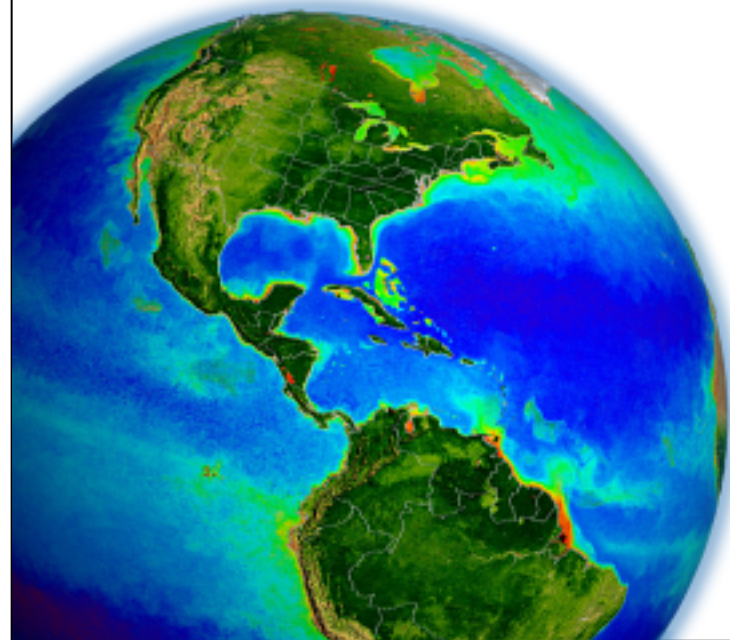


***In situ* data support for ocean color satellite calibration & validation**

Jeremy Werdell

NASA Goddard Space Flight Center

UMaine Ocean Optics Summer Course
6 – 31 July 2015



“cal/val”

“cal/val” = calibration & validation

“cal/val” has become the catch-all phrase in our community for all activities related to the on-orbit calibration of a satellite instrument, the execution of field programs, the validation of biogeophysical satellite data records, & the development of related atmospheric & bio-optical algorithms

outline

the purpose of this presentation is to provide an overview of how *in situ* data are used in an operational cal/val environment & to describe some of the issues we wrestle with within this environment

outline

great field data enable great satellite data products

an abundance of field data is hard to come by

emerging technologies can provide rich data streams

QA/QC metrics are essential (or this all falls apart)
= quality assurance & quality control

NASA Ocean Biology & Biogeochemistry Program

field work funded by OBB Program

QA/QC

by data contributor

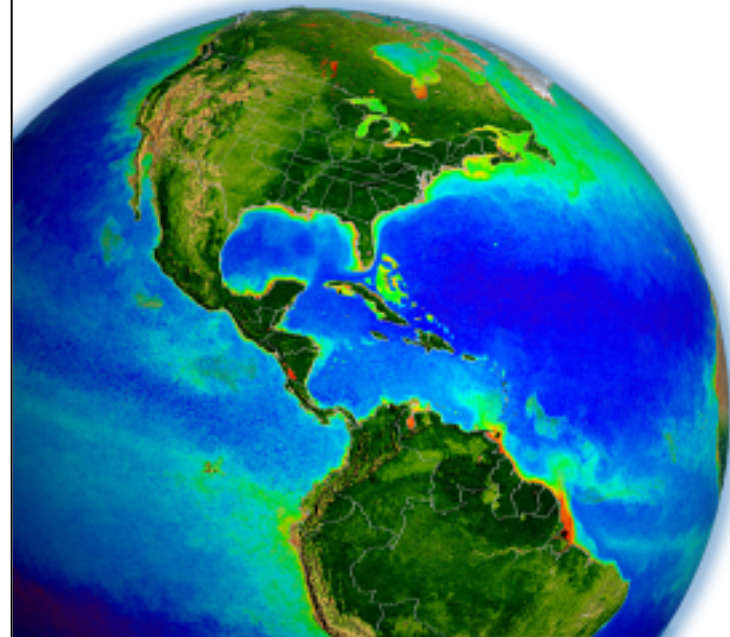
in situ data submitted to NASA SeaBASS (GSFC) within 1-year

by NASA

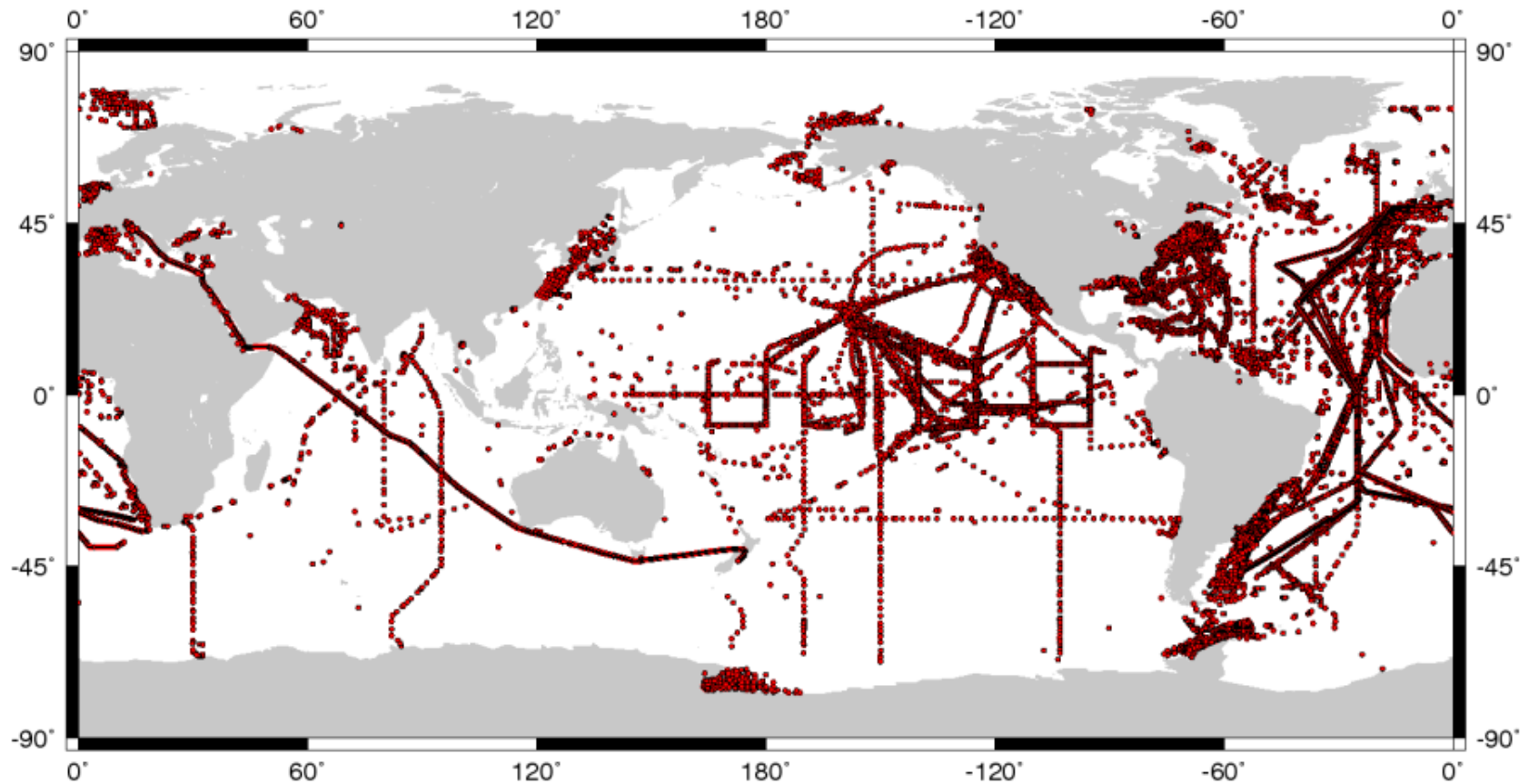
in situ data publicly released

in situ data used to validate satellite data products & to develop / evaluate algorithms

in situ used to calibrate satellite



SeaBASS @ seabass.gsfc.nasa.gov



ALL DATA

AOPs, IOPs, carbon stocks, CTD, pigments, aerosols, etc.
continuous & discrete profiles; some fixed observing or along-track

outline

great field data enable great satellite data products

an abundance of field data is hard to come by

emerging technologies can provide rich data streams

QA/QC metrics are essential (or this all falls apart)

great field data enable great satellite data products

satellite vicarious calibration (instrument + algorithm adjustment)

satellite data product validation

bio-optical algorithm development, tuning, & evaluation

great field data enable great satellite data products

satellite vicarious calibration (instrument + algorithm adjustment)

satellite data product validation

bio-optical algorithm development, tuning, & evaluation

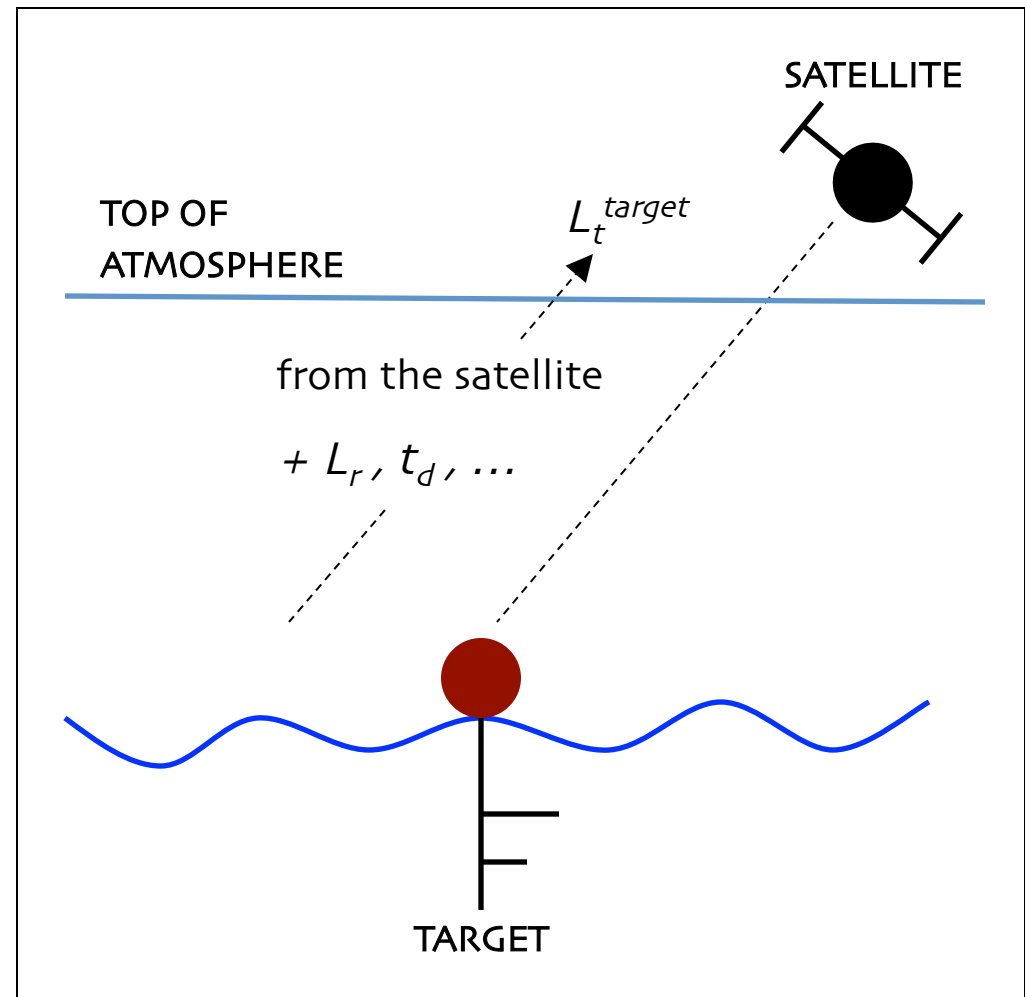
vicarious calibration

what is vicarious calibration?

spectral on-orbit calibrations

1. instrument calibration
 - e.g., focal plane temperature
2. temporal calibration
 - reference Sun or Moon
3. **absolute (vicarious) calibration**
 - reference Earth surface
 - final, single gain adjustment
 - calibration of the combined instrument + algorithm system

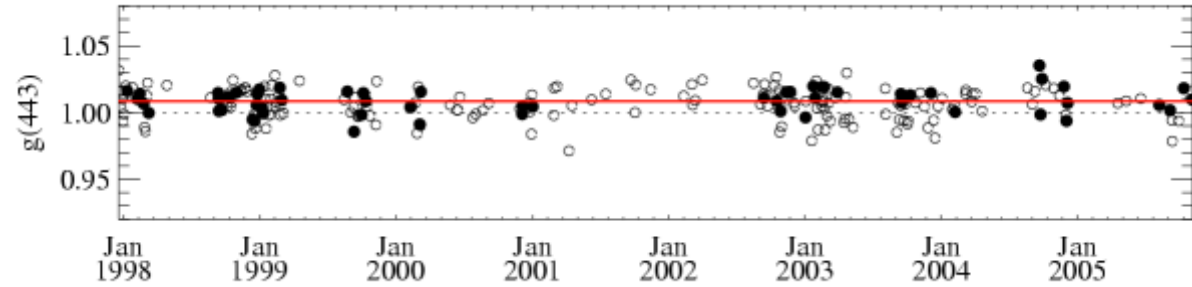
$$g = L_t^{\text{target}} / L_t^{\text{satellite}}$$



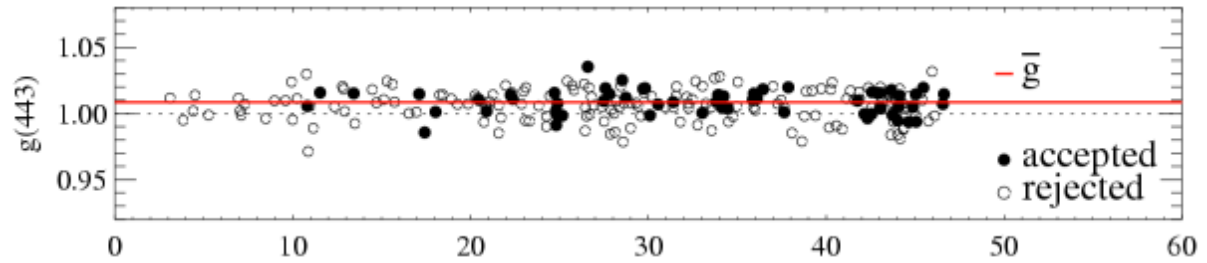
vicarious calibration

a single, spectral radiometric adjustment

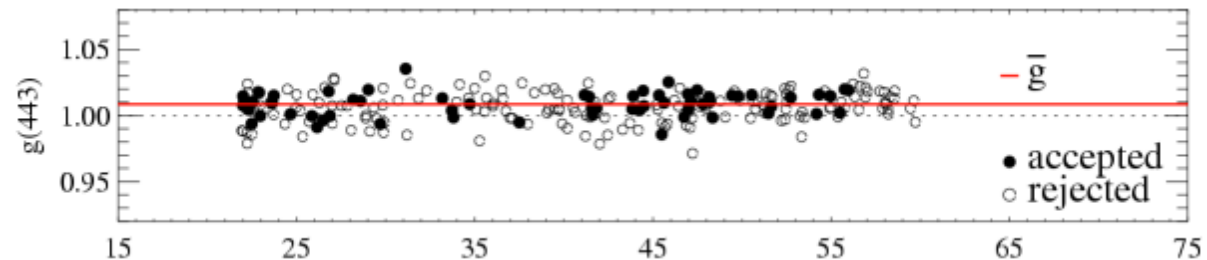
gain vs. time



gain vs. solar zenith angle



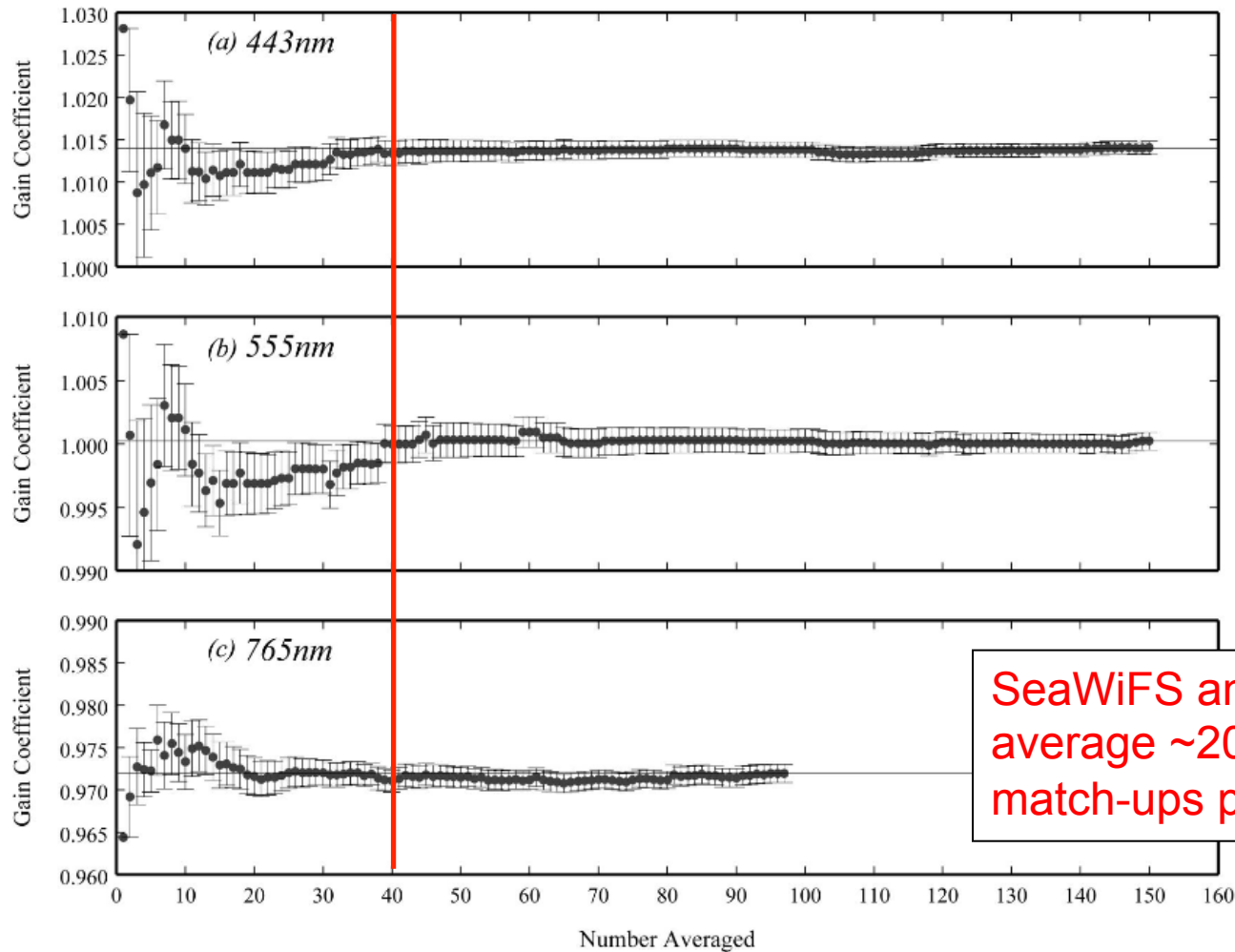
gain vs. satellite zenith angle



Franz et al. 2007

vicarious calibration

~40 match-ups required to achieve “stable” vicarious gain



SeaWiFS and Aqua
average ~20 MOBY
match-ups per year

Franz et al. 2007

operational vicarious calibration

MOBY - the Marine Optical BuoY

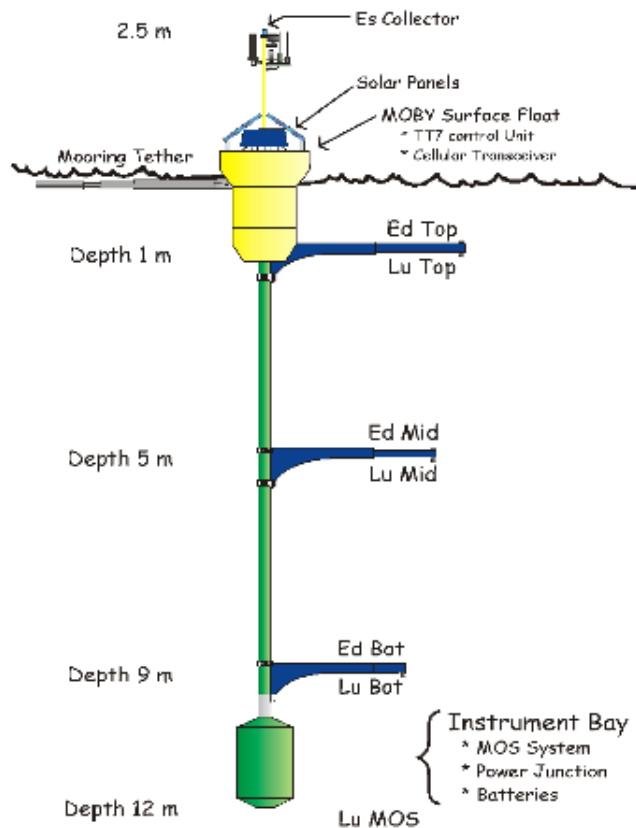


Fig. 1. Schematic diagram of MOBY.

maintained by NOAA & Moss Landing Marine Laboratory

20 miles west of Lanai, Hawaii

$L_u(\lambda)$ and $E_d(\lambda)$ at nominal depths of 1, 5, and 9 meters, plus $E_s(\lambda)$

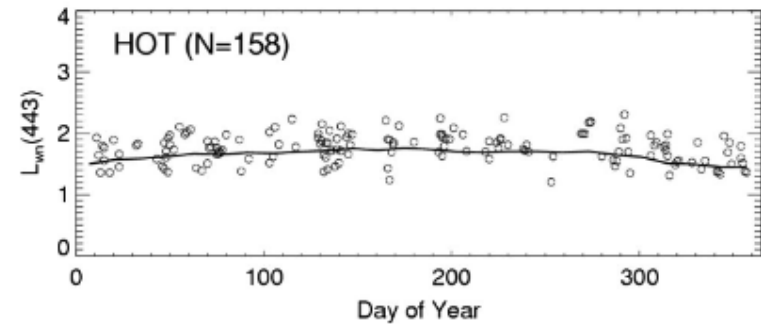
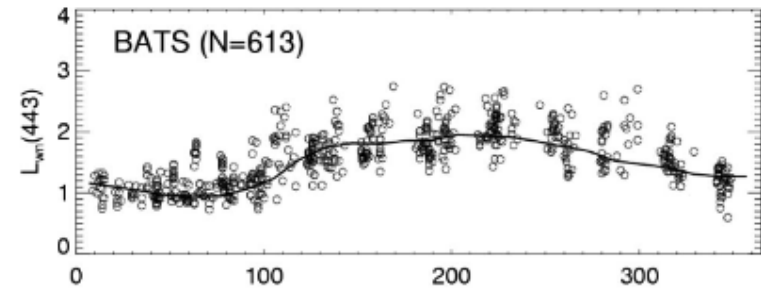
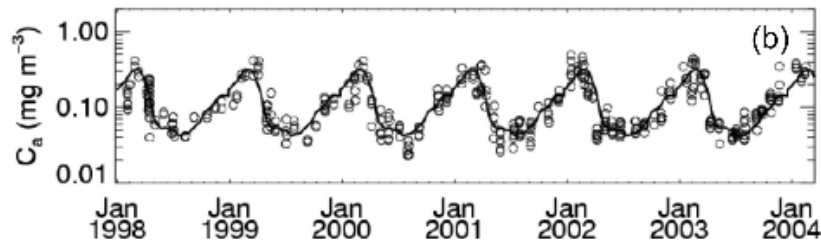
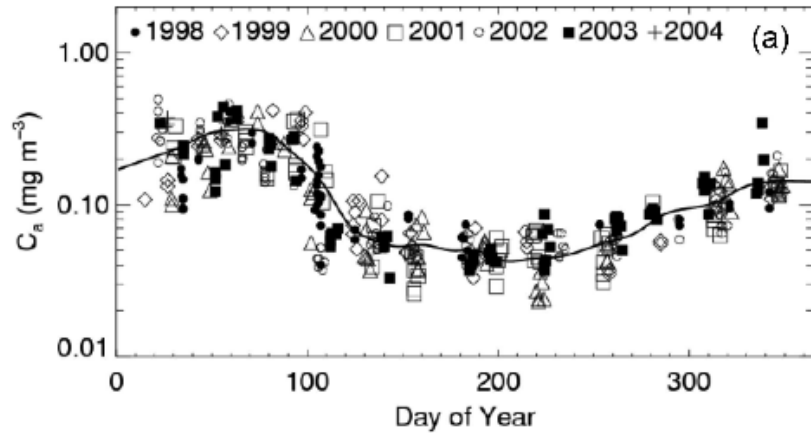
spectral range is 340-955 nm & spectral resolution is 0.6 nm

hyperspectral data convolved to specific bandpasses of each satellite

approximately 450-700 samples per year for MODIS-Aqua

model-based vicarious calibration

build a climatology using a long-term chlorophyll-a record (this is for BATS, near Bermuda) ...



$$L_{wn}(\lambda) = fcn(\text{Chl-a})$$

... then, develop a radiometric climatology using an ocean reflectance model (e.g., Morel and Maritorena 2001)

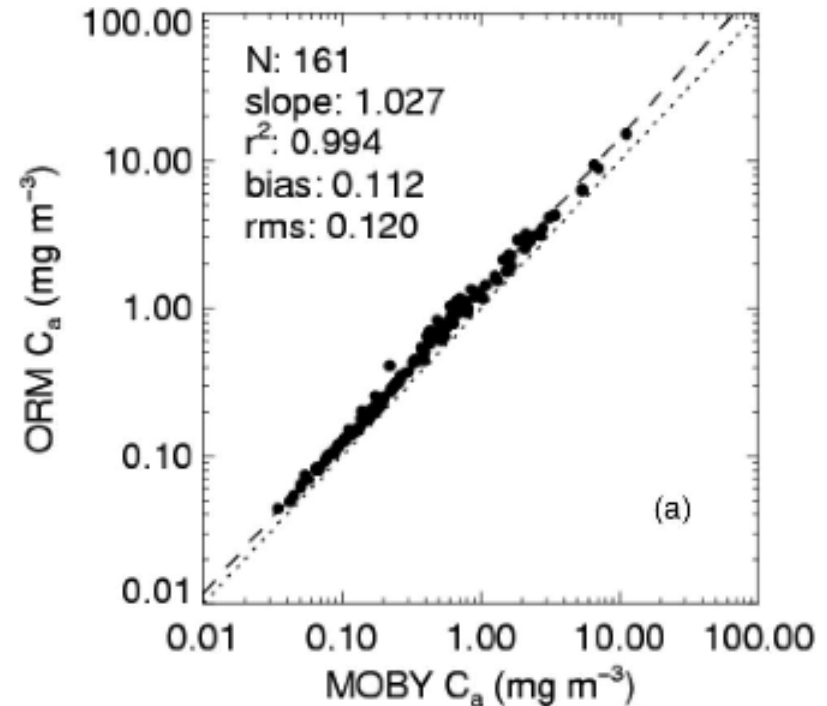
model-based vicarious calibration

Table 3. Percent Differences^a Between the MOBY and ORM \bar{g}

| | 412 | 443 | 490 | 510 | 555 | 670 |
|-------------|-------|-------|-------|-------|------|-------|
| BATS | -0.31 | -1.18 | -1.14 | -0.52 | 0.14 | -0.07 |
| HOTS | -0.74 | -0.53 | -0.48 | -0.14 | 0.44 | -0.21 |
| BATS + HOTS | -0.52 | -0.86 | -0.81 | -0.33 | 0.29 | -0.13 |

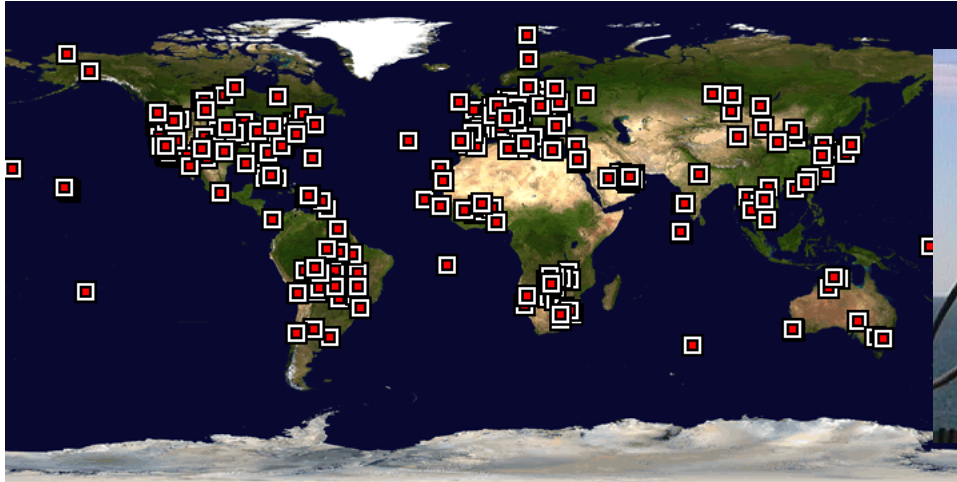
^aCalculated using $(\bar{g}_{\text{ORM}} - \bar{g}_{\text{MOBY}}) \times 100\% / \bar{g}_{\text{MOBY}}$.

model-based gains typically differ from MOBY gains by < 1%

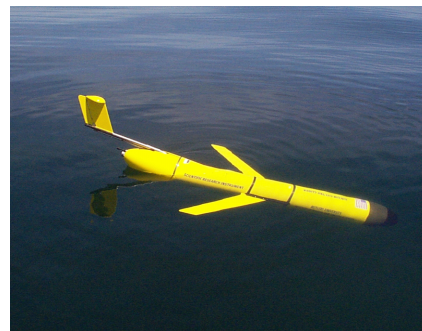


alternative data for vicarious calibration

AERONET (fixed-above water platforms)

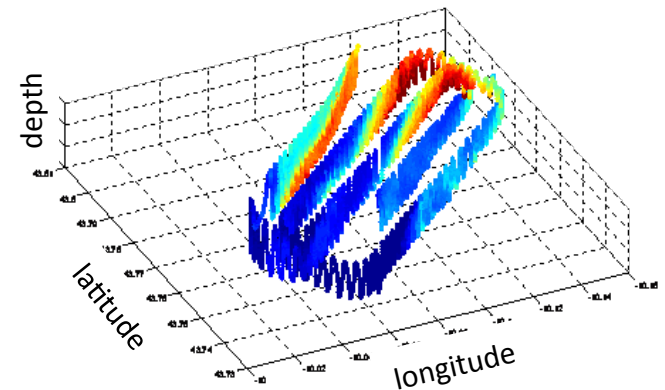


buoy networks



gliders, drifters, & other autonomous platforms

towed & underway sampling



alternative for vicarious calibration

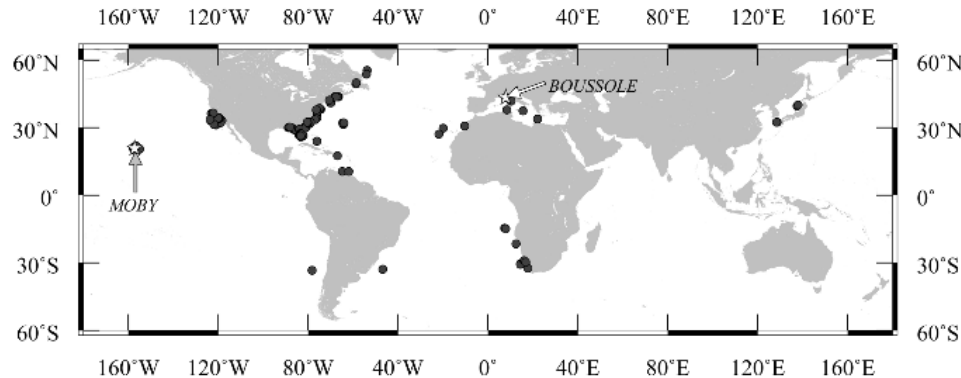


Fig. 1. Map showing the locations for the *in situ* data used in this study.

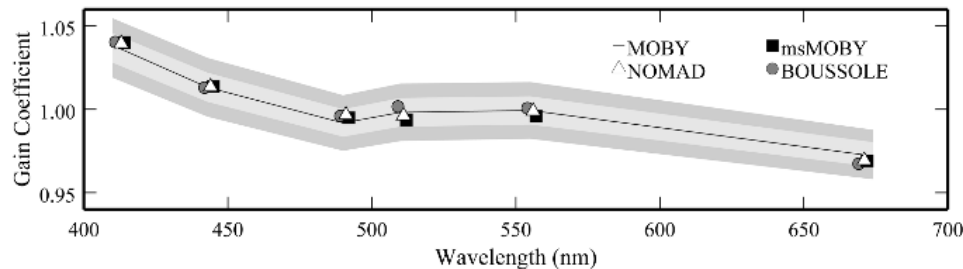


Fig. 3. Vicarious calibration coefficients as a function of wavelength. The standard MOBY-derived \bar{g}'_{λ} (solid curve) are overplotted by the msMOBY-, NOMAD-, and BOUSSOLE-derived \bar{g}'_{λ} . The shaded regions indicate the ranges for the first (light-gray) and second (dark-gray) standard deviations of the mean for \bar{g}'_{λ} .

Bailey et al. 2008

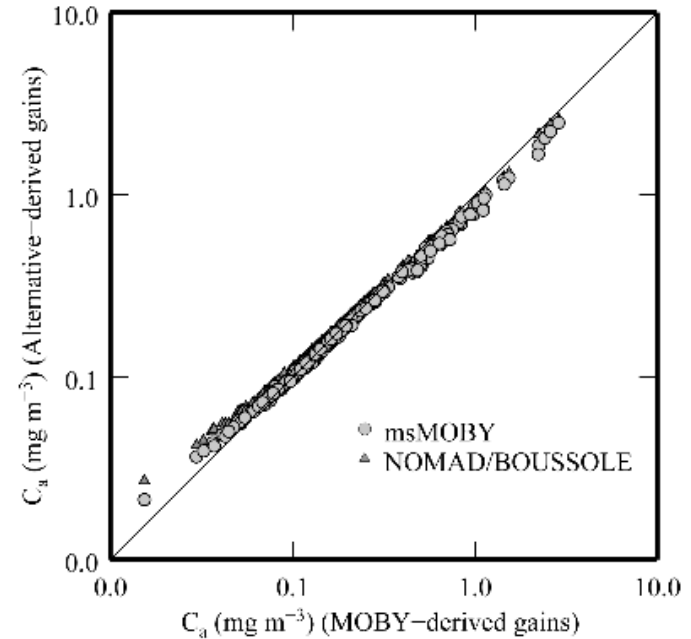


Fig. 7. Satellite-derived chlorophyll estimated from the two alternative \bar{g}' gain sets (msMOBY and NOMAD/BOUSSOLE) plotted versus the corresponding chlorophyll estimated from the standard MOBY \bar{g} .

gains calculated using alternative *in situ* data typically differ from MOBY by < 0.3%

selecting vicarious calibration sources

the gains shown previously for the multiple “ground-truth” targets **differ only from 0.3 to 1%**, but there are **spectral dependencies in their differences** ...

spectral differences impart changes in derived products

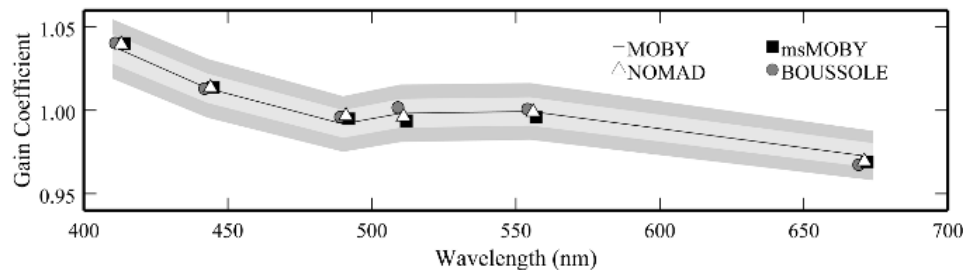


Fig. 3. Vicarious calibration coefficients as a function of wavelength. The standard MOBY-derived \bar{g}'_{λ} (solid curve) are overplotted by the msMOBY-, NOMAD-, and BOUSSOLE-derived \bar{g}'_{λ} . The shaded regions indicate the ranges for the first (light-gray) and second (dark-gray) standard deviations of the mean for \bar{g}'_{λ} .

great field data enable great satellite data products

satellite vicarious calibration (instrument + algorithm adjustment)

satellite data product validation

bio-optical algorithm development, tuning, & evaluation

Level-2 match-ups

general flow of match-up process, with exclusion criteria

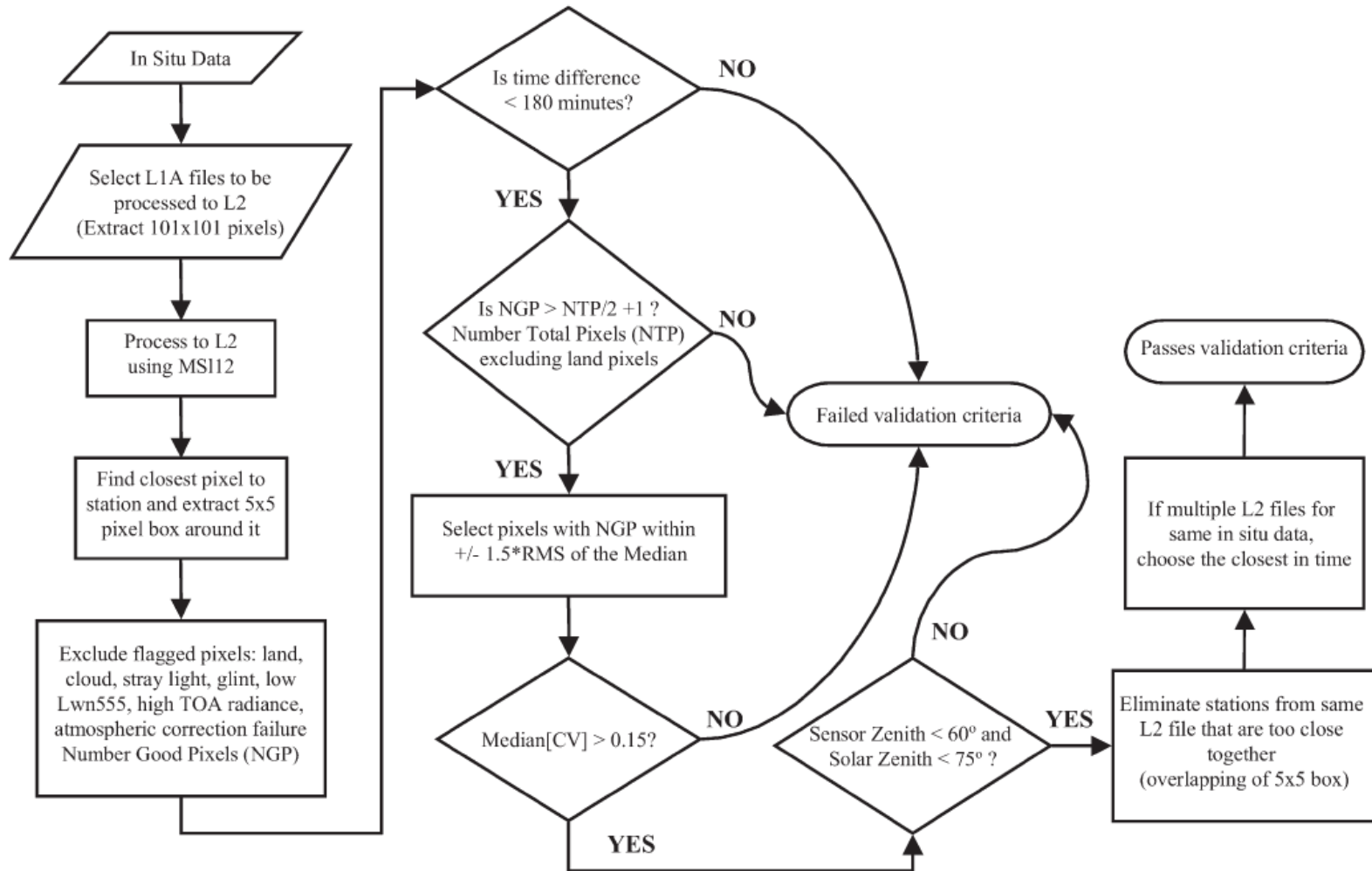


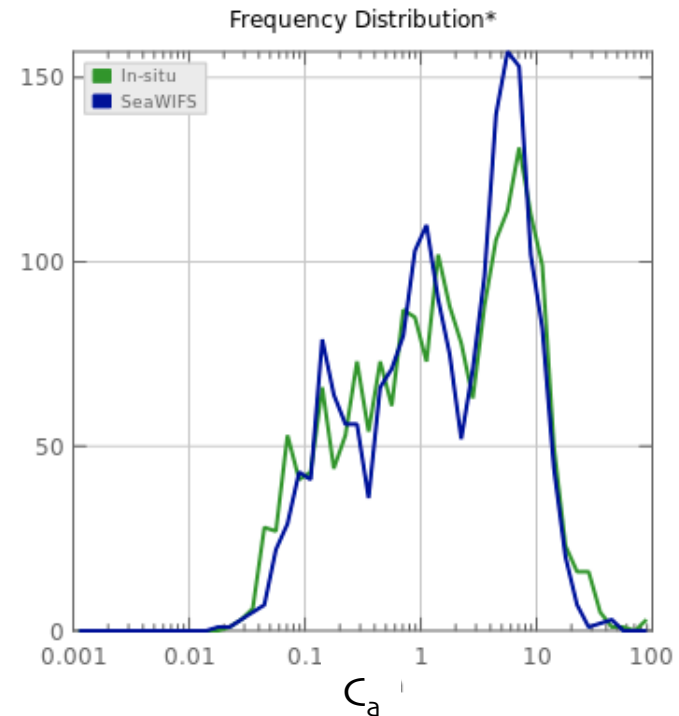
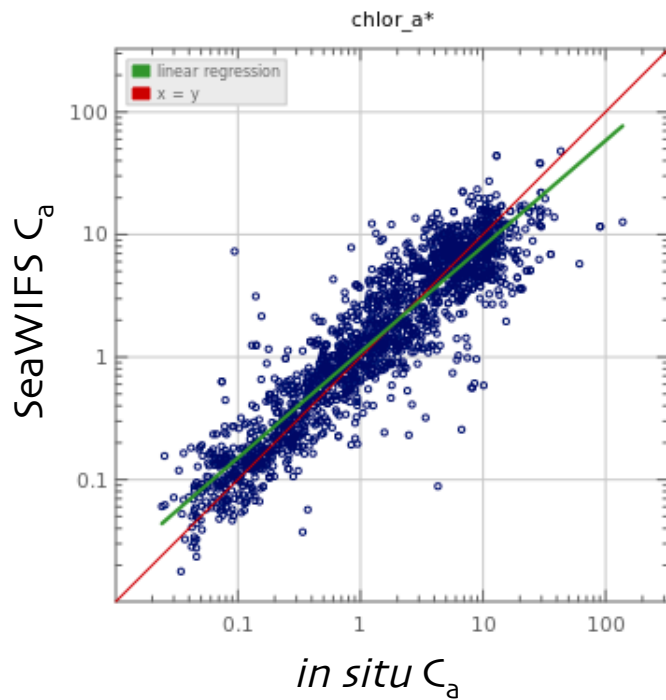
Fig. 1. Flowchart of the validation process highlighting the applied exclusion criteria.

Level-2 match-ups

comparison of “coincident” *in situ* & satellite measurements

| Product Name | SeaWIFS Range | In-situ Range | # | Best Fit Slope* | Best Fit Intercept* | R ² * | Median Ratio | Abs % Difference | RMSE* |
|--------------|-------------------|--------------------|------|-----------------|---------------------|------------------|--------------|------------------|---------|
| chlor_a | 0.01782, 48.08236 | 0.02400, 138.04700 | 1968 | 0.86322 | 0.03969 | 0.84667 | 1.05656 | 36.22945 | 0.28465 |

* statistical calculations based on log10



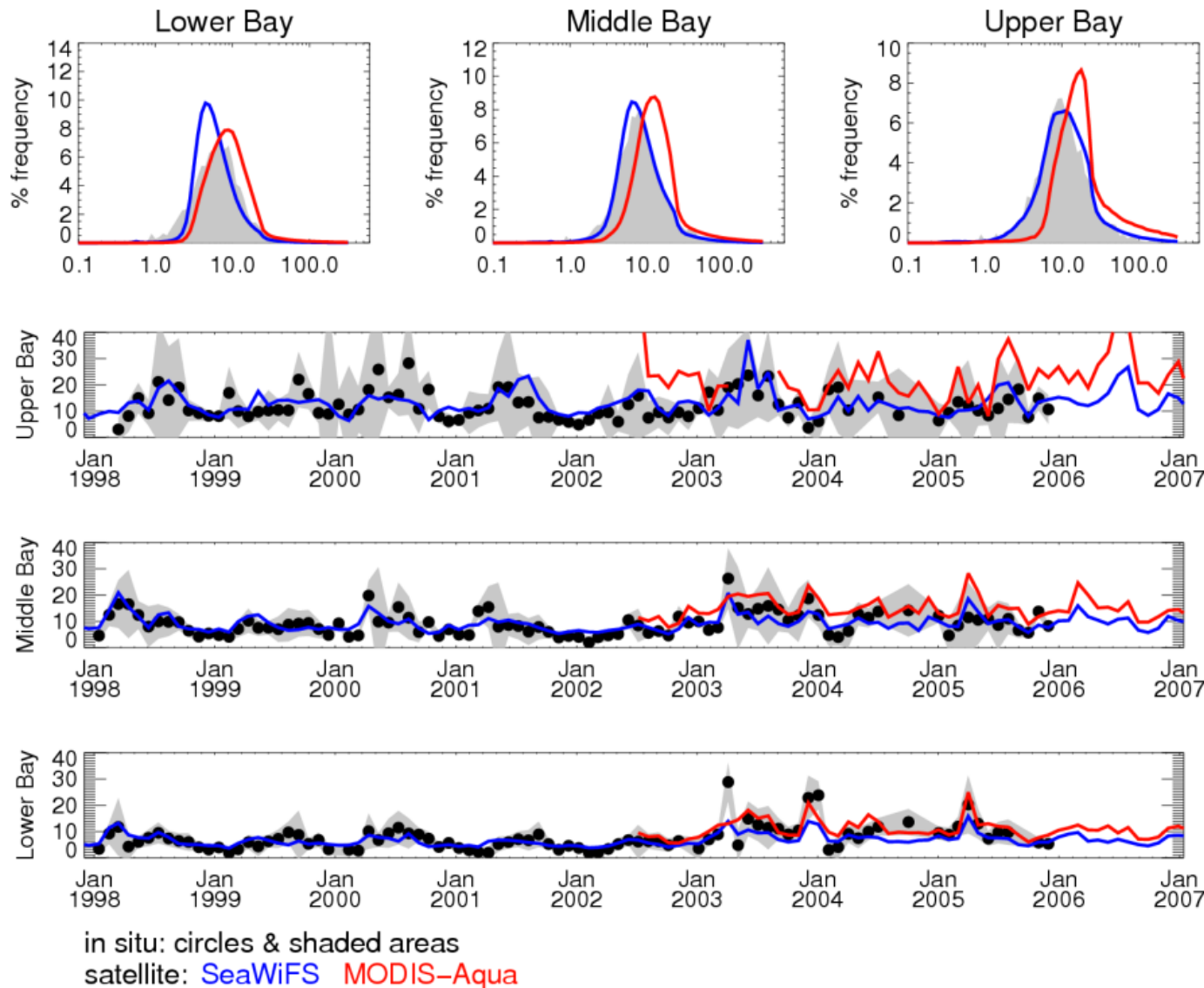
Bailey & Werdell 2006

seabass.gsfc.nasa.gov/seabasscgi/search.cgi

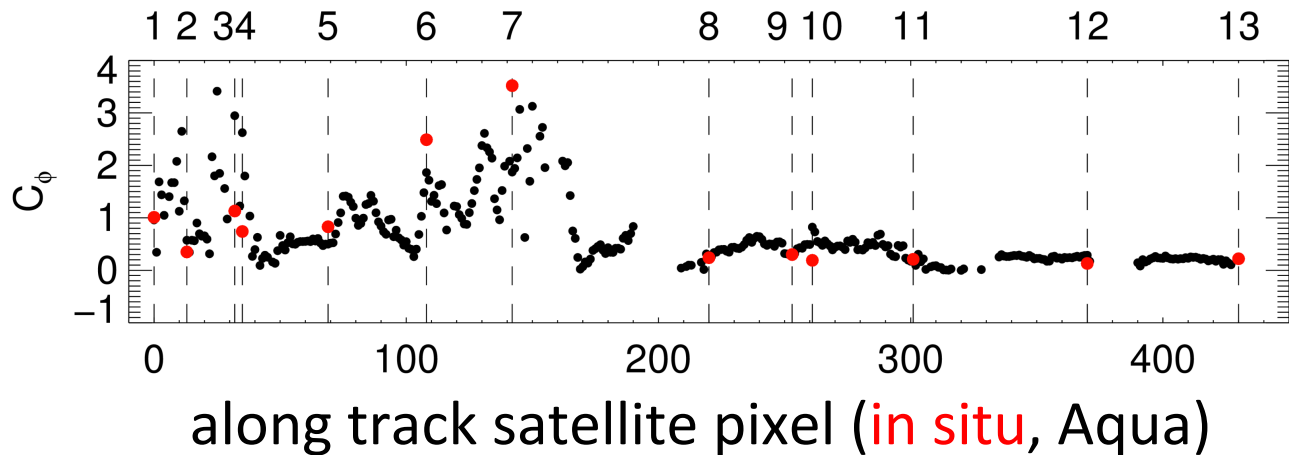
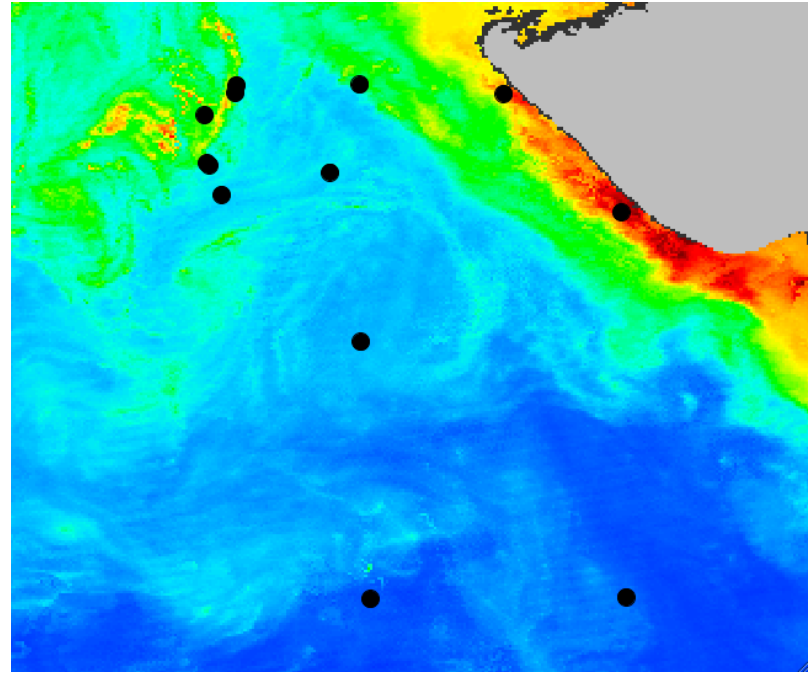
Level-2 time-series

Chl-a (mg m⁻³)

Chesapeake Bay



along-track comparisons



common limitations

quality of *in situ* data is highly variable & difficult to assess

in situ data coverage is limited, both geographically & temporally

availability of *in situ* data in future is unknown

highly localized (~meters) measurements represent pixel (>km) area

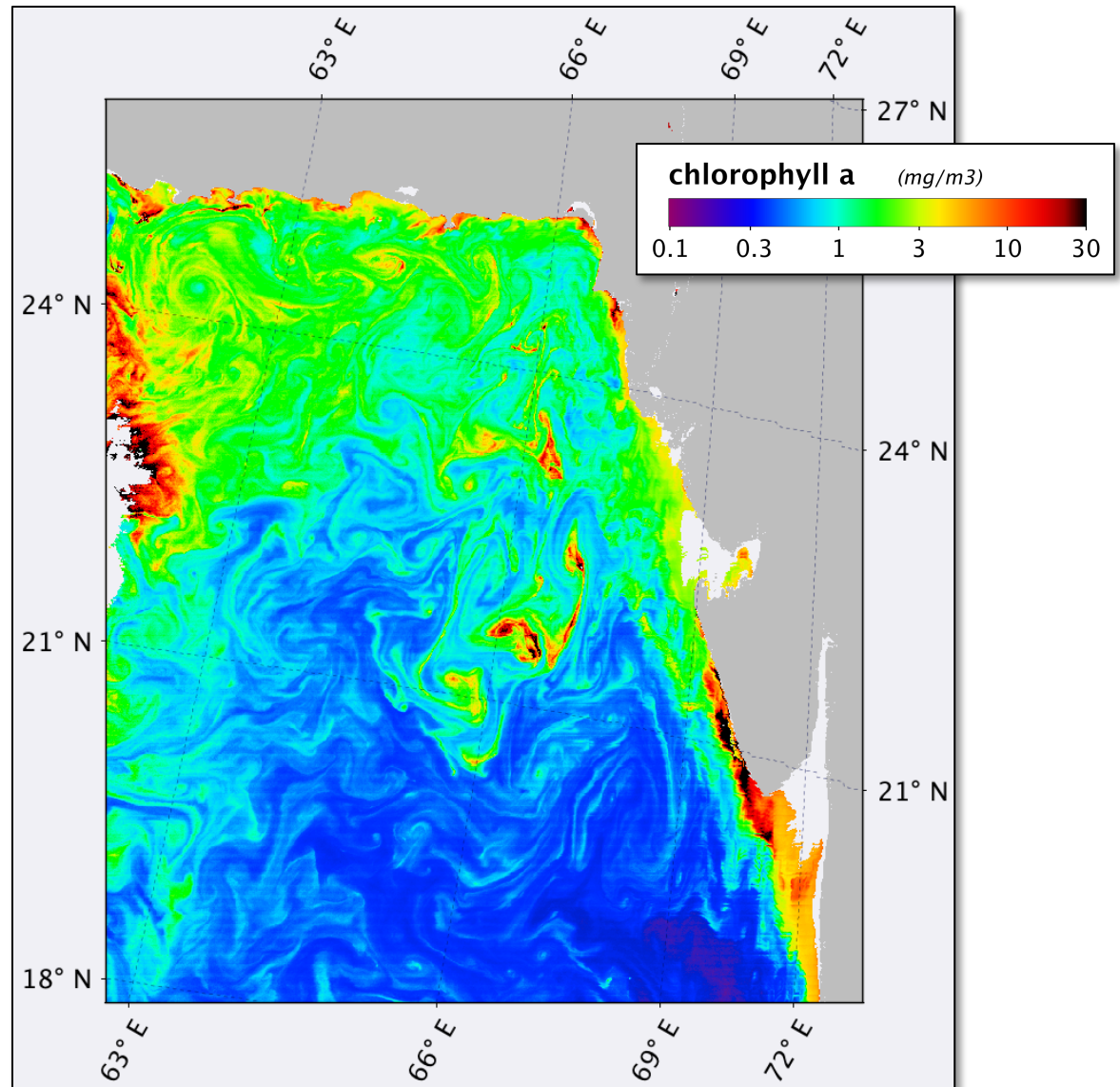
satellite-to-*in situ* comparisons require expertise to prepare & evaluate

generally useful only for assessing static biases in final products

lessons learned & anticipated challenges

data collection

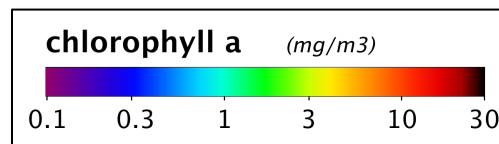
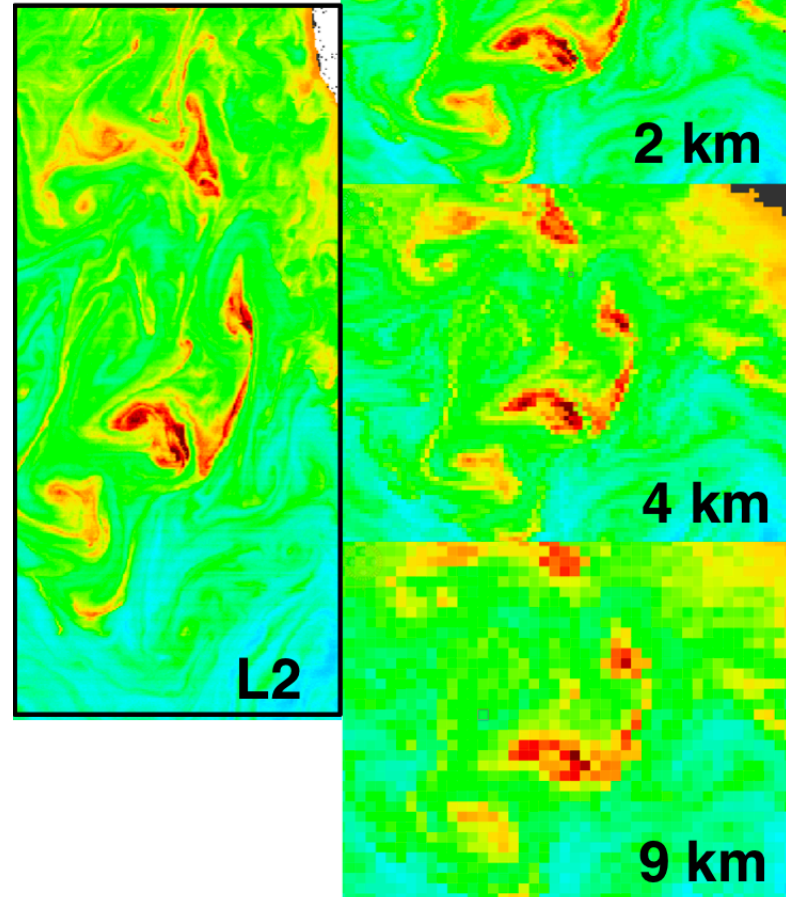
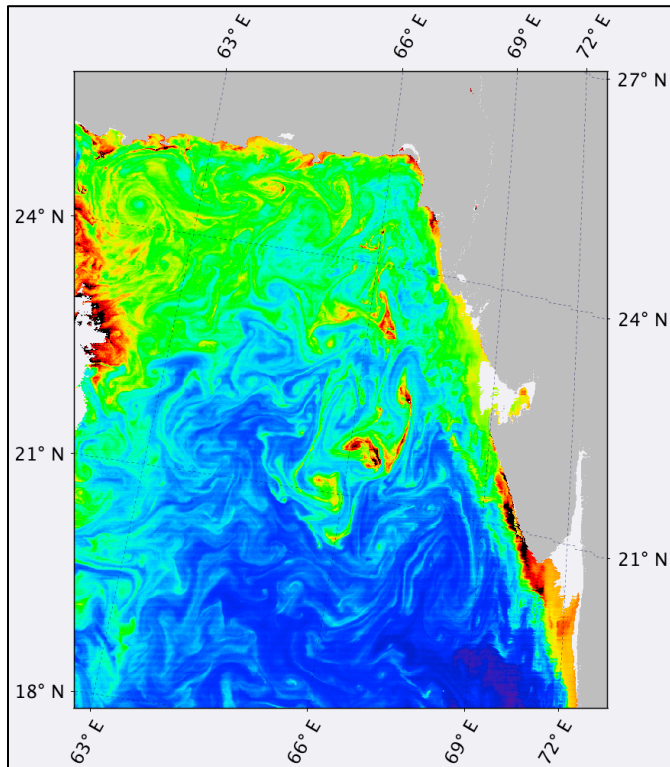
- horizontal resolution
- temporal resolution
- vertical resolution



lessons learned & anticipated challenges

data collection

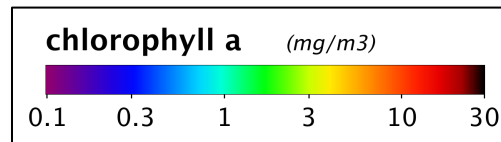
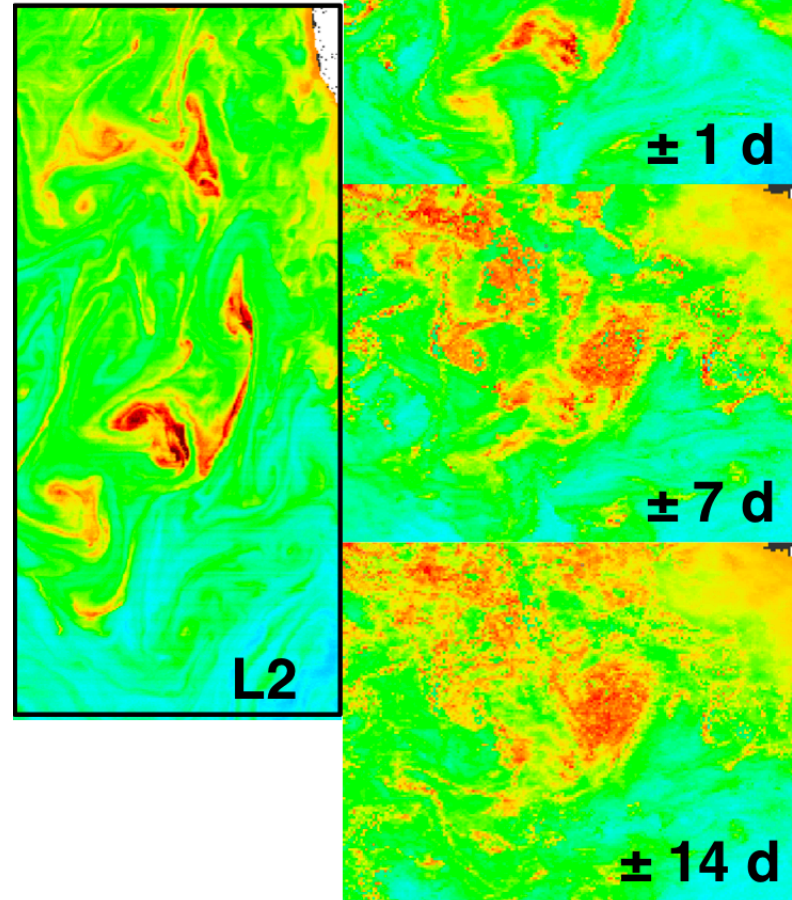
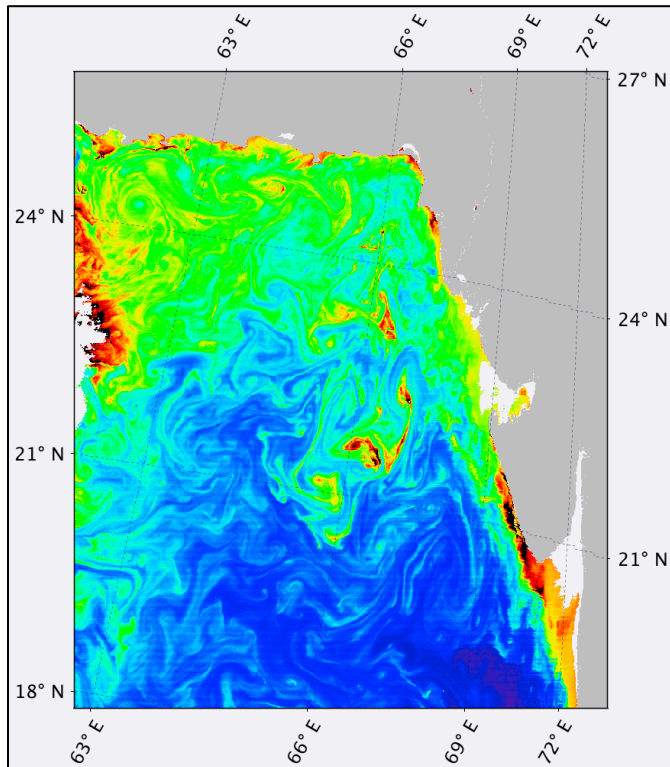
- horizontal resolution
- temporal resolution
- vertical resolution



lessons learned & anticipated challenges

data collection

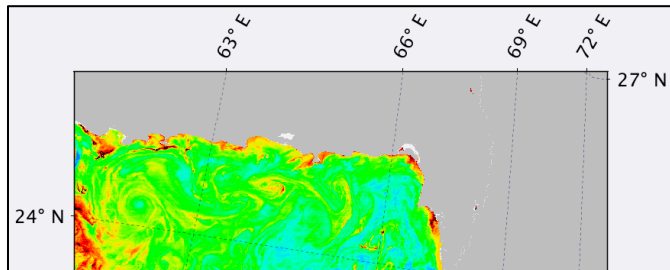
- horizontal resolution
- **temporal resolution**
- vertical resolution



lessons learned & anticipated challenges

data collection

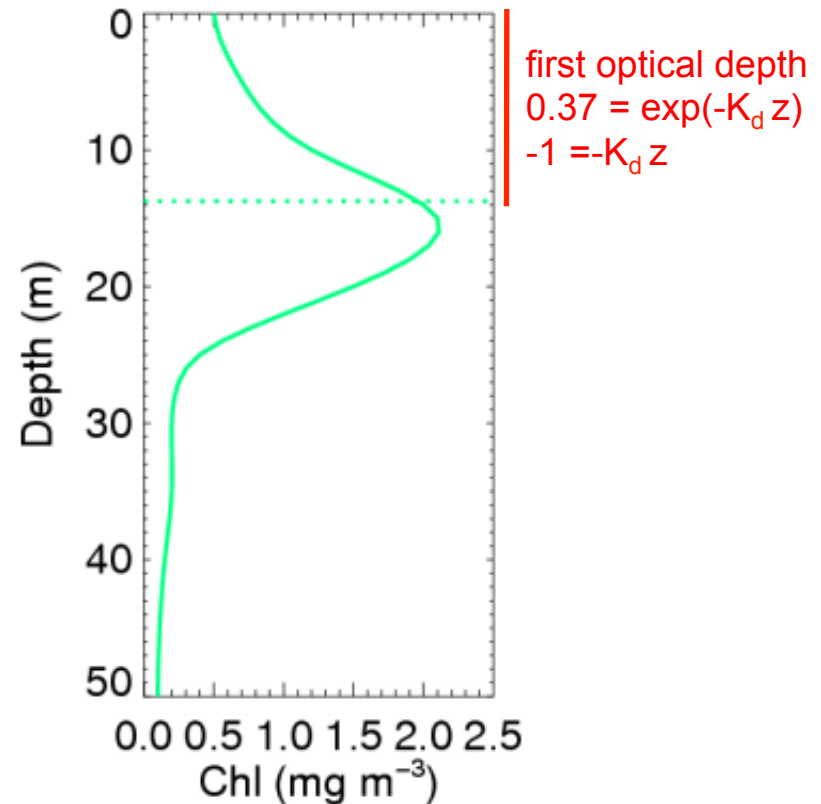
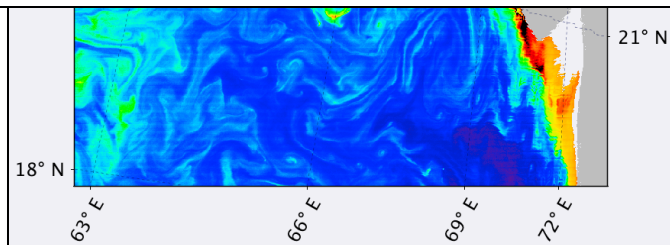
- horizontal resolution
- temporal resolution
- vertical resolution



Estimation of the Depth of Sunlight Penetration in the Sea for Remote Sensing

Howard R. Gordon and W. R. McCluney

February 1975 / Vol. 14, No. 2 / APPLIED OPTICS 413



lessons learned & anticipated challenges

data collection

- horizontal resolution
- temporal resolution
- vertical resolution

not only is the resolution of vertical sampling important, but we must also understand (accept & ultimately consider) what the satellite sees & does not see

Theoretical derivation of the depth average of remotely sensed optical parameters

J. Ronald V. Zaneveld¹, Andrew H. Barnard¹ and Emmanuel Boss²

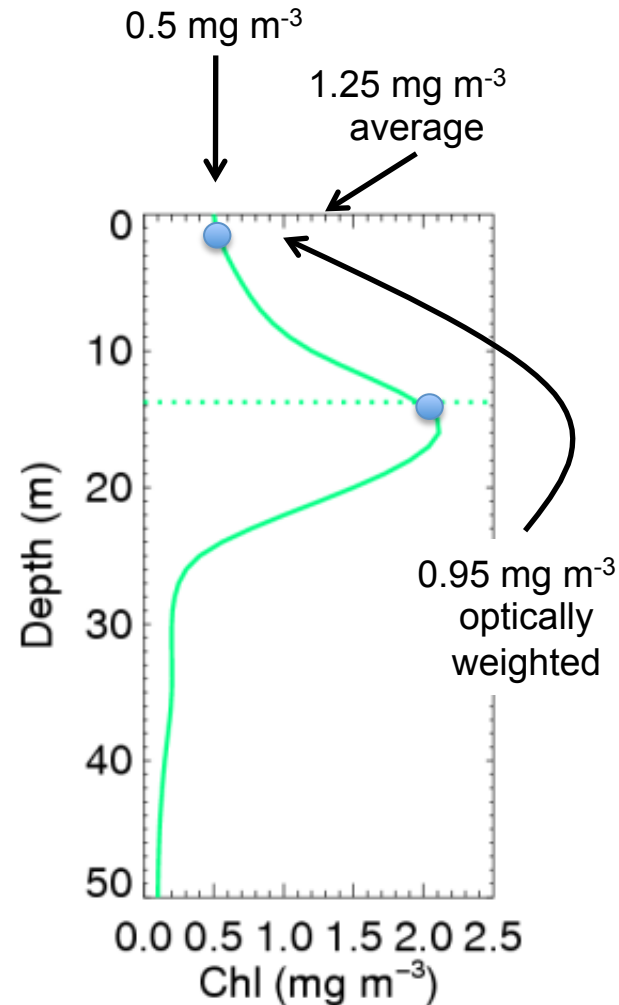
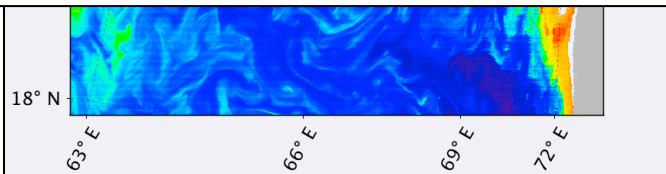
¹ WET Labs, Inc. P.O. Box 518, 620 Applegate Street, Philomath, OR 97370

² University of Maine, 5741 Libby Hall, Orono, ME 04469

ron@wetlabs.com

#8803 - \$15.00 USD
(C) 2005 OSA

Received 15 September 2005; revised 20 October 2005; accepted 24 October 2005
31 October 2005 / Vol. 13, No. 22 / OPTICS EXPRESS 9052



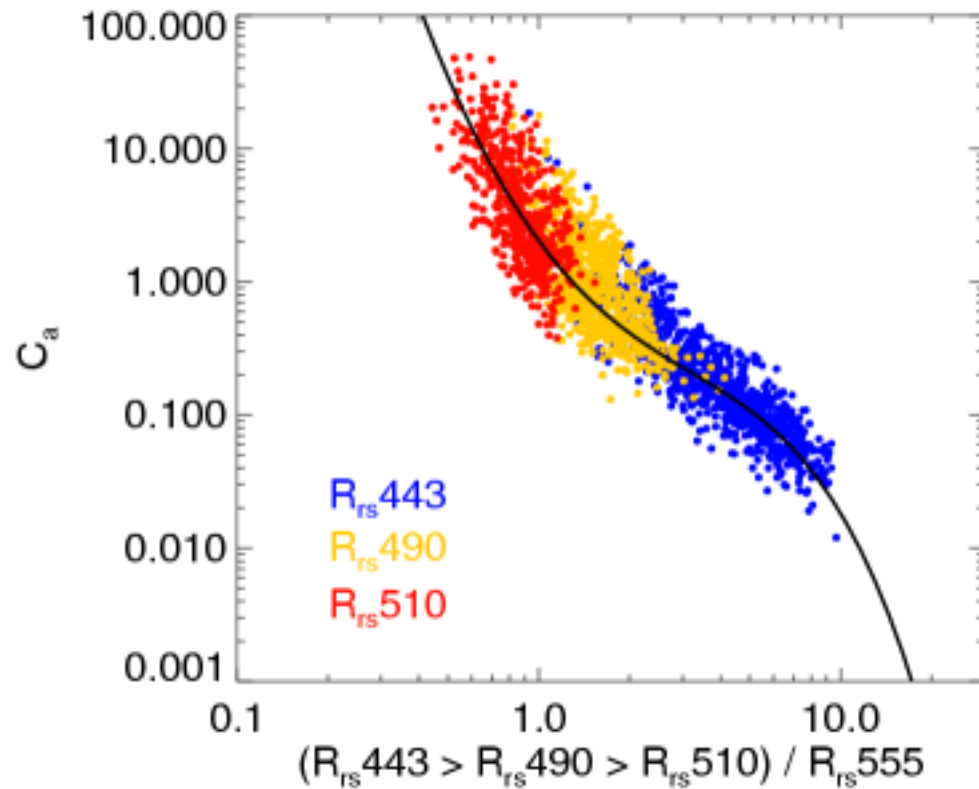
great field data enable great satellite data products

satellite vicarious calibration (instrument + algorithm adjustment)

satellite data product validation

bio-optical algorithm development, tuning, & evaluation

empirical algorithms



R_{rs} related to pigments, IOPs, carbon stocks, etc.

$\underbrace{\hspace{10em}}$
what satellite sees what you might want to study

atmospheric correction

in situ data are used in the development of:

aerosol tables (via AERONET)

the correction for non-zero R_{rs} (NIR)

the correction for bidirectional effects (f/Q)

the correction for spectral bandpass effects

outline

great field data enable great satellite data products

an abundance of field data is hard to come by

emerging technologies can provide rich data streams

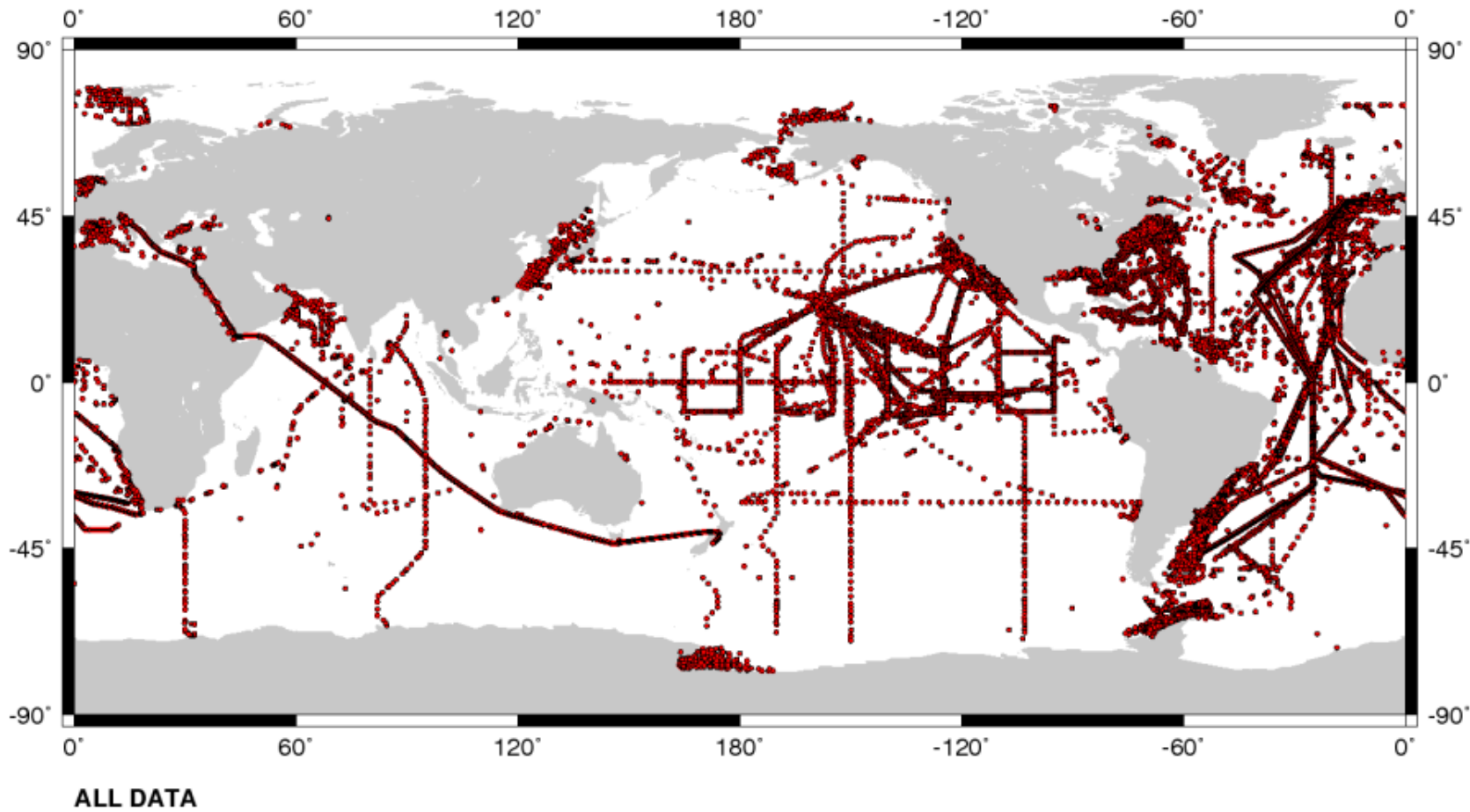
QA/QC metrics are essential (or this all falls apart)

an abundance of field data is hard to come by

spatial & temporal distributions

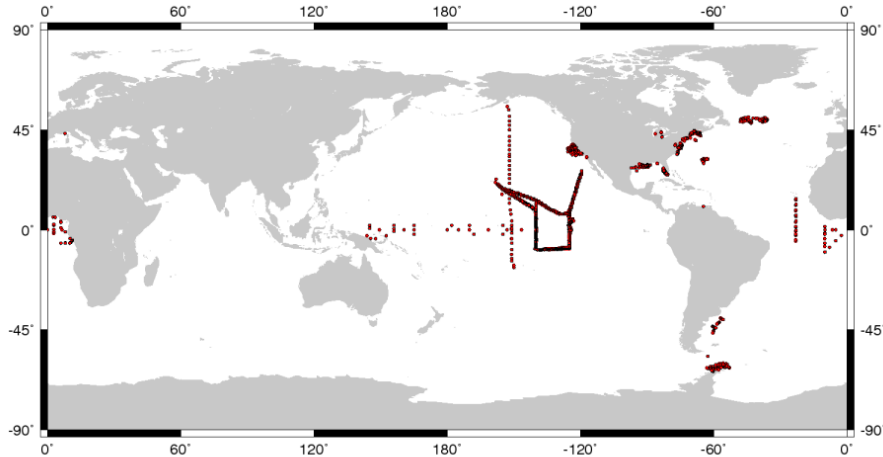
“complete” suites of measurements (R_{rs} , IOPs, biogeochemistry)

SeaBASS @ seabass.gsfc.nasa.gov



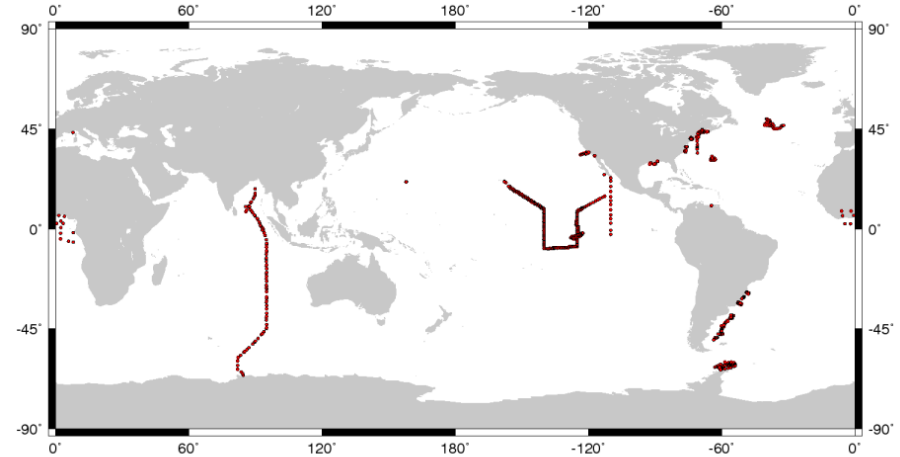
SeaBASS holdings by year: 2006-2009

2006

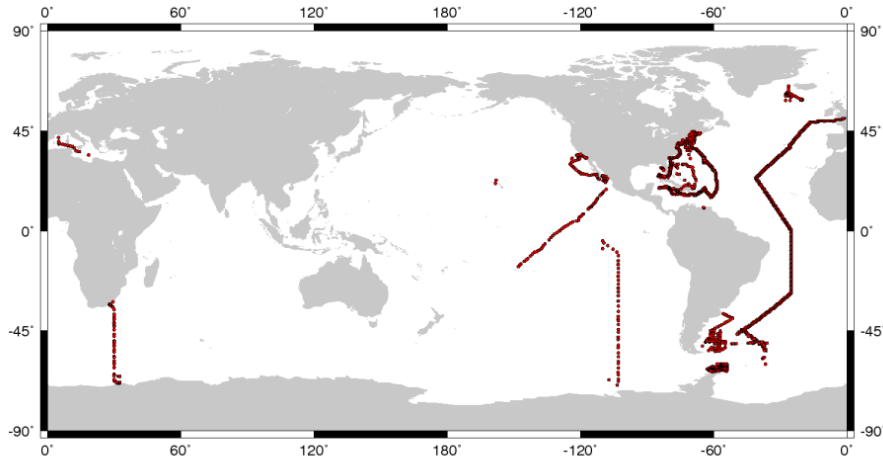


COLLECTED IN 2006

2007

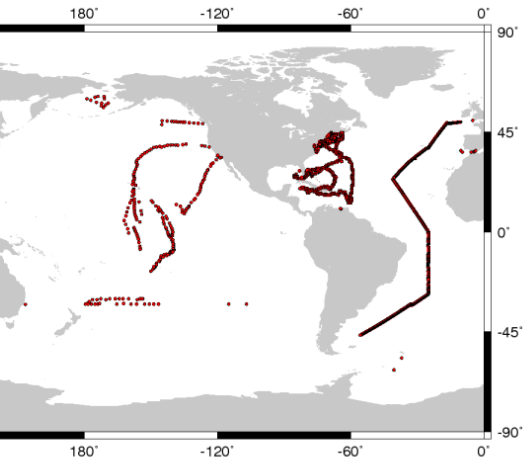


COLLECTED IN 2007



COLLECTED IN 2008

2008



COLLECTED IN 2009

2009

Level-2 match-ups

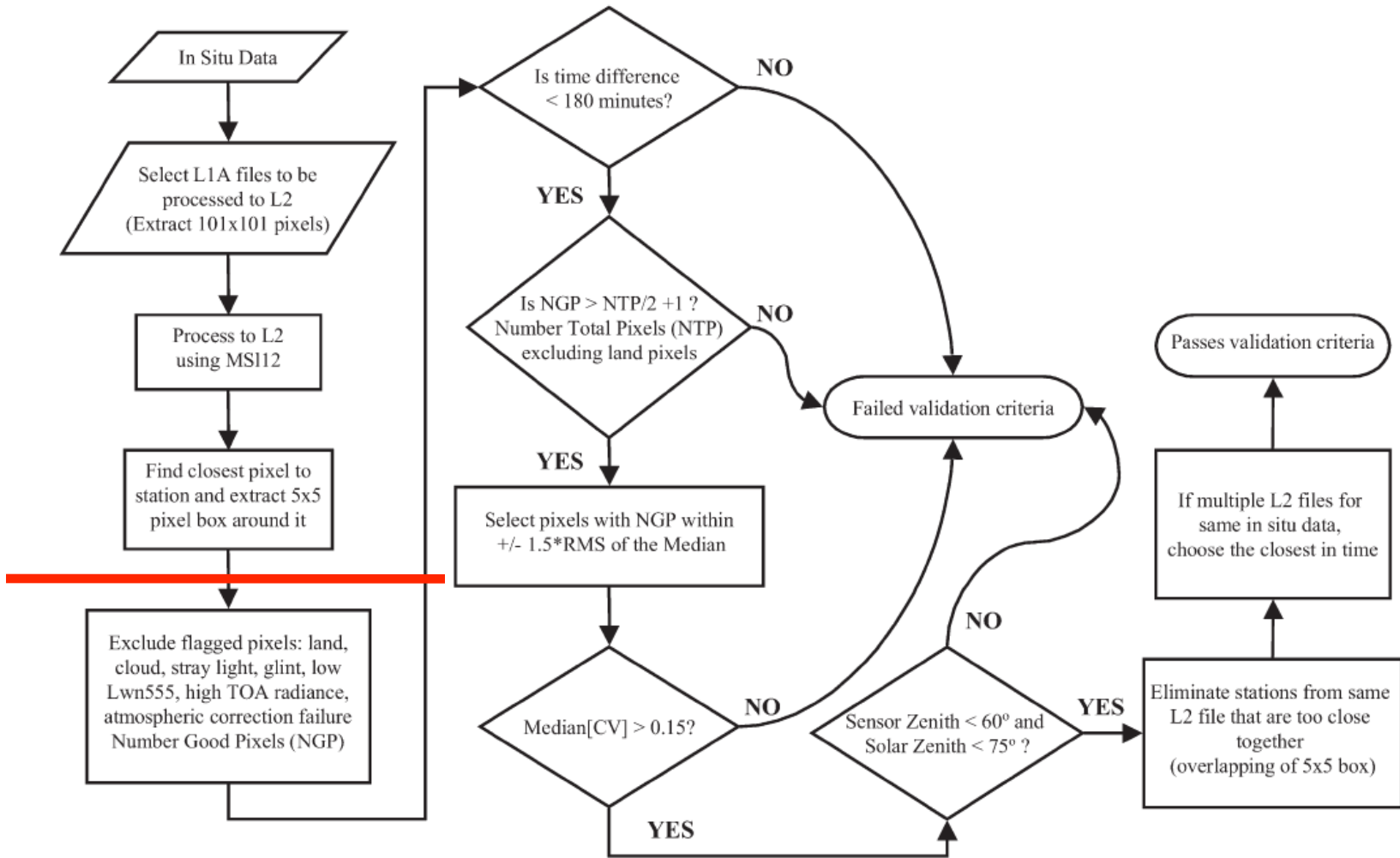
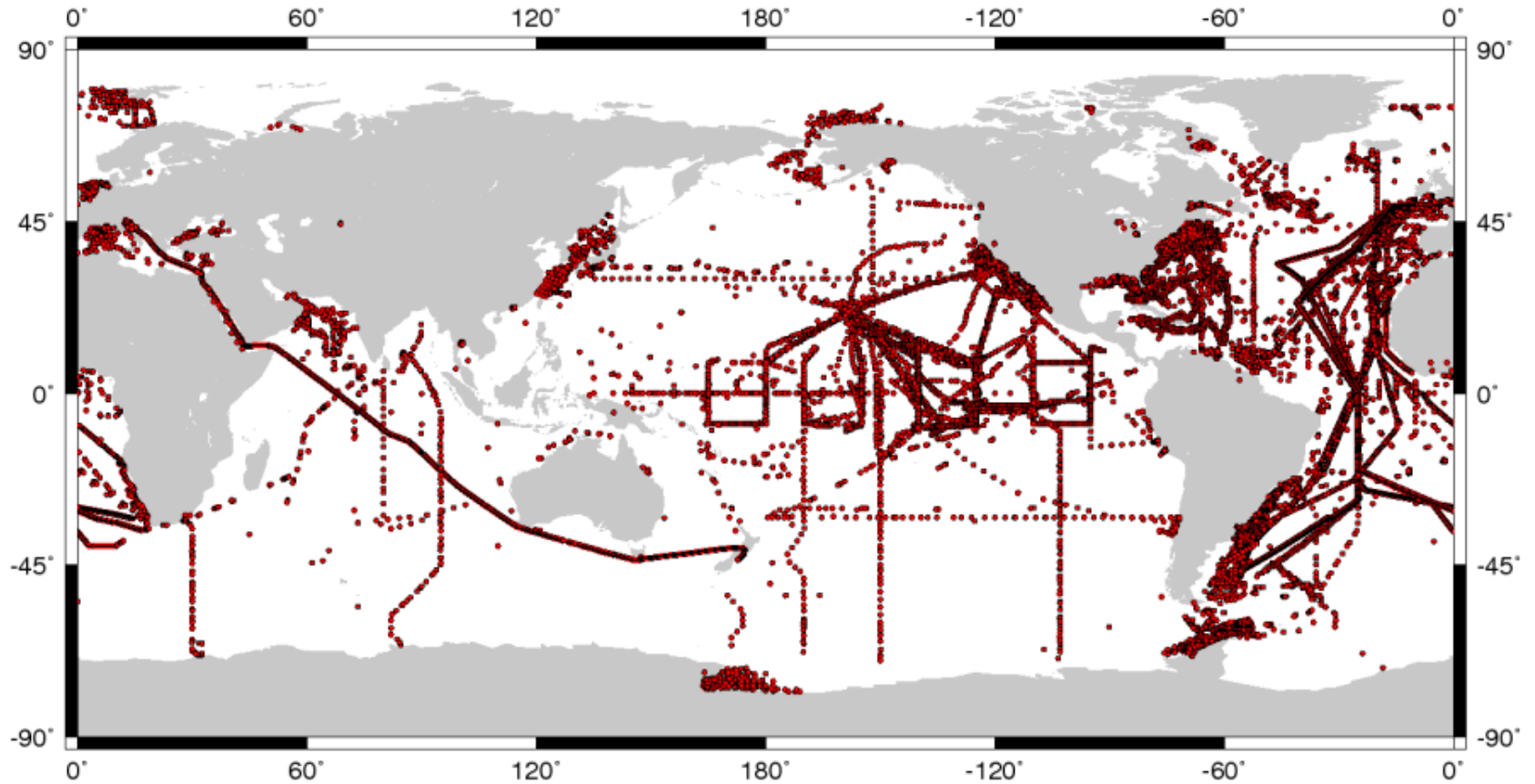


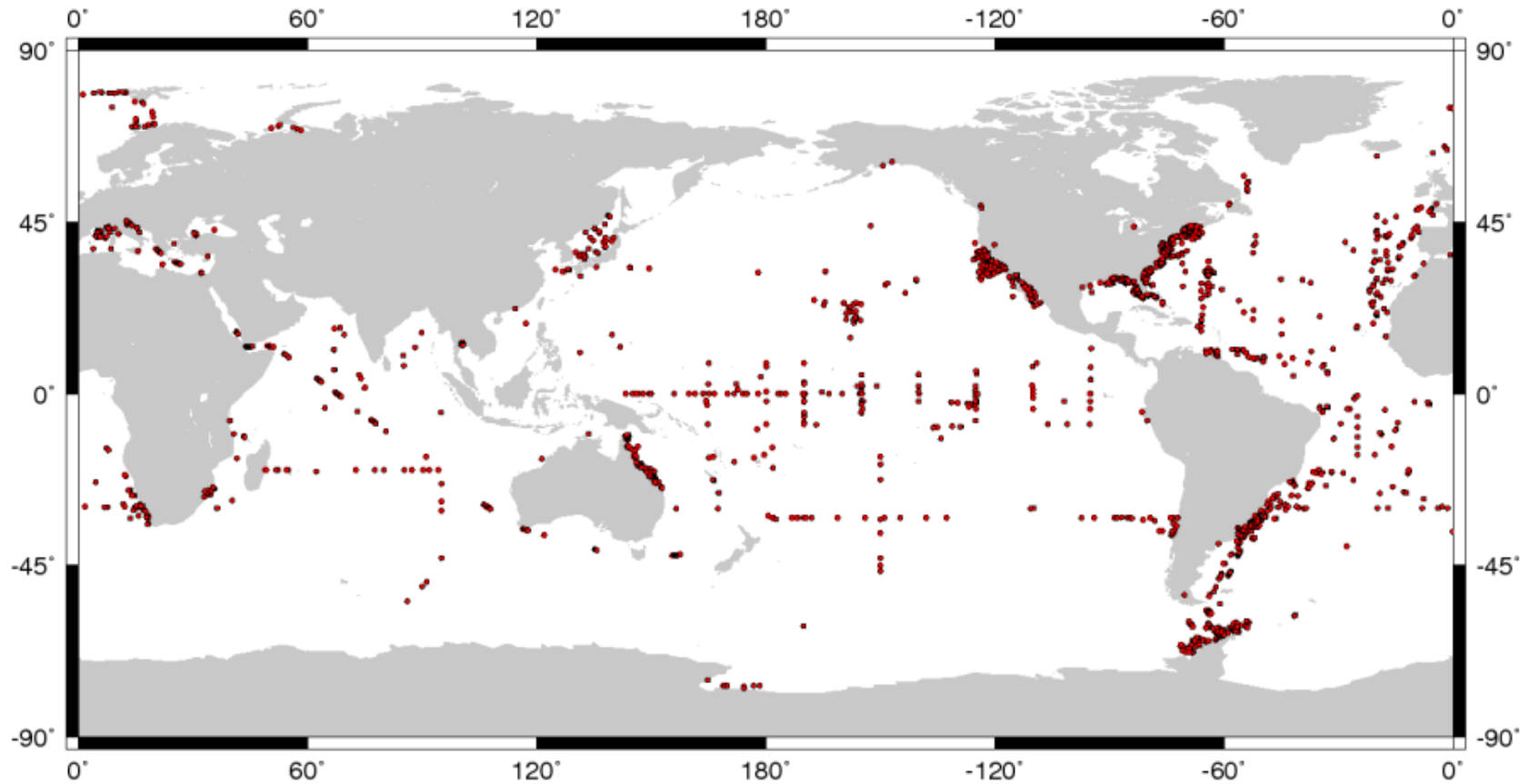
Fig. 1. Flowchart of the validation process highlighting the applied exclusion criteria.

all available SeaBASS data



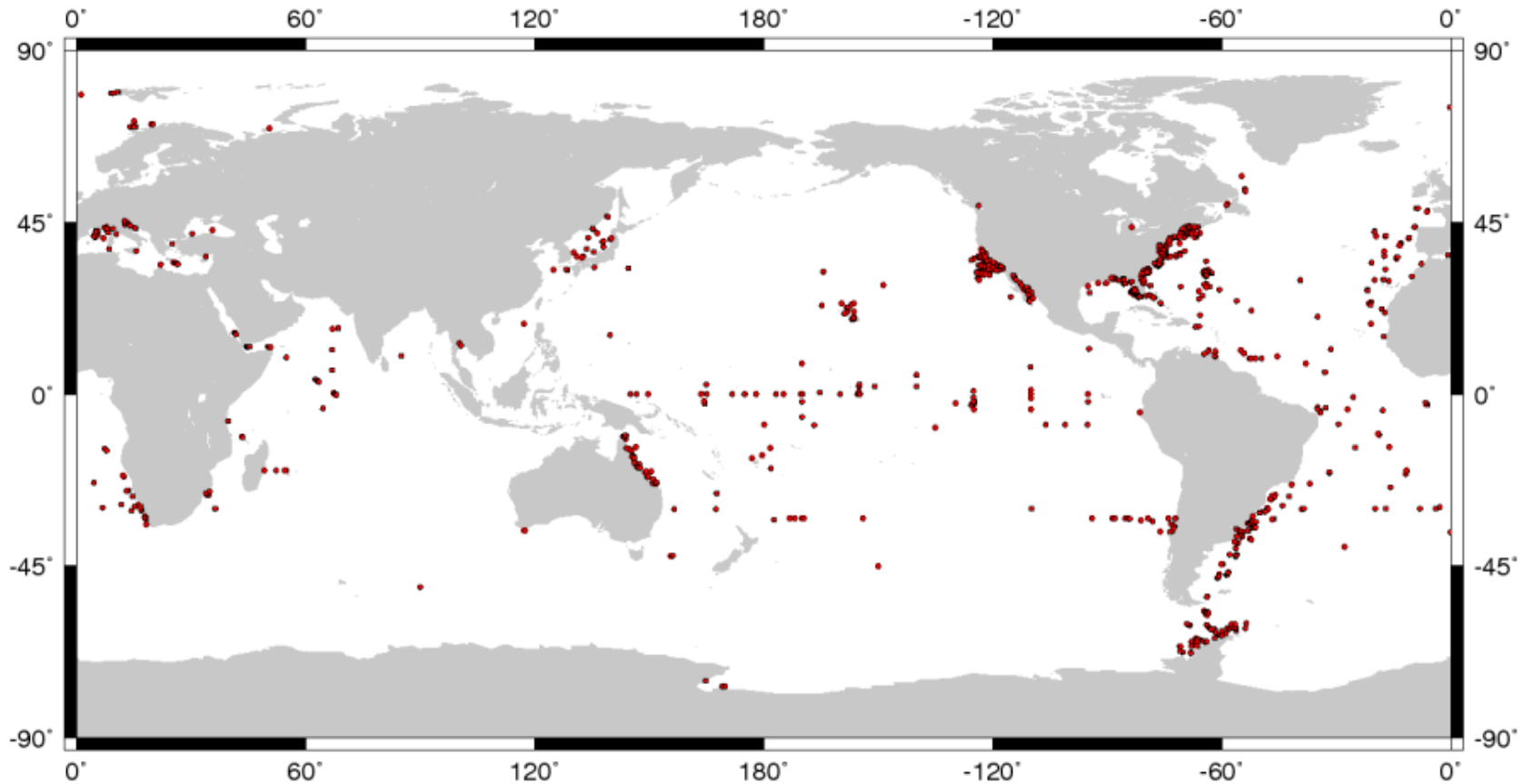
ALL DATA

coincident SeaWiFS & in situ data



POSSIBLE SEAWIFS MATCH-UPS

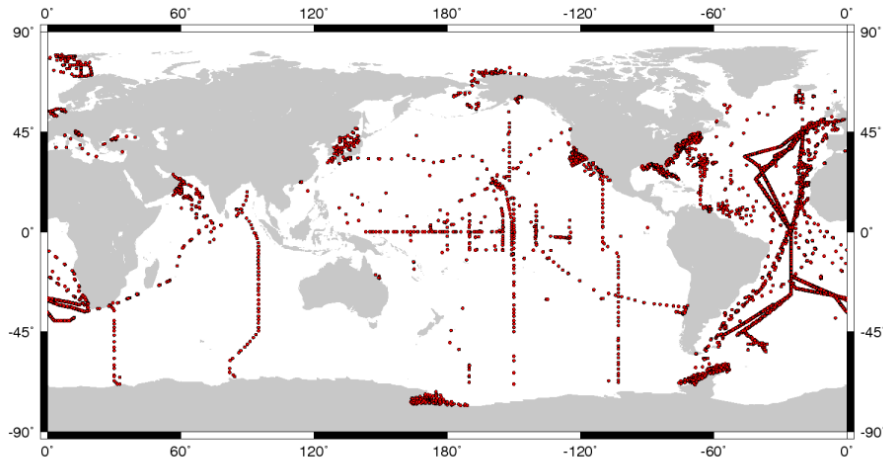
valid SeaWiFS match-ups



VALID SEAWIFS MATCH-UPS

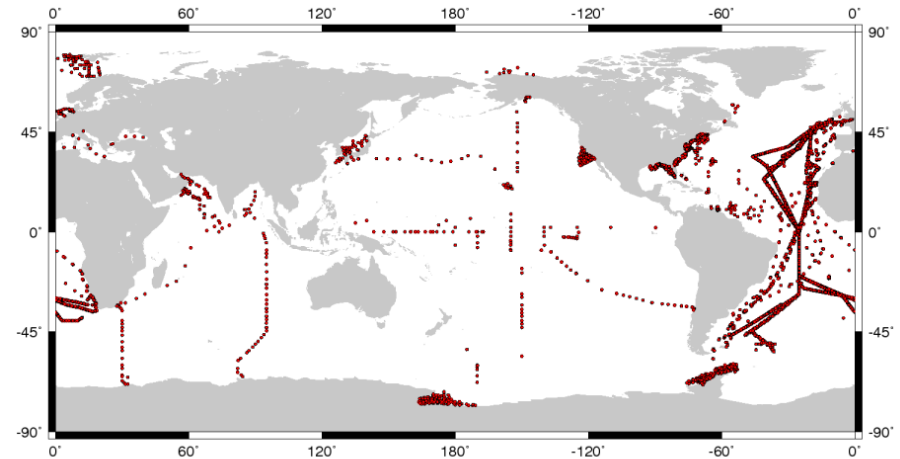
bio-optical algorithm development data sets

R_{rs}

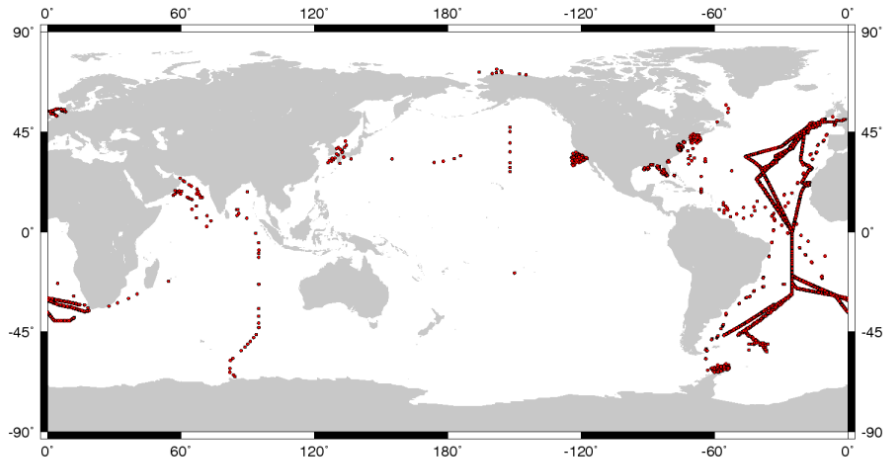


AOP

R_{rs} & Chl

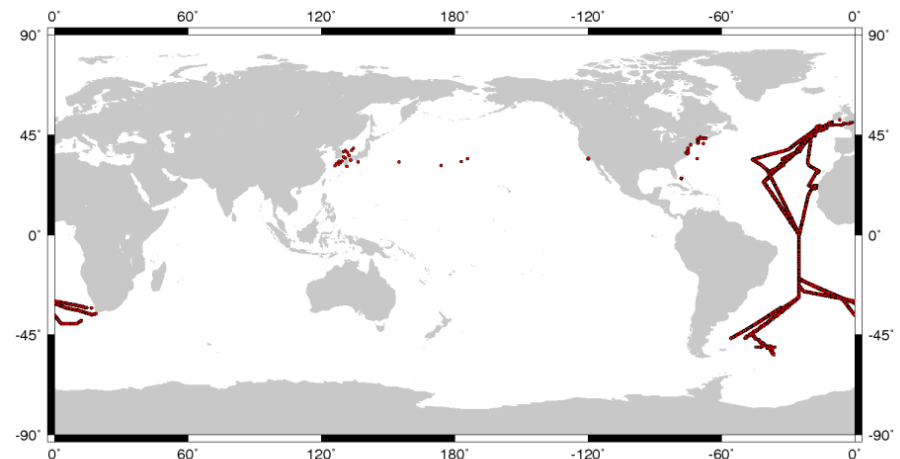


AOP + CHL



AOP + CHL + ABSORPTION

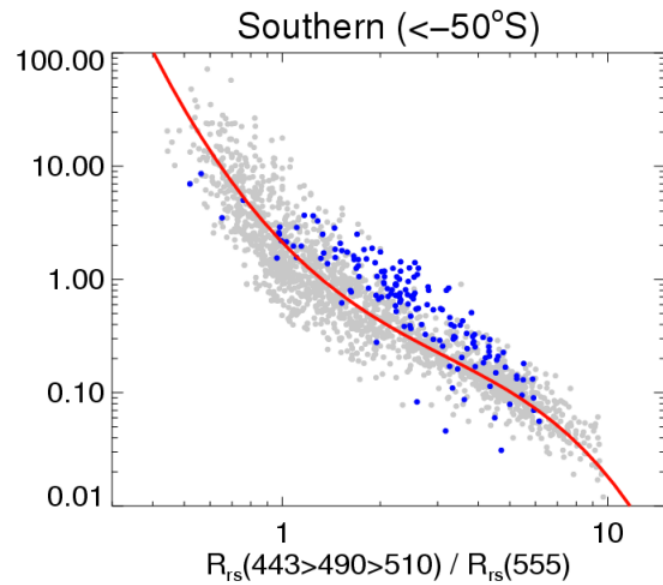
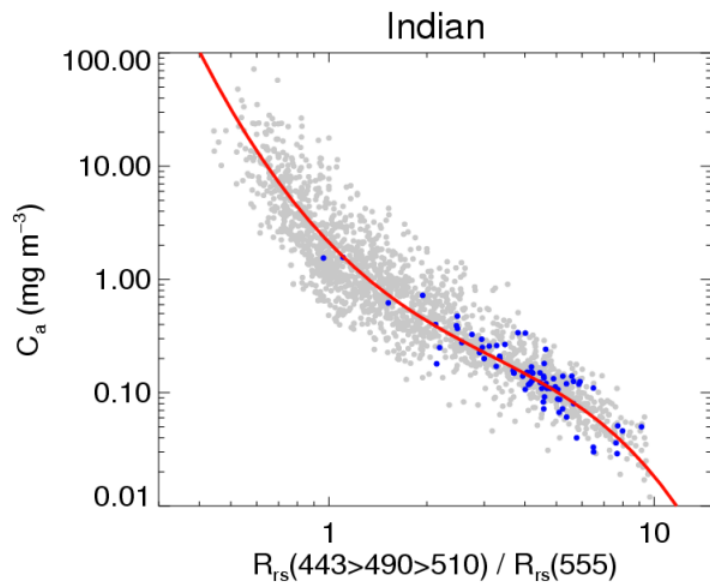
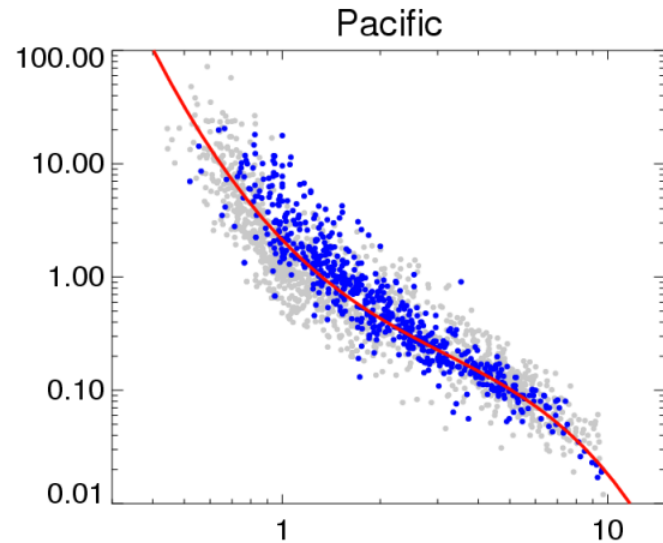
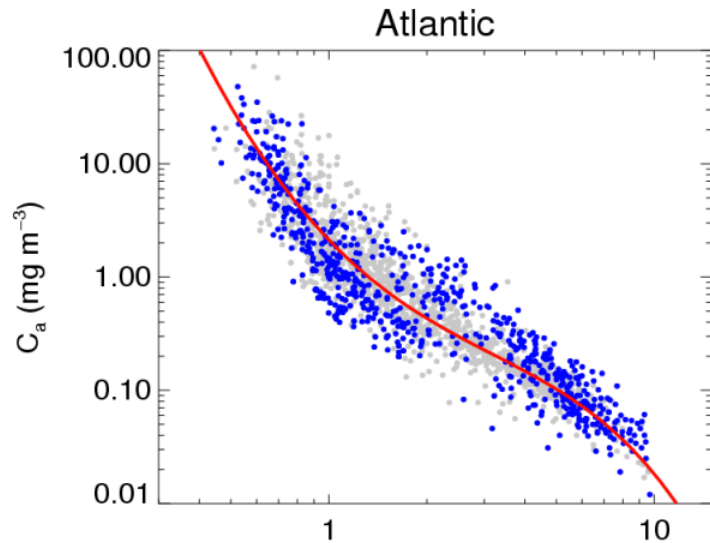
R_{rs} & Chl & absorption



AOP + CHL + ABSORPTION + BACKSCATTERING

R_{rs} & Chl & absorption & backscattering

bio-optical algorithm development data sets



outline

great field data enable great satellite data products

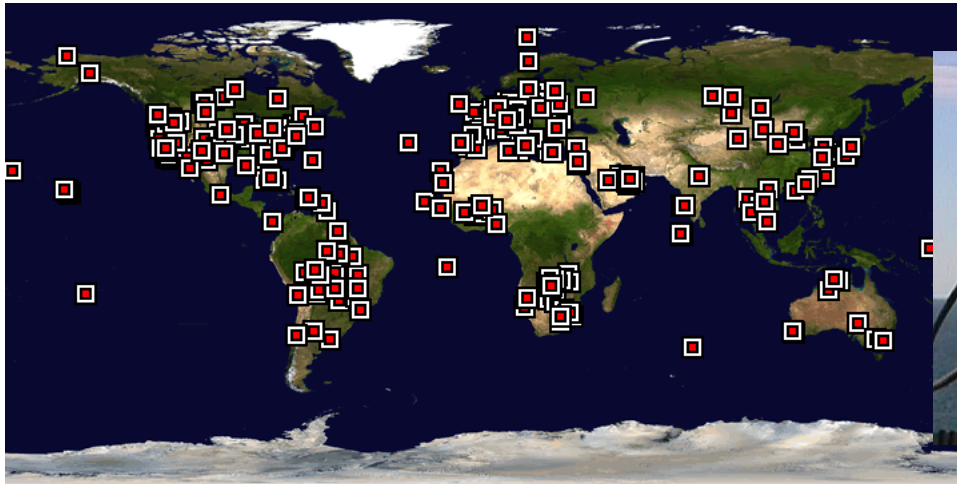
an abundance of field data is hard to come by

emerging technologies can provide rich data streams

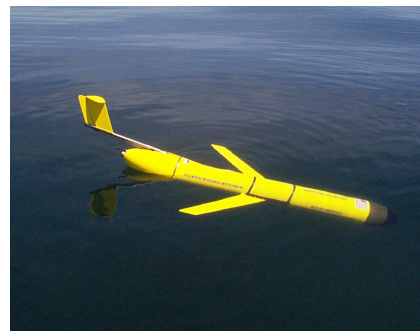
QA/QC metrics are essential (or this all falls apart)

moving forward – community innovations

AERONET (fixed-above water platforms)

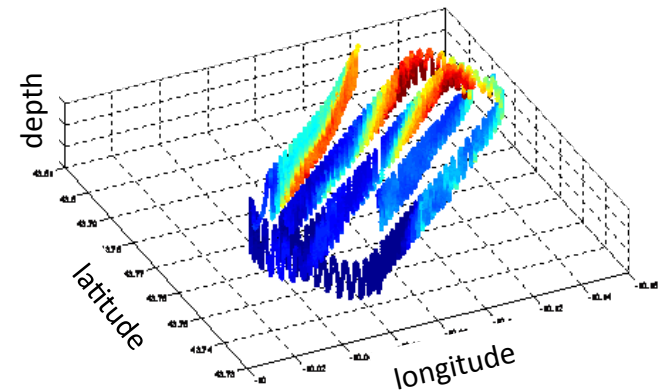


buoy networks



gliders, drifters, & other autonomous platforms

towed & underway sampling

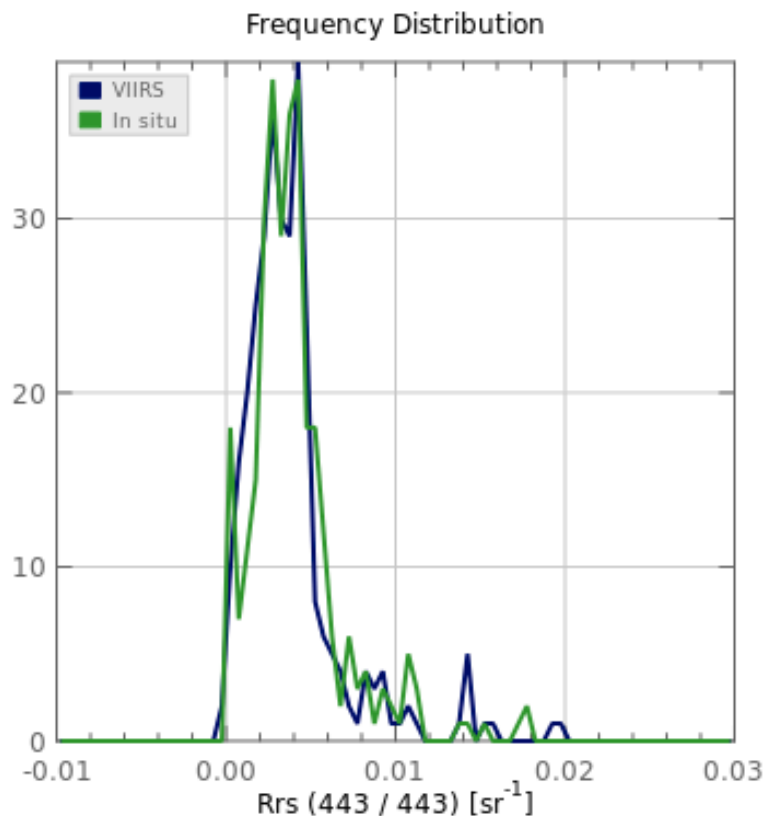
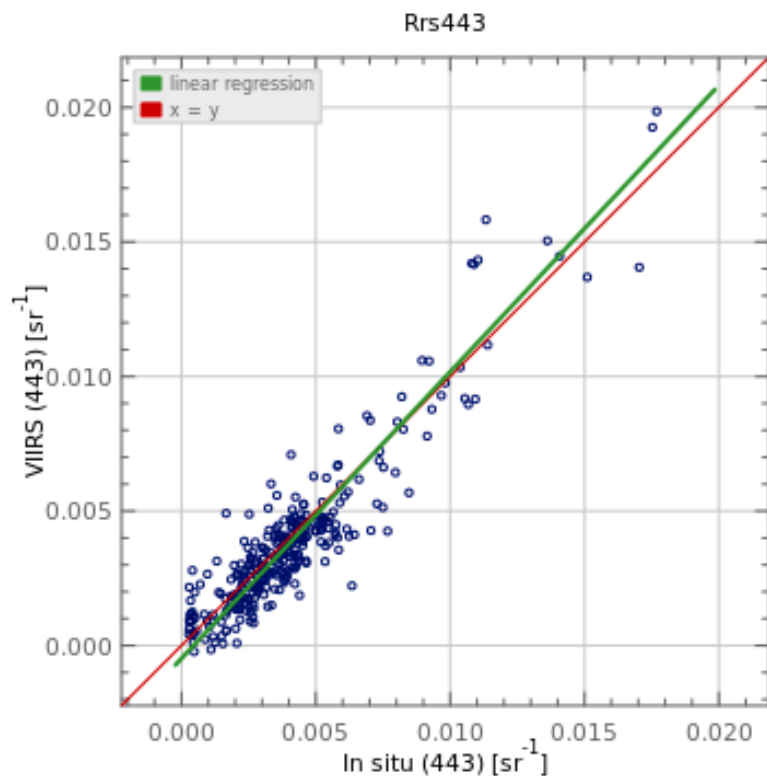


validation exercises using autonomous data

AERONET-OC match-ups with VIIRS (satellite data since Feb 2012)

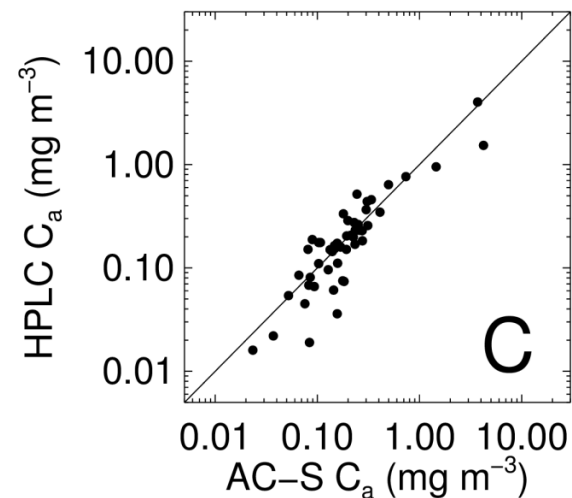
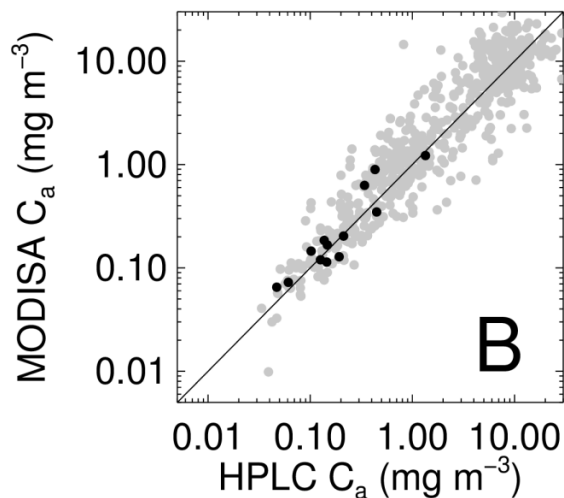
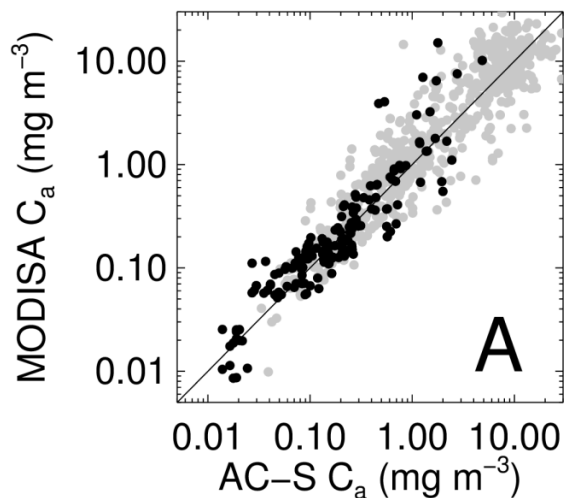
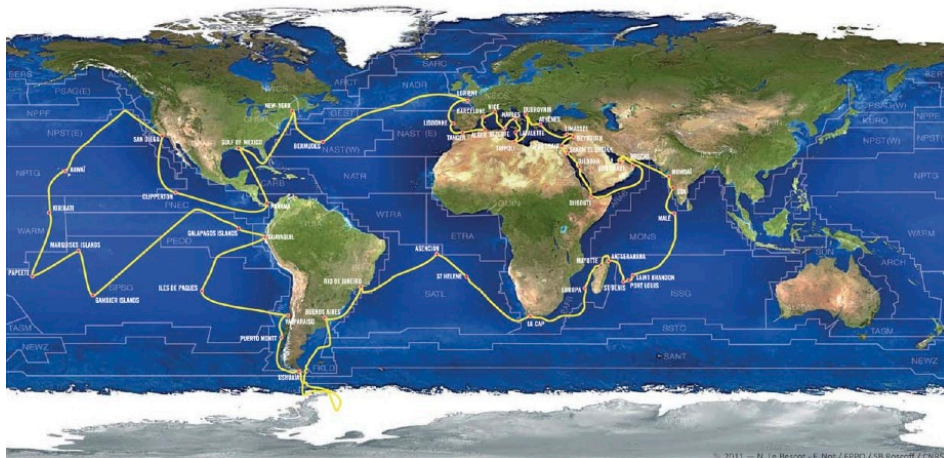
| Product Name | VIIRS Range | In situ Range | # | Best Fit Slope | Best Fit Intercept | R ² | Median Ratio | Abs % Difference | RMSE |
|--------------|-------------------|------------------|-----|----------------|--------------------|----------------|--------------|------------------|---------|
| Rrs410 | -0.00188, 0.01572 | 0.00006, 0.01480 | 370 | 1.15891 | -0.00075 | 0.72848 | 0.91371 | 30.62030 | 0.00151 |
| Rrs443 | -0.00022, 0.01985 | 0.00028, 0.01769 | 312 | 1.06528 | -0.00048 | 0.86995 | 0.92035 | 18.64367 | 0.00114 |
| Rrs486 | 0.00066, 0.02486 | 0.00101, 0.02520 | 370 | 0.95921 | -0.00056 | 0.92048 | 0.83444 | 18.33002 | 0.00130 |
| Rrs551 | 0.00097, 0.02519 | 0.00008, 0.02453 | 370 | 0.93824 | -0.00055 | 0.94017 | 0.81644 | 18.58145 | 0.00131 |
| Rrs671 | -0.00007, 0.00920 | 0.00007, 0.00864 | 296 | 1.05955 | -0.00043 | 0.86652 | 0.57489 | 45.94727 | 0.00057 |

The linear regression algorithm has been changed to reduced major axis.



validation exercises using autonomous data

Tara Oceans expedition (2009-2012) AC-S products vs. MODISA



outline

great field data enable great satellite data products

an abundance of field data is hard to come by

emerging technologies can provide rich data streams

QA/QC metrics are essential (or this all falls apart)

QA/QC metrics are essential

a single entity (e.g., NASA or equivalent) cannot collect sufficient volumes of *in situ* data to satisfy its operational calibration & validation needs

following, flight projects rely on multiple entities to collect *in situ* data

QA/QC metrics are essential

QA/QC methods vary in maturity – exist for many **established** instruments & platforms, but not always for **newer or autonomous** systems

for example, variance in AOP data sets

AOP instrumentation in SeaBASS or available commercially:

- many companies & instruments
Biospherical, Satlantic, HOBI, Trios/Ramses, DALEC, SIMBAD-A, ASD, Spectron, custom
- many platforms & deployment strategies
profilers, buoys, above-water (ship, permanent, hand-held), gliders, AUVs

dynamic range of problem set is growing:

- new missions emphasize research in shallow, optically complex water
- spectral domain stretching to UV and SWIR
- new missions have immediate, operational requirements

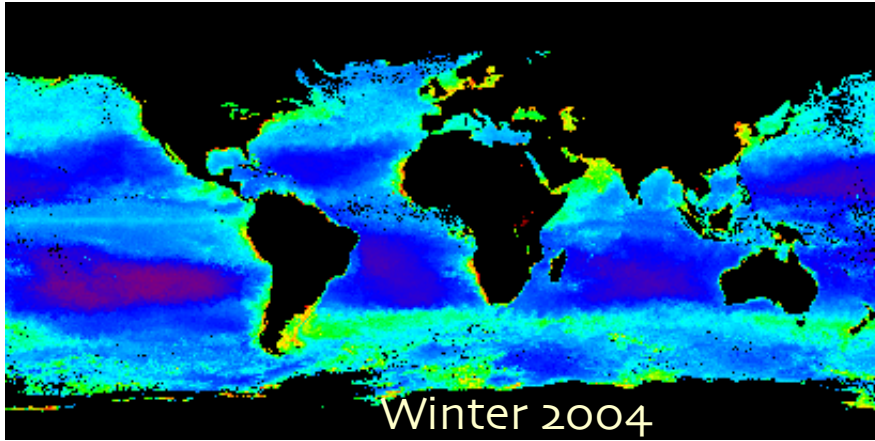
bonus material!

satellite-to-satellite comparisons

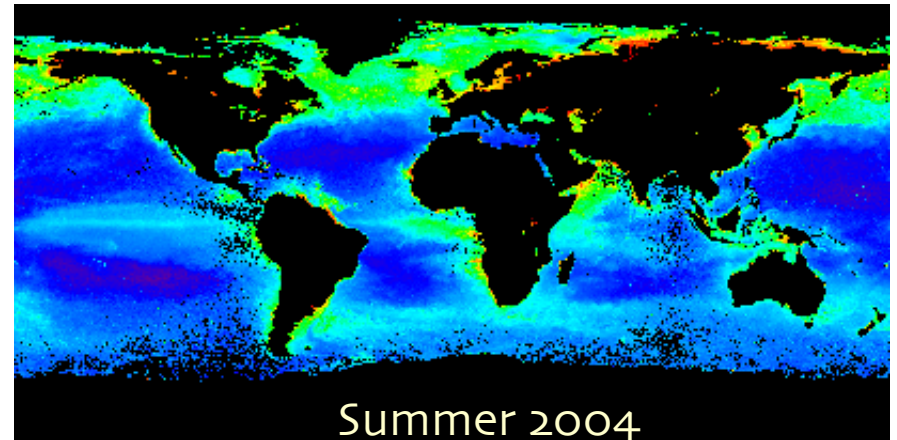
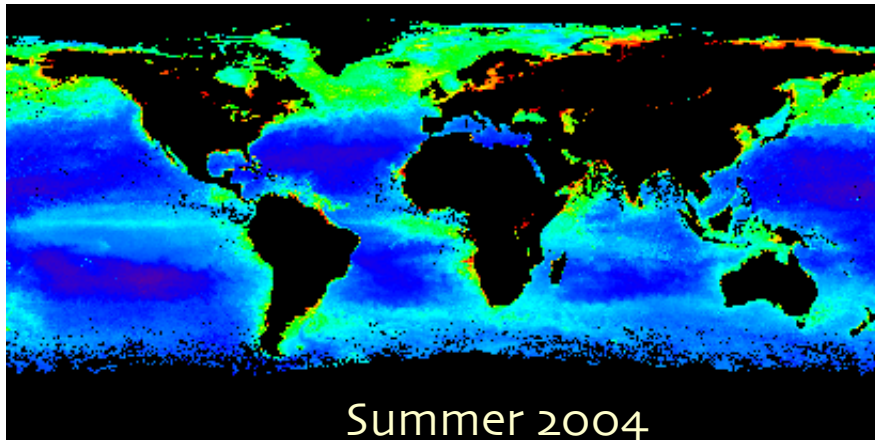
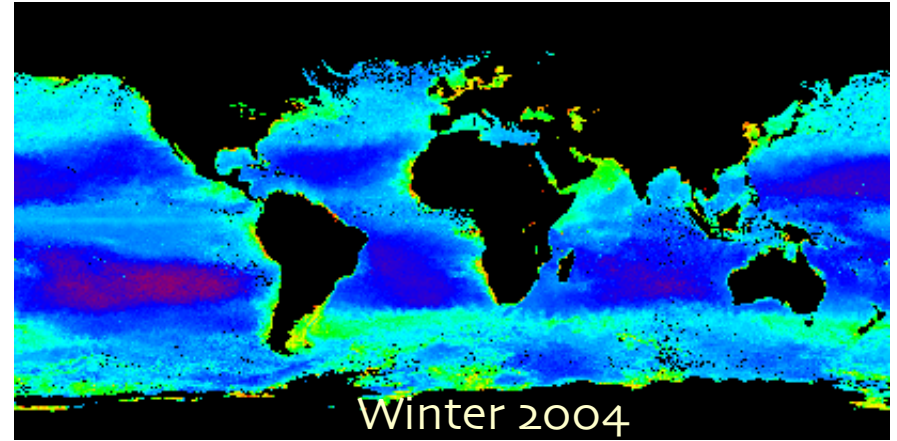
Level-3 comparisons

Seasonal Chlorophyll Images

MODIS/Aqua



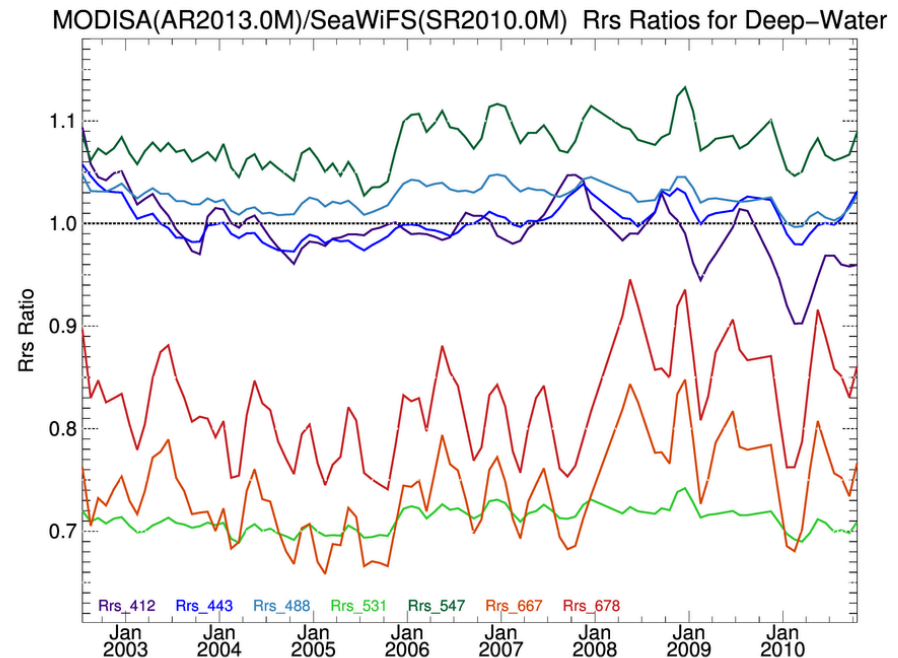
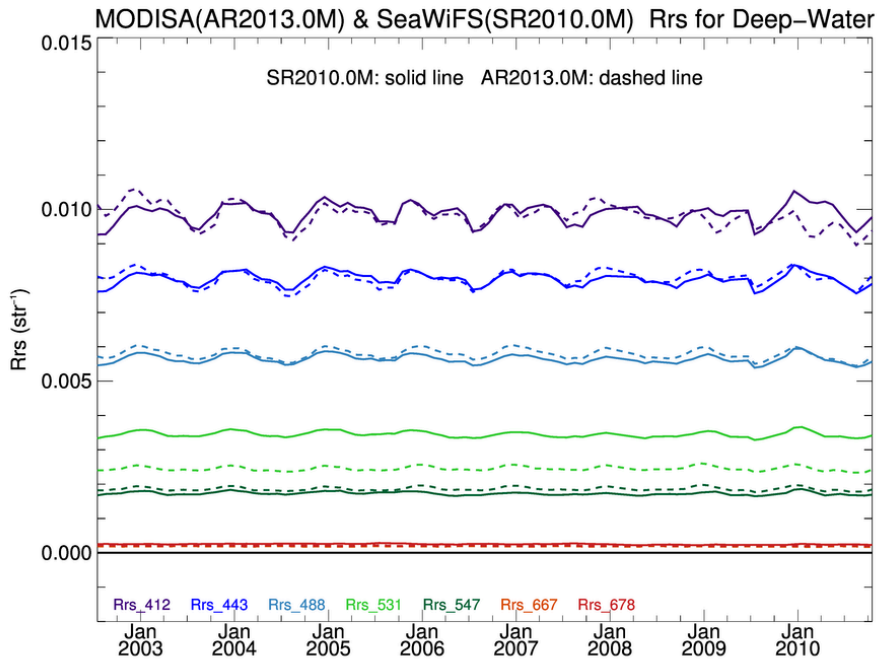
SeaWiFS



0.01-64 mg m⁻³

Level-3 time-series

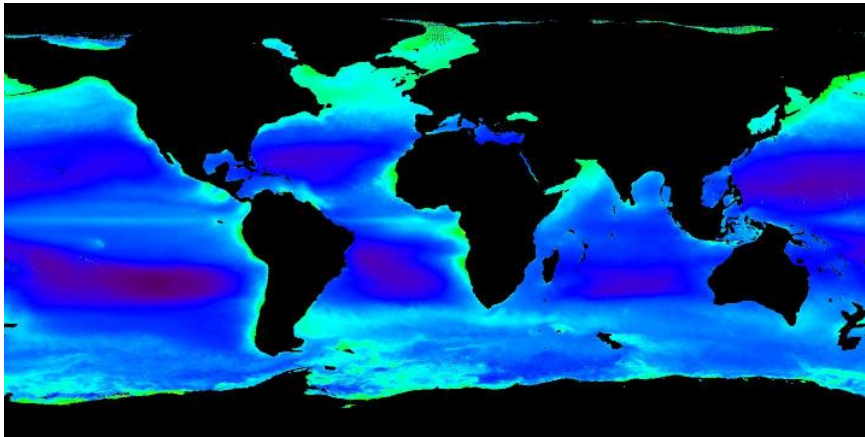
Level-3 parameters (e.g., Rrs) compared for common spectral bands
common bins extracted & compared over the period of overlap between the sensors
comparisons performed globally, trophically, zonally & for specified regions



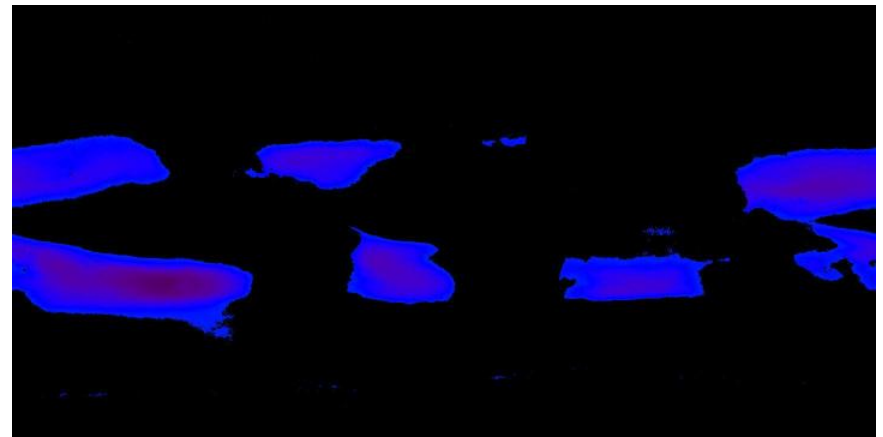
Level-3 comparisons

definitions of trophic subsets

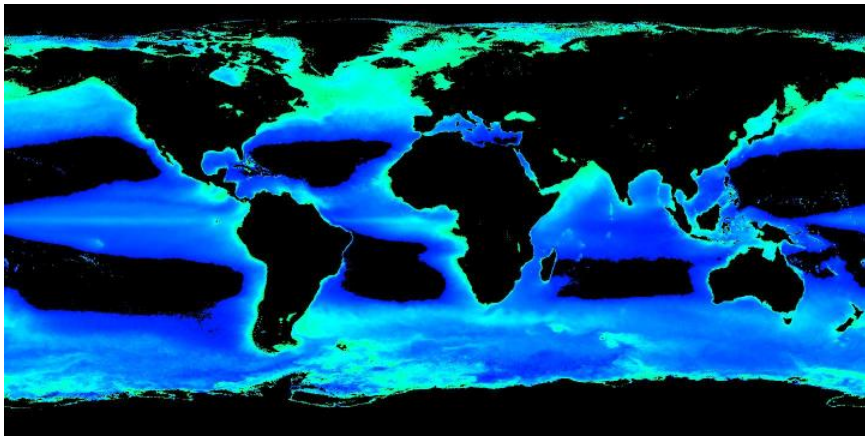
Deep-Water (Depth > 1000m)



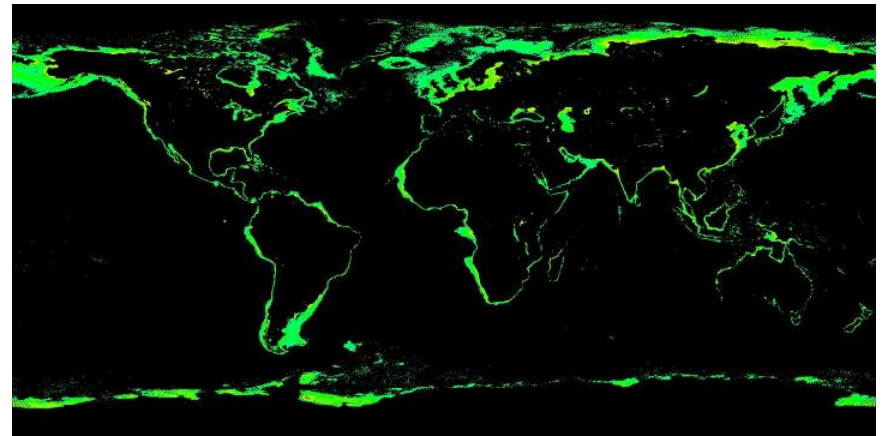
Oligotrophic (Chlorophyll < 0.1)



Mesotrophic (0.1 < Chlorophyll < 1)

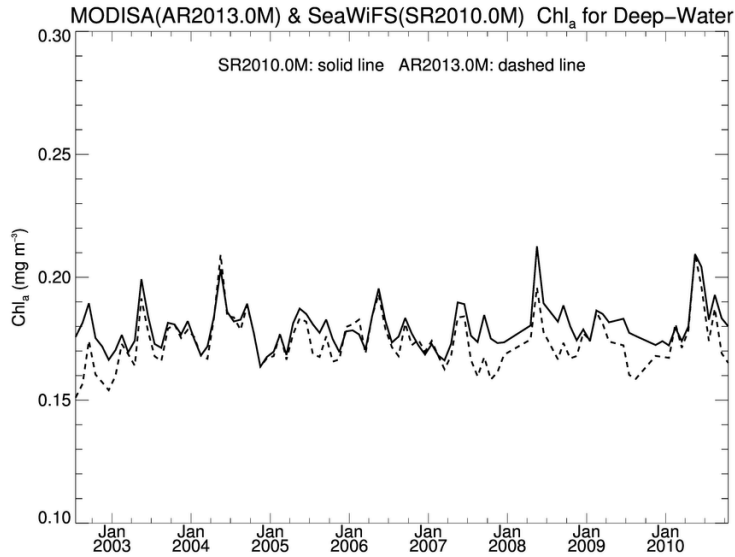


Eutrophic (1 < Chlorophyll < 10)

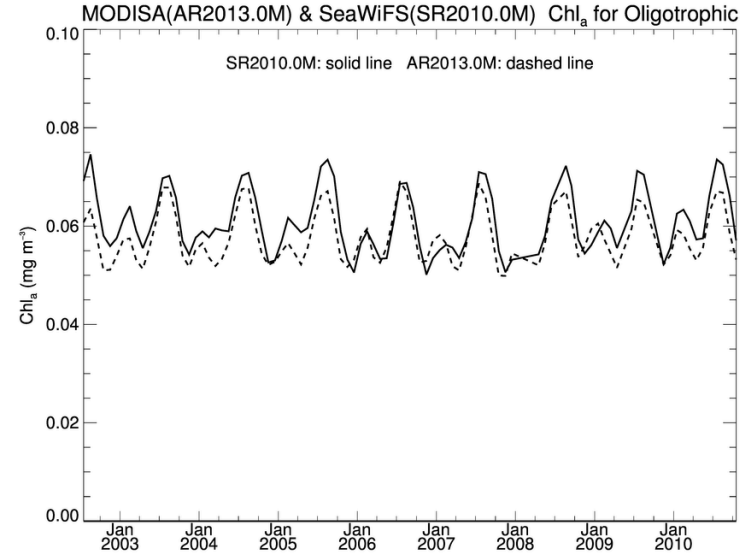


Level-3 time-series

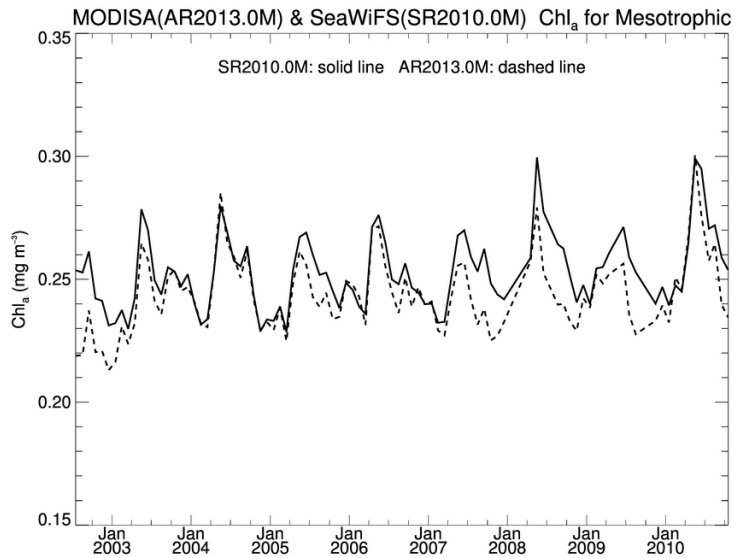
deep water



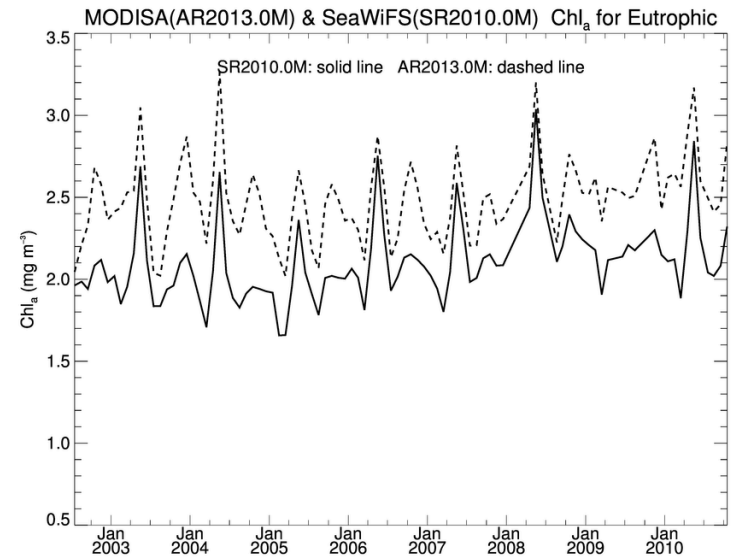
oligotrophic



mesotrophic



eutrophic



Level-3 time-series

strengths:

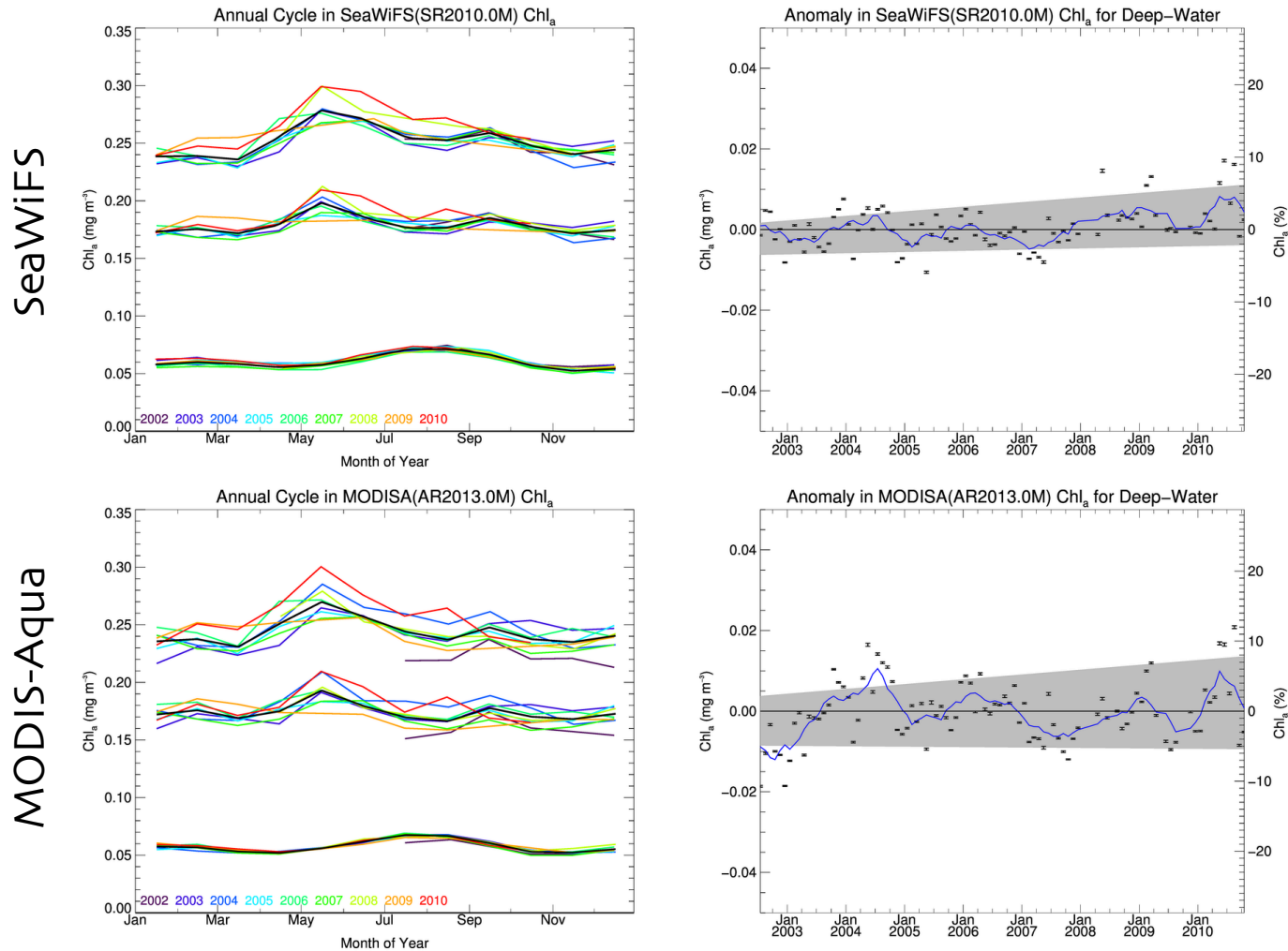
sensitive to small differences in products from different sensors/algorithms
excellent coverage available, both temporal & geographic
can assess continuity among data sets (Climate Data Records)

limitations:

no obvious truth in comparisons.
sensitive to band-pass differences.
may be affected by time-of-observation differences.

Level-3 anomalies

Level-3 global averages for the entire mission are fit to a periodic function to remove natural annual variability; the differences between the global averages & the annual cycle are then plotted over the mission



Level-3 anomaly time-series

strengths:

very sensitive to small changes in instrument performance

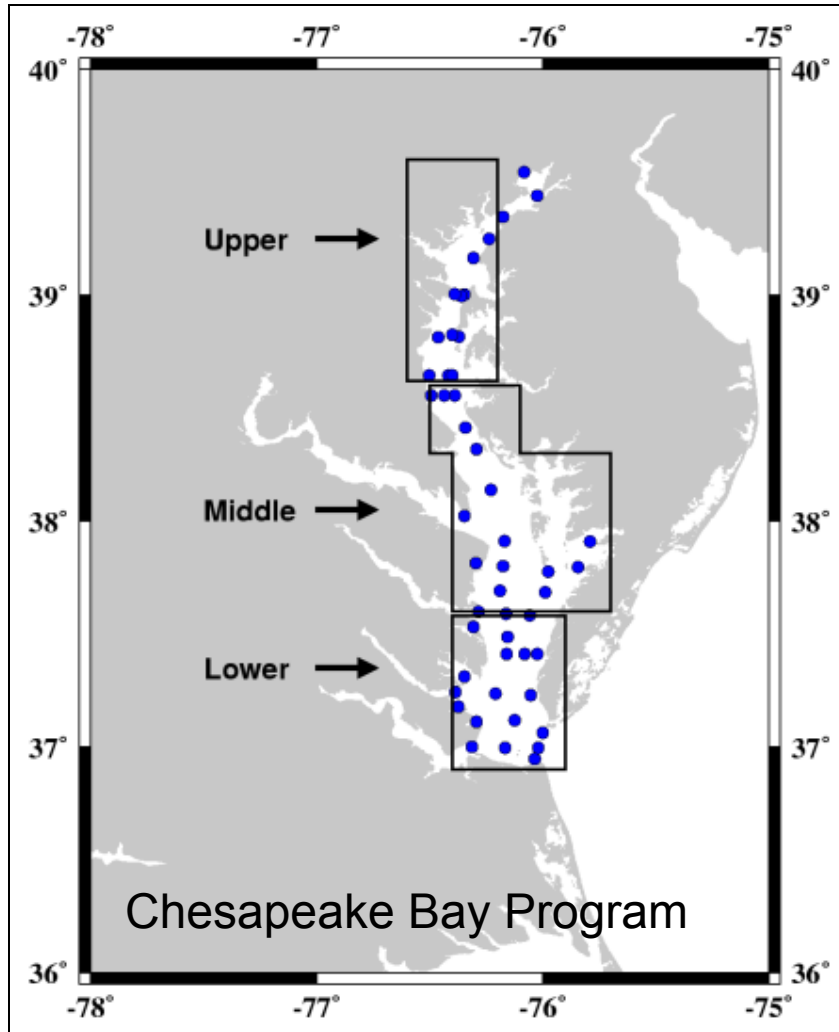
limitations:

difficult to distinguish sensor from real geophysical challenges
can be affected by sampling variations

**questions?
comments?
concerns?**

backup slides

Level-2 time-series



<http://www.chesapeakebay.net>

routine data collection since 1984
12-16 cruises / year

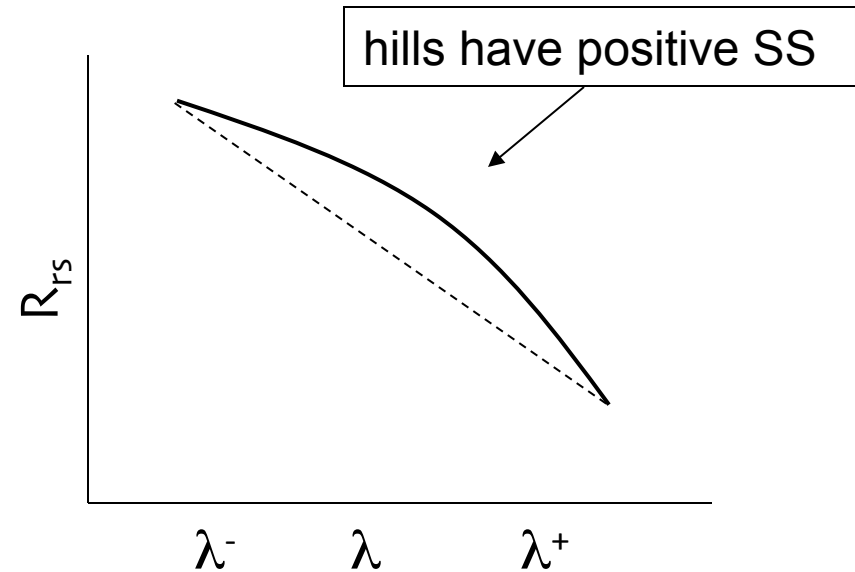
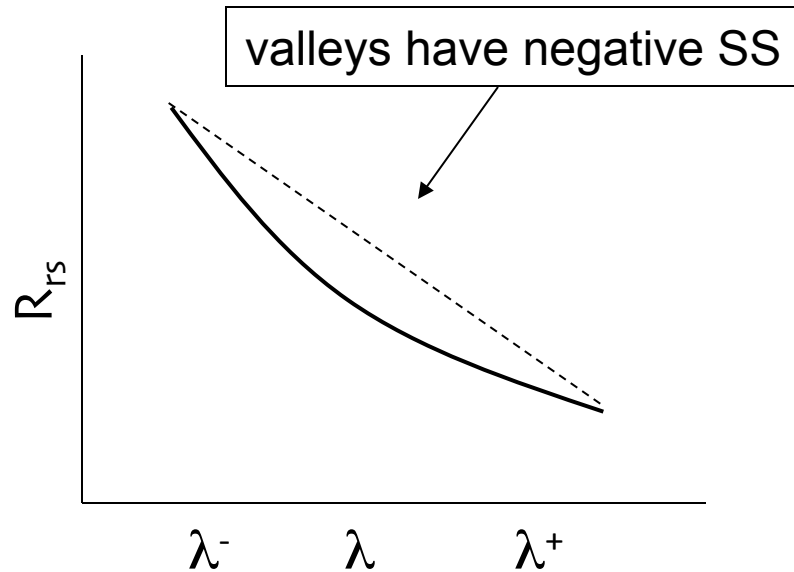
49 stations
19 hydrographic measurements

algal biomass
water clarity
dissolved oxygen
others

population statistics for vicarious calibration

compare spectral shapes of *in situ* & satellite populations

$$SS(\lambda) = R_{rs}(\lambda) - R_{rs}(\lambda^-) - [R_{rs}(\lambda^+) - R_{rs}(\lambda^-)] \left(\frac{\lambda - \lambda^-}{\lambda^+ - \lambda^-} \right)$$

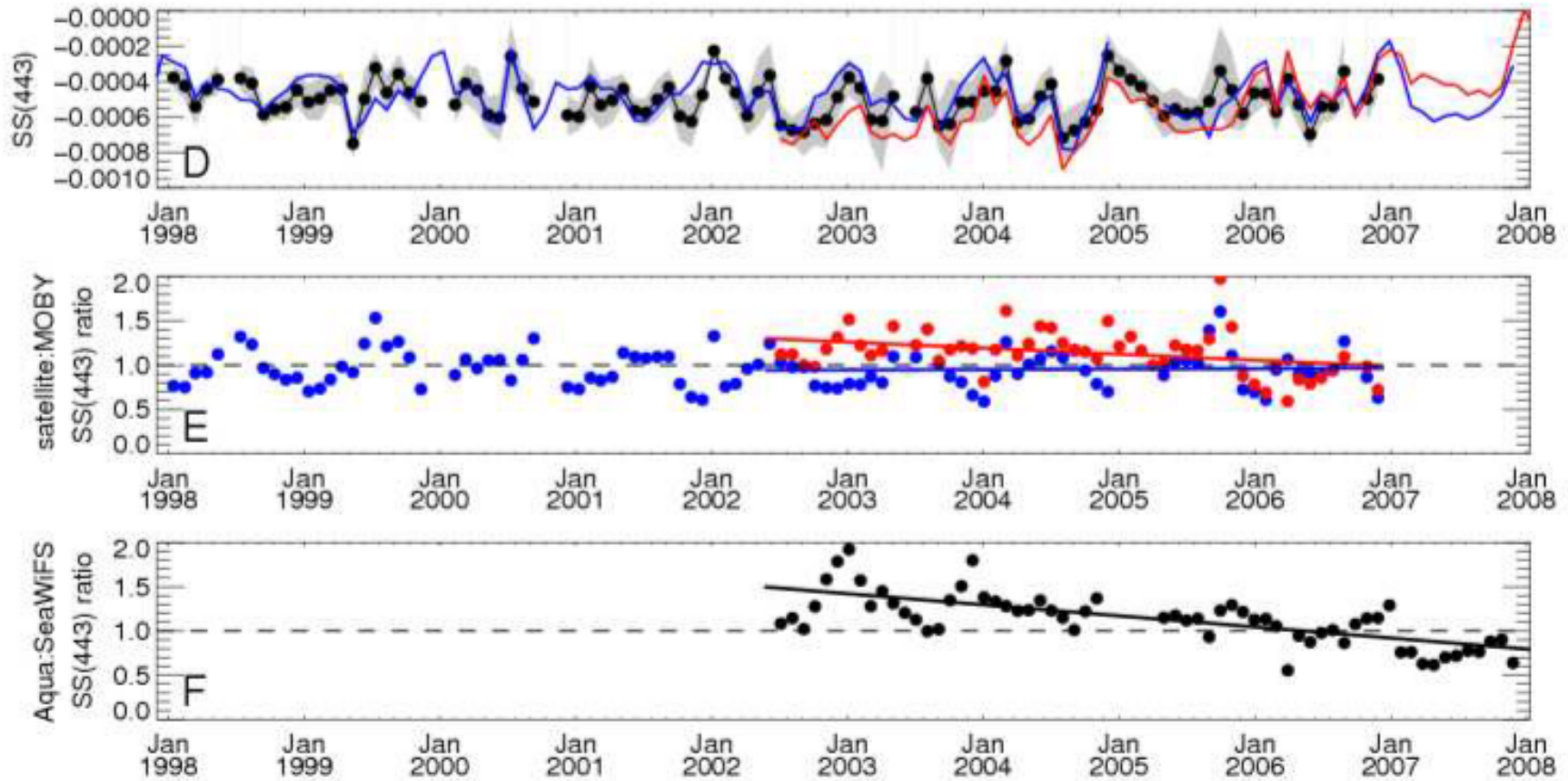


spectral shape @ 443 nm, $SS(443)$, uses $R_{rs}(412)$, $R_{rs}(443)$, & $R_{rs}(490)$

Stumpf & Werdell 2010

population statistics for vicarious calibration

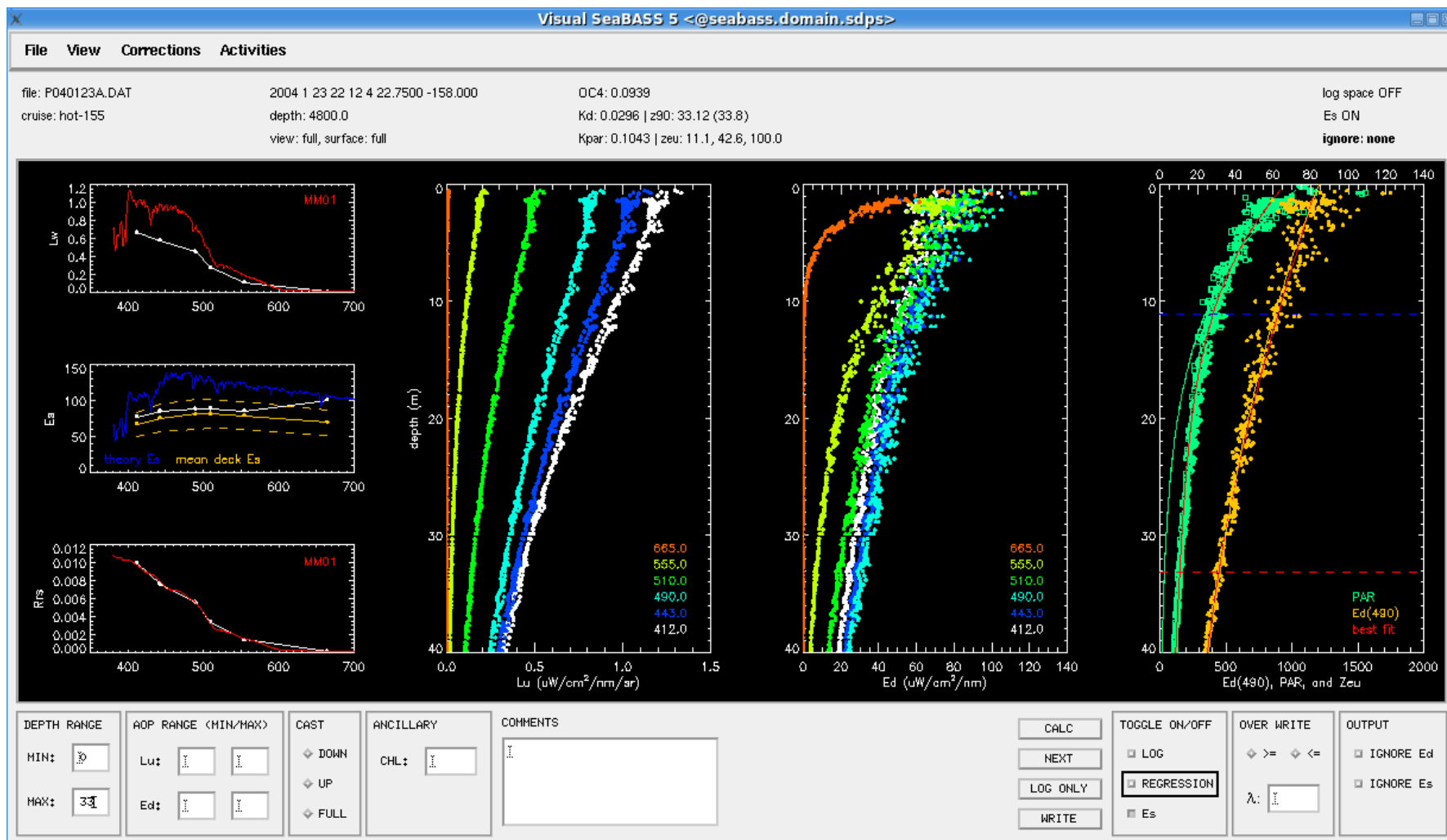
in situ, SeaWiFS, & MODIS-Aqua spectral shapes compared at MOBY site



Stumpf & Werdell 2010

AOP data analysis

$$L_u(z), E_d(z) \rightarrow L_w, E_s$$



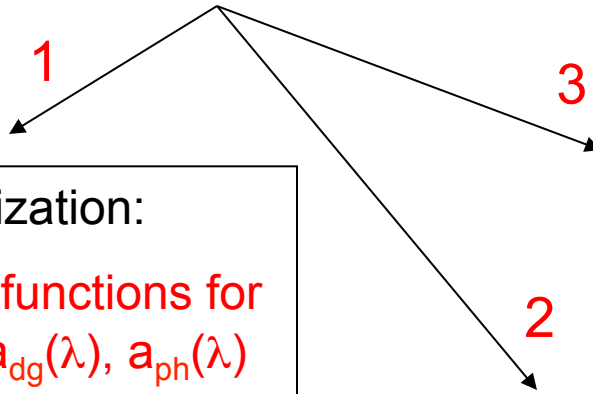
inversion models

several flavors of a “semi-analytical” inversion algorithm ...

$$R_{rs} \approx \text{func} \left(\frac{b_b}{a + b_b} \right)$$

satellite provides $R_{rs}(\lambda)$

$a(\lambda)$ and $b_b(\lambda)$ are desired products



Spectral Optimization:

- define shape functions for (e.g.) $b_{bp}(\lambda)$, $a_{dg}(\lambda)$, $a_{ph}(\lambda)$
- solution via L-M, matrix inversion, etc.
- ex: RP95, HL96, GSM

Bulk Inversion:

- no predefined shapes
- piece-wise solution: $b_{bp}(\lambda)$, then $a(\lambda)$, via empirical $K_d(\lambda)$ via RTE
- ex: LS00

Spectral Deconvolution:

- partially define shape functions for $b_{bp}(\lambda)$, $a_{dg}(\lambda)$
- piece-wise solution: $b_{bp}(\lambda)$, then $a(\lambda)$, then $a_{dg}(\lambda) + a_{ph}(\lambda)$
- ex: QAA, PML, NIWA