The link between particle properties (size, packaging, composition, shape, internal structure) and their IOPs.

In order for us to be able to use optical measurements to study oceanic particles (and dissolved materials) we need to develop an understanding of how light interacts with matter.

Corollary: If optical properties of particles did not vary for different particles it would be useless for us to use them as a tool to study particles.

## What particles scatter/absorb in the ocean?





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Variable in shape, size and pigment composition.

 $\rightarrow$  Variable in scattering and absorption properties

## What particles scatter/absorb in the ocean?

### Non-algal particles: Organic and inorganic.



Sand

Silt

clay

#### Aggregates:





http://www.aad.gov.au/default.asp Variable in scattering and absorption properties

Size – characteristic length scale of particle (e.g. ∝ Volume1/3)

composition – characterized by the bulk index of refraction of the particle. How different is it from water?

Shape – departure from sphere – macro/ how smooth –micro (cocolithophores).

internal structure – inhomogeneities within the particle.

'Packaging' – How 'solid' is the particle. Ratio of interstitial water volume to total volume.

## Angular dependence of scattering on size



# Spectral c<sub>p</sub>

(1) Assuming a power-law particle size distribution



(2) Assuming spherical nonabsorbing particles

- $\Rightarrow$   $c_p(\Lambda)$  is described well as a power law function of wavelength (λ)  $c_p(\lambda) \sim \lambda^{-\gamma}$ *γ ≈* ξ *- 3*
- $\rightarrow$  Flatter beam attenuation spectra (small *γ*) implies flatter particle size distribution (small ξ)

e.g. Diehl and Haardt 1980, Boss et al 2001

#### Particle size spectra between 1 µm and 1 cm at Monterey Bay determined using multiple instruments



#### Closure: LISST vs. IOP spectra Field data, MVCO



Slade and Boss, in review





## Relationship between optical properties size



# Normalization 'simplifies' things



Scattering tends to have a 'similar' dependence for similar ρ≡2π*(n-1)D/*<sup>λ</sup> not *D!* 

Mie calculations

## Backscattering ratio- sensitivity to composition and size

 $\left(\widetilde{b}_{bp} = b_{bp}/b_{p}\right)$  depends on:

- 1. Index of refraction (n)
- 2. Slope of PSD (ξ)



Twardowski et al., 2001

#### Backscattering ratio (55,000 observations from NJ shelf): consistent with theoretical prediction.



#### Size effect on absorption – pigment packaging



cell Packaging:  $a^*=a/[\text{ch}]}$  is function of size and  $[\text{ch}!]$ . Same is true for other pigments. Duysens (1956)

#### Large, more packaged cells, tend to occur where [chl] is higher.

Application: Parameterization of the 5. **Chlorophyll-Specific Absorption Spectra of Phytoplankton** 

$$
a_{ph}^*(\lambda) = A(\lambda)(\text{chl})^{-B(\lambda)}
$$
 (1)

'Mean'  $a_{\phi}$  as function of [chl]



#### Dependence of IOP on properties of particles



#### Normalization 'simplifies' things



#### Shape consideration



Clavano et al., 2007





Figure 13.6 Polar scattering diagrams for equal-volume spheroids. The incident light is unpolarized. From Latimer et al. (1978).



## Quantifying differences due to shape:



Clavano et al., 2007

#### Internal structure:



### Scattering and backscattering by phytoplankton



#### Backscattering by Nonspherical Particles: A Review of Methods and Suggested New Approaches

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When criticized for using Mie theory where its applicability is dubious, modelers sometimes respond that although they know that Mie theory is inadequate, it is the only game in town. Better to do wrong calculations than to do none at all. Modelers have to model.

We suggest an alternative to modeling. It is called not modeling—not modeling, that is, until adequate methods are at hand.

#### Backscattering of light from disklike particles: is fine-scale structure or gross morphology more important?

Howard R. Gordon



Fig. 1. Scanning electron micrograph image of a single cell of  $E$ . *Huxleyi* (the spherically shaped object) resting on a filter pad. The individual disklike structures covering the cell are the coccoliths (Fig. 2.). The pore size of the filter (small holes in the background) is  $0.2 \mu$ m. The horizontal white bar in the lower left has a length of  $2 \mu m$ . (Photo courtesy of Jeremy Young, The Natural History Museum, London.)





Bottom line:

 The goal of the study was to obtain some understanding of the differences between the backscattering of a collection of such objects in random orientation and a collection of randomly oriented homogeneous disks of the same size.

 In this regime the backscattering is totally governed by the particle's gross morphology and effective index **of refraction** .



Disk:  $D_1 = 1.55 \text{ µm}$ 

Disk:  $D_a = 2.7 \mu m$ 



Fig. 6. Comparison of the backscattering coefficients of a disk and the associated spherical cap as a function of the thickness of the disk. Also shown are the results of the physical optics model of Gordon and Du (Ref. 7) for  $m = 1.20$ .

Fig. 8. Comparison of the backscattering coefficients of a disk  $(D_d = 2.7 \mu m)$  and the associated spherical cap as a function of the thickness of the disk. Also shown are the results of the physical optics model of Gordon and Du (Ref. 7) for  $m = 1.20$ .

## Aggregation in the marine environment

Aggregation is a [concentration]<sup>2</sup> phenomena.

Mechanisms for encounter: Brownian motion, differential settling, and turbulent shear.

Aggregate sink faster than their component particles.

Aggregates break when shear is too high.

Camera pictures at 1mab at a 12m deep site within 1day:



Dominated by <100um particles Dominated by >1000um particles





#### Aggregation (packaging) and IOPs

#### Theoretical calculations: monodispersion



Water fraction as in Kehlifa and Hill, 2006

#### Aggregation approximately 'conserves' area not volume

 $\rightarrow$ It is of important that we consider aggregation when dealing with particle suspensions.

When aggregates abound we cannot simply assume:

$$
OP \neq \int_{D_{\min}}^{D_{\max}} C_{OP, Mie}(D) N(D) dD
$$

Such suspensions occur in open ocean as well as coastal areas (can be tested, see below).

Aggregation is essential for predicting the under-water light field as settling velocity,  $w_{s}\propto\Delta\rho\star D^{2}$  and  $w_{s}$  increases with  $D.$ 

#### How do we test that aggregation is important in-situ?



Small effect on  $c_p$  large effect on  $β$ 

#### Summary:

There is still a lot of work to do in ocean optics:

- 1. Account for diversity in shape.
- 2. Account for diversity in internal structure.
- 3. Account for diversity in packaging.

Both theoretical and observational (VSF, polarization) advances are needed.

Example: a possible view of the future (inspired by AERONET)

Use all the measurements we have (IOP's and AOP's) to invert for the most likely population of particles.

Almucantar (circle on the celestial sphere parallel to the horizon) measurements:

Measurements of cloud free day angular distribution of sky radiance + AOD + RT calculations are used to obtain:

Particulate size distribution Index of refraction (real and imaginary) Spectral single scattering albedo

Requires consideration of three main components:

- 1. Gaseous absorption (avoided by choice of  $\lambda$ , and use of climatologies).
- 2. Molecular scattering (calculated for given Pressure).
- 3. Aerosol absorption and scattering.

Minor (ignored) components: ground albedo, stratification



Figure 8: Cimel performing a GOSUN procedure

Use libraries of single particles optical properties (Mie or other)

Needs: RT model Optimum inversion scheme Summary of aerosol optical properties retrieved from worldwide AERONET network of ground-based radiometers.

