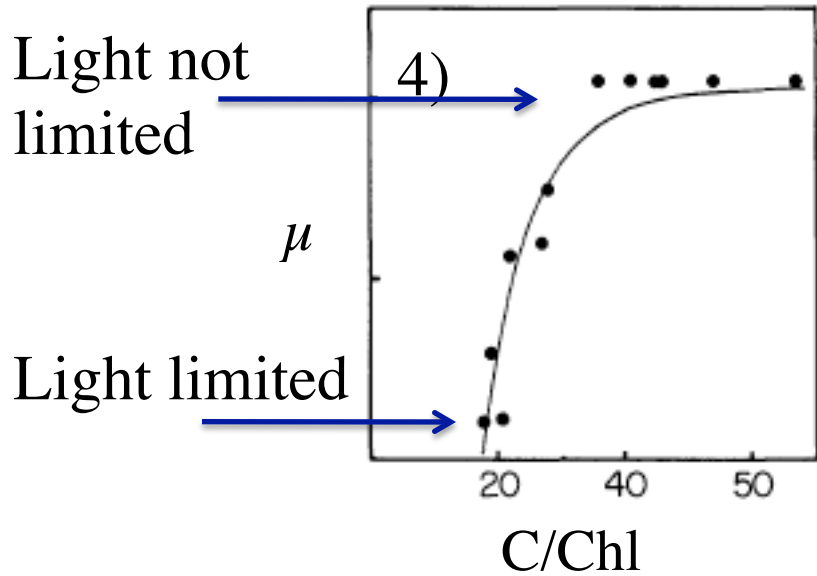
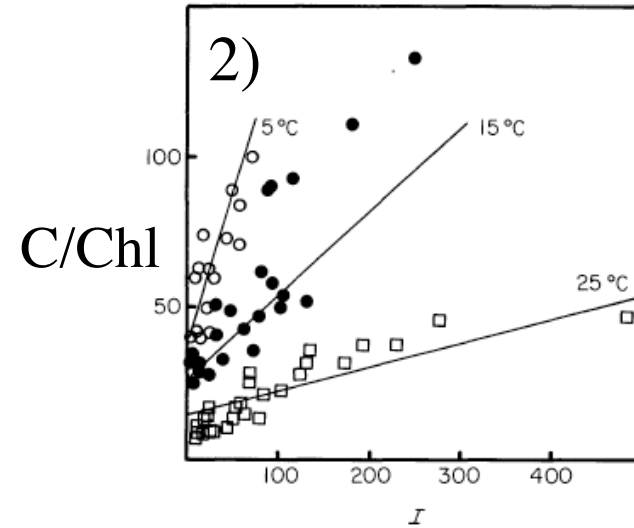
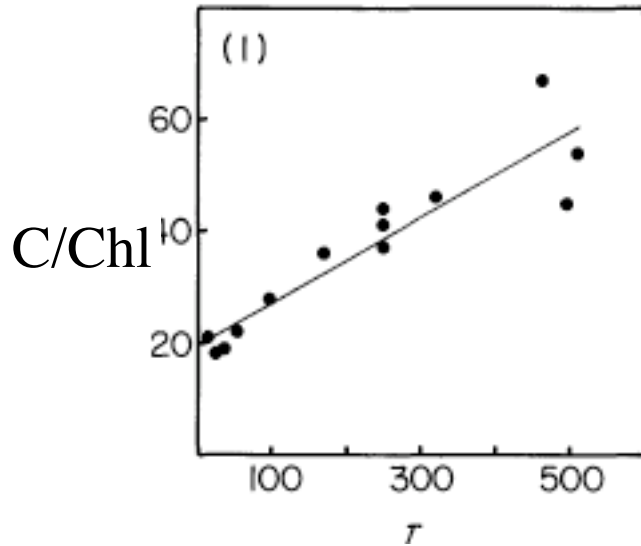
Physiological adaptation to low light is to increase amount of light collectors (chlorophyll molecules).



But with ability to increase Chl *a*/ cell at low light, growth rate is higher than would otherwise be.



Real data - higher concentrations of chlorophyll and other pigments allow cells to grow better at lower irradiances



What is the consequence of photo adaptation on:

absorption per chl [ $a^*(676)$ ] ?

absorption per cell ?

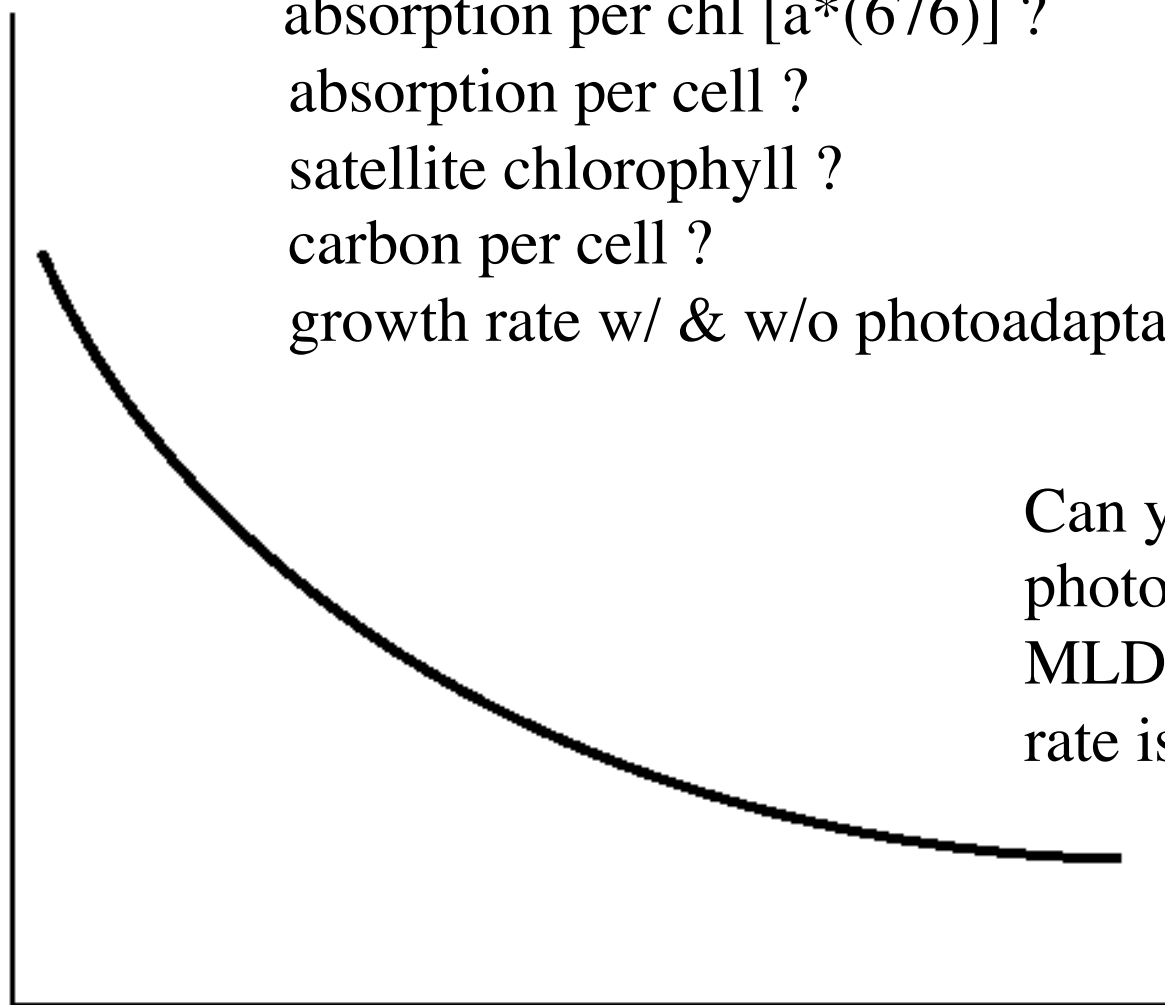
satellite chlorophyll ?

carbon per cell ?

growth rate w/ & w/o photoadaptation ?

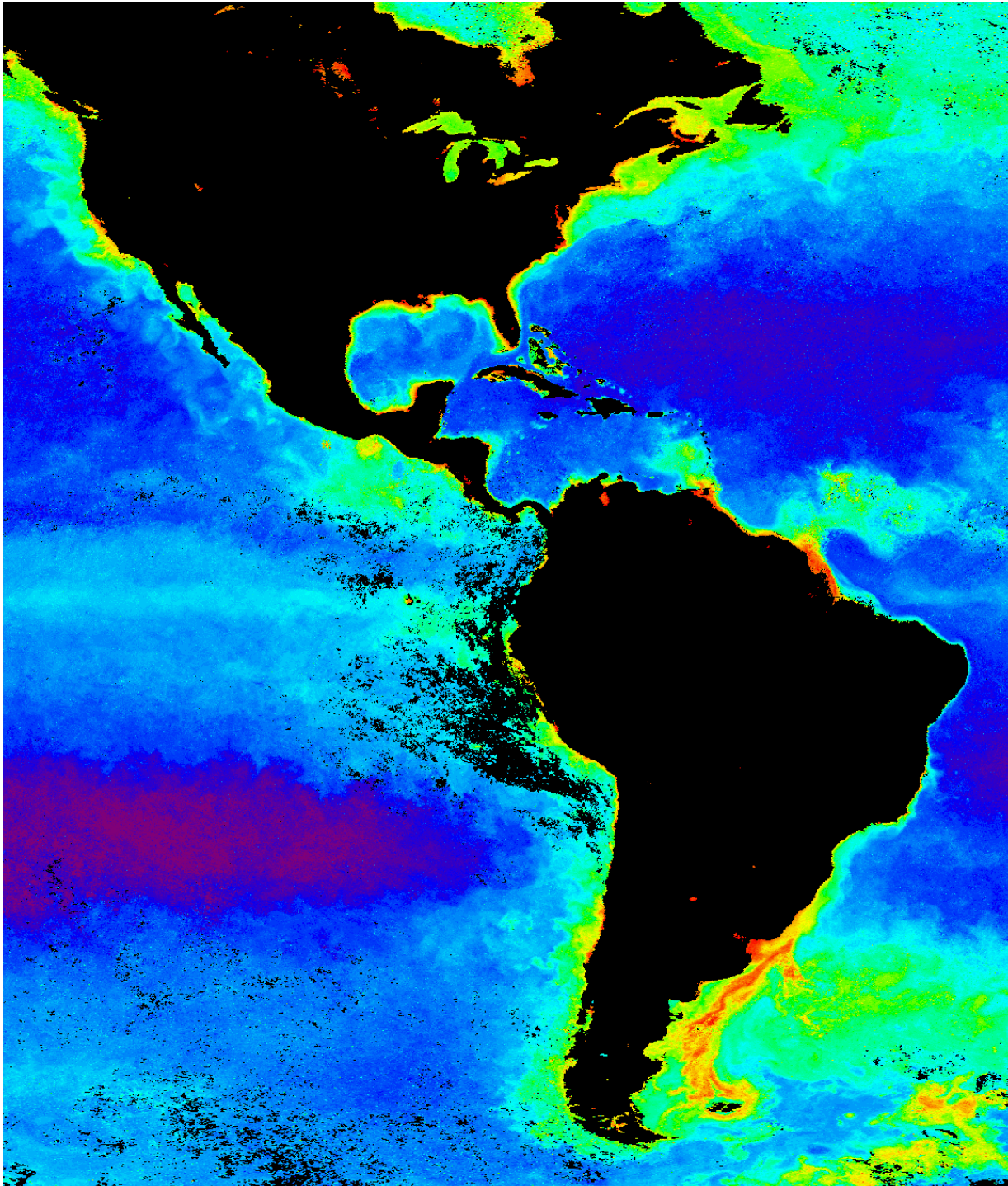
Can you assess  
photoadaptation if  
MLD or mixing  
rate is known?

$\frac{\text{Chl}}{\text{cell}}$



Growth irradiance

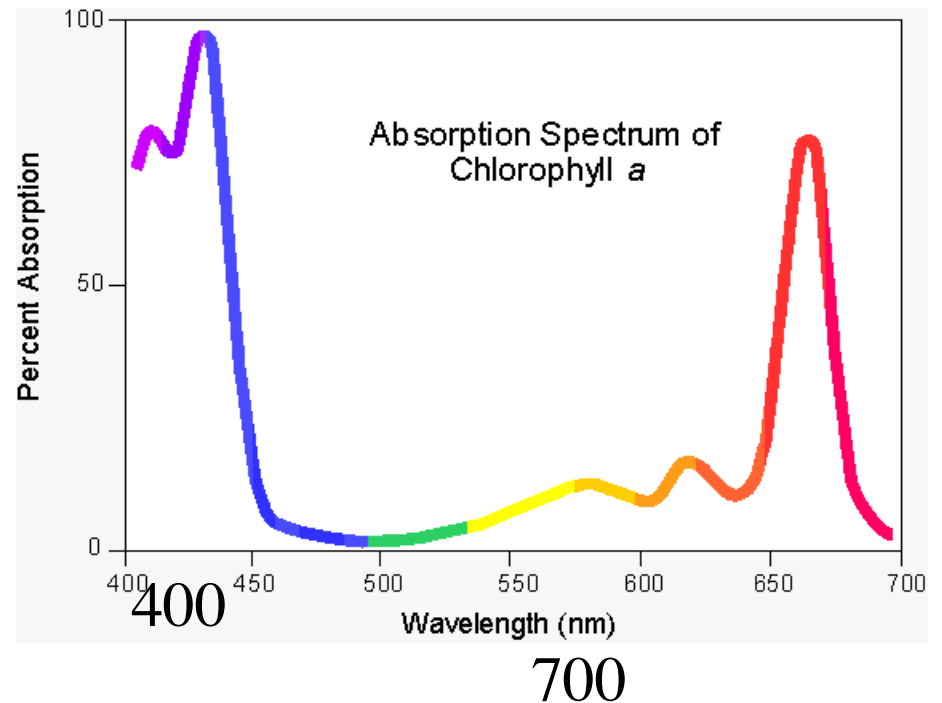
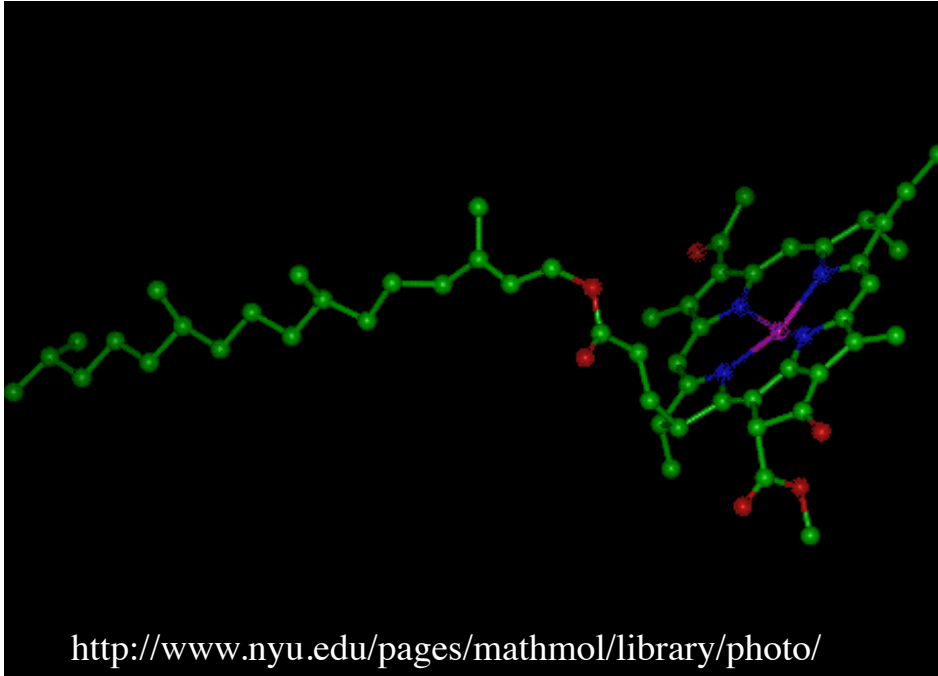




Chlorophyll  
- the molecule that  
let's us measure  
phytoplankton  
from the scale of a  
water droplet to  
the global ocean.

Organization of  
chlorophyll in the cell –  
following slides.

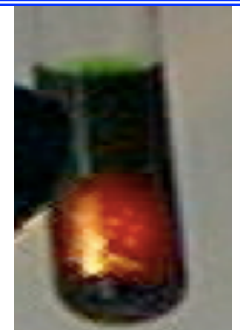
# Chlorophyll *a* – chemical structure & absorption spectrum



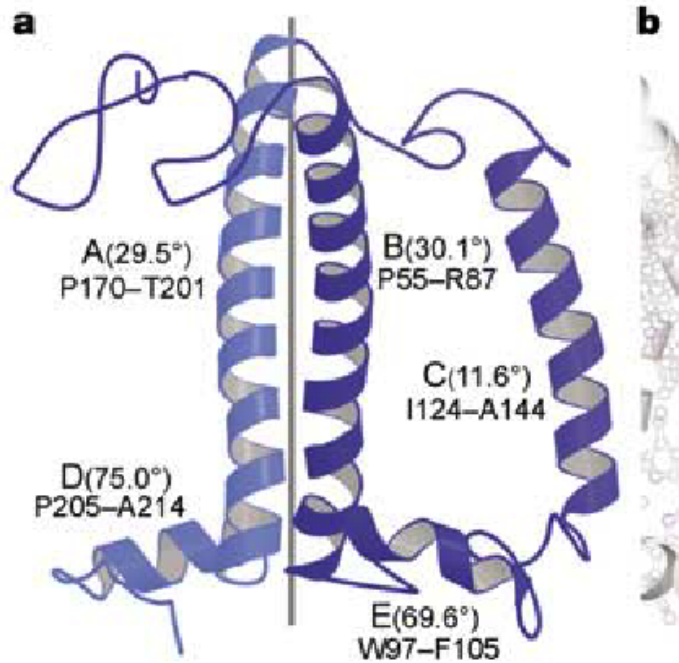
Extract chlorophyll *a*:

- \* filter cells GF/F filter
- \* extract w/ 90% acetone
- \* measure in fluorometer blue source, red emission
- \* concentration of molecule ~ red light emitted

What's the relationship of extracted Chl to its organization in cell?



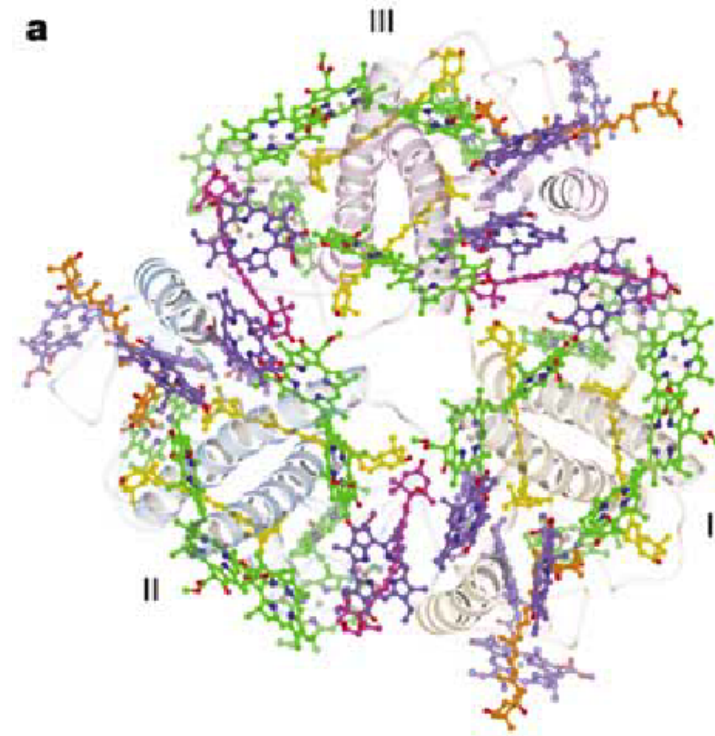
## Chlorophyll molecule is attached to binding protein.



**Figure 3** Secondary structure of monomeric LHC-II

protein backbone of monomeric LHC-II protein complex, from electron density mapping

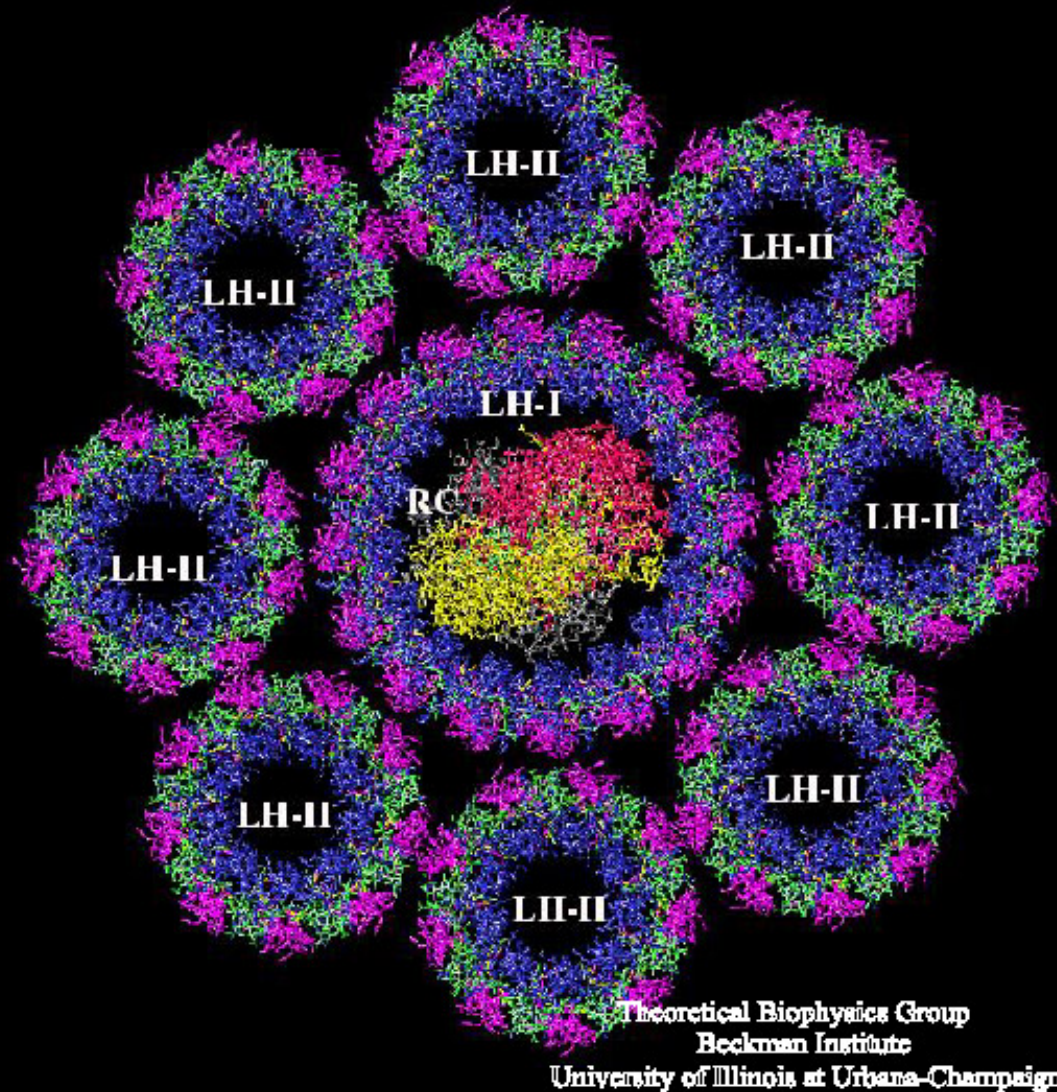
## Trimeric complexes of Chl and binding protein.



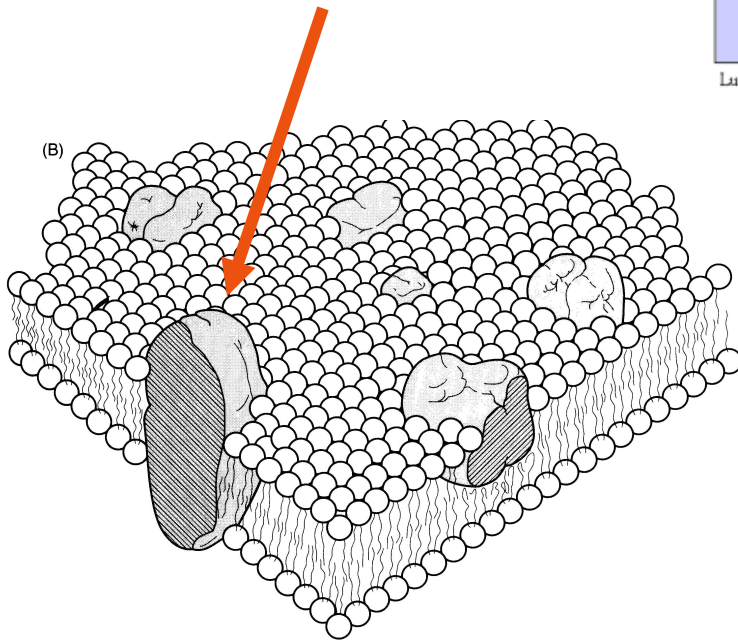
3 monomers = 1 trimer  
 green: chl *a*; blue: chl *b*  
 yellow/orange: P carotenoids  
 magenta: PP carotenoids



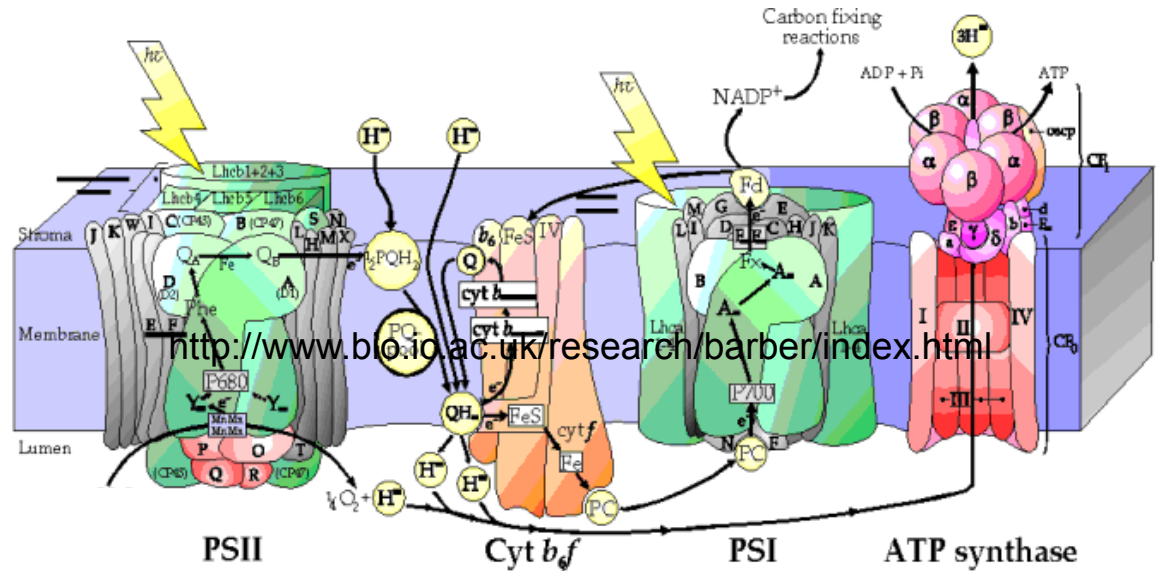
Many light harvesting trimers around reaction center (PS II)  
to form a light harvesting complex.



**Light harvesting complexes and other functional complexes are located in thylakoid membrane.**



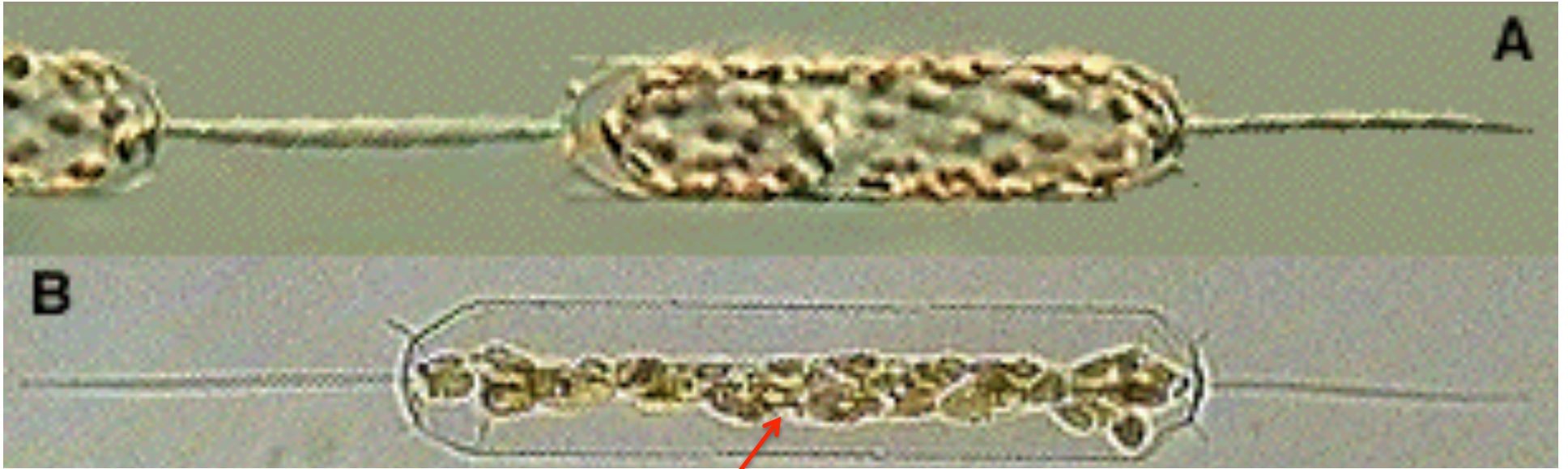
**Figure 1.2** (A) Structure of two of the most important lipids that make up thylakoid membranes: monogalactosyl diacylglycerol (MGDG) and digalactosyl diacylglycerol (DGDG). In the formation of membranes, the polar sugar groups face the aqueous phases, while opposing nonpolar alkyl groups are oriented toward each other to form a lipid bilayer. The width of the bilayer is approximately 4 nm. (B) A schematic diagram of a thylakoid membrane (modified from Singer, Nicolson 1972). Thylakoid membranes are largely composed of MGDG and DGDG with other polyunsaturated fatty acids. Proteins are oriented within the membrane in a nonrandom fashion. Some proteins span the membrane, whereas others may only partially protrude. The proteins will have specific "sidedness," with some functional groups facing the lumen and others facing the stroma.



## Thylakoid membranes in chloroplast

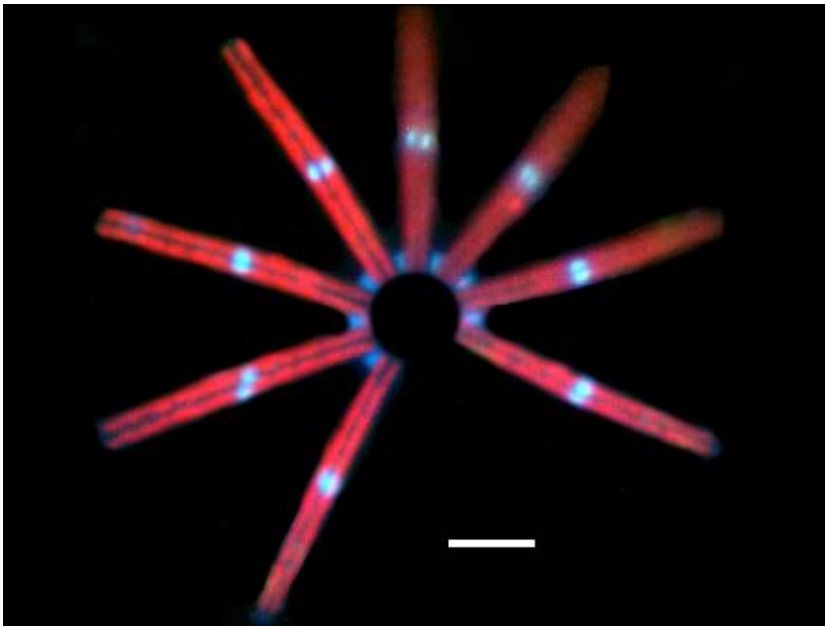






**Diatom chloroplasts**

*In vivo* chlorophyll fluorescence



What is a phytoplankton?

Cell, species, particle of some size, carbon or chlorophyll or ???

What are potential surrogates for phytoplankton:

- \* extracted chlorophyll or other pigments (HPLC)
- \* chlorophyll fluorescence
- \* absorption coefficients
  - $a_{\text{phyt}}$ , all pigments
  - $a_{\phi}$  photosynthetically competent pigments
- \* beam  $c$  or backscatter
- \* particle size distribution
- \* particle size distribution
- \* what else ?

