

The background of the slide is a photograph of an underwater scene. Sunlight rays penetrate the water from the top, creating a series of bright, vertical beams that fan out as they descend. The water is a deep, clear blue, and the overall atmosphere is serene and natural. The light beams create a sense of depth and movement, with some rays appearing to converge towards the center of the frame.

# Lecture 5

# Scattering

Collin Roesler

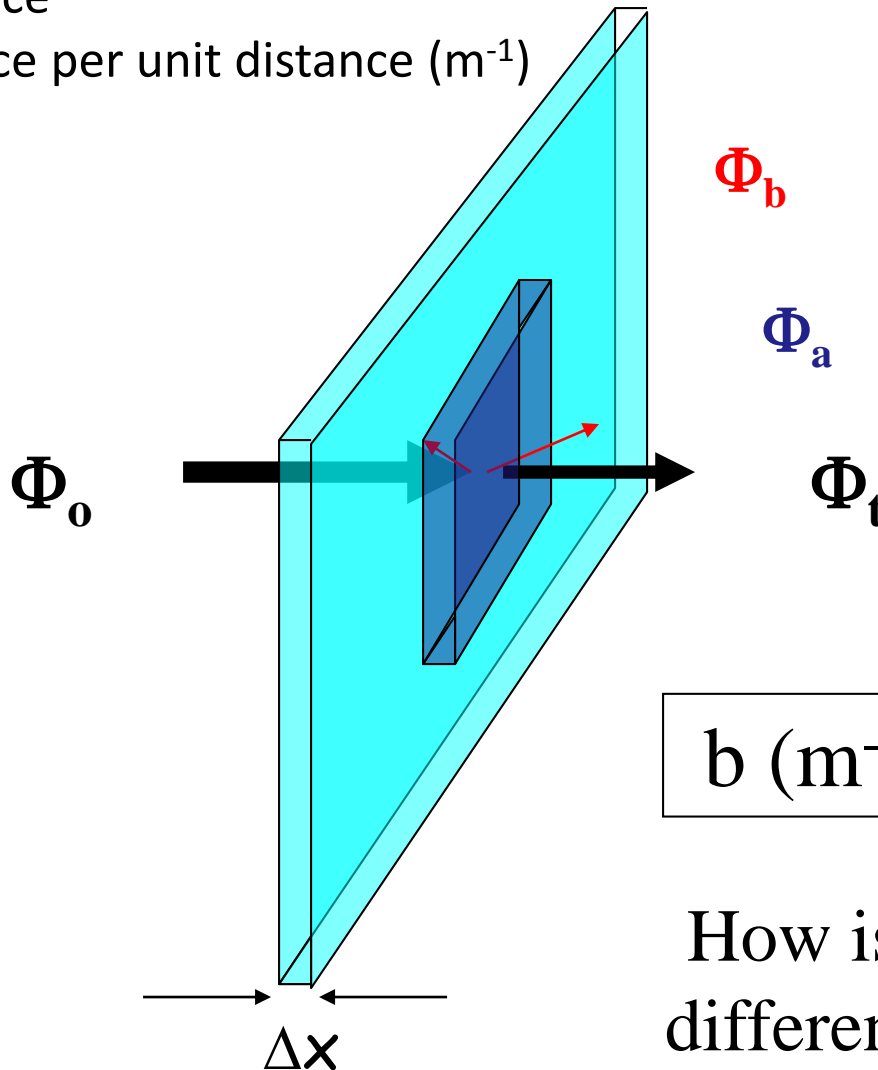
# Scattering Theory

B = scatterance

b = scatterance per unit distance ( $\text{m}^{-1}$ )

$$B = \Phi_b / \Phi_o$$

$$b = B / \Delta x$$



$$b \text{ (m}^{-1}\text{)} = (-1/x) \ln(\Phi_t / \Phi_o)$$

How is this measurement difference from beam c, a?

# Geometry of scattering

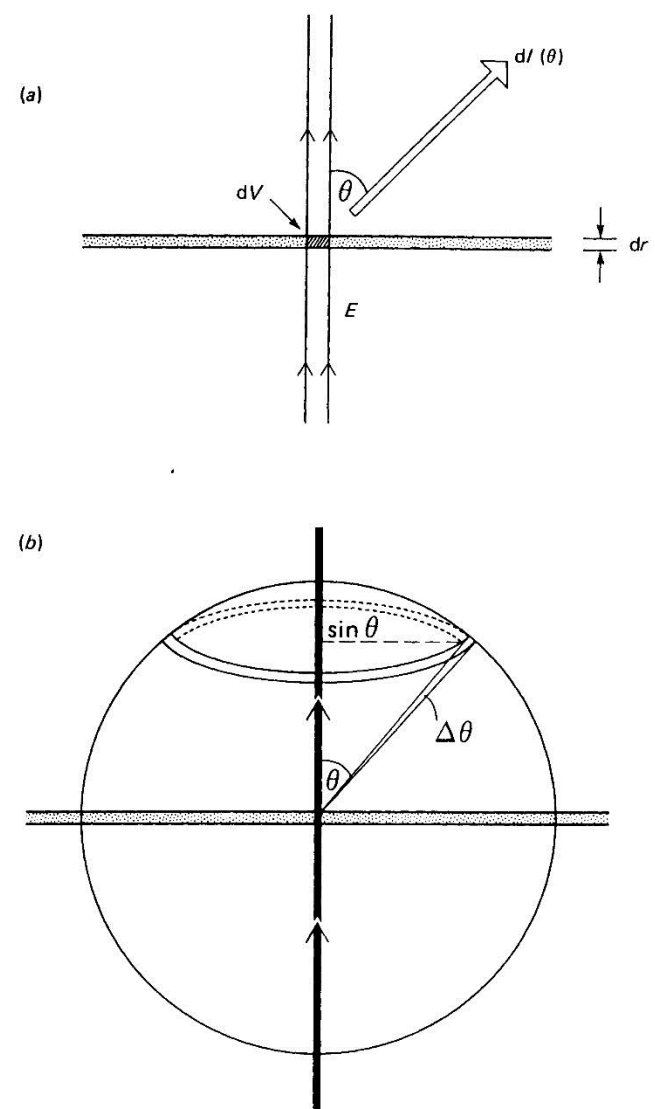
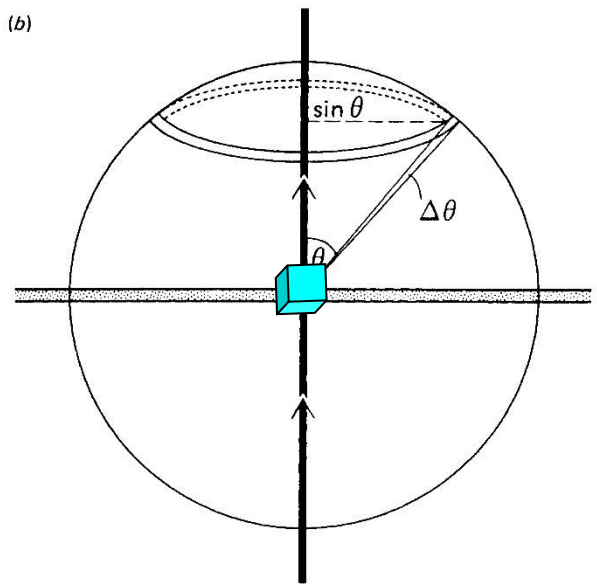


Fig. 1.5. The geometrical relations underlying the volume scattering function. (a) A parallel light beam of irradiance  $E$  and cross-sectional area  $dA$  passes through a thin layer of medium, thickness  $dr$ . The illuminated element of volume is  $dV$ .  $dI(\theta)$  is the radiant intensity due to light scattered at angle  $\theta$ . (b) The point at which the light beam passes through the thin layer of medium can be imagined as being at the centre of a sphere of unit radius. The light scattered between  $\theta$  and  $\theta + \Delta\theta$  illuminates a circular strip, radius  $\sin \theta$  and width  $\Delta\theta$ , around the surface of the sphere. The area of the strip is  $2\pi \sin \theta \Delta\theta$  which is equivalent to the solid angle (in steradians) corresponding to the angular interval  $\Delta\theta$ .

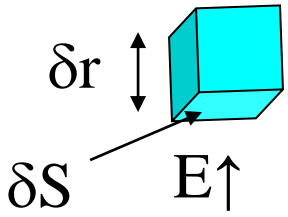
# Scattering has an angular dependence described by the volume scattering function (VSF)

$\beta(\theta, \phi)$  = power per unit steradian emanating from a volume illuminated by irradiance =  $\frac{\delta\Phi}{\delta\Omega} \frac{1}{\delta V} \frac{1}{E}$



$E = \Phi/\delta S$  [ $\mu\text{mol photon m}^{-2} \text{s}^{-1}$ ]

$\delta V = \delta S \delta r$



$\beta(\theta, \phi) = \frac{\delta\Phi}{\delta\Omega} \frac{1}{\delta S \delta r} \frac{\delta S}{\Phi_0} = \frac{1}{\Phi_0} \frac{\delta\Phi}{\delta r \delta\Omega}$

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# Volume Scattering Function (VSF)

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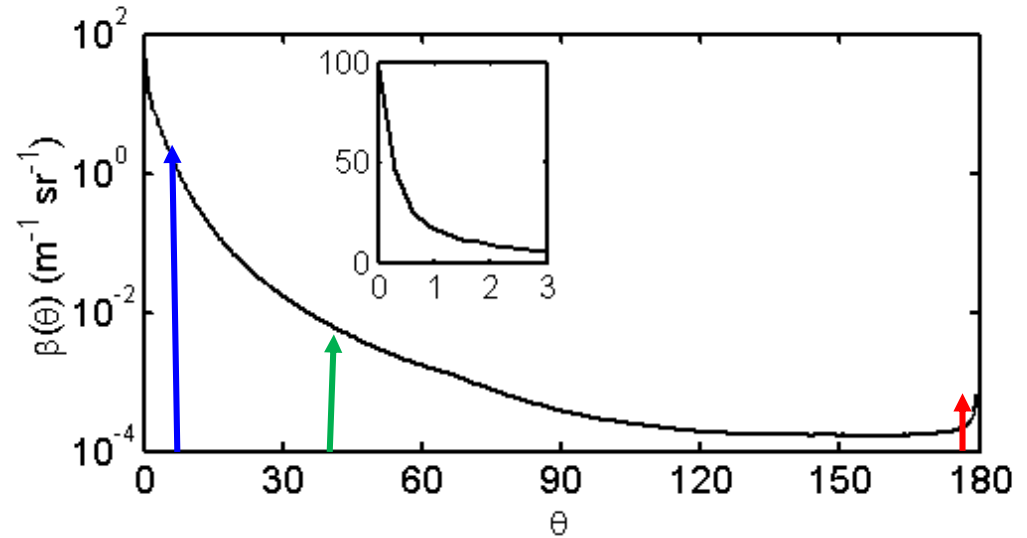
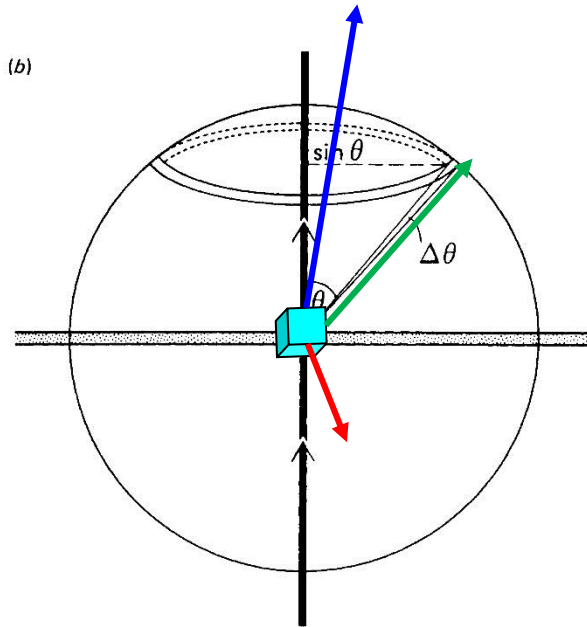


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$$\beta(\theta, \phi) = \frac{1}{\Phi_0} \frac{\delta\Phi}{\delta r \delta\Omega}$$

$$b = \int_{4\pi} \beta(\theta, \phi) \delta\Omega \quad \text{What is } \delta\Omega?$$

$$b = \int_0^{2\pi} \int_0^\pi \beta(\theta, \phi) \sin\theta \delta\theta \delta\phi$$

# Calculate Scattering, $b$ , from the volume scattering function

If there is azimuthal symmetry

$$b = \int_{4\pi} \beta(\theta, \phi) \delta\Omega$$

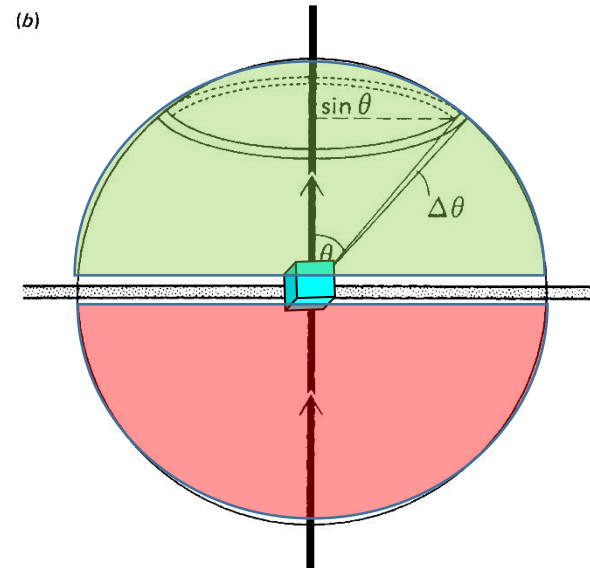
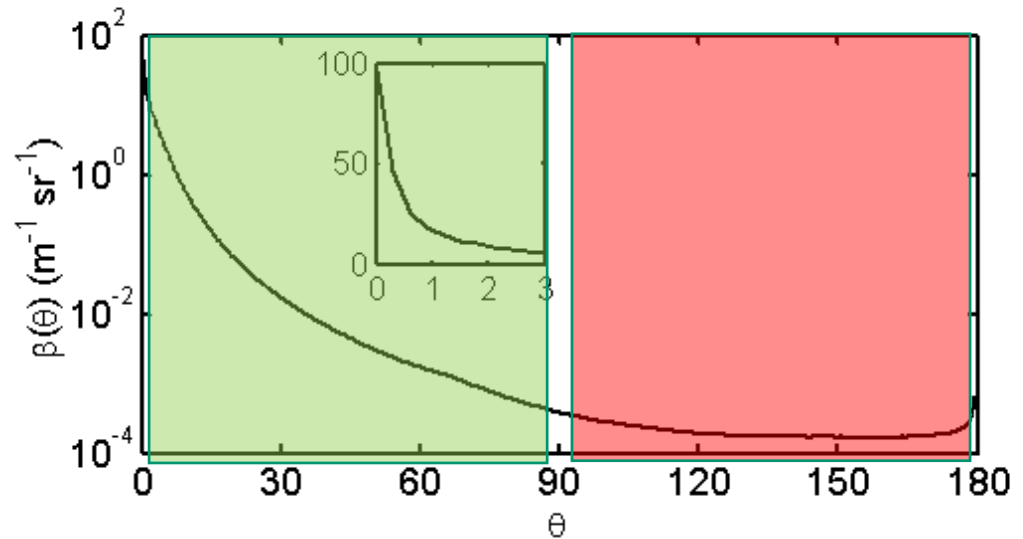
$$b = 2\pi \int_0^\pi \beta(\theta, \phi) \sin\theta \delta\theta$$

$$b_f = 2\pi \int_0^{\pi/2} \beta(\theta, \phi) \sin\theta \delta\theta$$

$$b_b = 2\pi \int_{\pi/2}^\pi \beta(\theta, \phi) \sin\theta \delta\theta$$

Phase function:  $\tilde{\beta}(\theta, \phi) = \beta(\theta, \phi)/b$

These are spectral!

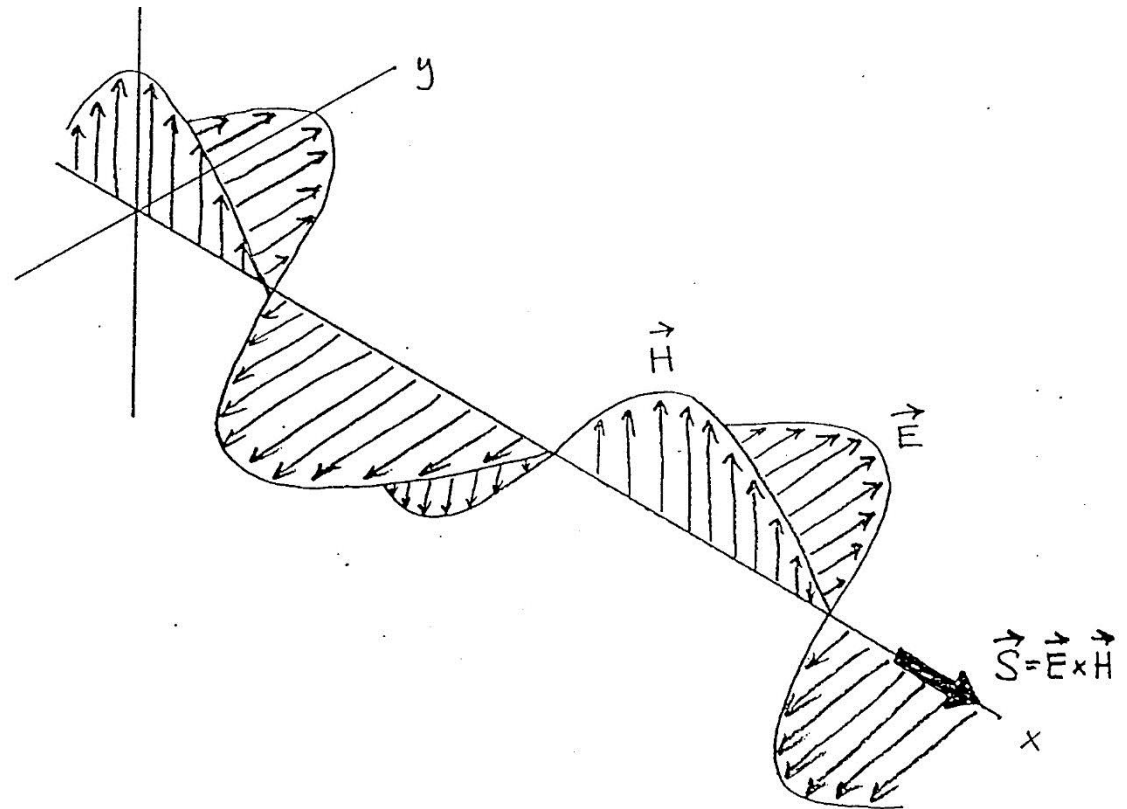


# Particle parameters that influence scattering

- Concentration
- Diameter : wavelength
- refractive index relative to surrounding medium
- absorption of radiation through particle
- Particle shape

# Electromagnetic Radiation

- Oscillating magnetic and electric fields
- Perpendicular to direction of propagation
- Polarized





# Interactions between EM radiation and particles

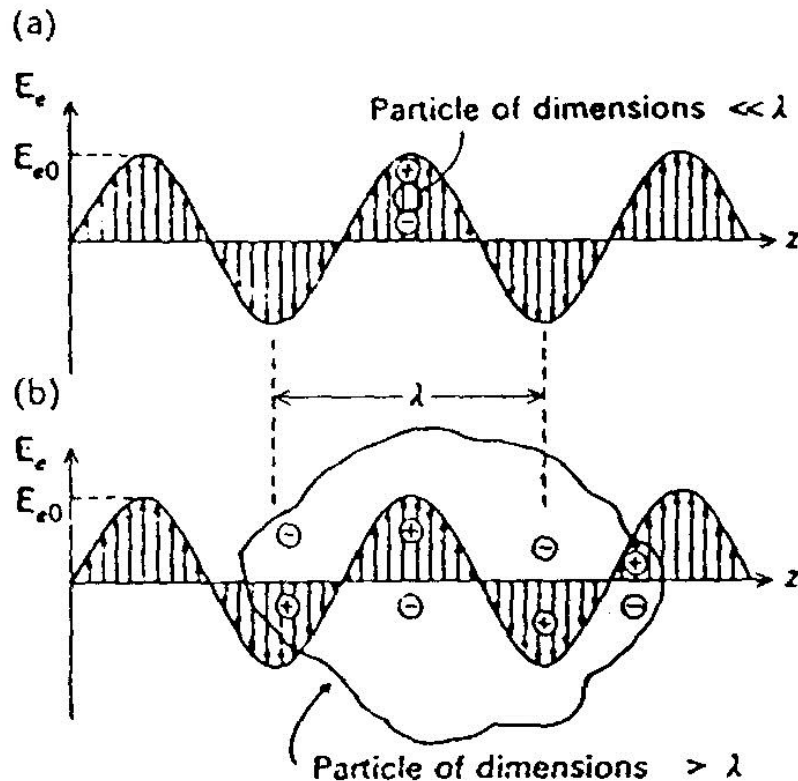
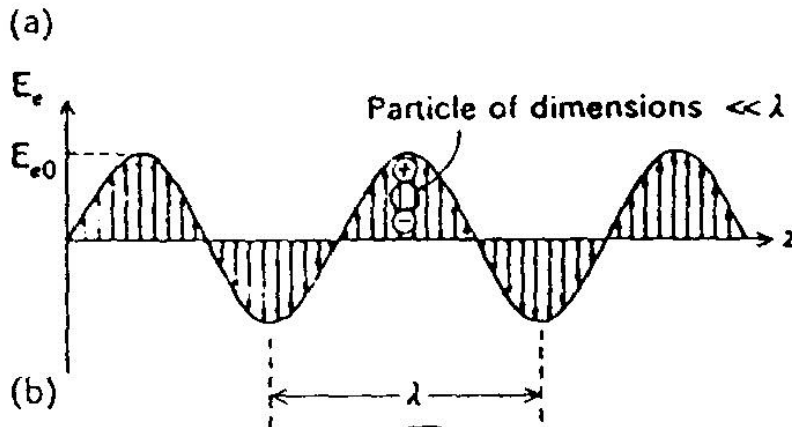


Fig. 4.3.2. Dimensions of scattering centres compared with the electrical field distribution of the electromagnetic wave.

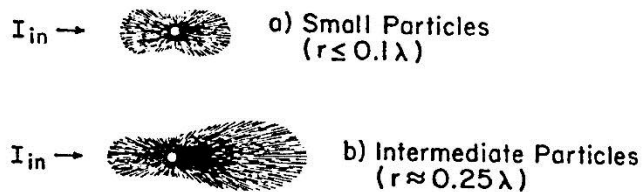
# Small Particles: Rayleigh scatterers

- Propagating EM wave sets up oscillating dipole in particle



# Small Particles: Rayleigh scatterers

- Propagating EM wave sets up oscillating dipole in particle
- Oscillating dipole induces EM radiation from particle (scattered radiation)



# Small Particles: Rayleigh scatterers

- Angular distribution of radiation is called the volume scattering function (VSF or  $\beta(\theta)$ )
- Equal in forward and backward directions

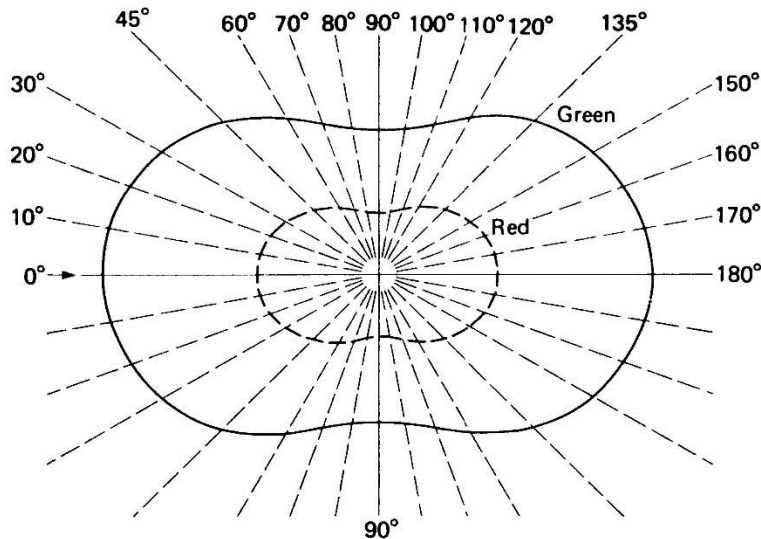


Fig. 2.2. Polar plot of intensity as a function of scattering angle for small particles ( $r \approx 0.025 \mu\text{m}$ ) for green ( $\lambda \approx 0.5 \mu\text{m}$ ) and red ( $\lambda \approx 0.7 \mu\text{m}$ ) light. (By permission, from *Solar radiation*, N. Robinson, Elsevier, Amsterdam, 1966.)

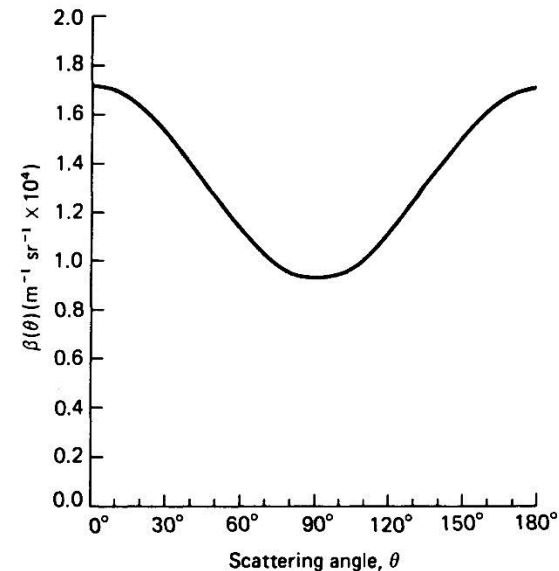
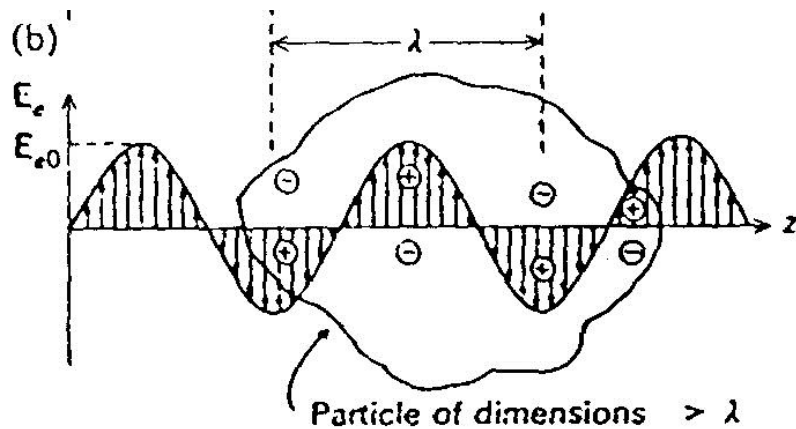


Fig. 4.8. Volume scattering function of pure water for light of wavelength 550 nm. The values are calculated on the basis of density fluctuation scattering, assuming that  $\beta(90^\circ) = 0.93 \times 10^{-4} \text{ m}^{-1} \text{ sr}^{-1}$  and that  $\beta(\theta) = \beta(90^\circ)(1 + 0.835 \cos^2 \theta)$  (following Morel, 1974).

# Large particles: Mie scatterers



EM radiation penetrates particle

Fig. 4.3.2. Dimensions of scattering centres compared with the electrical field distribution of the electromagnetic wave.

# Interaction of light with large particles ( $d \gg \lambda$ )

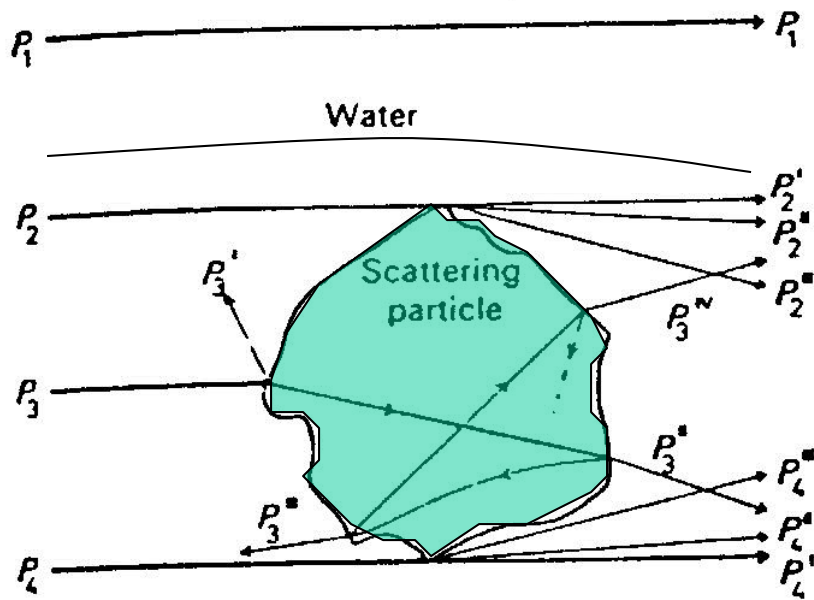
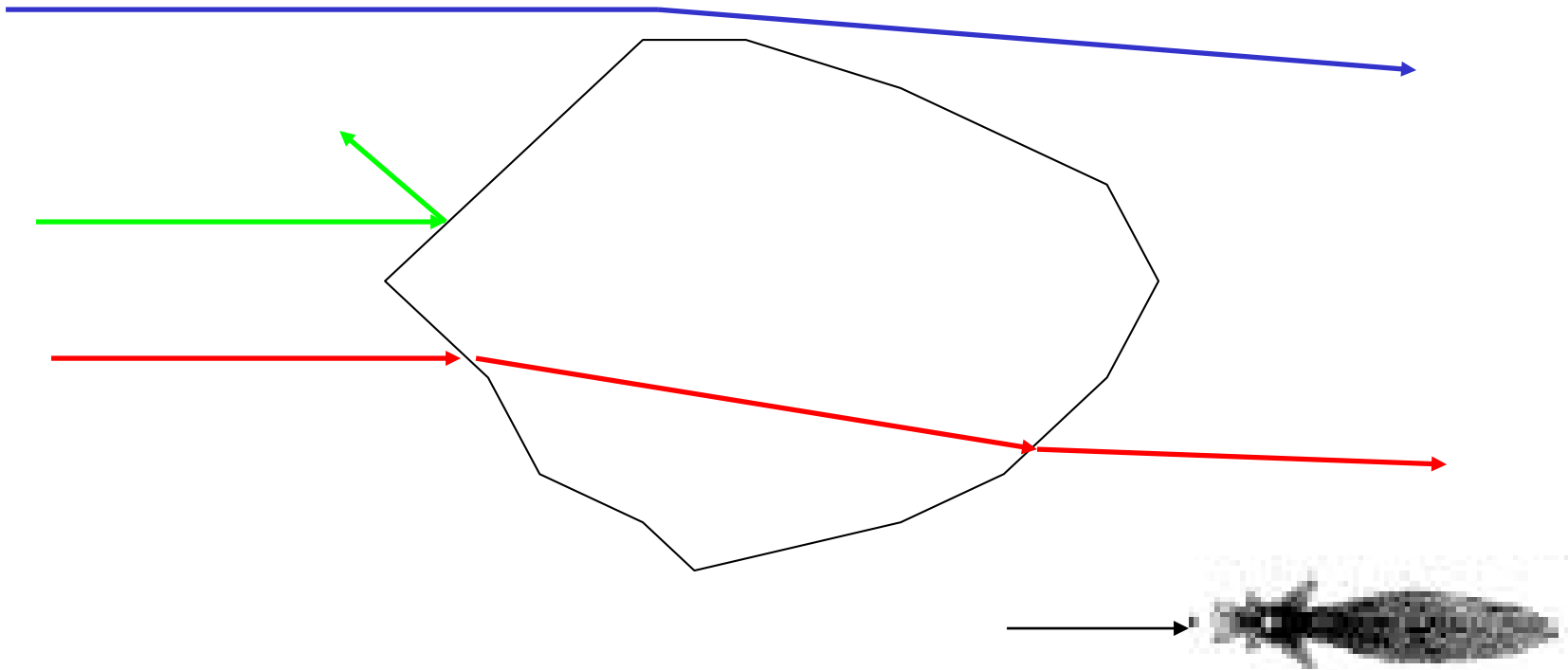


Fig. 4.3.1. A model of light scattering due to reflection, refraction and diffraction by large particles suspended in water.  $P_1 - P_4$ —incident rays;  $P_2^I - P_2^{III}$ ,  $P_4^I - P_4^{III}$ —rays scattered owing to diffraction at the particle's edges;  $P_3^I - P_3^{IV}$ —rays scattered owing to refraction and reflection.

# Large Particle Scattering

Three effects: **refraction**, **reflection** and **diffraction**

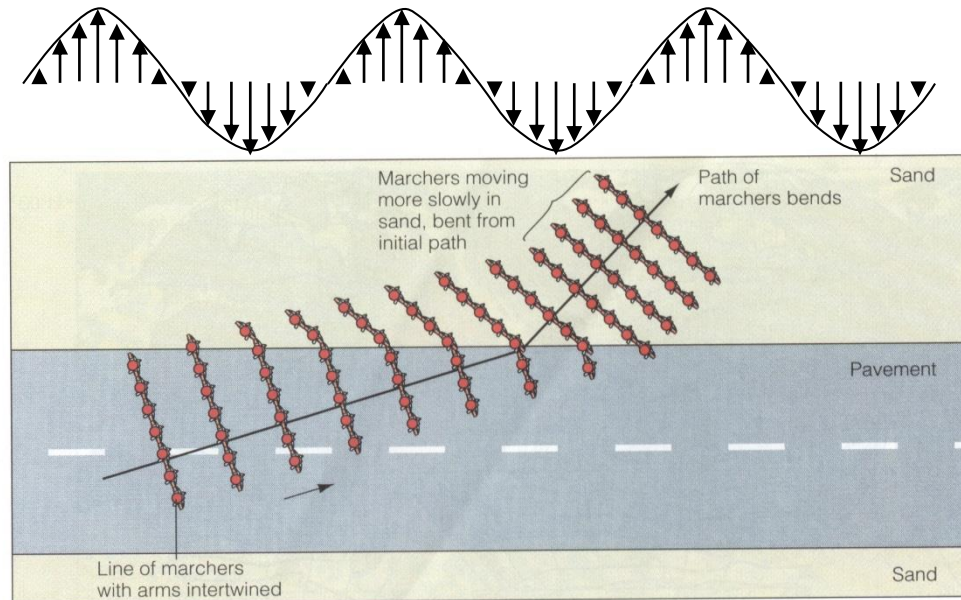


# Fresnel's Law

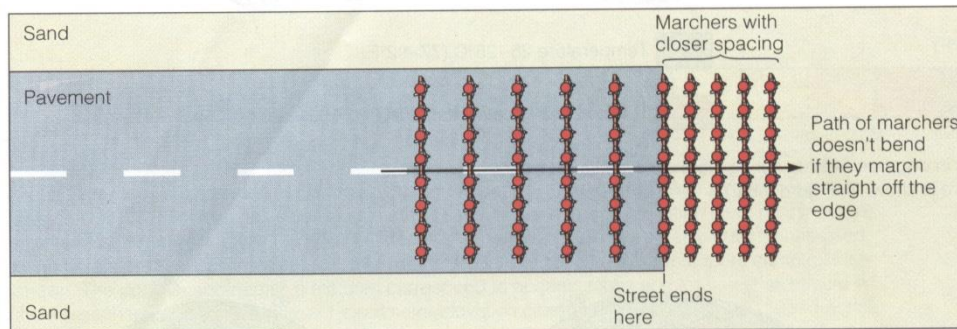
- Quantifies reflection and transmission of EM radiation across an interface between two media with different refractive indices
  - $R_{\text{Incident}} = R_{\text{transmitted}} + R_{\text{reflected}}$
  - Fcn of relative indices of refraction and incidence angle



# Snell's Law: refractive index impact on wave propagation, describes angle of transmission



a

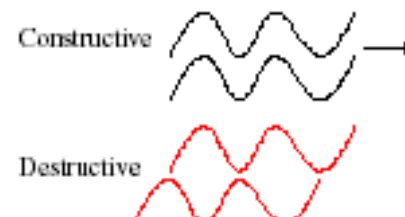
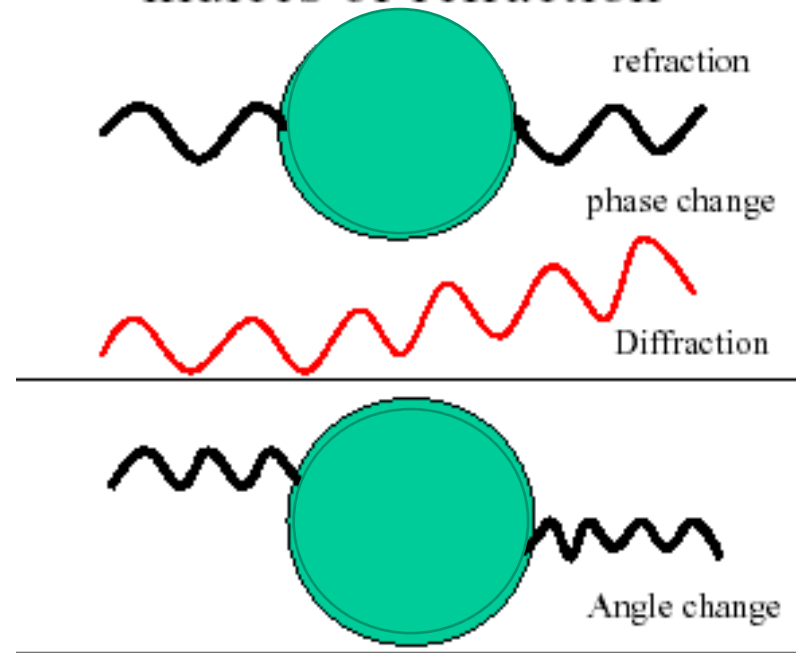


# Refraction

Changes the speed of propagation leading to directional changes and phase changes

$$\lambda_{\text{medium}} = \lambda \frac{c_{\text{medium}}}{c} = \frac{\lambda}{n}$$

Light travels slower at higher indices of refraction



# Diffraction

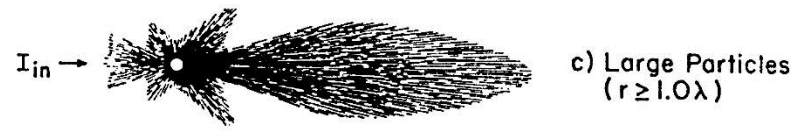
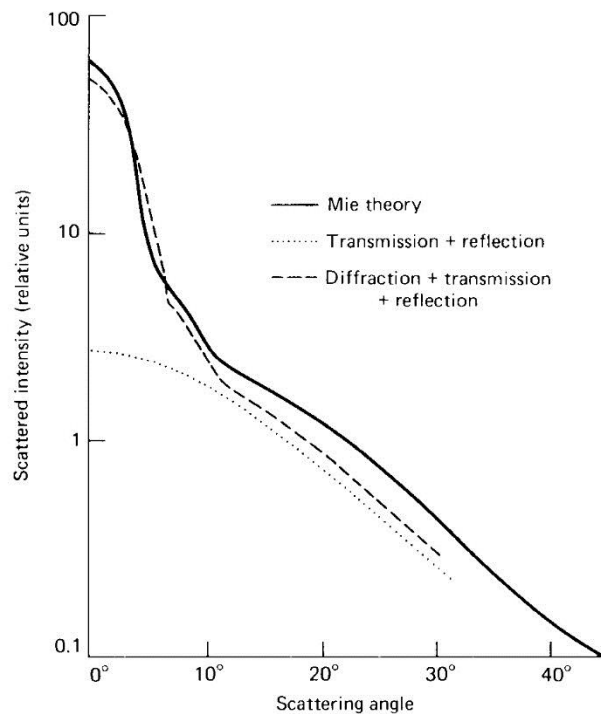
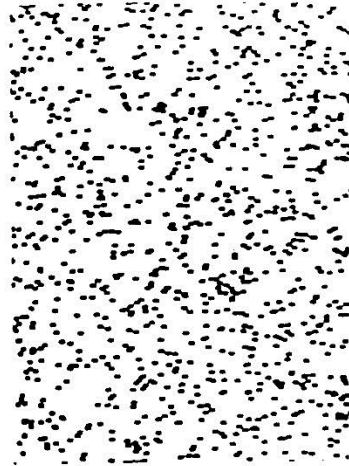


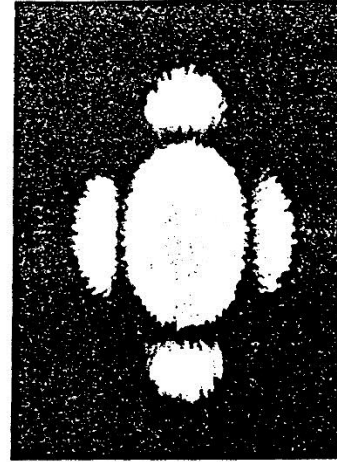
Fig. 4.1. Angular distribution of scattered intensity from transparent spheres calculated from Mie theory (Ashley & Cobb, 1958) or on the basis of transmission and reflection, or diffraction, transmission and reflection (Hodkinson & Greenleaves, 1963). The particles have a refractive index (relative to the surrounding medium) of 1.20, and have diameters 5–12 times the wavelength of the light. After Hodkinson & Greenleaves (1963).

# Effect of non-sphericity on diffraction (forward scattering pattern)

Rectangles/  
cylinders

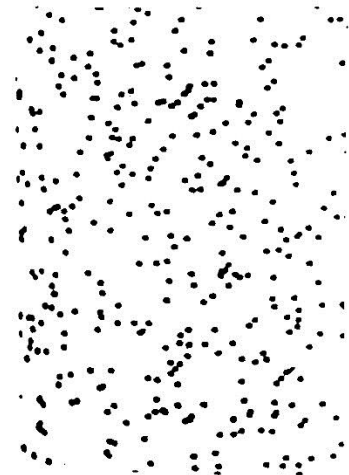


(a)

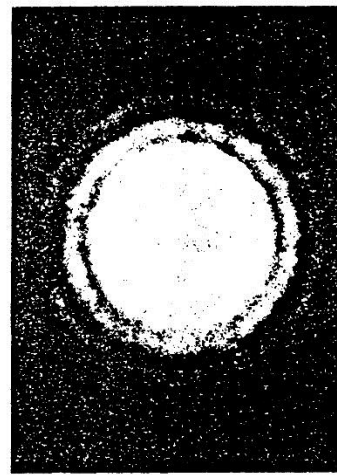


(b)

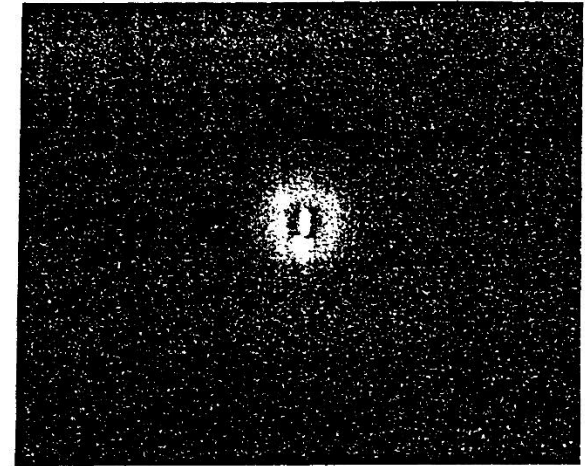
Circles/  
spheres



(c)



(d)



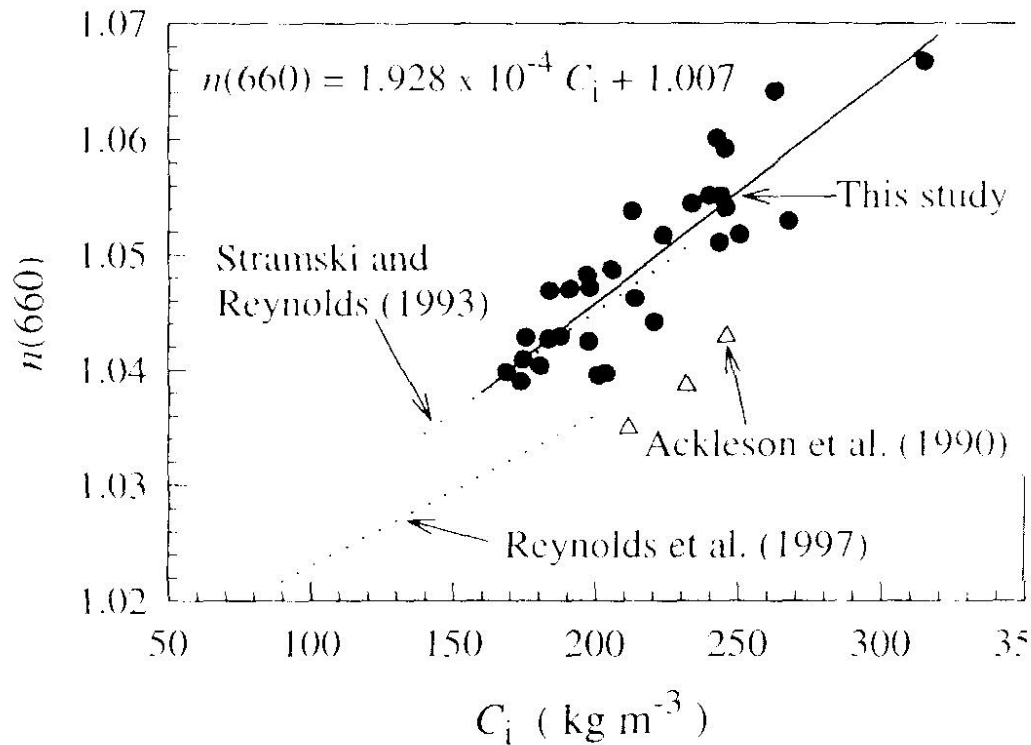
(e)

**Figure 10.41** (a) A random array of rectangular apertures. (b) The resulting white-light Fraunhofer pattern. (c) A random array of circular apertures. (d) The resulting white-light Fraunhofer pattern. (Photos courtesy The Ealing Corporation and Richard B. Hoover.) (e) A candle flame viewed through a fogged piece of glass. The spectral colors are visible as concentric rings. (Photo by E. H.)

# Basis for design of LISST

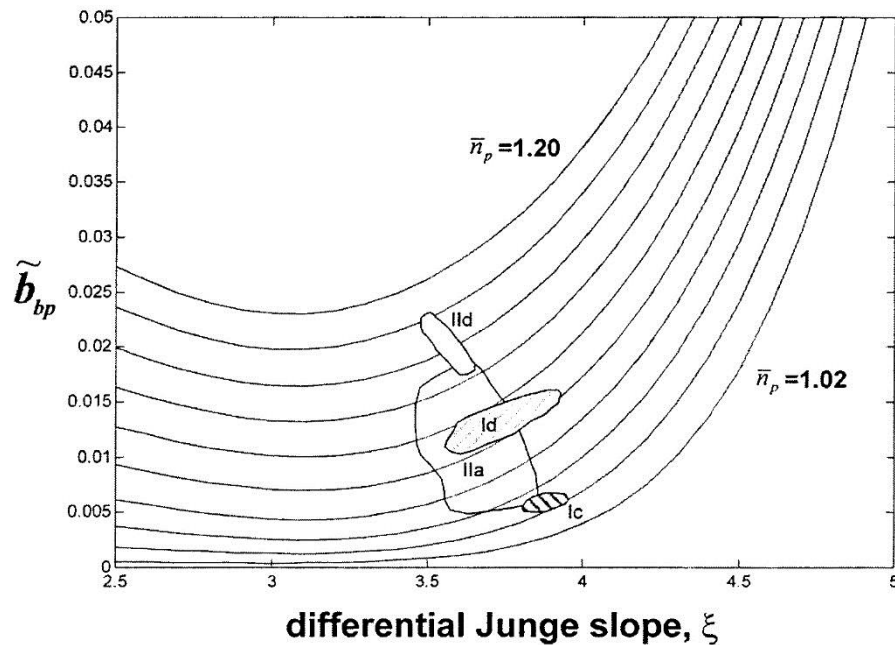


# What influences a particle's refractive index?



- Variations in particle composition:  
Stramski et al. 2002.

# What influences a particle's refractive index?



Variations in bulk composition:  
Twardowski et al. 2001.

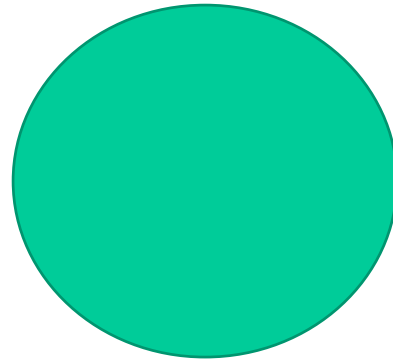
**Figure 9.** Estimated bulk refractive indices  $\hat{n}_p(\tilde{n}_{bp}, \gamma)$  for four specific regions of the water column from the Gulf of California: (1) the case I stations below 100 m (IId), (2) the case I stations at the chlorophyll maximum (Ic), (3) the case II stations south of the sill (IIa), and (4) the bottom water at the case II stations north of the sill (IId). All data were meter-averaged except the Id group, where data were averaged to 5 m.

# Effect of absorption

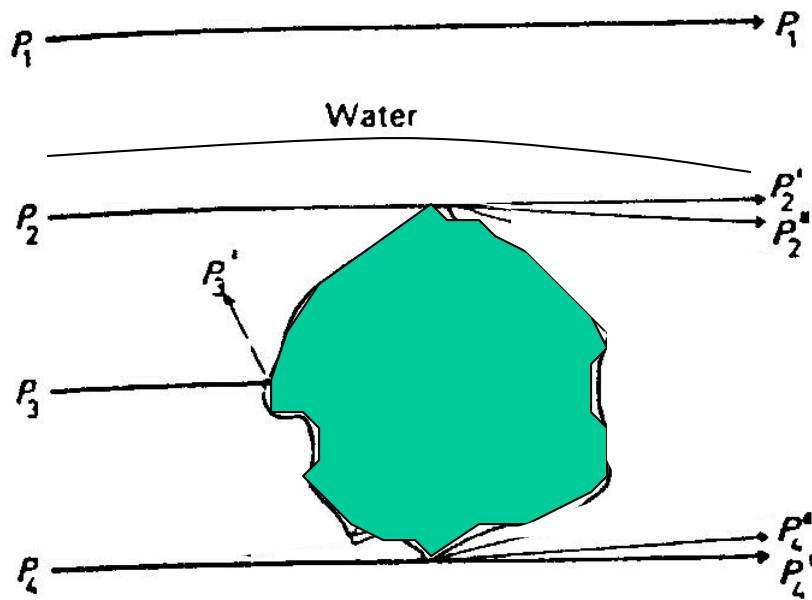
- Parameterized by  $n'$ , the imaginary refractive index relative to surrounding medium
- Describes attenuation of EM radiation as it passes through particle
- Reduces scattered radiation



# Draw absorption



# Effect of Absorption in the extreme



Only diffraction

Fig. 4.3.1. A model of light scattering due to reflection, refraction and diffraction by large particles suspended in water.  $P_1 - P_4$ —incident rays;  $P_2^I - P_2^{III}$ ,  $P_4^I - P_4^{II}$ —rays scattered owing to diffraction at the particle's edges;  $P_3^I - P_3^{IV}$ —rays scattered owing to refraction and reflection.

# What are the constituent properties that we need to consider

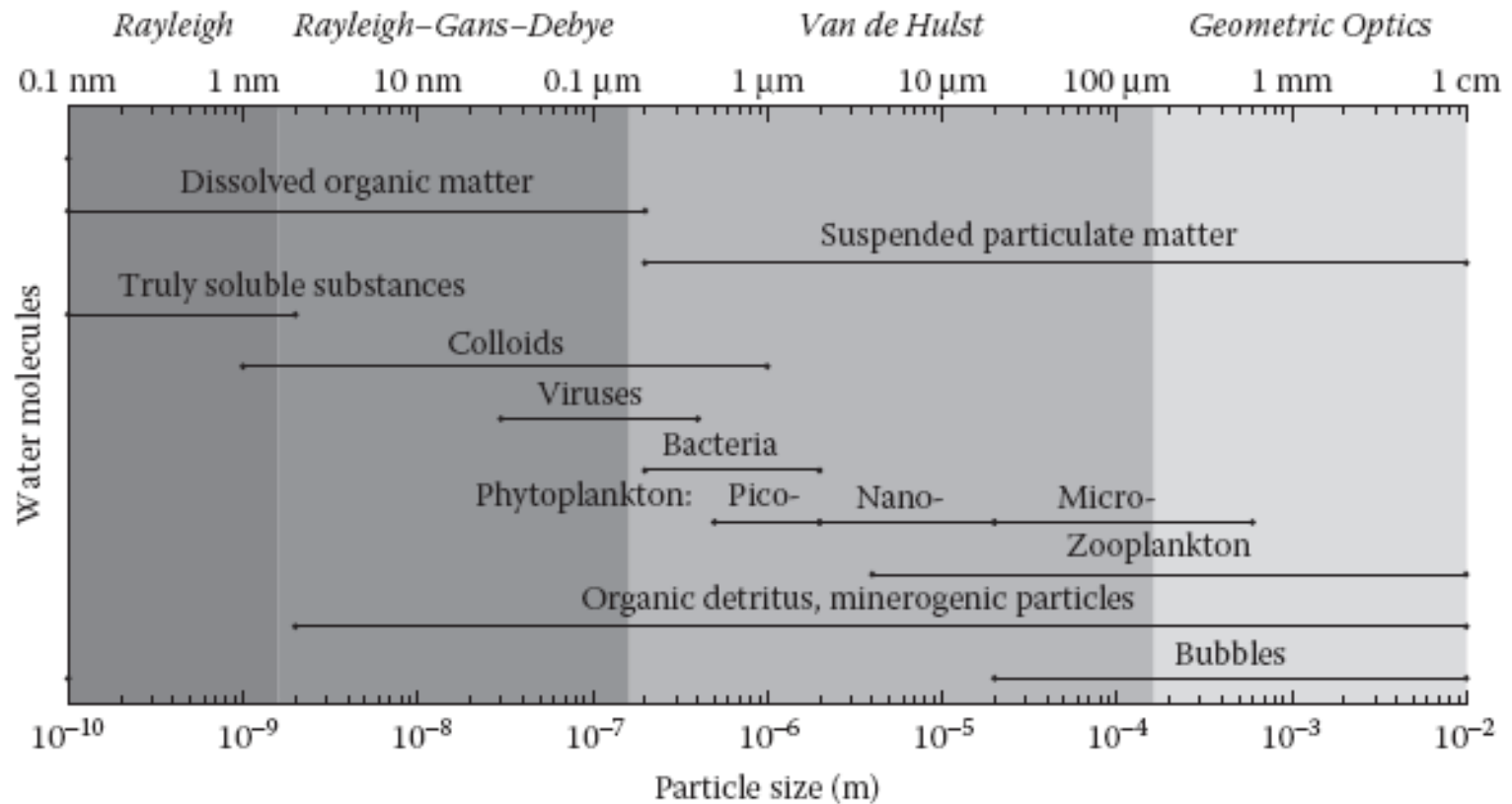
- Particle size
- Particle composition
  - Index of refraction (real part)
  - Index of refraction (imaginary part)
- Particle shape
- Internal structures

# What are the particles in the ocean that are responsible for light scattering

- Water molecules
- Dissolved matter
  - Inorganic salts
  - Organic matter (CDOM, colloids)
- Particles
  - Organic
    - Cells and organisms (viruses, bacteria, phytoplankton, to...)
    - Detrital aggregates
  - Inorganic
    - Sediments
    - Minerals
    - Air bubbles

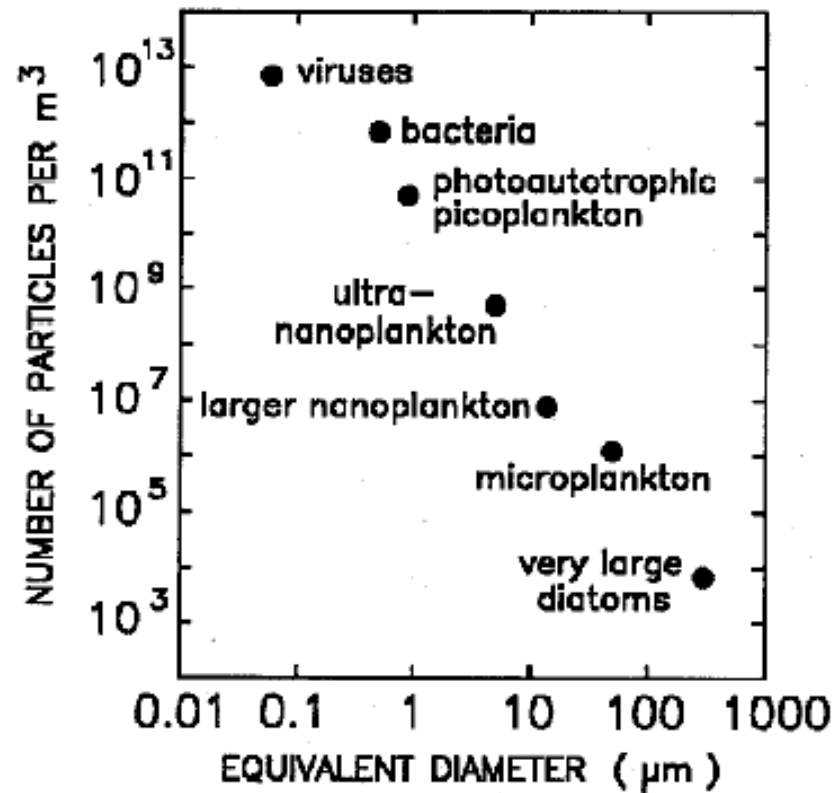
# Size matters

## Applicable scattering theory



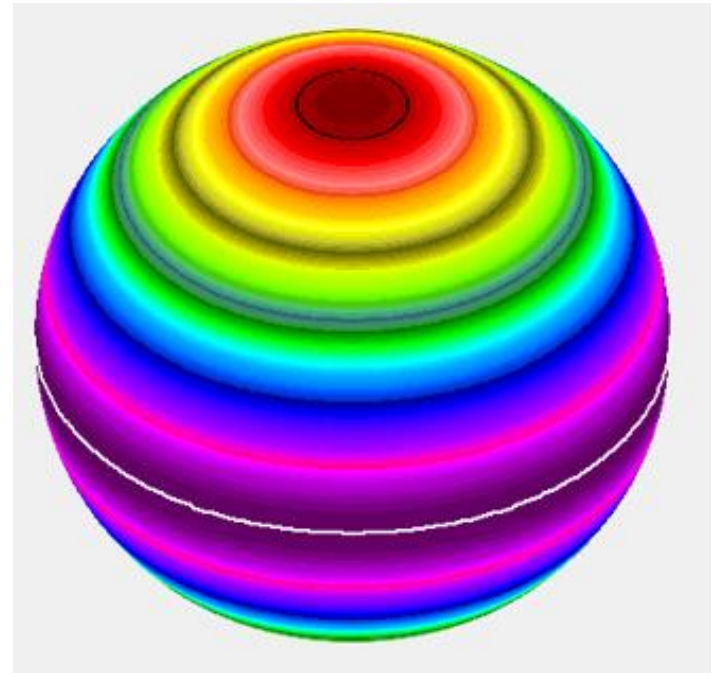
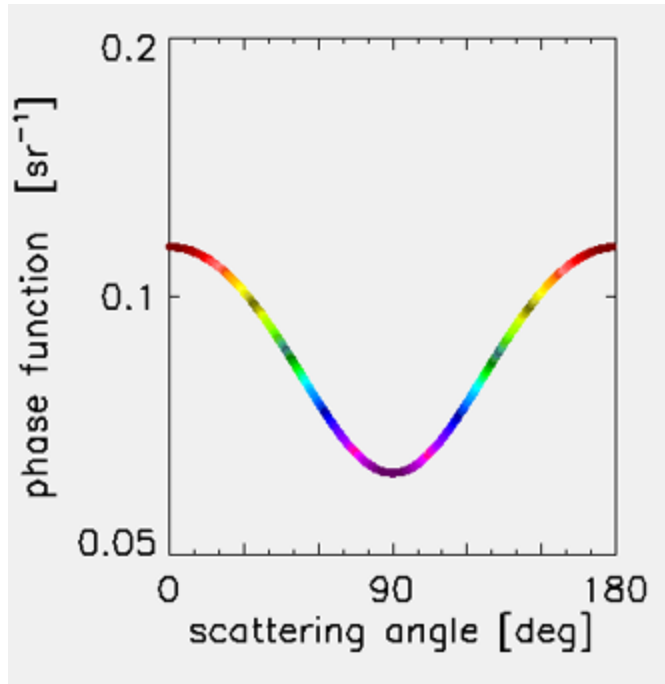
Particle Size Range

# Particle size in the ocean



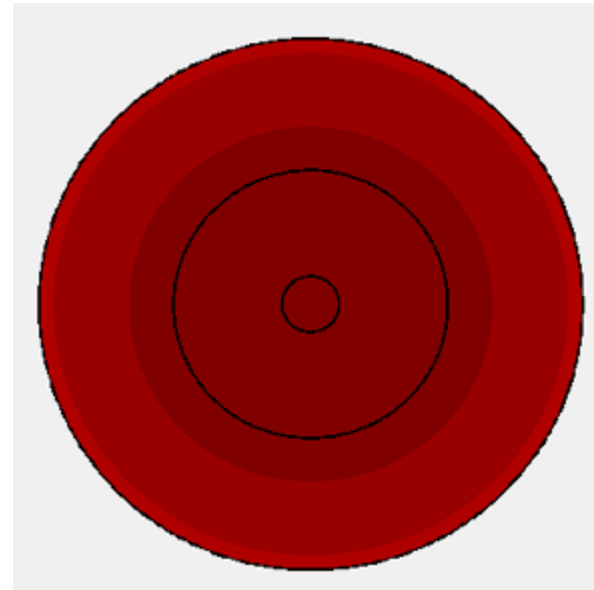
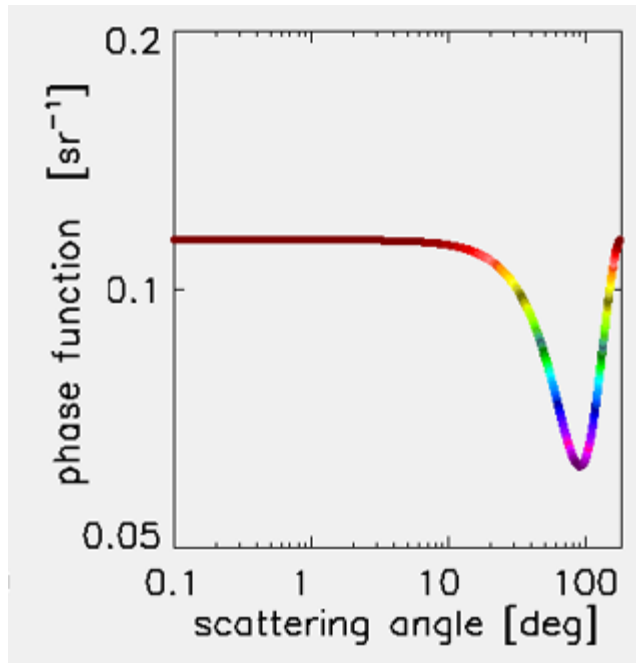
# Scattering in the ocean: water molecules

## Rayleigh Scattering



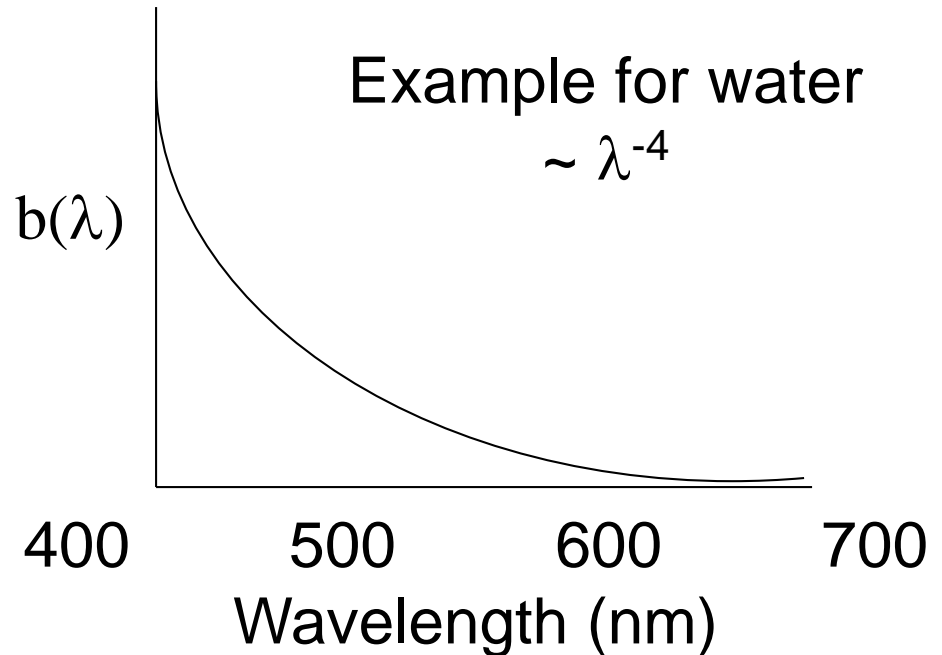
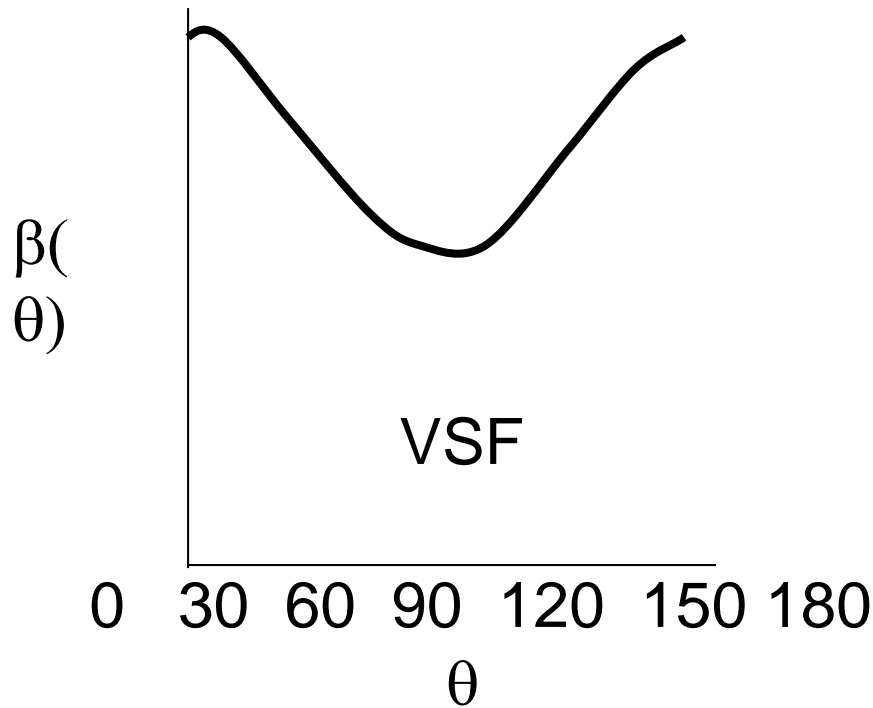
# Scattering in the ocean: water molecules

## Rayleigh Scattering



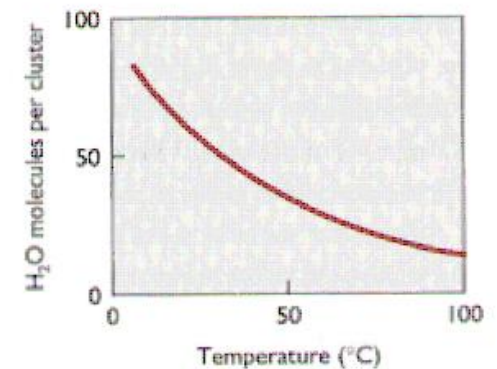
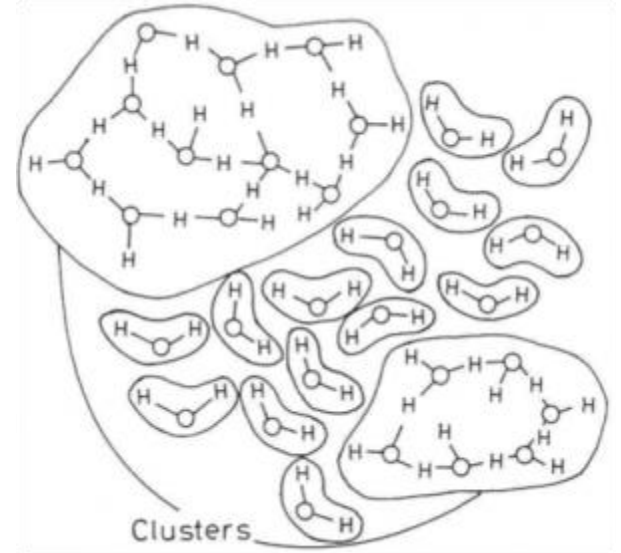


# Small Particle Scattering follows Rayleigh Theory



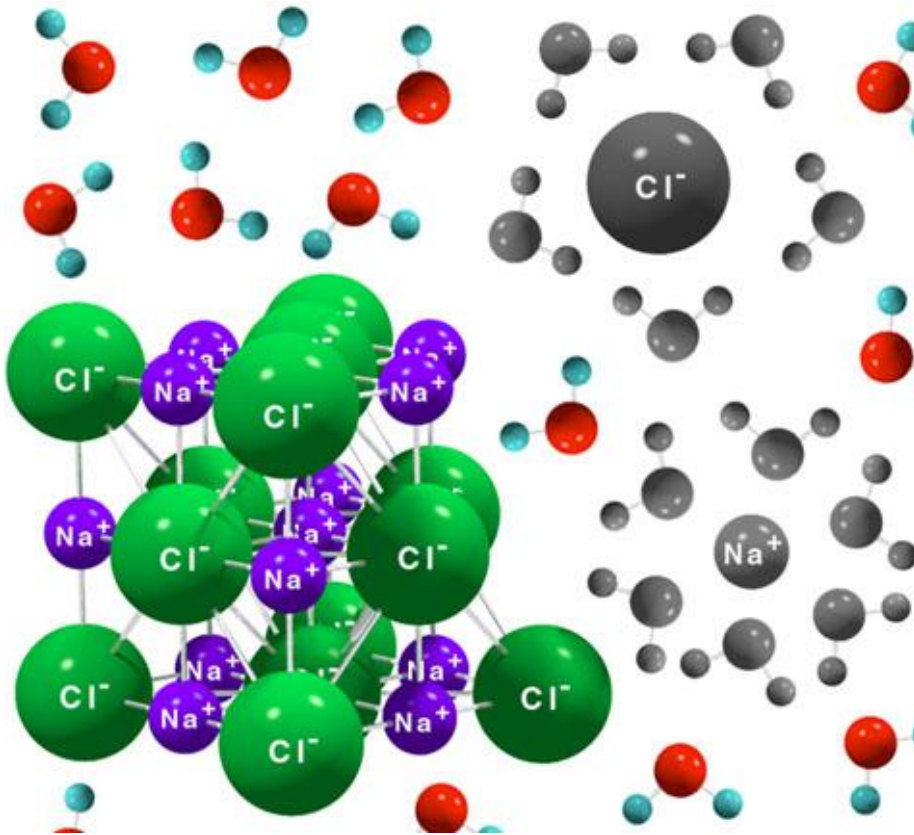
# Scattering in the ocean: water

- Clusters formed from hydrogen bonds between the polar water molecule (Frank-Wen flickering cluster model)
- A function of temperature (kinetic energy)



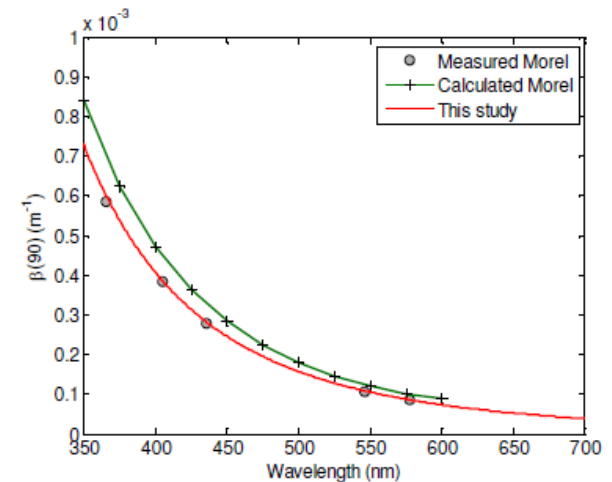
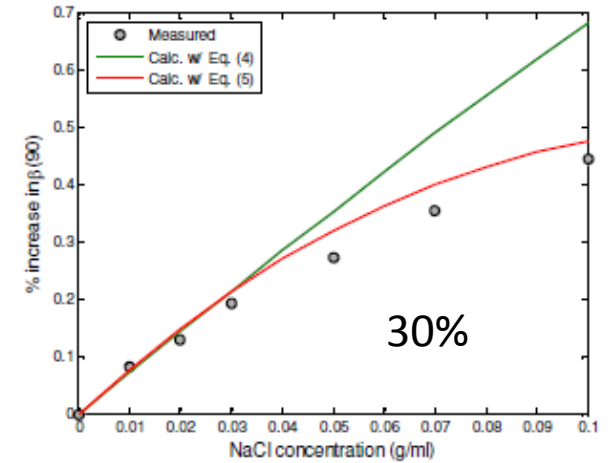
(e) SIZE OF WATER CLUSTERS

# Scattering in the ocean: dissolved salts



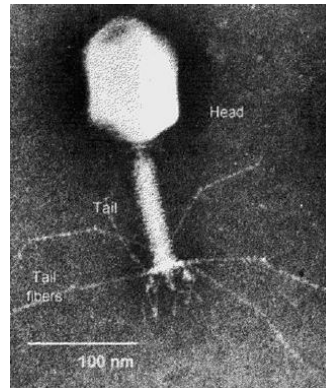
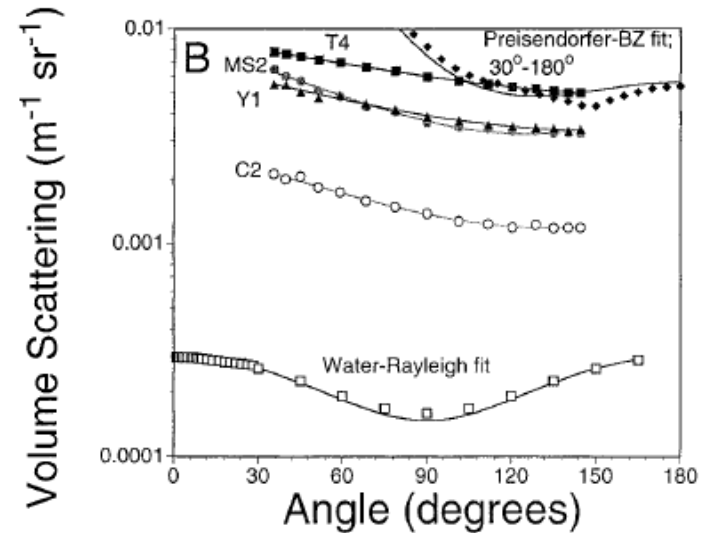
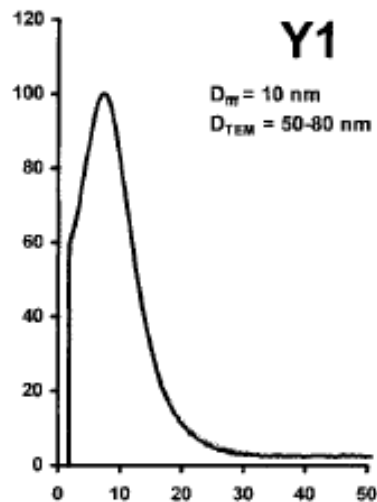
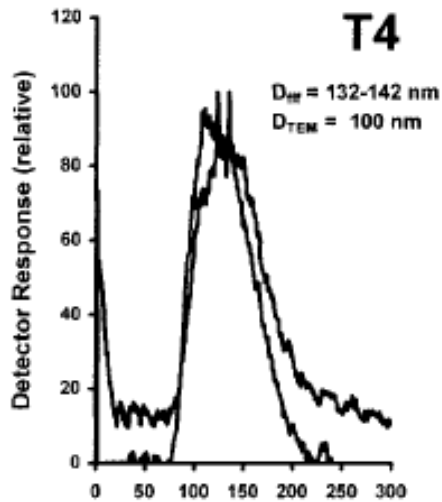
Model of salt dissociation

<http://www.chemistry.wustl.edu/~edudev/LabTutorials/Water/PublicWaterSupply/PublicWaterSupply.html>



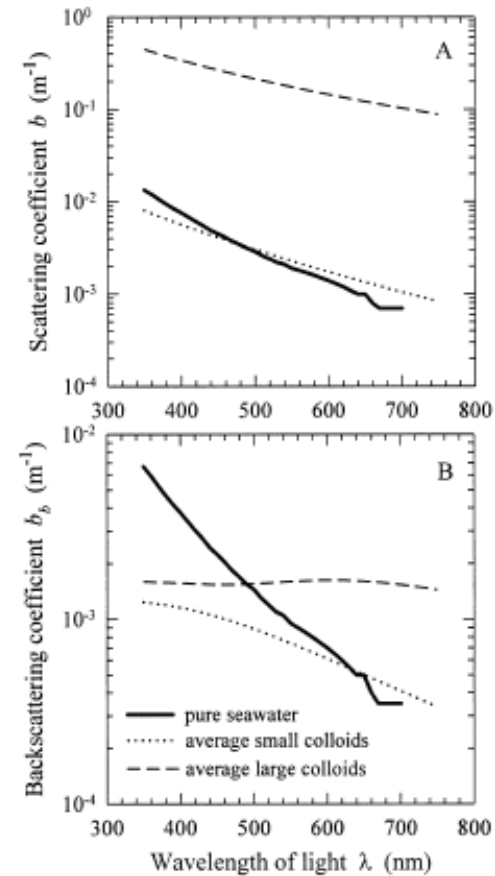
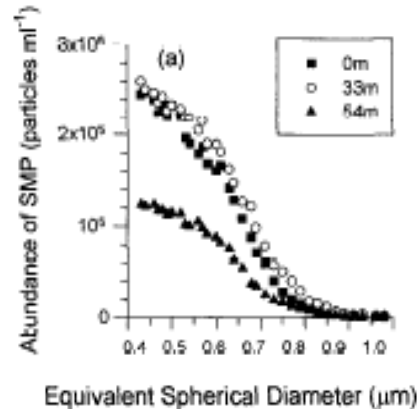
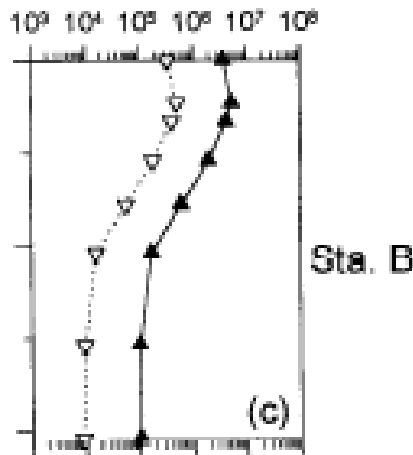
Zhang et al 2009 OptExp

# Scattering in the ocean: marine viruses



# Scattering in the ocean: submicron particles ( $\sim$ colloids)

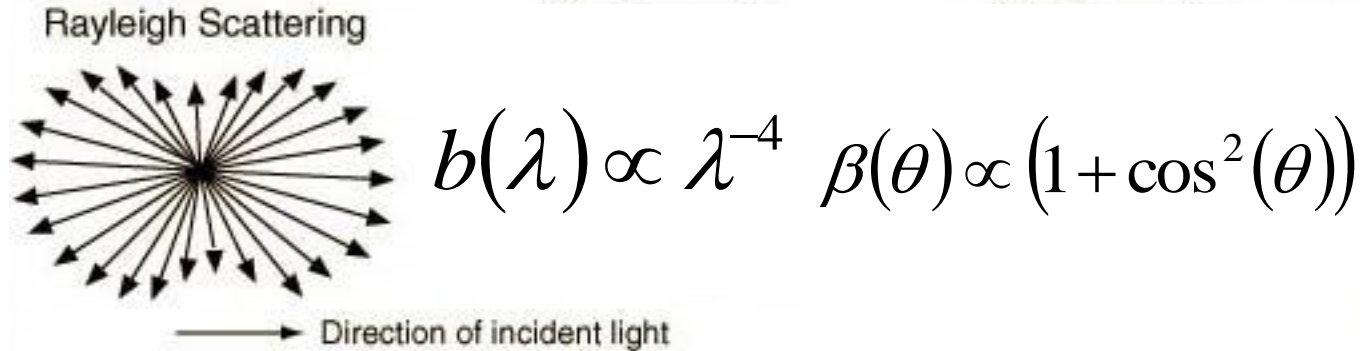
total volume ( $\mu\text{m}^3 \text{ ml}^{-1}$ )  
of SMP



# Scattering by CDOM:

From Emmanuel Boss

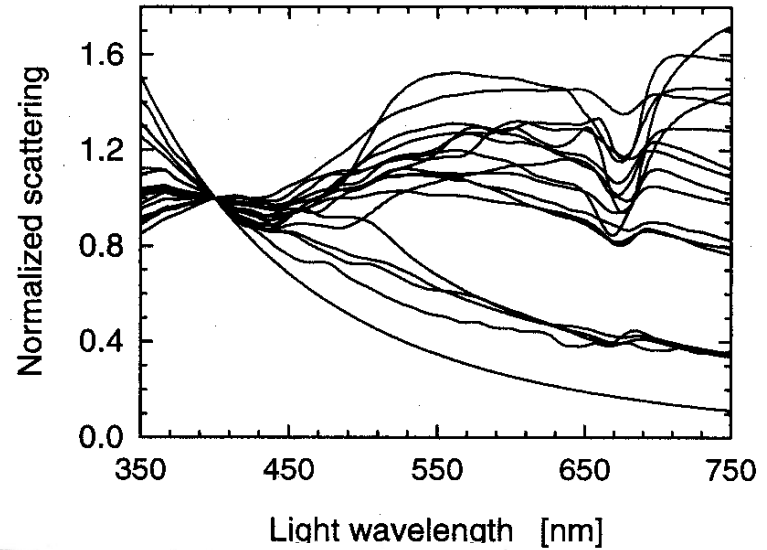
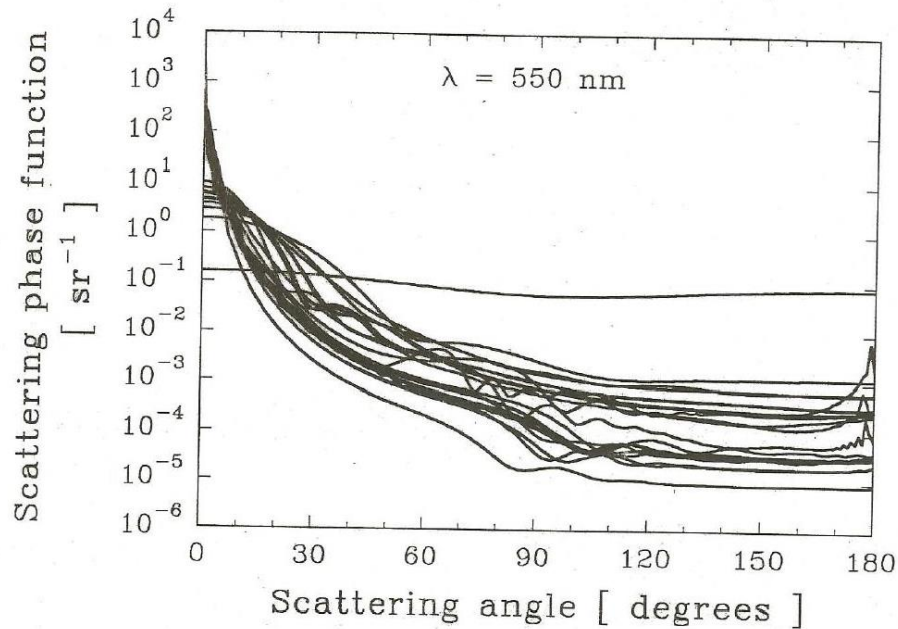
Scattering by molecules whose  $D \ll \lambda$ . Rayleigh scattering:



No evidence in the literature that scattering is significant (the only place I have ever found significant dissolved scattering ( $c_g > a_g$ ) was in pore water).



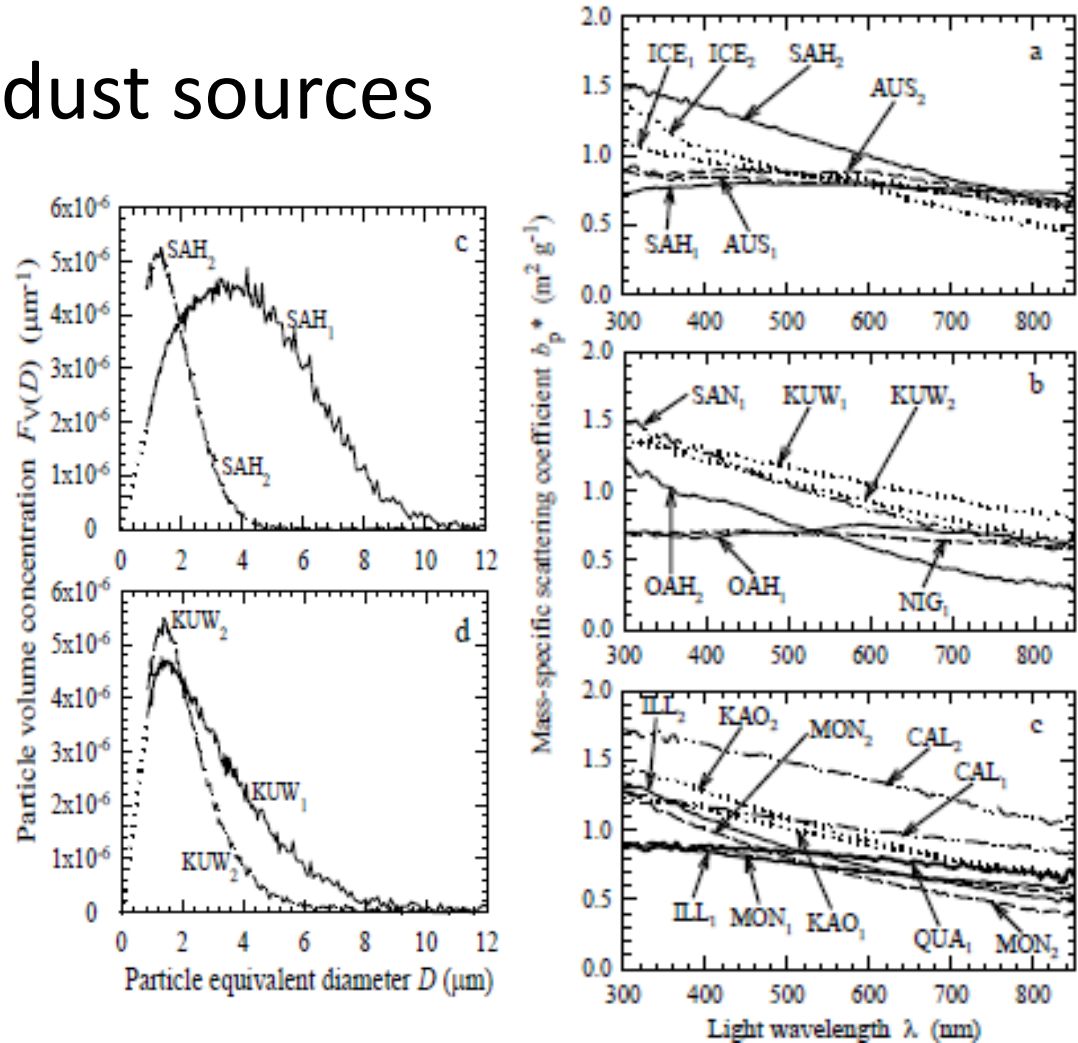
# Scattering in the ocean: phytoplankton



Viruses  
 Heterotrophic bacteria  
 Prochlorococcus (2 strains)  
 Synechococcus (Cyanophyceae, 5 strains)  
 Anacystis marina (Cyanophyceae)  
 Pavlova pinguis (Haptophyceae)  
 Thalassiosira pseudonana (Bacillariophyceae)  
 Pavlova lutheri (Haptophyceae)  
 Isochrysis galbana (Haptophyceae)  
 Emiliania huxleyi (Haptophyceae)  
 Porphyridium cruentum (Rhodophyceae)  
 Chromomonas fragarioides (Cryptophyceae)  
 Prymnesium parvum (Haptophyceae)  
 Dunaliella bioculata (Chlorophyceae)  
 Dunaliella tertiolecta (Chlorophyceae)  
 Chaetoceros curvisetum (Bacillariophyceae)  
 Hymenomonas elongata (Haptophyceae)  
 Prorocentrum micans (Dinophyceae)

# Scattering in the ocean: inorganic minerals

- Terrestrial dust sources

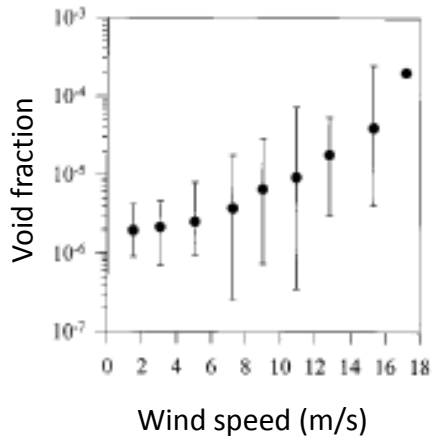
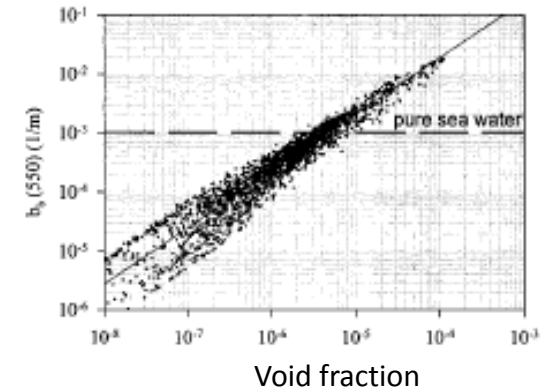
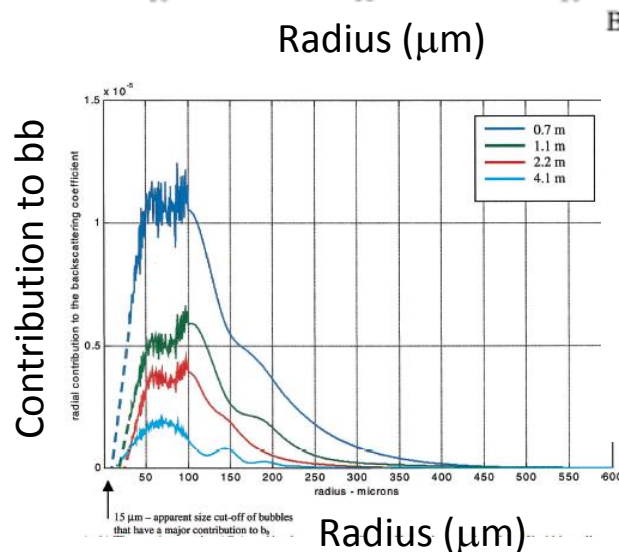
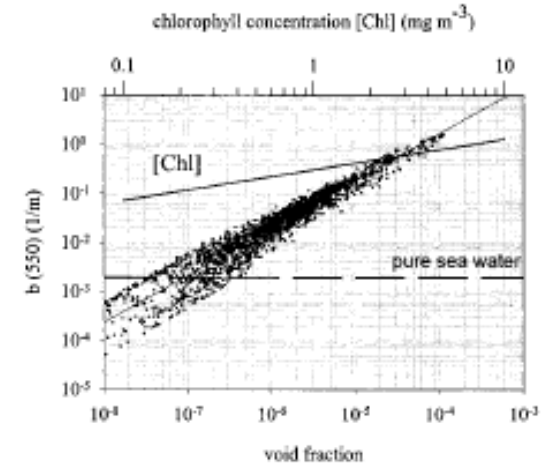
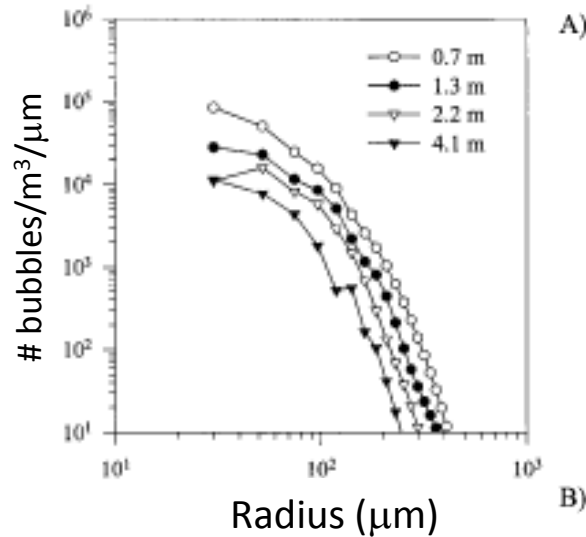




# Scattering in the ocean: air bubbles

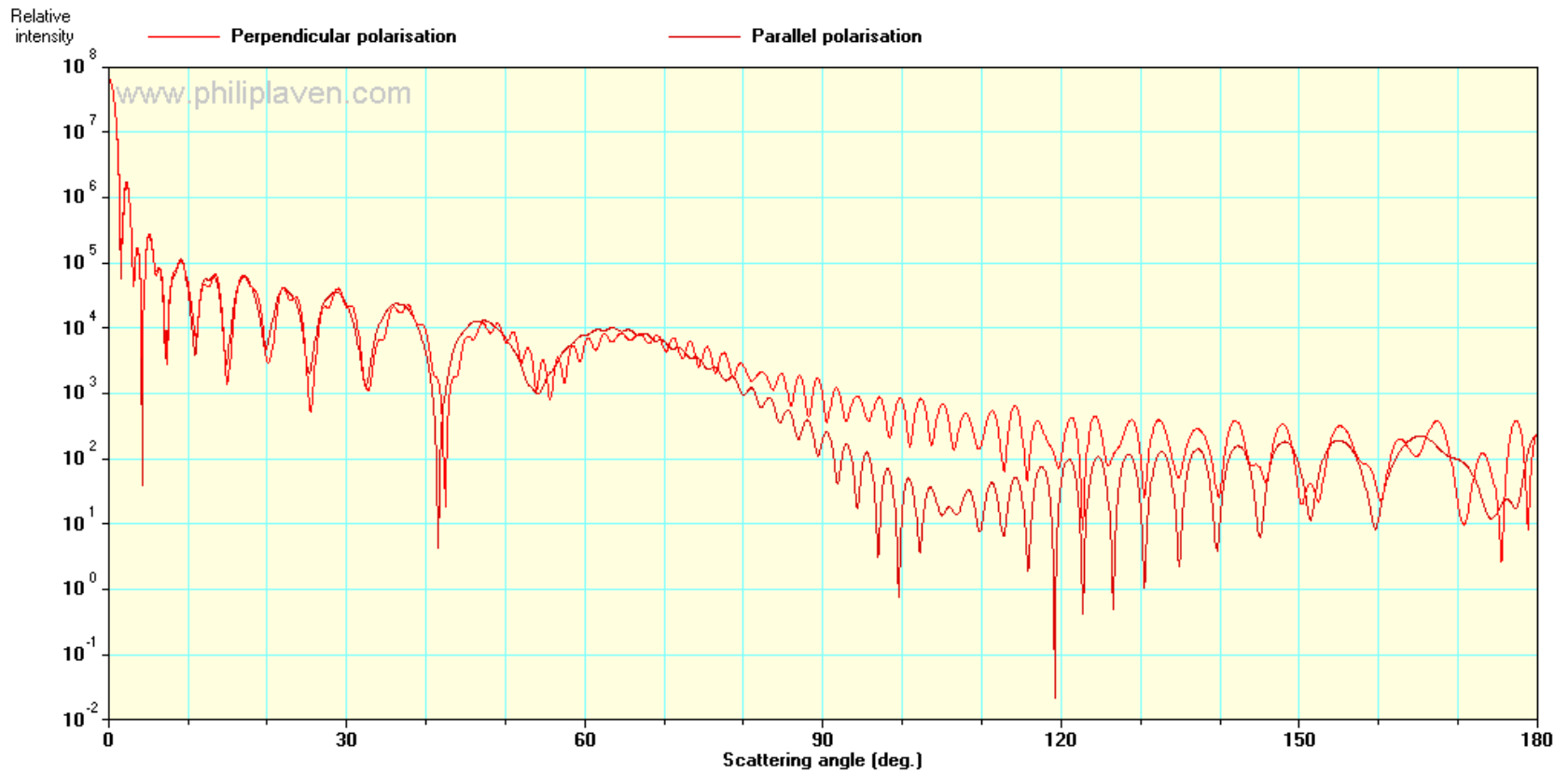
Terrill et al. 2001

- Acoustics
  - Size
  - distribution
- Modeled  $b$



Terrill et al. 1998

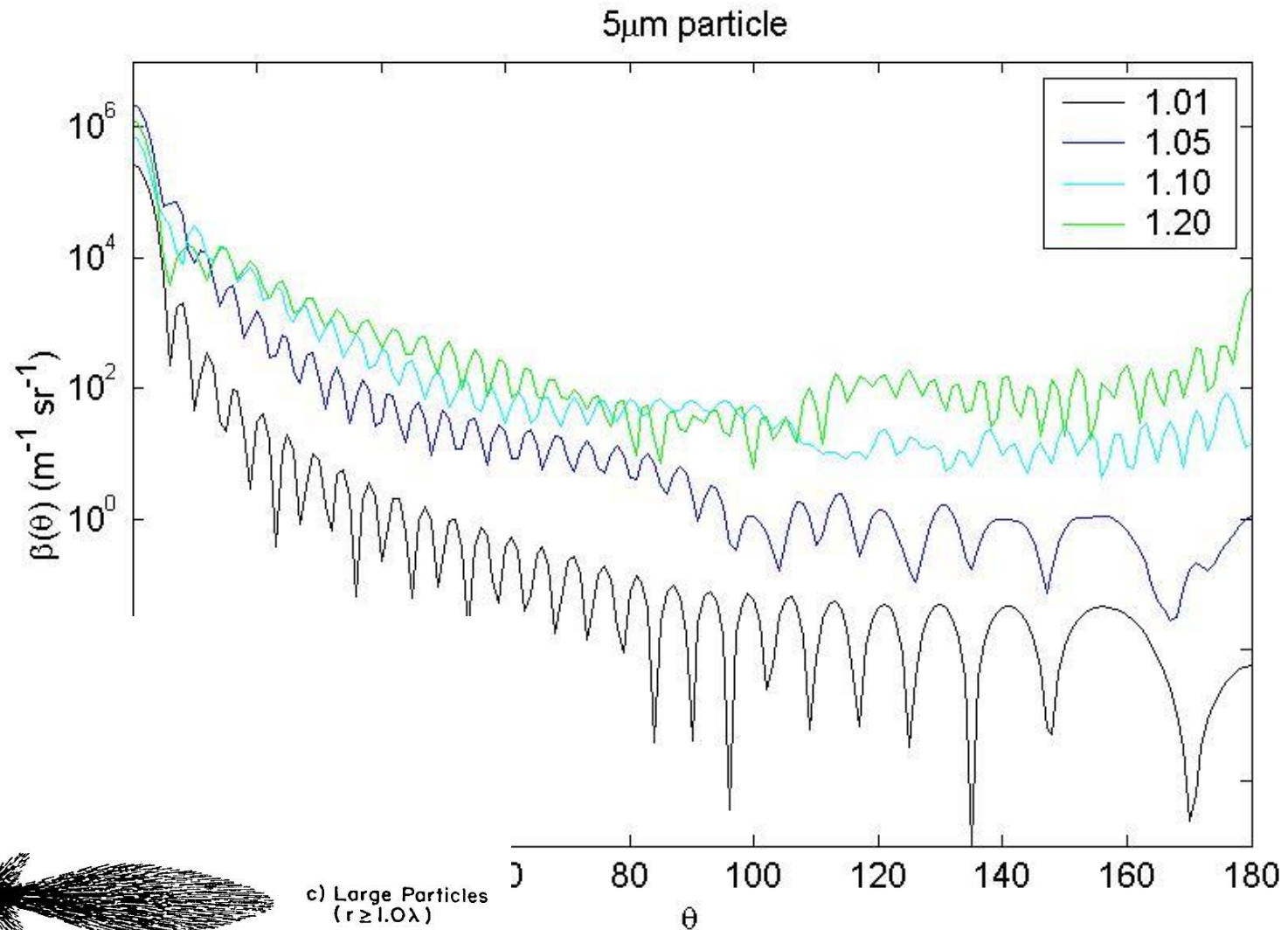
# Scattering in the ocean: air bubbles



# Mie Theory describes the interaction between EM and particles

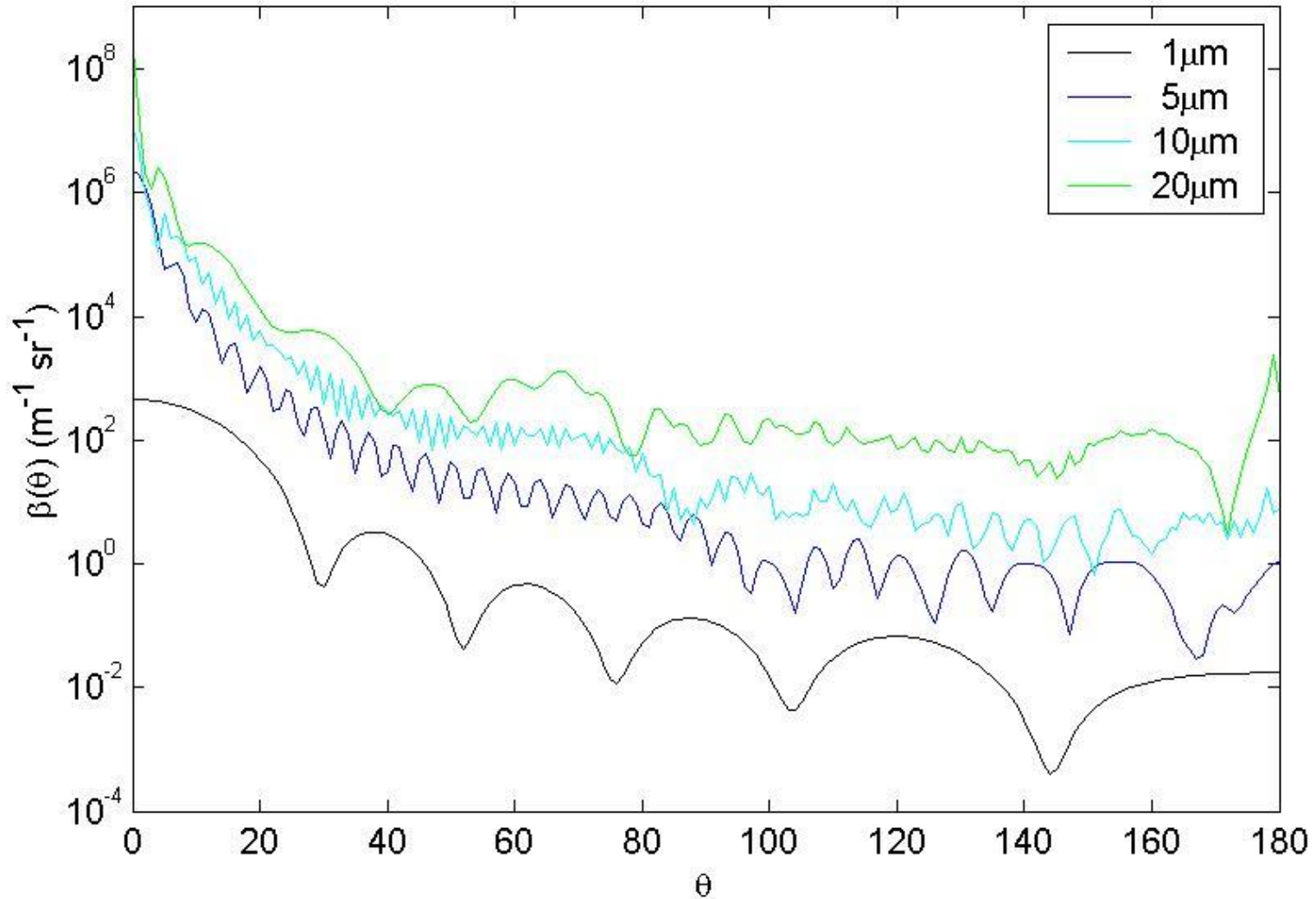
- Homogeneous spheres
- Size index  $\rho \sim d / \lambda$
- Real refractive index relative to surrounding medium ( $n = m_p / m_w$ )
  - Slows wave propagation
- Imaginary refractive index relative to surrounding medium ( $n' = m_p' / m_w'$ )
  - Attenuation of wave propagation

# VSF of 5 $\mu\text{m}$ particle as a function of refractive index



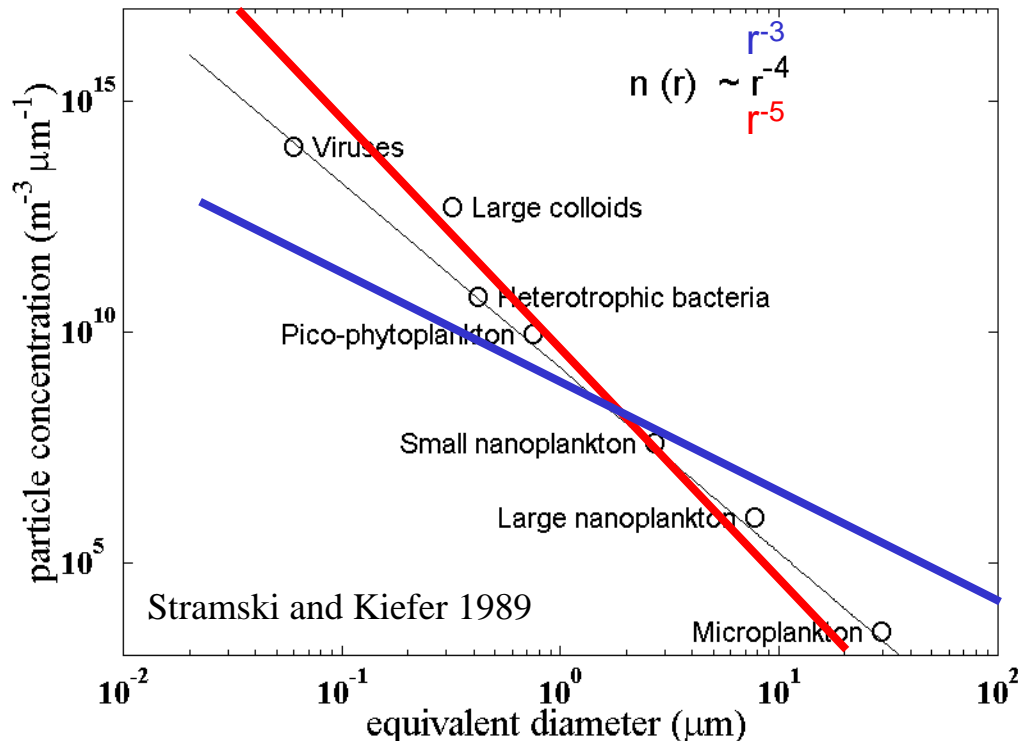
# VSF of particles with refractive index 1.05

1.05

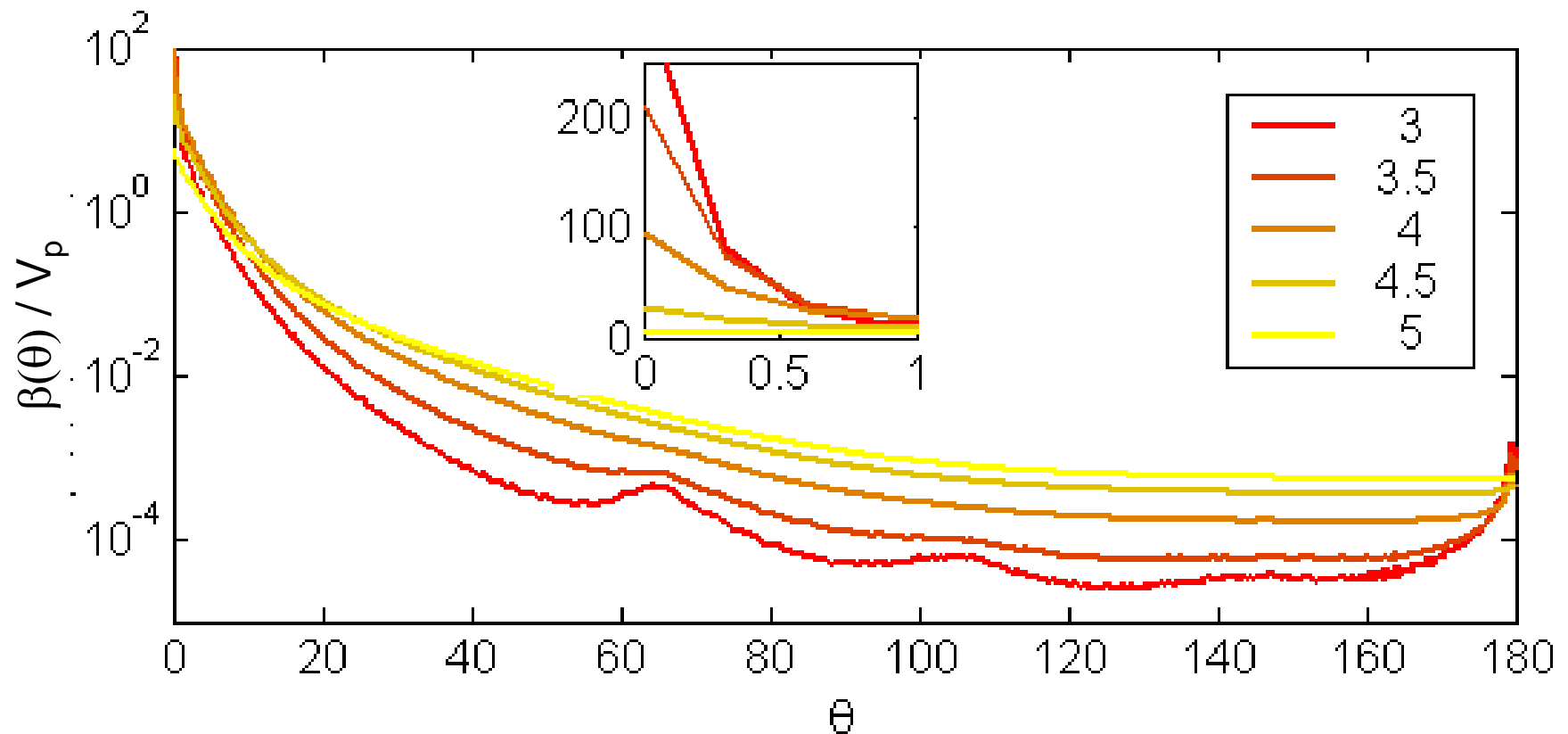


# $\beta(\theta)$ response to particle size distribution

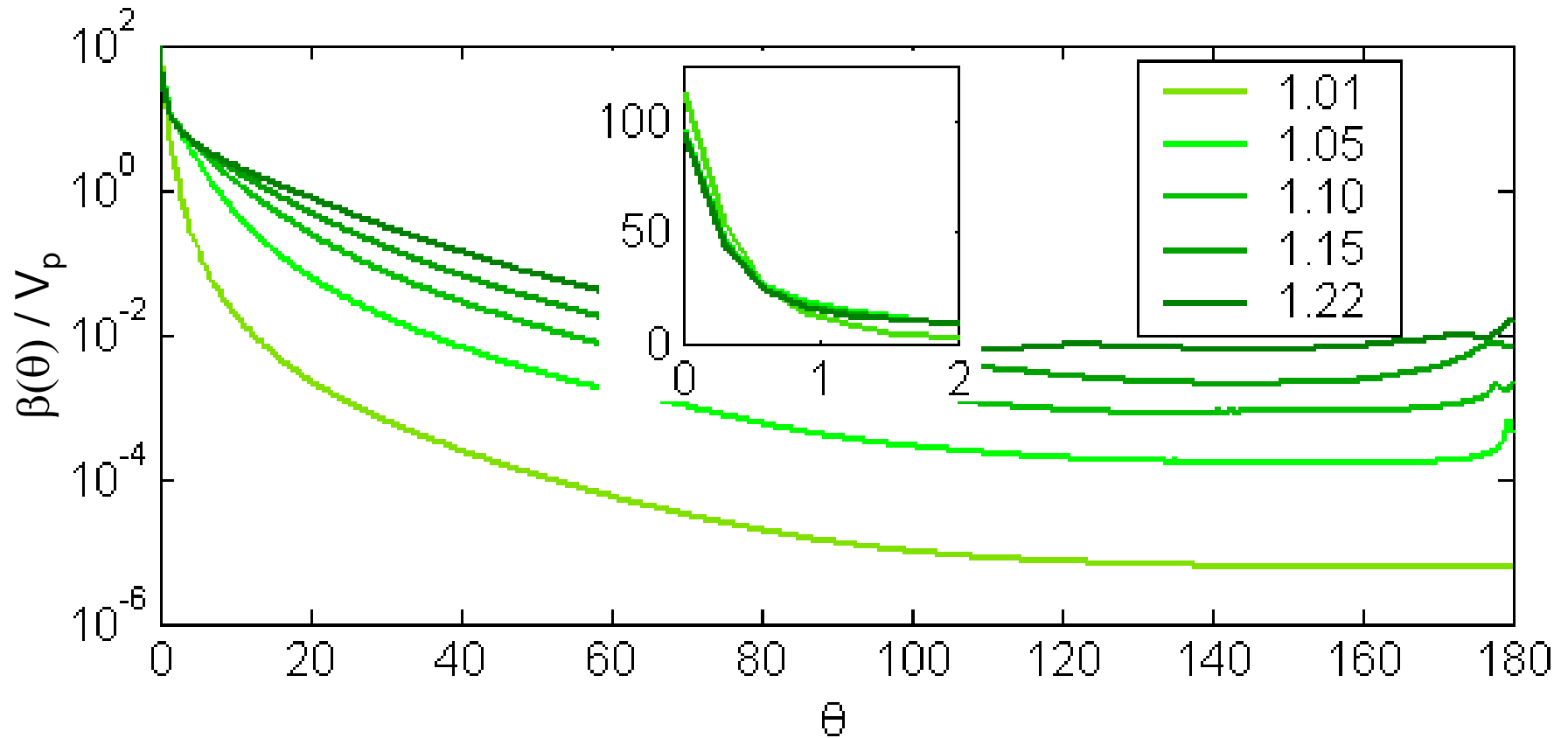
First let's talk about particle size distributions



# $\beta(\theta)$ and response to particle size distribution

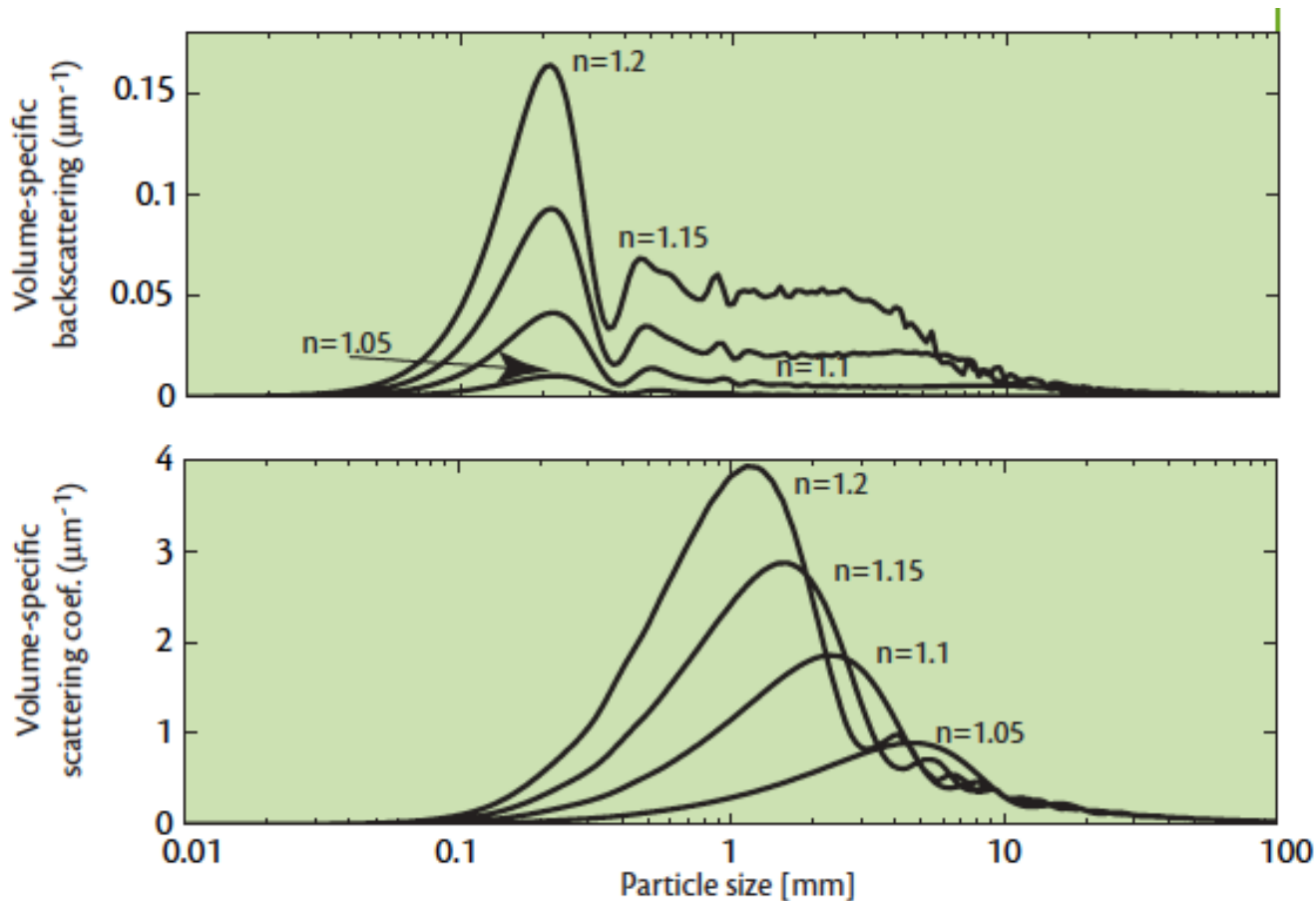


# $\beta(\theta)$ response to index of refraction





# Scattering in the ocean: which particles contribute

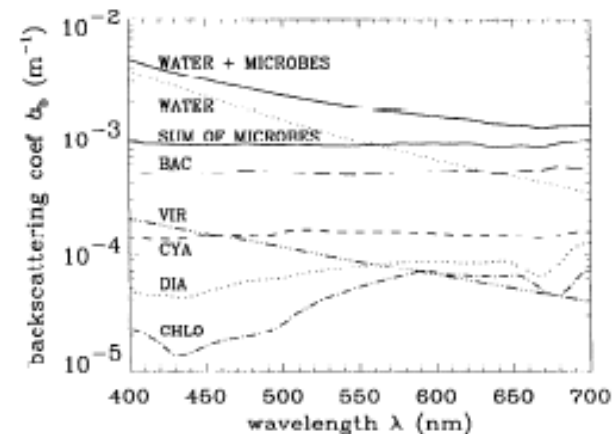
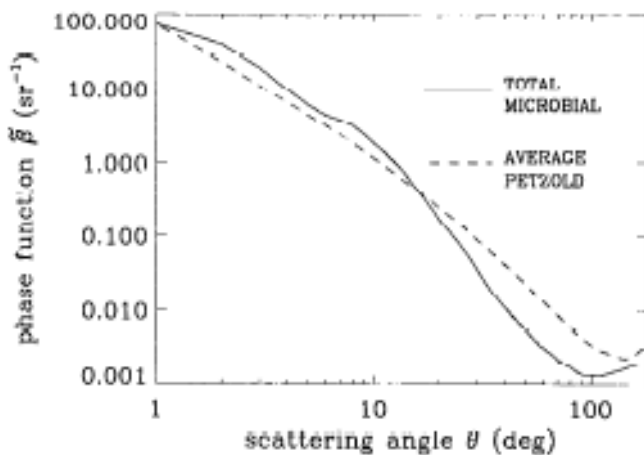


Consider what information  
scattering can provide  
and what do you want to  
measure

- $b$
- $b_f$
- $b_b$
- $\beta(\theta)$

# Scattering closure

- Reductionist view (Stramski and Mobley 1997; Mobley and Stramski 1997)
  - Particle-specific volume scattering
  - Particle concentration



# Importance of scattering in the ocean

- Competing forces of absorption and scattering on the downward propagation of light in the ocean

# Importance of scattering in the ocean

- Competing forces of absorption and scattering on the downward propagation of light in the ocean
- Backscattering and the upward propagation of light from the ocean

Normalized water-leaving radiance in the Mediterranean Sea (Sept 2003)

412 nm

490 nm

